

1 **Experiences of pulmonary rehabilitation in people living with COPD and frailty: a**  
2 **qualitative interview study**

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50 **Abstract**

51 **Rationale:** People living with both chronic obstructive pulmonary disease (COPD) and frailty have  
52 high potential to benefit from pulmonary rehabilitation but face challenges completing programmes.  
53 However, research to understand ways to optimise participation in this group is lacking.

54 **Objective:** To explore the experiences, needs and preferences of people with COPD and frailty  
55 referred for out-patient pulmonary rehabilitation.

56 **Methods:** Semi-structured interviews with people with COPD and physical frailty, purposively  
57 sampled by age, living status, level of frailty, and completion of pulmonary rehabilitation. Thematic  
58 analysis with a critical realist perspective was used, involving relevant stakeholders with clinical,  
59 academic and lived experience for interpretive rigour.

60 **Results:** 19 people with COPD and frailty were interviewed, with a median age of 78 years (range 58-  
61 88). Nine did not complete their pulmonary rehabilitation programme. Four themes were identified:  
62 striving to adapt to multidimensional loss, tensions of balancing support with independence,  
63 pulmonary rehabilitation as a challenge worth facing, and overcoming unpredictable disruptions to  
64 participation. Participants described constantly adapting to their changing health and resulting  
65 multidimensional losses (e.g. functional abilities, relationships, confidence). This involved traversing  
66 between independence and seeking support, set against a mismatch between their needs and what  
67 support is available. People with COPD and frailty can be highly motivated to participate in  
68 pulmonary rehabilitation, despite the physical and mental demands it entails, and report a range of  
69 benefits. Yet in the context of changeable health, they must often overcome multiple unpredictable  
70 disruptions to completing rehabilitation programmes. Participant determination and flexibility of  
71 services can facilitate ongoing attendance, but for some, these unpredictable disruptions erode their  
72 motivation to attend.

73 **Conclusions:** People with COPD and frailty experience accumulating, multi-dimensional loss. This  
74 group are motivated to complete pulmonary rehabilitation but often require additional support and

75 flexibility due to fluctuating and unpredictable health. Person-centred approaches should be  
76 considered to minimise disruptive health events and support pulmonary rehabilitation participation  
77 and completion. Service adaptations could allow more flexibility to meet the changing needs of this  
78 group and enable communication around how pulmonary rehabilitation might align with their  
79 priorities.

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## 81 **Introduction**

82 Chronic obstructive pulmonary disease (COPD) affects multiple body systems and has been described  
83 as reflecting an 'accelerated aging'<sup>1</sup>. COPD frequently occurs in the context of multimorbidity: over  
84 60% of people with COPD live with two or more additional health conditions.<sup>2</sup> Related to this, people  
85 with COPD have twice the odds of living with frailty than people of a similar age without COPD<sup>3</sup>.

86 Frailty is a multidimensional syndrome characterised by decreased reserve and diminished resistance  
87 to stressors<sup>4</sup>. Physical dimensions of frailty are characterised by diminished strength and endurance,  
88 and reduced physiological function<sup>5</sup>. Recognition of frailty offers advantages over measures of  
89 disease severity, particularly in the context of multimorbidity, in that it incorporates a more holistic  
90 understanding of a persons' health and limitations<sup>6</sup>. Pooled prevalence estimates suggest that 19% of  
91 people with COPD are living with frailty, while a further 56% are pre-frail<sup>3</sup>. People with COPD and  
92 frailty are at increased risk of mortality<sup>7,8</sup> and readmission post-hospitalisation for an exacerbation of  
93 their disease<sup>9</sup>. In comparison to their non-frail counterparts, people with COPD and frailty experience  
94 poorer physical function and health status<sup>10</sup>, increased anxiety and depression symptoms<sup>11</sup>, and are  
95 less likely to receive disease-modifying interventions<sup>12</sup>.

96 Participating in exercise improves outcomes for people with COPD<sup>13, 14</sup> or frailty<sup>15, 16</sup>, and is  
97 recommended by clinical guidelines for each condition<sup>17, 18</sup>. For people with both COPD and frailty,  
98 pulmonary rehabilitation is associated with improvements in frailty status<sup>11, 19</sup>, breathlessness,  
99 exercise performance, physical activity levels and health status<sup>11, 20</sup>. However, people with COPD and  
100 frailty are less likely to start, and complete, pulmonary rehabilitation<sup>11</sup>.

