1	Environmental DNA facilitates accurate, inexpensive, and
2	multi-year population estimates of millions of anadromous
3	fish
4	Molecular Ecology Resources in press
5	
6	Meredith Pochardt <sup>1,2,3</sup> , Jennifer M. Allen <sup>1</sup> , Ted Hart <sup>2</sup> , Sophie D. L. Miller <sup>4</sup> , Douglas W. Yu <sup>4,5,6</sup> ,
7	Taal Levi <sup>1</sup>
8	
9	<sup>1</sup> Department of Fisheries and Wildlife, Oregon State University, Corvallis, OR 97331, USA
10	<sup>2</sup> Chilkoot Indian Association, Haines, AK 99827 USA
11	<sup>3</sup> Takshanuk Watershed Council, Haines, AK 99827 USA
12	<sup>4</sup> State Key Laboratory of Genetic Resources and Evolution, Kunming Institute of Zoology, Chinese Academy of
13	Sciences, Kunming, Yunnan, 650223, China
14	<sup>5</sup> Center for Excellence in Animal Evolution and Genetics, Chinese Academy of Sciences, Kunming Yunnan,
15	650223 China
16	<sup>6</sup> School of Biological Sciences, University of East Anglia, Norwich Research Park, Norwich, Norfolk, NR47TJ,
17	UK
18	
19	Corresponding author E-mail: Taal.Levi@oregonstate.edu,
20	Keywords: Alaska, anadromous, eDNA, eulachon, fisheries, Thaleichthys pacificus, Tlingit
21	Running head: indigenous eDNA monitoring of eulachon
22	

## 23 Abstract

24 Although environmental DNA shed from an organism is now widely used for species detection 25 in a wide variety of contexts, mobilizing environmental DNA for management requires 26 estimation of population size and trends in addition to assessing presence or absence. However, 27 the efficacy of environmental-DNA-based indices of abundance for long-term population monitoring have not yet been assessed. Here we report on the relationship between six years of 28 29 mark-recapture population estimates for eulachon (Thaleichthys pacificus) and 'eDNA rates,' 30 which are calculated from the product of stream flow and DNA concentration. Eulachon are a 31 culturally and biologically important anadromous fish that have significantly declined in the 32 southern part of their range but were historically rendered into oil and traded. Both the peak 33 eDNA rate and the area under the curve of the daily eDNA rate were highly predictive of the 34 mark-recapture population estimate, explaining 84.96% and 92.53% of the deviance respectively. 35 Even in the absence of flow correction, the peak of the daily eDNA concentration explained an astonishing 89.53% while the area under the curve explained 90.74% of the deviance. These 36 37 results support the use of eDNA to monitor eulachon population trends and represent a >80%38 cost savings over mark-recapture, which could be further increased with automated water 39 sampling, reduced replication, and focused temporal sampling. Due to its logistical ease and 40 affordability, eDNA sampling can facilitate monitoring a larger number of rivers and in remote 41 locations where mark-recapture is infeasible.

## 43 Introduction

While the environmental DNA shed from an organism is now widely used for species 44 detection in a wide variety of contexts (Barnes & Turner, 2016; Rees, Maddison, Middleditch, 45 46 Patmore, & Gough, 2014; Jerde, Mahon, Chadderton, & Lodge, 2011; Laramie, Pilliod, & 47 Goldberg, 2015; Mächler, Deiner, Steinmann, & Altermatt, 2014; Rees et al., 2014; Takahara, 48 Minamoto, Yamanaka, Doi, & Kawabata, 2012), mobilizing environmental DNA for 49 management requires estimation of population size and trends in addition to assessing presence 50 or absence. Recent research suggests that eDNA quantified with real-time quantitative 51 polymerase chain reaction (PCR) or digital-droplet PCR can provide a proxy for actual 52 abundance in controlled experiments (Rees, Maddison, Middleditch, Patmore, & Gough, 2014), in ponds (Lacoursière-Roussel, Côté, Leclerc, & Bernatchez, 2016; Takahara et al., 2012) in 53 54 streams (Doi et al., 2015; Levi et al., 2019; Lodge et al., 2012; Tillotson et al., 2018; Wilcox et 55 al., 2016) and in marine bays (Plough et al., 2018). However, the efficacy of environmental DNA based indices of abundance in natural settings have produced mixed results (Yates, Fraser, & 56 57 Derry, 2019) and have not yet been assessed in a management context for long-term population 58 monitoring.

