1	Selection and characterisation of mutational resistance to aztreonam/avibactam in β -
2	lactamase-producing Enterobacterales
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12	Running head: Aztreonam/avibactam mutants
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33 Synopsis

Introduction. Aztreonam/avibactam is being developed for its broad activity against 34 35 carbapenemase-producing Enterobacterales, including those with metallo- β -lactamases 36 (MBLs). Its potential to select resistance in target pathogens was explored. Findings are 37 compared with previous data for ceftazidime/avibactam and ceftaroline/avibactam. Methods. 38 Single-step mutants were sought from 52 Enterobacterales with AmpC, ESBL, KPC, MBL and 39 OXA-48-like enzymes. Mutation frequencies were calculated. MICs were determined by CLSI 40 agar dilution. Genomes were sequenced using Illumina methodology. Results. Irrespective 41 of β -lactamase type and of whether avibactam was used at 1 or 4 mg/L, mutants could rarely 42 be obtained at >4x the starting MIC, and most MIC rises were correspondingly small. Putative 43 resistance (MIC >8+4 mg/L) associated with changes to β -lactamases was seen only for mutants of AmpC, where it was associated with Asn346Tyr and Tyr150Cys substitutions. 44 45 Asn346Tyr led to broad resistance to avibactam combinations; Tyr150Cys significantly 46 affected only aztreonam/avibactam. MIC rises up to 4+4 mg/L were seen for producers of 47 mutant KPC-2 or -3 enzymes, and were associated with Trp105Arg, Ser106Pro and Ser109Pro 48 substitutions, which all reduced the MICs of other β -lactams. For producers of other β -49 lactamase types, we largely found mutants with lesions in baeRS or envZ, putatively affecting 50 drug accumulation. Single mutants had lesions in ampD, affecting AmpC expression or ftsl, 51 encoding PBP3. Conclusion. The risk of mutational resistance to aztreonam/avibactam 52 appears smaller than for ceftazidime/avibactam where Asp179Tyr arises readily in KPC 53 enzymes, conferring frank resistance. Asn346 substitutions in AmpC enzymes may remain a 54 risk, having been repeatedly selected with multiple avibactam combinations in vitro.

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57 Introduction

58 Combinations of β -lactams with diazabicyclooctane (DBO) β -lactamase inhibitors provide one 59 answer to the challenge of multi-resistant Gram-negative bacteria.¹ Ceftazidime/avibactam, 60 the first such combination, has been used successfully against Enterobacterales with KPC ^{2,3} 61 and OXA-48^{4,5} carbapenemases; Metallo- β -lactamase (MBL) producers however remain 62 resistant. This limitation might be overcome by combining avibactam with aztreonam, which is stable to MBLs, but which requires protection from co-produced ESBLs and AmpC enzymes.⁶ 63 Aztreonam/avibactam 1.5+0.5g g6h is being progressed on this rationale, with Phase III trials 64 65 underway⁷ and with comprehensive activity demonstrated against carbapenemase-producing Enterobacterales in vitro.8 66

67 The combination's development, including assessment of resistance risks, is informed by 68 experience with ceftazidime/avibactam and ceftaroline/avibactam, which was investigated but not progressed.⁹⁻¹¹ In vitro, we could select single-step resistance-conferring mutations - most 69 often Asp179Tyr - in KPC carbapenemases with ceftazidime/avibactam,⁹ but not 70 ceftaroline/avibactam.¹⁰ Both ceftazidime/avibactam and ceftaroline/avibactam readily 71 selected resistance-conferring mutations in AmpC enzymes, but very rarely did so in 72 ESBLs.^{10,11} Since ceftazidime/avibactam entered use, concern has centred on mutations in 73 KPC carbapenemases, where Asp179Tyr substitutions been associated with clinical failure.¹² 74 75 This change increases ceftazidime binding by KPC enzymes, rendering them harder to inhibit.¹³ Activity against other β -lactams is less affected or, as with meropenem, is 76 decreased.¹⁴ There is a single report of clinical selection of a CTX-M-14 β-lactamase mutant 77 78 with reduced ceftazidime/avibactam susceptibility, but the case history is complicated by prior use of unprotected ceftazidime.15 79

80 In the present study we investigated the resistance selection risk with 81 aztreonam/avibactam, using similar approaches to those employed previously with 82 ceftazidime/avibactam and ceftaroline/avibactam.

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Materials and methods

86 Bacteria

Test strains (Table 1) were clinical *Escherichia coli, Klebsiella pneumoniae* and *Enterobacter cloacae* submitted to PHE's Antimicrobial Resistance and Healthcare Associated Infections
(AMRHAI) Reference Unit for investigation of resistance. β-Lactamase genes were identified by prior WGS. Within the species, we represented prevalent carbapenemases (KPC, IMP, NDM and VIM and OXA-48-like types), ESBLs (TEM-10, SHV-2 and -5, CTX-M-14 and -15 types) and both acquired (CMY-2 and -44) and chromosomal (*Enterobacter*) AmpC enzymes.

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94 Selection methodology

95 Selection was with the single-step procedure used previously.⁹⁻¹¹ Briefly, overnight nutrient 96 broth cultures were spread on to Mueller-Hinton agar containing aztreonam combined with 97 avibactam 1 mg/L or 4 mg/L at 2, 4, 8 and 16 x MIC. Colonies were counted after overnight 98 incubation. Serial dilutions of the broth cultures were also spread onto drug-free agar and 99 these counts were used as denominators for calculation of the mutation frequency for each 100 MIC multiple.

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102 Determination of MICs for mutants

103 For each parent organism and selective condition we retained up to 6 presumptive mutants, 104 confirming species identity by MALDI-ToF mass spectroscopy (Bruker Biotyper, Bruker 105 Daltonics, Bremen, Germany) then determined MICs by CLSI agar dilution¹⁶ for aztreonam 106 alone (0.03-128 mg/L), aztreonam/avibactam 1 mg/L and 4 mg/L (0.03-128 mg/L), ceftazidime 107 (0.03-128 mg/L), ceftazidime-avibactam 4 mg/L (0.03-128 mg/L), cefepime (0.03-128 mg/L), 108 cefepime/avibactam 4 mg/L (0.03-128)mg/L), ceftaroline (0.03-128)mg/L) 109 ceftaroline/avibactam 4 mg/L (0.03-128 mg/L), cefotaxime (0.03-128 mg/L), meropenem (0.03-110 128 mg/L), piperacillin/tazobactam 4 mg/L (0.03-128 mg/L), ciprofloxacin (0.03-128 mg/L) and 111 amikacin (0.03-128 mg/L). In general, we favoured retaining and testing mutants obtained at 112 the highest selective concentrations where they were obtained. Antibiotics were from suppliers

as follows: avibactam, and ceftaroline (Pfizer), aztreonam (Alfa Aesar, Heysham, UK),
ceftazidime, cefotaxime, cefepime, meropenem, piperacillin, ciprofloxacin and amikacin
(Merck, Gillingham, UK). MICs are based on single determinations; accordingly, single tube
shifts should be viewed having low significance.

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118 WGS of selected mutants

DNA was extracted as previously,¹¹ then fragmented and tagged for multiplexing using 119 NexteraXT library preparation Kits (Illumina, Cambridge, UK). Sequencing was on an Illumina 120 121 HiSeq platform to produce 2x100 bp reads. Parent strain genome assembly was performed 122 using SPAdes 3.5.0 genome assembler software with *k-mer* values 55,77,91.¹⁷ The presence 123 of β-lactamase variants was confirmed by BLAST searches, using the newly assembled 124 genomes as query sequences against a reference database downloaded from the NCBI β-125 lactamase data.¹⁸ Sequencing reads for mutants were mapped to the corresponding parent using the PHEnix algorithm,¹⁹ with variants called and filtered using Genome Analysis Toolkit 126 v2.²⁰ Sequences flanking confirmed alterations were manually inspected for gene structure 127 128 and functional annotation, with Blast searches determine whether any changes lay in an open 129 reading frame, promoter or intergenic region. Changes within structural genes were confirmed 130 by aligning the protein-encoding sequences for the parent and mutant assemblies. To detect gene amplifications we compared the number of reads that mapped to β-lactamase genes 131 132 relative to those for the single copy chromosomal genes gyrA and parC.