101 People with COPD report multiple challenges to participation in exercise-based interventions,  
102 including lack of perceived benefit, concurrent burden of comorbid conditions, conflicts with other  
103 priorities, difficulties with mobility and travel, fear of worsening symptoms, low energy and  
104 motivation, and exacerbations of their COPD<sup>21-23</sup>. Similar barriers are noted by people living with  
105 frailty, including conflicting commitments (e.g. hobbies, caring responsibilities), physical limitations  
106 (e.g. pain, fatigue), and challenges around access and travel<sup>24, 25</sup>. While some view exercise

107 positively<sup>24</sup>, others report disengaging due to perceiving frailty as inevitable in older age, and feeling  
108 disempowered or depersonalised in their interactions with services<sup>26</sup>.

109 Understanding (non-)participation and identifying optimal ways of supporting people with COPD and  
110 frailty is a priority for improving outcomes for this population<sup>27</sup>. People with both COPD and frailty  
111 have high potential to gain from, but also a high likelihood of facing challenges to completing,  
112 pulmonary rehabilitation<sup>11</sup>. Yet, research with people with COPD and frailty to understand their  
113 specific needs and challenges is lacking, and optimal models of exercise for this group are not well  
114 understood. We aimed to explore the experiences, needs and preferences of people living with both  
115 COPD and frailty referred for pulmonary rehabilitation, in order to optimize service delivery for this  
116 group. Our objectives were to: (1) understand the experiences and preferences of people living with  
117 COPD and frailty; (2) identify current support and areas of unmet need; and (3) explore motivation  
118 for, and barriers to, continued participation in pulmonary rehabilitation.

## 119 **Methods**

### 120 ***Design***

121 We conducted a qualitative interview study within a critical realist paradigm<sup>28</sup>. This means  
122 participants' responses were deemed to reflect a reality that can be understood through empirical  
123 means. Yet, we also acknowledge the influence of social and cultural structures in understanding this  
124 reality. We drew on theories around successful aging<sup>29</sup>, self-regulation<sup>30</sup>, and stress and coping<sup>31</sup> to  
125 develop a comprehensive topic guide and inform data interpretation. For example, successful aging  
126 theory<sup>29</sup> aided exploration of how people adapt, re-prioritise and compensate in response to losses  
127 in function in older age; the common-sense model of self-regulation<sup>30</sup> provided a framework for  
128 understanding interactions with services and broader health behaviours, and the transactional model  
129 of emotions and coping<sup>31</sup> supported our understanding of how coping arises from perceptions of  
130 stressors and available resources. While we drew on specific theories with the intention of  
131 developing a richer explanation of reality, we were cognisant that they could be challenged by new  
132 data<sup>28</sup>.

133

### 134 ***Setting and recruitment***

135 We recruited participants from two London hospitals providing outpatient pulmonary rehabilitation.  
136 Clinical staff identified potential participants during their initial assessments for pulmonary  
137 rehabilitation. A researcher then periodically followed up with those interested in participating, so  
138 that they could be potentially sampled when they stopped or completed their pulmonary  
139 rehabilitation.

140

### 141 ***Participants and sampling***

142 People referred for pulmonary rehabilitation with a physician diagnosis of COPD, who at initial  
143 assessment were identified as physically frail using the Short Physical Performance Battery<sup>32</sup> (SPPB;

144 score of  $\leq 9$ ) were invited to participate. The SPPB scores performance across three tests: standing  
145 balance, habitual gait speed, and ability to stand. Total scores range from 0 (low function) to 12 (high  
146 function). Thresholds of  $\leq 9$  and  $\leq 7$  have been suggested to indicate pre-frailty and frailty,  
147 respectively<sup>33</sup>. Patients' informal caregivers also participated if patients preferred. People under the  
148 age of 18 years, unable to speak English, or without capacity to provide informed consent were  
149 excluded.

150 We purposively sampled participants by age ( $>/\leq 80$  years), living status (alone/ with others), level of  
151 physical frailty (SPPB scores of  $>/\leq 7$ ), and completion of pulmonary rehabilitation (did/ did not  
152 complete). Within the group who did not complete pulmonary rehabilitation we attempted to  
153 sample those who were and were not admitted to hospital.