Anadromous fish enter freshwater systems to spawn, often in large number, providing the opportunity to quantify the size of the spawning population with environmental DNA to inform management and population trends. While recent research has suggested that daily eDNA counts correlate well with the enumeration of daily immigrating adult salmon or daily outmigration of salmon smolts (Levi et al., 2019), a more important question is whether total run sizes can be accurately predicted for long interannual population monitoring programs. The use of eDNA to monitor interannual populations increases the utility of this technology as a management toolthat could expand the spatial and temporal scale of current fisheries monitoring programs.

67 Owing to their short run time and large spawning aggregations, Eulachon (Thaleichthys 68 pacificus), a lipid-rich, anadromous smelt of the family Osmeridae (Mecklenburg et al. 2002), 69 make an ideal case study to test eDNA for long-term population monitoring of anadromous fish. 70 Adult eulachon have an average size of 18 to 22 cm (Spangler, 2002). The historic range of 71 eulachon stretched from southern California to the Bering Sea in southwest Alaska (Hart, 1973). The majority of eulachon populations have been declining since the 1990s (Hay & Mccarter, 72 73 2000). In 2010, the National Marine Fisheries Service (NMFS) listed the southern distinct 74 population segment in Washington, Oregon, and California as Threatened under the Endangered 75 Species Act (NOAA, 2010). Because there is no commercial eulachon fishery in northern 76 Southeast Alaska, there is no harvest regulation or management, agency oversight, or monitoring 77 of population trends. While some eulachon population declines have been well documented (Hay 78 & Mccarter, 2000), the status of most eulachon populations is either unknown or anecdotal. 79 In Southeast Alaska, eulachon are the first anadromous fish to return after the long 80 winter, and as a result, are a key resource for indigenous communites and for wildlife. For the 81 Northwest Coast native people, eulachon are a culturally significant staple food source that is 82 consumed fresh, dried, or smoked, and are frequently rendered into oil (Betts, 1994). 83 Historically, eulachon oil was the most important trade item on a network of 'grease trails' 84 between coastal and interior peoples, and it is still used and traded (Betts, 1994; Moody & 85 Pitcher, 2010). Eulachon spawn just prior to the breeding season of many consumers, including 86 marine mammals, thus providing a high-energy prey resource at an energetically demanding time 87 (Sigler, Womble, & Vollenweider, 2004). The eulachon spawning aggregation draws enormous

88	congregations of seabirds, bald eagles (Haliaeetus leucocephalus), Steller sea lions (Eumetopias
89	jubatus), harbor seals (Phoca vitulina), and humpback whales (Megaptera novaeangliae) among
90	many other smaller predators and scavengers. A lack of eulachon population information
91	coupled with the cultural and subsistence value of the species led to the development of an
92	indigenous-led eulachon monitoring program in northern Southeast Alaska. In 2010 the Chilkoot
93	Indian Association and the Takshanuk Watershed Council initiated a modified Lincoln-Petersen
94	(Chapman, 1951, Lincoln, 1930; Petersen, 1896) mark-recapture population estimate on the
95	Chilkoot River near Haines, Alaska at the northern end of southeast Alaska (Fig. 1). This
96	program was successful in gathering baseline eulachon population data where none existed
97	previously; however, monitoring is challenging and expensive (~\$20,000 annually), limiting the
98	feasibility of conducting long-term monitoring and limiting the possible geographic scope of
99	monitoring. In an effort to develop a more cost-effective monitoring method, in 2014 we began
100	pairing the mark-recapture program with daily water sampling to evaluate the efficacy of
101	environmental DNA (eDNA) to produce an index of eulachon abundance.
102	Here we compare six years (2014-2019) of mark-recapture eulachon abundance estimates
103	with eulachon eDNA quantification to test whether long-term, affordable, indigenous-led
104	monitoring of eulachon populations could be effectively achieved with environmental DNA.
105	This method could facilitate intertribal cooperation for affordable monitoring of a culturally
106	important subsistence and economic resource on a regional scale. Such regional monitoring is
107	particularly important for eulachon, which exhibit low site-fidelity and thus regional broad-scale
108	population structure (Flannery, Spangler, Norcross, Lewis, & Wenburg, 2013) such that a true
109	population decline can only be verified by monitoring multiple river systems.