- Amino acid numbering for Class A β-lactamases follows Ambler's scheme;²¹ that for Class
 C enzymes follows Mack *et al.*²²
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136 **Results.**

137 *Mutation frequency*

Susceptibility testing for avibactam combinations, including aztreonam/avibactam, is routinely performed with a fixed inhibitor concentration of 4 mg/L. Here, however, we undertook selection and corresponding MIC determinations with both 1 and 4 mg/L avibactam on the

141 rationale that bacteria in infections and the gut flora are exposed to dynamically changing 142 concentrations of both aztreonam and avibactam. Unsurprisingly, mutants were more readily selected at with low MIC multiples, and when avibactam was used at 1 rather than 4 mg/L 143 (Table 1). Nonetheless, even with avibactam at 1 mg/L we rarely obtained mutants at 8x MIC 144 145 and never did so at 16 x MIC; with 4 mg/L avibactam we obtained mutants as 8 x MIC for only two strains. High mutation frequencies (i.e. $>10^{-7}$ range) were largely confined to tests with 2 146 to 4 x MIC, particularly with avibactam at 1 mg/L, and showed no clear association with 147 particular β -lactamase types. 148

149 Specifically, for 11/12 parent isolates with KPC carbapenemases we only obtained 150 mutants at two or four times the starting MIC; the exception was E. cloacae PF19_8 where, 151 with 1 mg/L avibactam, we obtained mutants up to 16 x MIC. Among 18 isolates with MBLs 152 we obtained mutants at 8 x MIC for one organism – E. coli PF19 51; otherwise mutants were 153 only selected, if at all, at two of four times the parent MIC. Among four isolates with OXA-48like enzymes, we only obtained mutants up to four times MIC. We tested 12 isolates chosen 154 155 as ESBL producers, representing CTX-M, TEM and SHV enzymes. In addition, 14/34 isolates included primarily as carbapenemase producers also produced ESBLs. Among the former 12, 156 157 we could obtain mutants at only two- to four- times the aztreonam/avibactam MIC, even with 158 avibactam at 1 mg/L. Finally, among six isolates with plasmid-mediated or derepressed 159 chromosomal AmpC (two E. coli with CMY-2 or CMY-44 enzymes and 4 E. cloacae respectively) we obtained mutants at eight times MIC in one case, but otherwise only at two to 160 161 four times MIC.

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- 163 MIC distributions for selected mutants

164 MICs of aztreonam/avibactam 1 or 4 mg/L and comparators were determined for a total of 314 165 mutants, derived from parents with principal β -lactamases as follows: KPC (n=69), MBLs 166 (n=112), OXA-48 (n=32), ESBLs (n=61) and AmpC (n=40). Fold increases in MIC 167 aztreonam/avibactam 4 mg/L compared with parent strains are shown in fig 1A, whilst fig 1B illustrates the actual MICs. MIC increases were mostly two- to eight- fold, with rises \geq 64-fold seen only for AmpC producers.

170 All the mutants selected from parents with KPC carbapenemases were inhibited by 171 aztreonam/avibactam 4+4 mg/L, as were all those selected from producers of ESBLs or OXA-172 48-like enzymes. MICs exceeding the provisional breakpoint of 8+4 mg/L were seen only for 173 mutants of strains with AmpC enzymes, where values of >64+4 were observed in four 174 instances, and for those derived from E. coli with NDM-5 or -7 enzymes, where the 'starting' parent MICs were relatively high at 1-4 mg/L. Aztreonam/avibactam MICs >4+4 mg/L were 175 176 not seen for mutants of other MBL producers, where the starting MICs were lower, at 0.06 to 177 0.25 mg/L. This nuance is apparent in figure 1, showing that the fold increases in MICs for the mutants of MBL producers were normally distributed, with a peak at four-fold, whereas the 178 actual MICs were bimodal, with peaks at 0.25 and 16 mg/L. 179

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181 Mutant characterisation

For WGS we preferred those mutants with the greatest rises in aztreonam/avibactam MICs and those with the greatest diversity of MIC changes to comparator antibiotics as tested alone or combined with avibactam. Accordingly, it should be noted that the MICs for most mutants were below those for the mutant studied in detail.

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187 Strains with KPC enzymes. We sequenced 15 mutants from 10 parents with KPC 188 carbapenemases; four parents also had ESBLs (Table 2). Seven of the 15 mutants had nucleotide changes leading to substitutions in their KPC enzymes. Four, variously from 189 parents with KPC-2 and -3 enzymes, had Trp105Arg; two, from parents with KPC-2 and -3 190 enzymes, had Ser106Pro, and one had Ser109Pro (numbering based on Ambler's scheme; 191 192 positions in the mature KPC protein itself are 104, 105 and 108). MICs of aztreonam/avibactam 4 mg/L for these variants ranged from 0.5 to 4 mg/L compared with 0.06 193 to 0.25 mg/L for their parent strains. The MICs of meropenem were reduced for all seven 194 mutants, variously by four- to 32- fold. MICs of cefepime, ceftazidime and cefotaxime also 195

196 were widely reduced, although precise interpretation was confounded by (i) by off-scale 197 cephalosporin MICs for some parent strains, precluding assessment of change, and (ii) by coproduction of unaltered ESBLs, except in strains K. pneumoniae PF_19-1 and E. coli PF_19-198 9 and their mutants. In general, ceftaroline MICs were less reduced than those of other 199 200 oxyimino cephalosporins, whilst MICs of all cephalosporin/avibactam combinations remained 201 within one doubling dilution of those for the parent strains. Mutant E. cloacae PF_19-7e, with a Ser106Pro substitution in KPC-2 additionally had a mutation in its LamB maltoporin. Whilst 202 203 this porin is not generally considered to be a major entry channel for β -lactams in 204 Enterobacterales, one group associated decreased expression with broad, small, reductions 205 in antibiotic susceptibility.²³

206 The remaining eight sequenced mutants lacked changes in blakPC. They all showed small, 207 generalised MIC rises for cephalosporins, cephalosporin/avibactam combinations and meropenem. One - E. cloacae PF_19-8h) - was an ampD mutant, with this lesion predicting 208 derepression of its AmpC β -lactamase. Another – *E. cloacae* PF_19-6d – had a mutation in 209 210 baeS, leading to an Asp111Val substitution in the corresponding histidine kinase, BaeS; this is part of the BaeSR sensor system and is linked to efflux pump and porin expression.^{24,25} A 211 212 third- E. cloacae PF_19-7h) - had a mutation affecting its LamB maltoporin (with no change to *bla*_{KPC}), though, as above, the significance of this is uncertain. Last, mutant *E. coli* PF_19-213 12c had a premature stop codon in rfaG. The product of this gene is involved in LPS 214 215 biogenesis and its inactivation may affect outer membrane architecture. We failed to find any 216 significant changes in the remaining four mutants sequenced and the basis of raised MICs for 217 these organisms must, perforce, remain uncertain.

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Strains with MBLs. We sequenced 16 mutants derived from 13 parents with MBLs, including
VIM, IMP and NDM types (Table 3). Thirteen were selected at only 2x the starting the MIC,
two at 4x and one at 8x, reflecting the paucity of mutants at high MIC multiples. Despite these
'mild' conditions, MIC rises for aztreonam/avibactam were raised up to 16- or 32-fold for *E. coli*

mutants PF_19-39b, PF_19-43c and PF_19-51e, PF_19-51n, though most rises for mutants
of MBL producers were smaller, with a mode of 4-fold (fig. 1a).

The parent MBL strains were broadly resistant to comparator agents, reducing the scope to assess the wider effect of the mutations seen; nevertheless, generalised MICs rises clearly were frequent, and extended up to four- and eight-fold in the case of meropenem and *E. coli* mutants PF_19-43c and PF_19-44f. Significant MIC reductions were not seen for any antibiotic.

Eight of the 16 mutants had alterations in baeS, and five (one with a baeS lesion) had 230 231 mutations in envZ, encoding an expression regulator of porins OmpC and OmpF and their 232 equivalents. Mutations in genes not obviously linked to the activity of β -lactams were variously 233 found in asnS (asparagine synthase), rpoB (mRNA polymerase), smtA (S-234 adenosylmethionine-dependent methyltransferase), and araA (L-arabinose isomerase). One envZ mutant E. coli (PF 19-44f) had an additional stop codon in an already inactivated lamB 235 236 maltoporin gene. Four mutants had no mutations detected and, again, mechanisms here 237 remain unresolved.