154

#### 155 ***Data collection***

156 A female researcher (LB) with a background in psychology and palliative care research (BSc, MSc)  
157 conducted the interviews in participants' preferred locations, between October 2018 and April 2019.  
158 LB had previous training in qualitative research and experience in conducting interviews with people  
159 with serious illness and their families. LB was not known to participants before the interviews.

160 The interviews followed a semi-structured interview topic guide (Online Supplement A) developed  
161 with input from people with lived experience relevant to both COPD and frailty, and their informal  
162 carers (service user representatives). The topic guide explored participants' current health and  
163 priorities, support and unmet needs, and expectations and experiences of pulmonary rehabilitation.  
164 On the advice of the service user representatives, the researcher identified and used participants'  
165 own language in relation to frailty, for example: slowing down, difficulties walking, lack of strength or  
166 energy. Service user representatives also prompted the researcher to consider the participant's  
167 assets and resilience in addition to limitations. Interviews were audio-recorded and transcribed

168 verbatim. The researcher completed detailed field notes to describe interview flow, contextual  
169 factors, participant responses, and initial reflections immediately after each interview.

170 Data collection continued until the dataset was deemed to be approaching thematic saturation<sup>34</sup> (i.e.  
171 rich data with breadth and depth in relation to the study objectives, with evidence of replication  
172 across several participants<sup>35</sup>). To determine potential thematic saturation we conducted a  
173 preliminary analysis of the detailed reflective field notes, considering the above definition while also  
174 reflecting on Malterud et al.'s<sup>36</sup> dimensions of information power. These dimensions consider the  
175 data in relation to the breadth of the study aim, sample specificity, level of existing contributing  
176 theory, dialogue quality and the need for cross-case analysis.

177

## 178 ***Analysis***

179 We conducted a reflexive thematic analysis to identify patterns of meaning within the data<sup>37</sup>. First,  
180 one researcher (LB) familiarised themselves with the data through revisiting the audio recordings,  
181 transcripts and field notes. They generated initial codes to capture meaningful basic elements of the  
182 data in relation to the study objectives. A service user representative with qualitative analysis  
183 training (MO) also familiarised themselves with, and generated initial codes for, a sample of the data.  
184 Meanings were primarily considered at a semantic (explicit) level, but with consideration of latent  
185 (implicit) interpretations. LB inductively generated themes by reviewing and refining codes, and  
186 writing definitions accompanied by illustrative quotes. The themes and related codes were refined  
187 using three processes: revisiting the original interview data to ensure fair interpretation, comparing  
188 our findings to existing theory to assess if this may deepen our understanding, and review by  
189 stakeholders with differing backgrounds to work towards a richer and more nuanced understanding  
190 of the data<sup>38</sup>. The latter included review by co-authors from different disciplines (e.g. nursing,  
191 physiotherapy), and representing relevant academic, clinical and service user experiences. Finally, we  
192 constructed a narrative of the findings, with reference to illustrative quotes. Although described as a

193 linear process, we moved forward and backwards between the stages as thinking changed and  
194 progressed.

195

196 ***Ethical approval***

197 The London Camberwell St Giles Research Ethics Committee (ref. 18/LO/1197) approved this study.

198 We obtained written informed consent prior to interviews.

199

200 **Results**

201 Of 49 eligible people introduced to the study, 19 were interviewed (Table 1). Sixteen people who  
202 were eligible and went on to complete their rehabilitation were not sampled after we reached  
203 saturation within this subgroup. Nine declined to be contacted, and five were lost to follow-up: two  
204 became too unwell, three could not be contacted. Median interview length was 60 minutes (range 30  
205 to 120; interquartile range 50 to 80); most took place in participants' homes (n=17), two at the  
206 researcher's university. In three interviews participants were accompanied by a family member: two  
207 who consented for their contributions to be included, one who was present but did not participate.

208 [INSERT TABLE 1]

209 Four themes were identified: striving to adapt to multidimensional loss, tensions of balancing  
210 support with independence, pulmonary rehabilitation as a challenge worth facing, and overcoming  
211 unpredictable disruptions to participation. Subthemes and illustrative quotes are shown in Tables 2-  
212 5.