### 111 Methods

#### 112 Study System

113 The Chilkoot River near Haines, Alaska has long been a culturally and ecologically 114 important river. The lower Chilkoot River flows 1.5 km from Chilkoot Lake to the ocean at the 115 terminus of a large fjord. The Chilkoot Tlingit village and fishcamp was historically located 116 along the banks of the Chilkoot River, which is still utilized for eulachon fishing and processing 117 today (Betts, 1994; Olds, 2016). Eulachon typically spawn in the lower reaches of the Chilkoot 118 River (Hay & Mccarter, 2000) where mostly indigenous harvesters capture large quantities for 119 smoking, frying, and rendering into oil in pits.

120 The Chilkoot Indian Association initiated a eulachon mark-recapture study to develop the 121 first population baseline for the Chilkoot River, which is now the longest eulachon population 122 dataset in Southeast Alaska (Alaska Department of Fish and Game Aquatic Resources Permit: 123 SF-2014-027, SF2015-066, SF2016-113, SF2017-062, SF2018-072). This effort was initiated 124 because anecdotal observation suggested that the run size and timing on the Chilkoot River 125 differed from traditional knowledge, and because the decline of the southern distinct population 126 segment of eulachon was substantial enough to warrant threatened status under the Endangered 127 Species Act (NOAA, 2010). The Endangered Species Act listing of eulachon led to concern by 128 Chilkoot Indian Association tribal members that a decline in northern Southeast Alaska, where a 129 strong subsistence fishery remained, would go undocumented, and thus un-remediated, without 130 quantification of the current run size (Olds, 2016).

131 *Mark-Recapture* 

At the mouth of the Chilkoot River, eulachon were captured using a modified fyke nettrap and dip nets. The initial captured eulachon (M group) were transferred in small groups to

134 plastic dishpans where they could be easily handled to clip off the adipose fin using retina scissors and returned to the river. To avoid excessive increases in temperature and to reduce the 135 136 possibility of disease transmission, the water in the dishpans was changed between each group 137 and the dishpans were rinsed with river water. To allow time for the marked fish to mix with the unmarked fish, the recapture group was captured approximately 0.75 km upstream of the trap 138 139 location (C and R group) (Fig 1). Eulachon in the second capture group were collected by field crews wading through the river with dip nets making sure to sample all portions of the river and 140 with the help of subsistence harvesters when their catch was from within the recapture reach. The 141 142 captured fish were examined for a clipped adipose fin before releasing. To avoid repetitive sampling of the same fish, field crews started at a downstream point and worked their way 143 144 upstream. Eulachon are thought to be semelparous (spawning only once), which negates 145 recapturing fish marked in a previous year (Clarke, Lewis, Telmer, & Shrimpton, 2007). A modified Lincoln-Peterson estimator equation (Chapman, 1951) was used  $N = \frac{(M+1)(C+1)}{R+1} - 1$ 146 where N = total population size, M = marked initially, C = total in second sample, and R =147 148 marked recaptures. The standard error was calculated using the equation SE = $\sqrt{[(M+1)(C+1)(M-R)(C-R)]/[(R+1)^2(R+2)]}$ . The 95% confidence interval was 149 calculated as  $CI = N \pm (1.96)(SE)$ . Mark-recapture data were collected from 2010 through 150 2019, excluding 2013 where a lapse in funding prohibited collection. 151

152 Environmental DNA

We collected daily water samples for eulachon eDNA quantification just below the markrecapture trap location near the mouth of the Chilkoot River (Fig. 1) from 2014 through 2019.
The samples were taken as close to low tide as was feasible to avoid either DNA intrusion from
the estuary and/or dilution with an influx of tidal flow. Three replicate 1 L water samples were

collected from the same location each sampling day in sterile Whirl Pak bags starting in early to
mid-April and continuing for at least one week beyond the end of the mark-recapture study
duration (Table 2). The exception to this was 5 days in 2019 for which field crews mistakenly
filtered only 750 ml. We multiplied DNA concentrations from these days by 1.33 to account for
the reduced volume. We sampled for 8, 11, 19, 13, 17, and 25 days during each run from 2014
through 2019, respectively, based on the duration of the run.