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Strains with OXA-48-like enzymes. Only three mutants of isolates (two *E. coli* and one *K. pneumoniae*) with OXA-48-like carbapenemases were sequenced; two additionally had ESBLs (Table 4). These small numbers reflect the fact that few mutants were selected and that these showed little MIC diversity. These mutants exhibited two- to eight- fold MIC rises for aztreonam/avibactam and cephalosporin/avibactam, with no marked increases for the unprotected cephalosporins or meropenem. All three had lesions in *baeS*.

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Strains with ESBLs. Five mutants of ESBL producers were sequenced – two *E. coli* and three *K. pneumoniae* (Table 5). These showed small MICs increases for some or all
cephalosporin/avibactam combinations as well as up to 8 to 16-fold for aztreonam/avibactam
and, also, in the case of *E. coli* PF_19-23d, for meropenem and piperacillin/tazobactam. MICs
of aztreonam/avibactam remained in the likely clinical range. Two mutants had alterations

affecting the porin regulator EnvZ and one had a lesion in the histidine kinase sensor BaeS;
no changes of relevance were found in the remaining two mutants.

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Strains with AmpC enzymes. Eleven mutants were sequenced from six parents; three were 254 255 from E. coli with acquired CMY enzymes and eight from E. cloacae strains with derepressed 256 chromosomal AmpC (Table 6). The sequenced mutants included three with MICs exceeding 257 the provisional aztreonam/avibactam breakpoint of 8+4 mg/L. These all had changes within 258 ampC. Two - E. coli PF_19-26a (aztreonam/avibactam MIC 16+4 mg/L) and E. cloacae 259 PF 19-30a (MIC 128+4 mg/L) - had Tyr150Cys substitutions, in acquired CMY-44 and in 260 chromosomal AmpC respectively (numbering based on Mack et al.²² and corresponding to the actual sequence of the mature proteins). Asides from resistance to aztreonam/avibactam, 261 Tyr150Cys was associated with small rises in ceftaroline/avibactam MICs, unchanged low 262 263 MICs for other cephalosporin/avibactam combinations and by frequent, though not universal, 264 reductions in piperacillin/tazobactam and oxyimino-cephalosporins MICs. The third mutant with frank aztreonam/avibactam resistance- E. cloacae PF_19-30h (aztreonam/avibactam 265 MIC 16+4 mg/L) – had an Asn346Tyr substitution. This was associated with raised MICs for 266 all avibactam combinations. 267

The remaining eight mutants, all with aztreonam/avibactam MICs <8+4 mg/L, lacked 268 269 mutations in *ampC* and largely showed unchanged MICs for other β -lactams besides 270 aztreonam/avibactam, or showed only small changes. Only one - PF-28b - had a mutation 271 with potential relevance, leading to an Ala498Thr substitution in PBP3. This mutant showed 272 large MIC rises for ceftazidime/avibactam, from 0.5+4 to the breakpoint of 8+4 mg/L, and for 273 cefepime, from 2+4 to 32+4 mg/L. The other mutations found were of doubtful significance, 274 variously affecting the lipopolysaccharide transporter LptD, the replication initiation protein 275 RepZ, the RNA polymerase RpoC, the glycerol-3-phosphotase UgpE and the UTP glucose-1-276 phosphate uridylphosphotransferase GalU.

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278 Discussion

Aztreonam/avibactam is the third avibactam combination where we have undertaken selection
 studies, following ceftaroline/avibactam and ceftazidime/avibactam.⁹⁻¹¹

With the 'standard' concentration of 4 mg/l avibactam we only obtained mutants at 281 frequencies in the 'concerning' 10⁻⁶/10⁻⁷ range at 2xMIC or, for two strains (*E. cloacae* PF19_28) 282 283 with derepressed AmpC and E. coli PF19_39 with NDM-7) at 4xMIC; frequencies at 8xMIC were <10⁻⁸ in all cases and, except for *E. cloacae* PF19_30 with derepressed AmpC and *E.* 284 285 coli PF19_39 with NDM-7, were below detection limits (Table 1). We additionally undertook 286 selection with 1 mg/L avibactam, on the rationale that bacteria in infections and the gut flora 287 are exposed to dynamically changing concentrations of both aztreonam and avibactam and 288 that selection may occur in milieux and body compartments where the avibactam exposure is reduced. Unsurprisingly, mutants were more readily selected with this lower avibactam 289 concentration but, even so, frequencies in the 10⁻⁶/10⁻⁷ range were only seen at >4xMIC with 290 291 two parent strains, one (E. cloacae PF19_8) with KPC-2 and the other (E. coli PF19-51) with 292 These low mutation frequencies recapitulate experience with NDM-5 enzyme. ceftaroline/avibactam.¹⁰ For ceftazidime/avibactam, by contrast, it was notably easy to select 293 single-step mutants of strains with KPC carbapenemases at 8 to16 x MIC even with 4 mg/L 294 295 avibactam.9

296 In terms of the mutant types selected several patterns become apparent across the three 297 studies. First, we have consistently selected substantially resistant AmpC mutants (MIC >8+4 298 mg/L) with each avibactam combinations, with Asn346 mutants invariably among those seen 299 (these appear as Asn366 mutants in earlier publications, with numbering based on the entire protein, including the signal peptide). Here, and with ceftazidime/avibactam, we saw 300 Asn346Tyr substitutions; previously, with ceftaroline/avibactam, we obtained Asn346His and 301 Asn346lle variants. Other AmpC mutants found in the various studies had proximate 302 303 substitutions. Thus, here we twice selected mutants with Tyr150Cys, whereas with ceftazidime/avibactam we selected mutants with Arg148His and Gly156Arg/Asp and deletions 304 around positions 289-294.¹¹ These observations are consistent with the known 305 structure/function relationships of AmpC enzymes. Asn346 is involved in avibactam binding, 306

307 which is dramatically reduced if it is replaced by tyrosine, whereas this does not compromise cephalosporin hydrolysing activity.²⁶ Similarly, Tyr150 forms part of the active site^{22,27} and is 308 strongly conserved; consequently, it is unsurprising its replacement, or replacement of nearby 309 310 residues (e.g., Arg148) have major effects. Notably, the present Tyr150Cys mutants gained 311 resistance (MICs >8+4 mg/L) to aztreonam/avibactam but substantially lost resistance to 312 oxyimino-cephalosporins. By contrast, as found repeatedly by ourselves and others, Asn346 mutants gain broad resistance to avibactam combinations without obvious compromise.^{10,11,26} 313 314 We accordingly anticipate Asn346 mutants may be seen in clinical practice, but would be 315 surprised to see evolutionary success by the broadly compromised Tyr150 mutants.

316 Second, whereas it has consistently been possible to select AmpC mutants, it has 317 repeatedly proved extremely difficult to select such mutations in ESBLs, of the CTX-M, SHV and TEM families.^{10,11} No ESBL mutants were selected here and, although we did select 318 319 mutants of CTX-M-15 with ceftaroline/avibactam and ceftazidime/avibactam, these were 320 greatly compromised in respect to being able to confer resistance to other β-lactams. It is hard 321 to believe that they could achieve evolutionary success in a 'real world' of diverse β -lactam 322 usage. Clinically, emerging resistance with to ceftazidime/avibactam has been associated, once each, with mutations in CTX-M-14, -15 and VEB-1 enzymes;^{15,28} it is unknown whether 323 324 these changes also compromised aztreonam/avibactam.

325 Third, also consistent, is the fact that we have never been able to select resistance-326 conferring mutations in any β -lactamase that ordinarily lacks activity against the partner β -327 lactam. In context, aztreonam is stable to MBLs and OXA-48, whereas ceftazidime is stable to OXA-48 carbapenemases. To our knowledge, no monobactam-hydrolysing MBL has yet 328 329 been described, so failure to select such activity in IMP, NDM and VIM enzymes is unsurprising. Failure to select β-lactamase-related resistance in organisms with OXA-48-like 330 331 is more notable, insofar as some OXA-48-related enzymes (e.g. OXA-163) do hydrolyse oxyimino cephalosporins and aztreonam.²⁹ Others have selected OXA-48 laboratory mutants 332 with raised ceftazidime and ceftazidime/avibactam MICs, though without frank resistance.³⁰ 333 These had both Pro68Ala and Tyr211Ser mutations and substantially lost resistance to 334

piperacillin/tazobactam and carbapenems, as also applies with wild type OXA-163enzyme.