213

214 ***Striving to adapt to multidimensional loss***

215 Participants reported an accumulation of health events and symptoms, describing their health as  
216 'quite up-and-down' over the preceding years. Overall, they described feeling not as well as they  
217 used to be, and were accustomed to facing multiple health concerns. Participants described  
218 multidimensional loss across different areas of their lives, from loss of mobility and usual activities, to  
219 loss of relationships and life-space (mobility within their community), and loss of confidence and  
220 motivation. These primary concerns were often a result of their persistent breathlessness and  
221 reduced mobility, but also influenced by low energy, pain, throat-related symptoms, decreasing  
222 memory and cognition, anxiety, and poorer strength and balance.

223 In the face of multidimensional loss, participants described resilience and capacity to keep adapting  
224 to a changing self. They were driven by their priorities of maintaining a sense of normality, remaining

225 independent, and staying connected with others. This included changing how they did something  
226 (e.g. using walking aids, asking for help), and/or changing how they thought about it (e.g. accepting a  
227 slower pace, deciding something was no longer important). While some adaptations were automatic  
228 and straightforward, others were emotionally challenging: particularly those involving accepting  
229 limitations. However, where losses kept building some found it harder to keep up, and they could  
230 begin to experience a loss of purpose in their existence. This appeared more common in those living  
231 alone.

232

233 [INSERT TABLE 2]

234

### 235 ***Tensions of balancing support with independence***

236 As part of adapting, participants experienced tensions of balancing support and independence.  
237 Health and social care professionals, plus families and friends where present, often helped with filling  
238 the gaps and supporting their adaptation as it became more difficult to do things without assistance.  
239 However, this required negotiating the right balance between persevering alone and asking for help.  
240 This was an ongoing process of figuring out, by themselves and with others, how to adapt in a way  
241 that still maintained some sense of independence and did not make them feel like a burden. Against  
242 a background discourse about under-resourced and over-stretched services, some found this  
243 difficult.

244 Achieving the right balance was also made harder by instances of mismatches and mistrust.

245 Mismatches occurred when people received conflicting advice (e.g. from specialists for different  
246 health conditions), or when services were offered routinely or reactively, rather than responding  
247 flexibly and pro-actively to fluctuating needs. For example, one participant described her difficulty  
248 getting a walk-in shower from local services, who presumed she did not need one because she had  
249 turned down their offer of a chairlift. She also noted the challenges of expressing her needs when her

250 health can be so varied, for example needing more support when she had a chest infection. Mistrust  
251 resulted from confusion or uncertainty around care, such as juggling multiple appointments, and not  
252 being clear on the purpose of the appointments. Mistrust could also stem from disagreements about  
253 appropriate support (e.g. when family were felt to over-step), and poor communication with, or not  
254 feeling listened to by, those providing support. These experiences could fracture relationships and  
255 create feelings of abandonment .

256 The final influence on this balancing act was the compounding effects of inaccessibility. People  
257 mentioned disabling systemic barriers to getting support to suit their needs, such as things being too  
258 costly and/or physically inaccessible. When already concerned about being a burden, feeling  
259 frustrated by mismatches and/or having lost trust in services, people were less likely to feel  
260 accessibility issues could be overcome and would disengage.

261

262 [INSERT TABLE 3]

263

### 264 ***Pulmonary rehabilitation is a challenge worth facing***

265 Against this background of adapting to multidimensional loss and negotiating support and  
266 independence, participants had agreed to an assessment for pulmonary rehabilitation. All were  
267 motivated by a desire for change: whether to improve their health and symptoms, or looking for an  
268 opportunity to get out of the house.

269 Those who attended pulmonary rehabilitation described it as physically and mentally challenging. As  
270 participants were often experiencing high levels of breathlessness and low energy, it was physically  
271 demanding to travel there and complete the exercises, and psychologically challenging to overcome  
272 their fears (e.g. of over-exerting, of injuring themselves) and stay motivated. Yet at the same time  
273 most participants, including some who stopped attending pulmonary rehabilitation part-way through  
274 their programme, emphasised the benefits of experiencing a safe and encouraging atmosphere at

275 pulmonary rehabilitation. This resulted from skilled and supportive staff, appropriate tailoring of  
276 exercises and monitoring throughout, and being in a group with people like themselves. This type of  
277 atmosphere helped to address their fears around the safety of exercising ‘at their age’ and with their  
278 particular health experiences (e.g. heart conditions, arthritis, stroke history, recent falls).