Each sample was transported from the field to the Takshanuk Watershed Council office 163 immediately after collection and was filtered through a Nalgene 47mm 0.45 micron cellulose 164 165 nitrate filter using either a peristaltic pump (Proactive Alexis peristaltic pump) or vacuum pump 166 (Gast model DOA-P704-AA) with a three-sample manifold. Filters were stored immediately in 167 100% ethanol within 2 mL cryovials and refrigerated until shipped to Oregon State University 168 for extraction. Filters were removed from ethanol and air-dried overnight in sterile, disposable weight boats in a hepafiltered and UV-irradiated cabinet within a PCR-free laboratory to avoid 169 170 contamination. DNA was then extracted using the Qiagen DNeasy Blood and Tissue kit modified 171 to include a >48 hour soak in ATL buffer, which was found to produce higher and more consistent yields. DNA was eluted in a total volume of 100 µl. 172

#### 173 DNA Quantification

We developed a species-specific quantitative PCR assay for eulachon targeting a 187-bp region of the *Cytochrome oxidase I* (COI) region of the mitochondrial genome based on observed sequence divergence among Osmeridae fish species in the Pacific Northwest region of North America including longfin smelt (*Spirinchus thaleichthys*), capelin (*Mallotus villosus*), and rainbow smelt (*Osmerus mordax*). Specifically, we ensured at least 2 bp mismatch on the forward primer and at least 3 bp mismatches on the probe to the other Osmeridae fishes. The reverse primer contained a 2 bp mismatch to longfin smelt, a 3 bp mismatch to capelin, and a 1bp
mismatch to rainbow smelt. We tested our primers *in vitro* against longfin smelt tissue to ensure
no nonspecific binding, and *in natura* on water samples from a diversity of rivers in southeast
Alaska (Chilkoot, Chilkat, Taiya, Ferebee, Katzehin, Auke, Berners, Lace, Antler, Mendenhall)
and Oregon (Columbia, Cowlitz) outside of the eulachon run to ensure no nonspecific binding to
non-Osmeridae fishes.

The probe was labeled with a 5' FAM fluorescent marker and a minor-groove-binding
non-fluorescent quencher on the 3' end. Primer3 software (Untergasser et al. 2012) was used to
select the following primers: Euc\_COI\_R (5'- CTCCCTCCTTCCTTCTCTTCT-3'), Euc\_COI\_R
(5'- GGTCTGGTACTGGGAAATGG-3') and the internal probe Euc\_COI\_I (5'-

#### **190** 6FAM\*AGCGGGAGCCGGGACTGGCT\*MGBNFQ).

191 A Bio-Rad QX200 AutoDG Droplet Digital PCR system (Hercules, CA. USA) at the 192 Oregon State University Center for Genome Research and Biocomputing was used to quantify 193 DNA concentrations in duplicate PCR reactions. A 22 µl reaction was carried out containing 194 (final concentrations) 1 x ddPCR Supermix for probes (no dUTP), 900 nM of both forward and 195 reverse primers, 250 nM internal probe and 4 µl of DNA extract. Droplets were then generated using the QX200 AutoDG system, resulting in a final reaction volume of 40 ul. Cycling 196 consisted of 95 °C for 10 mins, followed by 45 cycles of 94 °C for 30 secs, and 60 °C for 1 min, 197 198 ending with 96 °C for 10 mins, allowing for a ramp rate of 2 °C/sec between steps. PCR setup 199 occurred in a hepafiltered and UV-irradiated cabinet within a PCR-free laboratory to avoid 200 contamination. After the reaction, the droplets were read on a Droplet Reader and analyzed with 201 QuantaSoft Analysis Pro software (version 1.0.596). We included extraction blanks every 35 202 samples, and every ddPCR plate included two no-template controls (DI water), and positive

controls (eulachon tissue extracts). We did not observe false positives of eulachon in negative
controls nor false negatives of eulachon tissue or water samples when eulachon were observed in
the river.