Fourth, KPC carbapenemases present the least consistent case across the different 337 avibactam combinations. With ceftaroline/avibactam we selected no resistant mutants.¹⁰ 338 339 With ceftazidime/avibactam we readily selected mutants with high-level resistance (MICs 340 up to 128+4 mg/L) mostly with mutations around the Ω loop, principally Asp179Tyr. Here, 341 with aztreonam/avibactam, we selected mutants with Trp105Arg or Ser106Pro substitutions or, in one case only, Ser109Pro. Asp179Tyr increases ceftazidimase activity and the 342 stability of the ceftazidime-KPC acyl enzyme,¹³ thus protecting the β -lactamase from 343 inhibition by avibactam. The substitution *reduces* activity against many other β -lactams, 344 345 including meropenem and aztreonam; resistance conferred is not to aztreonam/avibactam,³¹ explaining the lack of selection here. The present mutations around 346 positions 105-109, reduced the ability of KPC-2 and -3 enzymes to confer resistance to other 347 348 β-lactams besides aztreonam; moreover, aztreonam/avibactam MICs never reached the 349 clinical breakpoint, and ceftazidime/avibactam was not compromised. We therefore doubt that 350 they present a significant potential threat. Trp105 ordinarily is a highly conserved residue in KPC and other Class A β -lactamases, forming part of the active site.³² It is unsurprising that 351 352 replacement with arginine, a dissimilar amino acid, has a substantial effect. Introduction of proline at position 106 or 109 is also likely to consequential, as the rigidity of this amino acid 353 distorts protein secondary structure and, potentially, the alignment of Trp105. 354

Besides mutations affecting β -lactamase structure, we encountered multiple lesions affecting *baeRS*, which encode a histidine kinase sensor system co-regulating multiple efflux systems²⁴ and porins;²⁵ also in *envZ*, which co-regulates expression of major porins.³³ Mutations affecting *envZ* were widely encountered in our previous selection studies with ceftazidime/avibactam¹¹ whilst those affecting both *envZ* and *baeRS* were selected in studies with monobactam BOS-228.³⁴ Lesions in these genes were associated with broad, albeit small, rises in the MICs of β -lactams, compatible with general increases

362 in efflux or reductions in permeability. Another mutation of potential relevance led to an Ala498Thr substitution in PBP3 of E. cloacae PF_19-28b, an AmpC-derepressed strain. 363 This mutant showed raised MICs for the all tested β -lactams, which universally target 364 PBP3,³⁵⁻³⁷ and for their inhibitor combinations; MICs remained within likely clinical ranges, 365 though that for ceftazidime/avibactam reached the breakpoint of 8+4 mg/L. Lastly, mutant 366 367 E. cloacae PF_19-8h, with a KPC carbapenemase, acquired an ampD lesion putatively causing derepression of its chromosomal AmpC β -lactamase. We previously showed that 368 ceftazidime/avibactam could select AmpC-derepressed Enterobacter mutants, albeit less 369 370 frequently than ceftazidime alone and that, as here, these caused small rises in the MIC of avibactam combinations.¹¹ Sequestration of avibactam by copious AmpC enzyme – up 371 372 to 3-4% of total cell protein in a derepressed strain – likely reduces the amount available to inhibit the KPC carbapenemase.³⁸ 373

Overall, these results are favourable for aztreonam/avibactam. Single-step mutations 374 in KPC carbapenemases did not confer resistance at the provisional breakpoint and 375 376 reduced the enzyme's ability to confer resistance to other β -lactams, suggesting that they should be counter-selected in clinical settings. This contrasts to the single step Asp179Tyr 377 mutants most often selected with ceftazidime/avibactam, which confers unequivocal 378 resistance to that combination. There does appear to be a potential risk for selection of 379 AmpC mutants, with Asn346 substitutions compromising all avibactam combination 380 381 without degrading the enzymes' ability to cause resistance. Nonetheless we are not aware of this mutation having been selected clinically. The hazard cannot, however, be 382 383 AmpC sequence mutants of P. aeruginosa have been selected during dismissed: ceftolozane/tazobactam therapy and show cross resistance to ceftazidime/avibactam.^{39,40} 384 385 There is no evidence that *baeRS* and *envZ* mutants will present a real hazard: these have 386 been selected in vitro with other antibiotics, but have not been recorded as emerging 387 during therapy. Mutants with lesions in porin structural genes were not seen in any of our 388 avibactam studies but may be a risk, given that they have been selected e.g. with

389 meropenem/vaborbactam and occasionally during carbapenem usage.⁴¹⁻⁴³ Last, there remains the issue that four of the present E. coli isolates 'began' with aztreonam/avibactam 390 MICs of 1-4 mg/L, rather than the more typical 0.06-0.25 mg/L, meaning that relatively 391 small MIC rises raised the MICs above the breakpoint. Raised starting MICs for 392 393 aztreonam/avibactam have been associated with Tyr-Arg-Ile-Asn/Pro inserts at position 334 in PBP3,^{44,45} but these were not found. The parent has diverse OmpC sequences (not 394 shown), and this may be pertinent. Although these isolates had NDM-5 and -7 MBLs rather 395 than NDM-1 we do not count this as directly relevant, since all these NDM variants are 396 similarly unable to raise aztreonam MICs when transferred into a new host strain.⁴⁶ In short, 397 the resistance risk to aztreonam/avibactam seems relatively small, is not strongly 398 associated with particular carbapenemases; in the case of KPC enzymes the hazard 399 400 seems less than for ceftazidime/avibactam, which itself has proved a successful agent.

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Species	Enzyme	Secondary β- lactamases	Frequency	at MIC multip	le, avibactan	n at 1 mg/L	Frequency	at MIC multip	ble, avibactam	at 4 mg/L
			2x	4x	8x	16x	2x	4x	8x	16x
<i>K. pneumoniae</i> PF19_1	KPC-2	OXA-1, SHV-1	2.57x10 ⁻⁷	1.71x10 ⁻⁷	<10 ⁻⁸	<10 ⁻⁸	2.14x10 ⁻⁷	<10 ⁻⁸	<10 ⁻⁸	<10 ⁻⁸
<i>K. pneumoniae</i> PF19_2	KPC-2	SHV-1	<10 ⁻⁸							
<i>K. pneumoniae</i> PF19_3	KPC-2	OXA-9v, SHV-2, TEM-1	1.09x10 ⁻⁶	<3.77x10 ⁻⁹						
<i>K. pneumoniae</i> PF19_4	KPC-3	SHV-11, OXA-9, TEM-1	<5.97x10 ⁻⁹							
E. cloacae PF19_5	KPC-2	AmpC, TEM-1	3.51x10 ⁻⁷	<2.60x10 ⁻⁹	<2.60x10 ⁻⁹	<2.60x10 ⁻⁹	3.38x10 ⁻⁷	<2.60x10 ⁻⁹	<2.60x10 ⁻⁹	<2.60x10 ⁻⁹
E. cloacae PF19_6	KPC-2	AmpC, TEM-1	1.15x10 ⁻⁷	5.94x10 ⁻⁹	<1.98x10 ⁻⁹	<1.98x10 ⁻⁹	5.54x10 ⁻⁷	7.92x10 ⁻⁹	<1.98x10 ⁻⁹	<1.98x10 ⁻⁹
<i>E. cloacae</i> PF19_7	KPC-2	AmpC, CTX-M-9, OXA-9, TEM-191	1.34x10 ⁻⁶	1.82x10 ⁻⁷	<6.06x10 ⁻⁹	<6.06x10 ⁻⁹	1.15x10 ⁻⁷	<6.06x10 ⁻⁹	<6.06x10 ⁻⁹	<6.06x10 ⁻⁹
E. cloacae PF19_8	KPC-2	AmpC	2.78x10 ⁻⁶	1.05x10 ⁻⁶	3.91x10 ⁻⁷	3.35x10 ⁻⁷	1.91x10 ⁻⁷	<3.23x10 ⁻⁹	<3.23x10 ⁻⁹	<3.23x10 ⁻⁹
E. coli PF19_9	KPC-2	TEM-1	2.33x10 ⁻⁸	<2.00x10 ⁻⁹						
E. coli PF19_10	KPC-2		<1.20x10 ⁻⁹							
<i>E. coli</i> PF19_11	KPC-3	CTX-M-15, OXA-1	2.31x10 ⁻⁸	5.76x10 ⁻⁹	<5.76x10 ⁻⁹	<5.76x10 ⁻⁹	<5.76x10 ⁻⁹	<5.76x10 ⁻⁹	<5.76x10 ⁻⁹	<5.76x10 ⁻⁹
<i>E. coli</i> PF19_12	KPC-3		<2.22x10 ⁻⁹	<2.22x10 ⁻⁹	<2.22x10 ⁻⁹	<2.22x10 ⁻⁹	4.24x10 ⁻⁷	<2.22x10 ⁻⁹	<2.22x10 ⁻⁹	<2.22x10 ⁻⁹