279 When people then perceived benefits from participating, this also motivated ongoing engagement  
280 with pulmonary rehabilitation. People also described regaining strength, energy, control over their  
281 breath, increased motivation and confidence – often reflecting the areas where they had previously  
282 described losses. A few participants were less sure about physical benefits, particularly when  
283 comparing to previous attendance or function. However, they often still described social and  
284 psychological benefits of participating in a group activity outside of their homes, particularly when  
285 living alone. Consequently, attendees felt participation was worth it, despite the physical and  
286 psychological demands.

287

288 [INSERT TABLE 4]

289

### 290 ***Overcoming unpredictable disruptions to participation***

291 For participants who did not start, stopped attending or missed sessions within their pulmonary  
292 rehabilitation, the unpredictable causes of these disruptions were often apparent. Common  
293 challenges included periods of illness (e.g. exacerbation of their COPD, worsening of co-existing  
294 condition) or conflicting priorities (e.g. other areas of self-care, healthcare appointment for  
295 themselves or someone they care for, attending a funeral).

296 In many cases, these participants remained motivated and keen to return when they were able.  
297 Some were determined despite these disruptions: they still saw pulmonary rehabilitation as a  
298 challenge worth facing, and as a good fit to support their ongoing adaptation and address their  
299 priorities. For others, it was also the rapport and flexibility of services that helped overcome

300 disruptions. This included feeling able to discuss cancelling or moving single sessions when  
301 unexpected events arose, and for two participants, negotiating less frequent attendance (i.e. once  
302 per week) when twice per week felt like too much.

303 However, there were circumstances when people felt unable to overcome a disruption and  
304 pulmonary rehabilitation was no longer seen as a good fit. In some cases, disruptions were simple yet  
305 crucial issues around cost or physical accessibility. For others, a deterioration in health meant they  
306 now felt it was not going to address their needs, or that it was physically beyond their capabilities.  
307 This type of disruption eroded their motivation to attend, and they stopped engaging with the  
308 service.

309 [INSERT TABLE 5]

310

## 311 **Discussion**

312 People with COPD and frailty experience accumulating, multidimensional loss. In striving to adapt,  
313 participants report having to negotiate the right balance for them between independence and  
314 support. Often pulmonary rehabilitation contributed to this balance: as an opportunity to actively  
315 improve their own health, with encouragement and support from others. These positive experiences  
316 and outcomes motivated participants to attend pulmonary rehabilitation, despite its physical and  
317 mental challenges. However, due to fluctuating health and multimorbidity, several participants  
318 experienced multiple unpredictable disruptions to completing their program. Rapport with and  
319 flexibility from service providers helped overcome these disruptions for some. For others, this  
320 disruption meant they no longer felt able to engage with pulmonary rehabilitation.

321 The multidimensional impact of living with COPD<sup>39-42</sup> is well-understood. However, peoples'  
322 experience of multidimensional loss may be accelerated in the context of both COPD and frailty, due  
323 to a combination of respiratory symptom burden and low physiological reserve. The multiple  
324 challenges people experience in completing pulmonary rehabilitation<sup>43-47</sup> are also well-established.

325 Yet, our study demonstrates how characteristics and correlates of frailty (e.g. low energy, weakness,  
326 vulnerability to health events) can create specific challenges to participation. Considering the  
327 potential benefits of pulmonary rehabilitation for people with COPD and frailty described by our  
328 participants and in previous quantitative studies<sup>11, 19, 20</sup>, efforts to address these challenges should be  
329 prioritised. Such initiatives may need to: build reserves and resilience by better addressing  
330 multidimensional needs; work flexibility around their fluctuating health; and engender empathetic  
331 and supportive communication around this intervention.