206 The concentration of eDNA is a function of both the amount of eDNA shed into the river and dilution of eDNA due to increased stream flow. To calculate the flow-corrected eDNA rate, 207 we multiplied each day's ddPCR DNA concentration  $\left(\frac{copies}{ul}\right)$  against the day's stream flow 208  $\frac{cubic feet}{sec}$ . We refer to this as an eDNA rate because once the volume units cancel the result is 209 proportional to DNA copies/second (Levi et al. 2019). Stream flow measurements were taken 210 211 each day that an eDNA sample was collected immediately following the collection of the eDNA 212 sample. To measure streamflow, we used a rating curve developed by the Alaska Department of 213 Fish and Game for the Chilkoot River. To validate this rating curve, a stream flow measurement 214 was taken at the beginning of each field season on the Chilkoot following the USGS velocity-215 area method using a type AA current meter (Turnipseed & Sauer, 2010). Following the initial 216 calibration of the rating curve, the daily river height was measured in feet off of an established 217 benchmark using surveying equipment, which was then transformed into a river discharge based on the rating curve (Sowa, 2015). 218

219 *Analysis* 

We evaluated the flow-corrected eDNA rate as an index of eulachon abundance based on two metrics. First, we use the maximum eDNA rate (i.e. size of peak). Second, we used area under the curve of the eDNA rate throughout the duration of the run. In each case, the daily eDNA concentration was the average of 6 replicates (2 ddPCR within 3 replicate water samples). DNA concentration particularly in cases of multimodal runs, the area under the curve was expected to provide a more accurate representation of the overall biomass. We computed the area

under the curve with the AUC function in the *DescTools* package version 0.99.27 (Signorell,

227 2019) in RStudio version 1.1.383 (RStudio Team, 2015). We additionally assessed the need for

flow correction by evaluating the relationship between uncorrected eDNA concentrations using

the same two metrics and mark-recapture population estimates. We used quasipoisson regression

to model the mark-recapture population estimates as a function of the natural log of the two

231 measures of eDNA rate and the uncorrected eDNA concentration.

232

## 233 **Results**

#### 234 *Mark-Recapture*

235 The mark-recapture population estimate was initiated in 2010 and continued annually 236 through 2019, excluding 2013 due to funding constraints. Eulachon exhibited substantial 237 population fluctuations with a potential 5-6-year cyclic pattern for large returns (Fig. 2). The 238 average eulachon population estimate for the mark-recapture method from 2010-2019 (excluding 239 2013) was 8.4 million, with a maximum of 26.7 million in 2019 ( $\pm$ 1,840,573), and a minimum of 240 319,568 in 2015 (±158,934) (Table 1). Eulachon arrival in the Chilkoot River was documented as early as April 20<sup>th</sup> (2016) and as late as May 6<sup>th</sup> (2017), with run durations lasting between 4 241 242 (2015) and 13 days (2019).

Two notable anomalies occurred during the mark-recapture study period. In 2016 the run consisted of multiple pulses with what appeared to be a definitive end of the run that was followed by a final pulse of fish five days later. This final pulse of fish was not recorded as part of the mark-recapture estimate but was captured in the eDNA data. Additionally, the 2015 run was the smallest return of fish observed during our study period, but anecdotal reports suggested that other rivers in Northern Southeast Alaska received unusually large runs. 249