<i>E. coli</i> PF19_35	NDM-1	CTX-M-15, OXA-1	2.06x10 ⁻⁶	3.01x10 ⁻⁹	<3.01x10 ⁻⁹	<3.01x10 ⁻⁹	2.37x10 ⁻⁷	<3.01x10 ⁻⁹	<3.01x10 ⁻⁹	<3.01x10 ⁻⁹
<i>E. coli</i> PF19_36	NDM-1		2.12x10 ⁻⁷	<7.3x10 ⁻⁹	<7.3x10 ⁻⁹	<7.3x10 ⁻⁹	1.92x10 ⁻⁷	<7.3x10 ⁻⁹	<7.3x10 ⁻⁹	<7.3x10 ⁻⁹
<i>E. coli</i> PF19_37	NDM-5	TEM-1	1.79x10 ⁻⁹	<3.88x10 ⁻⁹	<3.88x10 ⁻⁹	<3.88x10 ⁻⁹	<3.88x10 ⁻⁹	<3.88x10 ⁻⁹	<3.88x10 ⁻⁹	<3.88x10 ⁻⁹
<i>E. coli</i> PF19_38	NDM-5		<1.83x10 ⁻⁹							
<i>E. coli</i> PF19_39	NDM-7	OXA-33p, TEM-1	2.47x10⁻ ⁶	1.33x10 ⁻⁷	<3.41x10 ⁻⁹	<3.41x10 ⁻⁹	2.15x10⁻ ⁶	3.58x10 ⁻⁷	3.41x10 ⁻⁹	<3.41x10 ⁻⁹
<i>E. coli</i> PF19_40	NDM-7	OXA-1, TEM-1	9.76x10 ⁻⁷	1.58x10 ⁻⁸	<4.76x10 ⁻⁹	<4.76x10 ⁻⁹	2.71x10 ⁻⁷	<4.76x10 ⁻⁹	<4.76x10 ⁻⁹	<4.76x10 ⁻⁹
<i>K. pneumoniae</i> PF_41	NDM-1	CTX-M-15	<5.35x10 ⁻⁹							
<i>K. pneumoniae</i> PF_42	NDM-1	OXA-1	3.57x10⁻⁵	6.37x10 ⁻⁹	<6.37x10 ⁻⁹	<6.37x10 ⁻⁹	<6.37x10 ⁻⁹	<6.37x10 ⁻⁹	<6.37x10 ⁻⁹	<6.37x10 ⁻⁹
<i>E. coli</i> PF19_43	VIM-4	CTX-M-14, TEM-1	<8.26x10 ⁻⁹	<8.26x10 ⁻⁹	<8.26x10 ⁻⁹	<8.26x10 ⁻⁹	7.60x10 ⁻⁷	<8.26x10 ⁻⁹	<8.26x10 ⁻⁹	<8.26x10 ⁻⁹
<i>E. coli</i> PF19_44	VIM-1		1.64x10 ⁻⁹	<4.1x10 ⁻¹⁰	<4.1x10 ⁻¹⁰	<4.1x10 ⁻¹⁰	1.64x10 ⁻⁹	<4.1x10 ⁻¹⁰	<4.1x10 ⁻¹⁰	<4.1x10 ⁻¹⁰
<i>K. pneumoniae</i> PF19_45	VIM-4	CTX-M-15, SHV- 100	<3.85x10 ⁻⁹							
<i>K. pneumoniae</i> PF19_46	VIM-4	SHV-11, TEM-1, LEN-11p	<2.34x10 ⁻⁹							
<i>K. pneumoniae</i> PF19_47	VIM-1	SHV-12	7.63x10 ⁻⁷	<2.77x10 ⁻⁹						
<i>K. pneumoniae</i> PF19_48	VIM-1	SHV-100	2.40x10 ⁻⁷	<1.04x10 ⁻⁸	<1.04x10 ⁻⁸	<1.04x10 ⁻⁸	7.77x10 ⁻⁸	<1.04x10 ⁻⁸	<1.04x10 ⁻⁸	<1.04x10 ⁻⁸

K. pneumoniae		CTX-M-15, OXA-1,	0.07.40°	5 05 400	5 05 400	5 05 400	0.50 407		5 05 400	
PF19_49	IMP-1	SHV-36, TEM-1	2.67x10 ⁻⁸	<5.35x10 ⁻⁹	<5.35x10 ⁻⁹	<5.35x10 ⁻⁹	2.56x10 ⁻⁷	<5.35x10 ⁻⁹	<5.35x10 ⁻⁹	<5.35x10 ⁻⁹
K. pneumoniae		0.111/ 70								
PF19_50	IMP-1	SHV-53p	1.43x10⁻ ⁶	1.78x10 ⁻⁷	<5.78x10 ⁻⁹	<5.78x10 ⁻⁹	9.83x10 ⁻⁷	5.78x10 ⁻⁹	<5.78x10 ⁻⁹	<5.78x10 ⁻⁹
		CTX-M-15, OXA-1,								
<i>E. coli</i> PF19_51	NDM-5	TEM-1	1.94x10⁻ ⁶	8.00x10 ⁻⁸	1.20x10 ⁻⁷	<2.00x10 ⁻⁸	3.60x10 ⁻⁷	2.00x10 ⁻⁸	<2.00x10 ⁻⁸	<2.00x10 ⁻⁸
<i>E. coli</i> PF19_52	NDM-7	CTX-M-15, OXA-1,	5.01x10 ⁻⁷	<2.43x10 ⁻⁹	<2.43x10 ⁻⁹	<2.43x10 ⁻⁹	2.92x10 ⁻⁸	<2.43x10 ⁻⁹	<2.43x10 ⁻⁹	<2.43x10 ⁻⁹
		TEM-1								
<i>E. coli</i> PF19_31	OXA-48		1.69x10⁻ ⁸	<8.44x10 ⁻⁹	<8.44x10 ⁻⁹	<8.44x10 ⁻⁹	2.53x10 ⁻⁸	<8.44x10 ⁻⁹	<8.44x10 ⁻⁹	<8.44x10 ⁻⁹
<i>E. coli</i> PF19_32	OXA-48		1.67x10 ⁻⁸	<3.34x10 ⁻⁹	<3.34x10 ⁻⁹	<3.34x10 ⁻⁹	2.87x10 ⁻⁶	4.30x10 ⁻⁶	<3.34x10 ⁻⁹	<3.34x10 ⁻⁹
K. pneumoniae										
PF19_33	OXA-48	CTX-M-15, SHV-1	<4.23x10 ⁻⁹	<4.23x10 ⁻⁹	<4.23x10 ⁻⁹	<4.23x10 ⁻⁹	7.61x10 ⁻⁸	<4.23x10 ⁻⁹	<4.23x10 ⁻⁹	<4.23x10 ⁻⁹
K. pneumoniae	0)(1,10)		4 4 4 4 7	0.40.400	0.40.400	0.40.400	0.40.400			0.40.400
PF19_34	OXA-48	SHV-1, LEN-11	1.41x10 ⁻⁷	<6.12x10 ⁻⁹						
<i>E. coli</i> PF19_13	CTX-M-15	OXA-1, TEM-1	<5.99x10 ⁻⁹							
<i>E. coli</i> PF19_14		TEM-1	1.39x10 ⁻⁷	<5.33x10 ⁻⁹						
K. pneumoniae		OXA-9, SHV-39p,	7							
PF19_15	CTX-M-15	TEM-191p	2.31x10 ⁻⁷	5.01x10 ⁻⁹	<5.01x10 ⁻⁹	<5.01x10 ⁻⁹	4.01x10 ⁻⁸	<5.01x10 ⁻⁹	<5.01x10 ⁻⁹	<5.01x10 ⁻⁹
K. pneumoniae									4.47.400	4 47 400
PF19_16	CTX-M-15	Not sequenced	<4.47x10 ⁻⁹							
<i>E. coli</i> PF19_17	CTX-M-14		1.67x10 ⁻⁷	<8.37x10 ⁻⁹						