332 Interventions with potential to build resilience around multidimensional losses, and reduce the  
333 impact of unpredictable disruptions to health, may have greatest benefit for people with both COPD  
334 and frailty. Person-centred approaches suited to heterogeneity, complexity and multimorbidity are  
335 required. One strategy potentially suited to this population might be integration with geriatric  
336 specialists to address reversible frailty causes, polypharmacy, and malnutrition<sup>48</sup>. Comprehensive  
337 Geriatric Assessments have been effective in supporting people living with frailty in inpatient<sup>49</sup> and  
338 outpatient<sup>50</sup> settings, and have been successfully used prior to surgery<sup>51, 52</sup> and chemotherapy<sup>53</sup> to  
339 improve subsequent outcomes. Exercise therapy is commonly neglected in frailty management<sup>54</sup>, and  
340 growing evidence supports a role for inpatient geriatric rehabilitation services<sup>55</sup>. Integrating geriatric  
341 expertise alongside outpatient pulmonary rehabilitation for people with COPD and frailty could  
342 therefore be an efficient approach. This and other interventions designed to identify and address  
343 wider support needs (e.g. better incorporation of occupational therapy<sup>56</sup>) could be beneficial.

344 Adjusting pulmonary rehabilitation services to be more flexible and responsive may also be  
345 appropriate for people with both COPD and frailty. Participants' descriptions of balancing  
346 independent adaptation and support-seeking reflected how, as stressors on their health increase and  
347 decreased, the amount of personal and professional resource required to adapt was equally  
348 variable<sup>31</sup>. However, professional services, including pulmonary rehabilitation, were not always  
349 responsive to their fluctuating health states. Standardised processes (e.g. discharge after a set  
350 number of missing sessions), while in some cases appropriate, were less helpful for this group.

351 Service adaptations that foster greater rapport with, and flexibility for, those identified as also living  
352 with frailty, might facilitate participation. For example, services could offer closer supervision, pre-  
353 empt potential disruptions, and create shared plans for when this might occur. They could also make  
354 additional follow-up contacts before discharging people missing sessions, and/or prioritise re-entry  
355 of those with frailty into services. This might be helped by more nuanced criteria for completion than  
356 the current binary approach. Instead, services could consider the number of sessions attended, note  
357 achievement of personalised goals, and acknowledge when sessions are missed due to  
358 uncontrollable events. Such strategies to work flexibly with people with both COPD and frailty should  
359 be co-developed in order to maximise acceptability, uptake and potential effectiveness.

360 Incorporation of home-based rehabilitation may also be helpful and can be an effective way of  
361 engaging people who cannot or prefer not to attend centre-based pulmonary rehabilitation<sup>57, 58</sup>.

362 However, it is important to acknowledge the risk of further fragmenting support for this complex  
363 population<sup>59</sup>, and that home-based approaches may overlook our participants' experiences of social  
364 isolation and the benefits of a centre-based group.

365 Finally, we must consider carefully how we communicate around pulmonary rehabilitation. As people  
366 with both COPD and frailty experience more unpredictability, they may increasingly use emotion-  
367 focused adaptations. Emotion-focused adaptations may include reframing perceptions of themselves  
368 and/or pulmonary rehabilitation such that it's not seen as a good fit (e.g. 'I'm not well enough to  
369 participate, the service is too much for me')<sup>30</sup>. To avoid disengagement, those working with people  
370 with COPD and frailty may need to communicate in a way that helps realign their perceptions of  
371 themselves and pulmonary rehabilitation.

372 Helpful approaches might include empathetic communication suggesting problem-focused strategies  
373 to support engagement (e.g. offering walking aids, focusing on falls within rehabilitation), but also  
374 importantly addressing the emotional aspects of their experience (e.g. building confidence, working  
375 through fears and misconceptions, emphasising the social and safe environment, linking  
376 rehabilitation to their priorities). This could include learning from the success of motivational

377 interviewing-based health ing<sup>60</sup>. If pulmonary rehabilitation is truly no longer the best fit for their  
378 goals, these conversations might also provide opportunities to discuss other suitable interventions.  
379 For example, lower intensity exercise-based services (e.g. chair-exercise, yoga<sup>61</sup>), breathlessness  
380 services that integrate palliative care expertise<sup>62</sup>, and/or community groups with more social  
381 emphasis (e.g. singing groups<sup>63</sup>) may suit that individual. However, with evidence of the benefits of  
382 pulmonary rehabilitation for people with both COPD and frailty, prioritising this as a first-line  
383 approach remains important.