250

251 Environmental DNA

252 During eulachon runs, all ddPCR replicates of all technical replicates amplified with the 253 exception of the period that appeared in the field to be prior to any obvious eulachon entry in 254 which we either observed no amplification or very low copy number amplification of one replicate but not both. eDNA concentrations varied substantially from near zero to a high of 255 256 328000 copies / µL during the peak of the run in 2017. The product of streamflow and eDNA 257 concentration, which we refer to as 'flow-corrected eDNA rate' (Fig. 3, see also Levi et al. 258 2019), was highly predictive of the eulachon population estimate generated through the mark-259 recapture method. The natural log of the eDNA peak was significantly related to, and explained 260 84.96% of the deviance in, the mark-recapture population estimate ( $\beta$ =0.533, 95% CI [0.271, 0.898], p = 0.027), despite a multimodal eulachon run in 2016 that contained three distinct peaks. 261 The area under the curve eDNA rate explained 92.53% of the deviance in the mark-recapture 262 263 population estimate ( $\beta$ =0.502, 95% CI [0.338, 0.697], p = 0.005) (Fig. 4). The peak eDNA 264 concentration without flow correction explained 89.53% of the deviance in the mark-recapture 265 population estimate ( $\beta$ =0.503, 95% CI [0.310, 0.742], p = 0.01). The area under the curve even without flow correction still explained 90.74% of the deviance in the mark-recapture population 266 267 estimate ( $\beta$ =0.443, 95% CI [0.292, 0.620], p = 0.006) (Fig. 4). The quasipoisson regression 268 models using either the flow-corrected eDNA rate peak (i.e. maximum of flow x DNA 269 concentration) or the area under the curve as a single predictor produced highly representative 270 predictions of mark-recapture population estimates (Fig. 5).

271 **Discussion** 

272 The utility of eDNA for the detection of organisms has been widely documented 273 (Ficetola, Miaud, Pompanon, & Taberlet, 2008; Rees et al., 2014; Wilcox et al., 2016). Recently, 274 the next generation of eDNA science has evaluated the efficacy of quantifying the abundance of 275 species using eDNA (Doi et al., 2015; Levi et al., 2019; Takahara et al., 2012; Tillotson et al., 276 2018). However, the expansion of eDNA beyond academic settings and into species 277 management and monitoring is just beginning. eDNA methods may be particularly promising for 278 the management of neglected species such as eulachon. This is true even if eDNA provides less 279 accurate or precise results than do traditional methods, because lower quality data from more 280 streams could result in more robust management decision-making than higher quality data from 281 just a few streams (Dowling et al., 2008). This is particularly important for a fish that exhibits 282 low site fidelity, such as eulachon (Flannery et al., 2013), where a decline in one stream may not 283 signal a decline in the overall population, and regional population trends can be more 284 informative of the health of the overall population. In addition, for many taxa, especially those 285 that are data poor and do not have agency oversight, knowing population trends is just as 286 important as precisely enumerating abundance. However, our results suggest that this tradeoff of 287 abundance estimates vs. rough population trends is largely inconsequential; both the flow-288 corrected and non-flow-corrected eDNA rate was predictive of the eulachon mark-recapture 289 population estimates at a small fraction of the cost. Further, eDNA was predictive of mark-290 recapture population estimates even without flow-correction (Fig. 4D), which suggests the 291 possibility that eDNA-based quantitation of eulachon could be implemented in systems where 292 flow measurements cannot be obtained.

293 Unlike the mark-recapture method, which produced a single population estimate for the294 eulachon run, the eulachon eDNA rate captured within-run phenology as eulachon abundance

varied in the Chilkoot River above the sampling location. eDNA was very effective at
quantifying run timing and was particularly effective at demonstrating that the 2016 eulachon
run was multimodal with three distinct pulses of eulachon that were separated by 4-5 days of
inactivity (Fig. 3). The third pulse in 2016 was not represented in the mark-recapture estimate
because field personnel had assumed that the run had terminated, but, due to the minimal labor
required for eDNA sampling, we continued sampling and were able to capture the full 2016 run
with eDNA.

Many species are monitored due to their commercial or ecological importance, but when 302 303 those species are also culturally important, the people linked to them become their stewards. 304 Good stewardship requires good information. The results presented here demonstrate the 305 potential of eDNA for indigenous-led wildlife resource monitoring and management. This study 306 itself came about because the Chilkoot Indian Association had initiated mark-recapture 307 monitoring and saw the potential of eDNA. A primary benefit of using eDNA is the vastly 308 reduced cost of monitoring under-funded species, such as eulachon. The mark-recapture study on 309 the Chilkoot River costs approximately \$28,000 per year; largely because two five-person crews 310 are needed to properly implement the mark-recapture method. The use of eDNA at the current 311 Oregon State University rate of \$42/sample for 3 samples/day for ~13 days is \$3,000. Further 312 cost savings would be accrued by reducing sampling prior to and after the run, when DNA 313 concentrations are low, and instead focusing measurement during the ~1 week of active 314 spawning, because both the peak eDNA concentration and area under the curve eDNA metrics 315 are invariant to sampling additional days with very low DNA concentrations. The economic 316 viability of eDNA could be further increased with automated water samplers, currently in 317 development, and/or through the participation of citizen scientists. The latter is possible because