<i>E. coli</i> PF19_18	CTX-M-14		4.04x10 ⁻⁸	<4.49x10 ⁻⁹						
<i>K. pneumoniae</i> PF19_19	CTX-M-14		8.70x10 ⁻⁸	<7.25x10 ⁻⁹						
<i>K. pneumoniae</i> PF19_20	CTX-M-14		<6.67x10 ⁻⁹							
<i>K. pneumoniae</i> PF19_21	SHV-5	SHV-1	5.33x10 ⁻⁸	<5.33x10 ⁻⁹	<5.33x10 ⁻⁹	<5.33x10 ⁻⁹	1.13x10 ⁻⁸	<5.33x10 ⁻⁹	<5.33x10 ⁻⁹	<5.33x10 ⁻⁹
<i>K. pneumoniae</i> PF19_22	SHV-2	None	2.03x10 ⁻⁷	<6.15x10 ⁻⁹						
<i>E. coli</i> PF19_23	TEM-10	None	2.36x10 ⁻⁵	2.27x10 ⁻⁸	<2.27x10 ⁻⁸	<2.27x10 ⁻⁸	2.11x10 ⁻⁶	<2.27x10 ⁻⁸	<2.27x10 ⁻⁸	<2.27x10 ⁻⁸
E. coli PF19_24	TEM-10	None	<1.18x10 ⁻⁸							
<i>E. coli</i> PF19_25	CMY-2	None	4.18x10 ⁻⁹	<5.36x10 ⁻⁹	<5.36x10 ⁻⁹	<5.36x10 ⁻⁹	<5.36x10 ⁻⁹	<5.36x10 ⁻⁹	<5.36x10 ⁻⁹	<5.36x10 ⁻⁹
<i>E. coli</i> PF19_26	CMY-44	None	7.71x10 ⁻⁸	1.29x10⁻ ⁸	<6.43x10 ⁻⁹	<6.43x10 ⁻⁹	<6.43x10 ⁻⁹	6.43x10 ⁻⁹	<6.43x10 ⁻⁹	<6.43x10 ⁻⁹
E. cloacae PF19_27	AmpC	-	1.94x10 ⁻⁷	1.01x10 ⁻⁸	<3.37x10 ⁻⁹	<3.37x10 ⁻⁹	1.34x10 ⁻⁷	<3.37x10 ⁻⁹	<3.37x10 ⁻⁹	<3.37x10 ⁻⁹
E. cloacae PF19_28	AmpC		1.04x10 ⁻⁶	1.11x10⁻ ⁸	<3.71x10 ⁻⁹	<3.71x10 ⁻⁹	1.01x10 ⁻⁶	1.36x10 ⁻⁷	<3.71x10 ⁻⁹	<3.71x10 ⁻⁹
E. cloacae PF19_29	AmpC		6.00x10 ⁻⁶	<2.21x10 ⁻⁹	<2.21x10 ⁻⁹	<2.21x10 ⁻⁹	1.00x10⁻ ⁶	<2.21x10 ⁻⁹	<2.21x10 ⁻⁹	<2.21x10 ⁻⁹
E. cloacae PF19_30	AmpC		8.82x10 ⁻⁷	2.11x10 ⁻⁸	4.67x10 ⁻⁹	<1.87x10 ⁻⁹	9.34x10 ⁻⁹	<1.87x10 ⁻⁹	3.78x10 ⁻⁹	<1.87x10 ⁻⁹

561 Bold font: measurable frequencies; plain font: below detection limits indicated

Table 2. Mutations selected with aztreonam/avibactam in isolates with KPC enzymes

				1	1	1		1	N	/IC (mg/	_)		•	•	1	1	
Strain	β-Lactamase(s)	Mutations found	ATM	ATM AVI1	ATM AVI4	CAZ	CAZ AVI4	FEP	FEP AVI4	СРТ	CPT AVI4	AVI	СТХ	MEM	TZP	CIP	АМК
<i>K. pneumoniae</i> PF_19-1	KPC-2; OXA-1; SHV-1		>128	0.25	0.12	64	1	>128	0.12	16	0.5	>4.0	>128	32	>128	4	16
PF_19-1b (2 x MIC@4)ª		KPC2 S109P	64	4	1	4	1	1	0.06	16	1	>4.0	8	2	>128	4	8
PF_19-1f (4 x MIC@1)		KPC2 W105R; TilS R17S	>128	16	2	16	0.5	2	0.06	16	1	>4.0	>128	1	128	4	32
K. pneumoniae PF_19-3	KPC-2; OXA-9v, SHV-2, TEM-1		>128	1	0.25	128	2	32	0.12	32	1	>4.0	128	64	>128	0.06	2
PF_19-3h (2 x MIC@1)		DUF445 silent	>128	>128	2	>128	8	>128	2	>128	8	>4.0	>128	>128	>128	0.12	2
PF_19-3k (2 x MIC@1)		LdcC silent	>128	4	0.5	>128	4	128	0.12	32	2	>4.0	>128	64	>128	0.06	2
K. pneumoniae PF_19-4	KPC-3; SHV-11; OXA-9, TEM-1		>128	2	0.25	>128	2	>128	0.25	64	1	>4.0	>128	>128	>128	128	64
PF_19-4b (2 x MIC@1)		KPC3 W105R	>128	128	4	>128	2	16	0.25	32	1	>4.0	>128	16	>128	128	64
PF_19-4c (2 x MIC@1)		KPC3 S106P	64	16	2	16	2	4	0.25	32	1	>4.0	8	8	>128	128	64
<i>E. cloacae</i> PF_19- 5	KPC-2; AmpC; TEM-1		>128	0.25	0.06	16	0.5	16	0.12	8	0.5	>4.0	>128	8	>128	<u><</u> 0.03	2
PF_19-5c (2 x MIC@4)			>128	2	0.5	>128	1	>128	0.25	64	1	>4.0	>128	64	>128	<u><</u> 0.03	2
<i>E. cloacae</i> PF_19- 6	KPC-2; AmpC; TEM-1		>128	0.5	0.12	64	1	32	0.12	32	1	>4.0	>128	16	>128	<u><</u> 0.03	2
PF_19-6d (4 x MIC@4)		BaeS D111V	>128	4	2	>128	4	>128	0.5	32	2	>4.0	>128	64	>128	<u><</u> 0.03	2
E. cloacae PF_19- 7	KPC2; AmpC; CTX-M-9; OXA- 9v; TEM191-P		>128	0.5	0.06	32	1	>128	0.25	32	1	>4.0	>128	16	>128	<u><</u> 0.03	2

PF_19-7e (2 x MIC@4)		KPC2 S106P; Maltoporin N347D	128	8	2	8	2	8	0.25	32	2	>4.0	64	1	128	<u><</u> 0.03	2
PF_19-7h (4 x MIC@1)		Maltoporin N347D	>128	4	0.5	>128	2	>128	0.12	64	1	>4.0	>128	16	>128	0.06	2
<i>E. cloacae</i> PF_19- 8	KPC-2, AmpC		>128	0.5	0.25	64	2	>128	0.25	16	1	>4.0	>128	16	>128	0.5	4
PF_19-8a (2 x MIC@4)			>128	16	4	>128	4	>128	0.5	64	4	>4.0	>128	64	>128	2	2
PF_19-8h (16 x MIC@1)		AmpD I113S	>128	8	1	>128	2	>128	0.25	32	2	>4.0	>128	16	>128	0.5	2
<i>E. coli</i> PF_19-9	KPC-2; TEM-1		>128	0.25	0.12	32	0.5	128	0.06	32	1	>4.0	>128	8	>128	0.25	2
PF_19-9a (2 x MIC@1)		KPC2 W105R	>128	8	1	8	0.5	1	<u><</u> 0.03	8	0.5	>4.0	>128	2	32	<u><</u> 0.03	2
<i>E. coli</i> PF_19-11	KPC-3; CTX-M- 15; OXA-1		>128	0.12	0.12	128	0.25	128	<u><</u> 0.03	8	0.25	>4.0	>128	4	>128	0.06	8
PF_19-11b (2 x MIC@4)		KPC3 W105R	>128	4	0.5	64	0.5	64	0.06	8	0.5	>4.0	>128	0.12	32	0.06	8
<i>E. coli</i> PF_19-12	KPC-3		>128	0.25	0.06	>128	0.5	128	0.06	16	0.25	>4.0	>128	8	>128	<u><</u> 0.03	64
PF_19-12c (2 x MIC@4)		<i>rfaG</i> premature stop Q196*; pepP T421I	>128	2	1	>128	4	>128	0.12	32	1	>4.0	>128	32	>128	0.25	64

567

^a 2 x MIC@4 means 'selected at 2 x MIC of aztreonam avibactam for the parent strain, as found using 4 mg/L avibactam etc.