384 Purposive sampling facilitated inclusion of diverse experiences, including those who stopped  
385 attending, and those who never commenced, pulmonary rehabilitation. However, our sample was  
386 limited to two urban sites, mainly to people with white ethnicities, and to people who attended their  
387 initial assessment for pulmonary rehabilitation. Approximately one in three people referred to  
388 pulmonary rehabilitation in the UK do not attend their initial assessment<sup>64</sup>. This limits the  
389 theoretical transferability of our findings. Social desirability bias may have influenced honesty  
390 about services, and the presence of family members during some interviews may have affected  
391 responses. For some, however, family members seemed to facilitate recall of events and reporting of  
392 needs. The analysis being led by an individual with psychology training may have increased the focus  
393 on psychosocial concerns, therefore involvement of others at different stages of the analysis was  
394 used to mitigate against this. Given recent debate regarding using the concept of 'saturation' within  
395 reflexive thematic analysis<sup>65</sup>, we have made efforts to be transparent about how this was  
396 operationalised within our study. This study used relevant existing theory and the input of service  
397 user representatives to inform the data collection, analysis and interpretation, to strengthen  
398 credibility and interpretive rigour.

399

## 400 **Conclusion**

401 People living with both COPD and frailty experience accumulating, multi-dimensional loss. This group  
402 are motivated to complete pulmonary rehabilitation but often require additional support and flexible

403 services due to their unpredictable health. Person-centred approaches suited to people with multiple  
404 conditions should be considered to minimise disruptive health events and support pulmonary  
405 rehabilitation attendance. Alongside this, services need to prioritise supportive communication  
406 around how pulmonary rehabilitation may align with participant's priorities, and consider more  
407 flexible delivery models to meet the fluctuating needs of this group.

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## Tables

*Table 1 - Qualitative Interview Participant Characteristics (n=19)*

Characteristic	N / median (range)
Age (median/range)	78 (58-88)
GOLD spirometric stage <sup>a</sup>	
1 (mild)	1
2 (moderate)	3
3 (severe)	12
4 (very severe)	2
Physical frailty (SPPB) score at initial assessment	6 (1-9)
Long-Term Oxygen Therapy	1
Number of comorbidities <sup>b</sup>	2 (0-5)
Gender	
Female	10
Male	9
Education	
Left school age 15 years or younger	9
Left school age 16-19 years	7
Post-secondary or university qualifications	3
Ethnicity	
Asian, Black or Mixed	3
White British/Irish	16
Smoking history	
Current smoker	3
Ex-smoker	15
Never smoked	1
Sampling frame characteristics	
Aged over 80 years	8
Physical frailty score <7	13
Living alone	11
Did not start/complete PR programme <sup>c</sup>	9

a) n=1 missing from PR notes; b) most commonly reported comorbidities included arthritis, asthma, atrial fibrillation, and falls; c) n=4 did not start, n=5 did not complete; SPPB = short physical performance battery, PR = pulmonary rehabilitation

Table 2. Striving to adapt to multidimensional loss – illustrative quotes

Subtheme	Illustrative quote
Accumulation of health events and symptoms	<i>“Monday, when I went in there I said, ‘It’s actually the first time this year where, all it is, it’s just the COPD. Nothing else has gone wrong’ The leg is mullered anyway, we know about that, that can be dealt with. The hernia, that’s not causing me any grief. It’s just the breathing side of things.” (P014, aged 58 years, SPPB=5, Stopped pulmonary rehabilitation)</i>
Multidimensional loss	<i>“There is no real, I don’t know what the right word is, I want to say ‘existence’. There is no purpose, there’s nothing. It’s wake up, if you’re lucky enough to get quality sleep. Most days it’s wake up, have a cup of tea, nebuliser, strap this thing around my nose again, sit there. There is nothing.” (P014, aged 58 years, SPPB=5, Stopped pulmonary rehabilitation)</i>
Adapting to a changing self	<i>“I like doing the housework and that, and I can't really do it now. I get up to do it and my back starts aching, my legs start aching, my breathing... I have to sit down, love. I do my own washing and I do my own cooking, but even when I go out and am cooking, I have to go out there and get everything ready. Then, before I put it on, I have to come and sit down.” (P007, aged 84 years, SPPB=4, Completed pulmonary rehabilitation)</i>