568 Parent strains are shown in bold font

569

570 Abbreviations: ATM, aztreonam; ATM AVI1, aztreonam/avibactam 1 mg/L; ATM AVI4, aztreonam/avibactam 4 mg/L; CAZ, ceftazidime, CAZ AVI 4,

571 ceftazidime/avibactam 4 mg/L; FEP, cefepime; FEP AVI4, cefepime/avibactam 4 mg/L; CPT, ceftaroline; CPT AVI 4, ceftaroline/avibactam 4 mg/L;

572 AVI, avibactam; CTX, cefotaxime; MEM, meropenem; TZP, piperacillin/tazobactam 4 mg/L; CIP, ciprofloxacin and AMK, amikacin.

Table 3. Mutations selected with aztreonam/avibactam in Isolates with metallo- β -lactamases

									Ν	/IC (mg/	L)						
Strain	β-Lactamase(s)	Mutations found	ATM	ATM AVI1	ATM AVI4	CAZ	CAZ AVI4	FEP	FEP AVI4	СРТ	CPT AVI4	AVI	СТХ	MEM	TZP	CIP	AMł
<i>E. coli</i> PF_19-35	NDM-1, CTX-M-15; OXA-1		>128	2	0.5	>128	>128	>128	128	>128	>128	>4.0	>128	64	>128	128	16
PF_19-35b (2x MIC@4)ª		BaeS R418L. AsnS H149Y	>128	8	4	>128	>128	>128	>128	>128	>128	>4.0	>128	64	>128	128	16
<i>E. coli</i> PF_19-36	NDM-1		0.12	0.12	0.12	>128	>128	64	64	>128	>128	>4.0	>128	64	>128	<u><</u> 0.03	>128
PF_19-36g (2x MIC@4)		BaeS G421D	0.5	0.5	0.5	>128	>128	64	128	>128	>128	>4.0	>128	64	>128	<u><</u> 0.03	>128
<i>E. coli</i> PF_19-39	NDM-7, OXA-33- p*; TEM-1		2	1	2	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	>128	8
PF_19-39b (4x MIC@4)		None found	16	16	16	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	>128	16
<i>E. coli</i> PF_19-40	NDM-7, OXA-1; TEM-1		2	1	1	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	>128	16
PF_19-40b (2x MIC@4)		<i>glnA</i> silent; RpoB R451H	32	16	16	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	>128	32
PF_19-40f (2x MIC@4)		glnA silent; BaeS Q163L	16	16	16	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	>128	8
K. pneumoniae PF_19-42	NDM-1, OXA-1		0.12	0.12	0.06	>128	>128	128	64	>128	>128	>4.0	>128	64	>128	64	>12
PF_19-42k (2x MIC@1)		Class I SAM- dependent methyltransf erase P22T; EnvZ G145G	0.5	0.5	0.25	>128	>128	>128	>128	>128	>128	>4.0	>128	128	>128	128	>128
<i>E. coli</i> PF_19-43	VIM-4, CTX-M- 14;TEM-1		64	0.25	0.06	32	16	>128	2	>128	128	>4.0	>128	4	>128	<u><</u> 0.03	8
PF_19-43c (2x MIC@4)		EnvZ V241G	>128	1	0.5	128	64	>128	8	>128	>128	>4.0	>128	16	>128	<u><</u> 0.03	8
<i>E. coli</i> PF_19-44	VIM-1		0.12	0.12	0.06	>128	128	8	8	128	128	>4.0	64	2	>128	0.5	4

PF_19-44f (2x MIC@4)		EnvZ A51E; LamB parent premature stop at 499, mutant has addition premature stop at 216.	2	1	1	>128	>128	32	64	>128	>128	>4.0	>128	16	>128	1	4
<i>K. pneumoniae</i> PF_19-47	VIM-1, SHV-12		>128	1	0.25	>128	>128	8	16	64	128	>4.0	64	2	128	8	2
PF_19-47l (2x MIC@1)		None found	>128	4	0.5	>128	>128	32	>128	>128	>128	>4.0	>128	32	>128	2	2
<i>K. pneumoniae</i> PF_19-48	VIM-1, SHV-100[u]		0.06	0.25	0.12	>128	>128	32	32	>128	>128	>4.0	128	8	>128	1	2
PF_19-48v (2x MIC@1)		None found	1	1	0.5	>128	>128	32	64	>128	>128	>4.0	>128	64	>128	2	4
K. pneumoniae PF_19-49	IMP-1; CTX-M-15; OXA-1; SHV-36; TEM-1		128	0.12	0.12	>128	>128	32	64	>128	>128	>4.0	>128	16	128	4	8
PF_19-49h (2x MIC@4)		BaeS V295G; EnvZ I152N; <i>adhE</i> silent	128	2	1	>128	>128	>128	>128	>128	>128	>4.0	>128	32	>128	2	16
<i>K. pneumoniae</i> PF_19-50	IMP-1; SHV-53-p*		0.12	0.06	0.06	>128	>128	32	64	>128	>128	>4.0	>128	32	>128	1	4
PF_19-50k (4x MIC@1)		EnvZ I152S	1	0.5	0.25	>128	>128	128	128	>128	>128	>4.0	>128	32	>128	1	4
<i>E. coli</i> PF_19-51	NDM-5; CTX-M-15; OXA-1; T EM-1		>128	2	1	>128	>128	>128	>128	>128	>128	>4.0	>128	128	>128	>128	>128
PF_19-51e (2x MIC@4)		BaeS Y42H	>128	16	16	>128	>128	>128	>128	>128	>128	>4.0	>128	64	>128	128	>128
PF_19-51n (8x MIC@1)		BaeS G241D; AraAQ26stop	>128	32	32	>128	>128	>128	>128	>128	>128	>4.0	>128	64	>128	>128	>128
<i>E. coli</i> PF_19-52	NDM-7; CTX-M-15; OXA-1; TEM-1		>128	8	4	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	128	>128

PF_19-52d (2x MIC@4)	BaeR G23E	>128	32	16	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	128	>128
PF_19-52j (2x MIC@4)	BaeS F159L	>128	32	16	>128	>128	>128	>128	>128	>128	>4.0	>128	>128	>128	128	>128

а For mutant notation and abbreviations see Table 2

576 577 578

Parent strains are shown in bold font

									Ν	1IC (mg/	L)						
Strain	β-Lactamase(s)	Mutations found	ATM	ATM AVI1	ATM AVI4	CAZ	CAZ AVI4	FEP	FEP AVI4	СРТ	CPT AVI4	AVI	СТХ	MEM	TZP	CIP	АМК
<i>E. coli</i> PF_19-31	OXA-48, CTX-M- 15, TEM-1		32	0.25	0.12	16	0.25	32	0.06	4	0.12	>4.0	>128	1	128	0.5	8
PF_19-31m (2x MIC@1) ^a		BaeS P255A; RpoA L48A	64	1	0.25	16	0.5	16	0.06	4	0.06	>4.0	>128	2	128	1	8
<i>E. coli</i> PF_19-32	OXA-48		2	0.25	0.12	1	0.12	2	0.12	8	0.06	>4.0	8	64	>128	128	4
PF_19-32n (2x MIC@1)		BaeS R416H	2	2	1	2	1	2	0.25	8	0.25	>4.0	8	64	>128	128	4
<i>K. pneumoniae</i> PF_19-33	OXA-48, CTX-M- 15, SHV-1		128	0.12	0.06	64	0.5	>128	<u><</u> 0.03	8	0.12	>4.0	>128	2	>128	0.06	4
PF_19-33h (2x MIC@4)		BaeS T279I	128	1	0.25	64	1	>128	<u><</u> 0.03	8	0.25	>4.0	>128	2	>128	<u><</u> 0.03	2

581 ^a For mutant notation and abbreviations see Table 2

582

583 Parent strains are shown in bold font

Table 5. Mutations selected with aztreonam/avibactam in Isolates with ESBLs 585

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									N	/IC (mg/	L)						
Strain	β-Lactamase(s)	Mutations found	ATM	ATM AVI1	ATM AVI4	CAZ	CAZ AVI4	FEP	FEP AVI4	СРТ	CPT AVI4	AVI	СТХ	MEM	TZP	CIP	АМК
<i>K. pneumoniae</i> PF_19-15	CTX-M-15; OXA- 9; SHV-39; TEM- 191-p		>128	0.5	0.25	>128	1	64	0.06	8	0.5	>4.0	>128	0.06	8	4	16
PF_19-15f (2x MIC@4)ª	CTX-M-15	None found	>128	4	1	>128	2	64	0.06	8	0.5	>4.0	>128	0.06	>128	4	32
<i>E. coli</i> PF_19-17	CTX-M-14. TEM-1		32	0.5	0.25	4	0.5	128	0.12	8	0.25	>4.0	>128	0.06	4	128	2
PF_19-17m (2x MIC@1)	CTX-M-14	BaeS Q163H	32	1	0.5	4	1	128	0.12	8	0.12	>4.0	>128	0.06	8	128	4
<i>K. pneumoniae</i> PF_19-21	SHV-5, SHV-1		>128	0.5	0.12	>128	0.5	8	0.06	8	0.25	>4.0	128	0.12	8	1	4
PF_19-21c (2x MIC@4)			>128	8	1	>128	4	32	0.06	8	0.12	>4.0	>128	0.12	>128	1	2
<i>K. pneumoniae</i> PF_19-22	SHV-2		16	0.06	0.12	64	0.5	32	0.06	8	0.12	>4.0	>128	0.12	>128	0.06	4
PF_19-22g (2x MIC@1)		EnvZ F284V	>128	1	0.25	>128	2	>128	0.06	32	0.12	>4.0	>128	0.12	>128	0.06	2
<i>E. coli</i> PF_19-23	TEM-10		128	0.25	0.12	>128	0.5	4	<u><</u> 0.03	4	0.06	>4.0	4	<u><</u> 0.03	8	<u><</u> 0.03	2
PF_19-23d (2x MIC@4)		EnvZ L23P	>128	2	1	>128	8	64	1	8	1	>4.0	16	0.25	64	<u><</u> 0.03	2

587

а For mutant notation and abbreviations see Table 2. Parent strains are shown in bold font

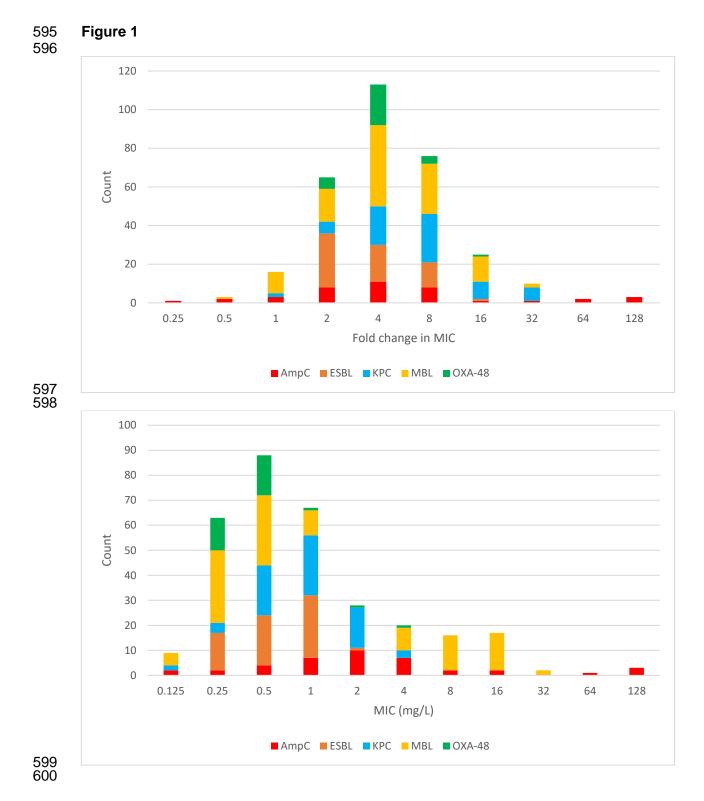
Table 6. Mutations selected with aztreonam/avibactam in Isolates with AmpC β -lactamases

	MIC (mg/L)																
Strain	β-Lactamase(s)	Mutations found	ATM	ATM AVI1	ATM AVI4	CAZ	CAZ AVI4	FEP	FEP AVI4	СРТ	CPT AVI4	AVI	СТХ	MEM	TZP	CIP	АМК
<i>E. coli</i> PF_19-25	CMY-2; OXA-1; TEM-1		8	0.25	0.12	32	0.25	1	0.06	4	0.12	>4.0	8	<u><</u> 0.03	64	128	16
PF_19-25c (2x MIC@1)ª		None found	>128	8	1	>128	1	8	0.12	32	0.25	>4.0	128	0.25	>128	128	16
<i>E. coli</i> PF_19-26	CMY-44[v]; TEM-1		16	0.5	0.25	64	0.5	0.5	0.06	8	0.06	>4.0	16	<u><</u> 0.03	8	8	4
PF_19-26a (4x MIC@4)		CMY-44 Y150C	64	64	16	4	0.5	0.25	0.06	2	0.25	>4.0	2	<u><</u> 0.03	16	8	4
PF_19-26l (2x MIC@1)		repZ silent	32	32	8	>128	4	2	0.06	32	2	>4.0	64	0.06	128	8	8
<i>E. cloacae</i> PF_19-27	AmpC		64	2	0.5	128	1	1	0.12	16	1	>4.0	>128	0.12	128	<u><</u> 0.03	2
PF_19-27s (2x MIC@1)		UgpE I211L	>128	128	4	>128	8	16	0.25	>128	4	>4.0	>128	8	>128	<u><</u> 0.03	1
E. cloacae PF_19-28	AmpC		32	2	0.5	>128	0.5	2	0.12	16	0.5	>4.0	>128	0.06	128	<u><</u> 0.03	2
PF_19-28b (4x MIC@4)		PBP3 A498T	64	16	4	>128	8	32	2	32	2	>4.0	>128	0.12	>128	<u><</u> 0.03	2
PF_19-28r (2x MIC@1)		None found	128	16	2	>128	2	32	0.5	64	2	>4.0	>128	0.25	>128	<u><</u> 0.03	2

<i>E. cloacae</i> PF_19- 29	AmpC		64	2	0.5	128	1	2	0.25	32	0.5	>4.0	>128	0.25	128	0.06	4
PF_19-29f (2x MIC@4)		RpoC G82V; LptD G389V	>128	16	4	>128	4	16	1	32	2	>4.0	>128	0.25	>128	0.06	2
PF_19-29t (2x MIC@1)		LptD G389V	128	16	1	128	1	8	0.12	64	0.5	>4.0	>128	4	>128	<u><</u> 0.03	2
<i>E. cloacae</i> PF_19- 30	AmpC		64	4	1	>128	1	4	0.12	16	1	>4.0	>128	0.12	>128	<u><</u> 0.03	2
PF_19-30a (8x MIC@4)		AmpC Y150C	>128	>128	128	8	1	0.5	0.25	16	4	>4.0	8	0.06	128	0.06	2
PF_19-30h (2x MIC@4)		AmpC N346Y	64	32	16	>128	128	8	2	128	64	>4.0	128	<u><</u> 0.03	128	<u><</u> 0.03	2
PF_19-30n (4x MIC@4)		GalU R21W	128	16	4	>128	1	16	0.12	64	1	>4.0	>128	4	>128	0.06	2

^a For mutant notation and abbreviations see Table 2

94 Parent strains are shown in bold font



601 MIC shifts for mutants selected with aztreonam/avibactam: panel A, distribution of fold

602 changes of aztreonam/avibactam 4 mg/L; panel B, distribution of MICs of

603 aztreonam/avibactam 4 mg/L