Table 3: Tensions of balancing support with independence – illustrative quotes

Subthemes	Illustrative quote
Filling the gaps	<p><i>“I went through one stage not so long ago where I was struggling to actually wash, as such, because of my breath.”... [My wife will] wash my hair. I find this (mimes washing hair) I start and I'm trying to put my hands up, but I can sit on the chair. She'll wash all my back and that.”...“I would struggle without her, no doubt about it.” (P018, aged 64 years, SPPB=8, Stopped pulmonary rehabilitation)</i></p>
Negotiating the right balance	<p><i>“Because every now and again I think, “Phone up the doctors and say, ‘I feel really down.’” And I thought, “Pull yourself together. No, you don’t. You’re wasting their time,” so that’s it.” (P015, aged 82 years, SPPB=3, Did not start pulmonary rehabilitation)</i></p>
Mismatches and mistrust	<p><i>“So it's difficult when you're filling out forms or anything because they say, ‘Well, how far can you walk?’ and you say, ‘Well, she can walk to the gate.’ Then, the next week, she can't even get to the front door.” (C013; P013 aged 88 years, SPPB=4, Stopped pulmonary rehabilitation)</i></p>
Compounding effects of inaccessibility	<p><i>“That’s what annoyed me because what I was telling them, they weren’t taking any notice and that really gets on your nerves because you’re the one in pain.” (P004, aged 66 years, SPPB=5, Completed pulmonary rehabilitation)</i></p>
Compounding effects of inaccessibility	<p><i>“And then when I went, it cost me a fortune. It was costing me £40 a week on cabs. I said, “I can’t afford this.” Especially after Christmas. So I said, “I can’t afford it” (P011, aged 62 years, SPPB=6, Stopped pulmonary rehabilitation)</i></p>

Table 4: Pulmonary rehabilitation is a challenge worth facing – illustrative quotes

<b>Subtheme</b>	<b>Illustrative quote</b>
Seeking a change	<i>“I’ll go and try anything, I’ve done that a lot, I thought I’ll get there somehow but do something positive. As long as I’m doing something positive to help myself, if you like, I’ll do it.”</i> (P004, aged 66 years, SPPB=5, Completed pulmonary rehabilitation)
Physically and mentally challenging	<i>“The other side is, at first, the strain on the body is quite severe. Well, it tends to be and psychologically it’s “it’s taken me two or three days to get over it. When am I going to get over it the next lot?”.”</i> (P003, aged 87 years, SPPB=6, Completed pulmonary rehabilitation)
Safe and encouraging atmosphere	<i>“I suppose because the safety net is it’s a hospital. It’s not just the physiotherapist in the hall, which it was before. Mind you one was in the hospital. But here, they’re really on the ball.”</i> (P012, aged 74 years, SPPB=9, Completed pulmonary rehabilitation)
But it’s worth it	<i>“P003: And I definitely feel the difference. / Interviewer: Yes, in what way? P003: More fluid in my movements and not so breathless, and my confidence is coming back.”</i> (P003, aged 87 years, SPPB=6, Completed pulmonary rehabilitation)

Table 5: Overcoming unpredictable disruptions to participation – illustrative quotes

Subtheme	Illustrative quote
Determination despite disruption	<i>“Well, I was due to start on the 11th, and I was having a really bad breathing time, so I- so I phoned them up and said I couldn’t do it. I said, ‘I will try and get there next week.’”</i> (P017, aged 74 years, SPPB=9, Did not start pulmonary rehabilitation)
Rapport and flexibility of services	<i>“I did Wednesday and Friday. But then I couldn’t cope with Friday.”...“I did go, and I said, “I can’t do Fridays.”</i> (P016, aged 78 years, SPPB=1, Stopped pulmonary rehabilitation)
No longer seen as a good fit	<i>“I did the first one and then later on when I’d been in hospital again they put me in for it again, but I didn’t go the second time. I’d noticed that the distance from my car to the gym was harder, so I knew if I went this time I probably wouldn’t walk that distance. I couldn’t put the car any nearer, so I thought. ‘Oh, well.’”</i> (P009, aged 82 years, SPPB=7, Did not start pulmonary rehabilitation)