the process of eDNA collection is easy to learn and is thus suitable for a wide range of citizenscience programs, including indigenous-led monitoring (Biggs et al., 2015; Wilken, 2018).

320 An additional benefit of eDNA methods is that mark-recapture estimation is not 321 logistically feasible on all rivers. The Chilkoot poses a unique set of characteristics – single 322 channel, road accessible, and with a relatively distinct upper limit to spawning activity. Many 323 rivers in Southeast Alaska where eulachon spawn are glacially-fed, with wide, braided river 324 mouths that are in remote, road-less areas. A mark-recapture method at these locations would be 325 logistically challenging, in large part due to a large field-crew requirement. The appeal of eDNA 326 is the ability to simply collect and filter a water sample to derive an index of abundance, which 327 can be done by a single person in under one hour. The use of eDNA allows population data to be 328 gathered on rivers that otherwise would not be possible, which is vital in monitoring a population 329 that exhibits only a regional genetic population structure. The use of affordable and logistically 330 feasible eDNA methods could facilitate regional studies of eulachon population size, run timing, 331 and synchrony among rivers, which would allow for inference on regional population trends, 332 environmental drivers of population dynamics, and environmental drivers of spawning river 333 selection (Bryant, 2009).

Measurement of eDNA concentrations at a point in space and time represents a simple sampling process of mtDNA molecule counts per unit reaction volume, which can be modelled by a Poisson distribution assuming that eDNA is well mixed. The maximum likelihood estimate of the actual concentration of eDNA,  $\lambda$ , is equal to the sample mean of the *N* replicate eDNA concentrations, and the variance around this estimate is equal to the sample mean of the eDNA concentrations divided by *N*. Thus, the variance of the estimated 'true' eDNA concentration declines quickly with the number of replicates from a maximum equal to the mean. In contrast,

mark-recapture analysis is a relatively complex statistical sampling process with a variance 341 around the population estimate of  $([(M + 1)(C + 1)(M - R)(C - R)]/[(R + 1)^2(R + 2)])$ 342 343 which can be much larger than the mean population estimate (Table 1; SE<sup>2</sup> can be thousands of 344 times larger than N) if the number of recaptured individuals, R, is small relative to the number of 345 marked individuals, M, or the number captured in the second session, C (Chapman, 1951). Thus, 346 although eDNA concentrations are not in the useful units of individual animals, they can be 347 estimated precisely with limited replication, but the same is not true for mark-recapture 348 population estimates.

Of course, while the observation error of eDNA concentrations in water samples may be 349 350 low, the process error linking eDNA concentrations to fish abundance can be quite high due to 351 the complexity of eDNA transport and degradation, variance in eDNA production among 352 individuals and through time, the random spatial location of organisms relative to the sampling 353 site, and more challenging stream morphologies among other complexities. Additionally, 354 anadromous fish that spawn over a longer duration are likely to require that eDNA receive flow-355 correction due to higher rates of seasonal flow variability than that exhibited during the brief 356 eulachon run (Levi et al., 2019). Systems in which anadromous fish experience mortality and 357 remain in freshwater could artificially inflate the eDNA signal, which could introduce substantial 358 noise if the proportion of decaying fish varied inter-annually. Broadly, the utility of eDNA for 359 monitoring other forage species is an area for future research, but the method we outline here 360 would be most applicable when it is possible to sample an emphemeral spawning aggregation. In 361 contrast, anadromous fish that progress upstream require approximately daily sampling to predict 362 the daily entry of fish because the eDNA signal attenuates as fish progress far from the sampling 363 site (Levi et al., 2019). Importantly, it is unknown whether our model correlating eulachon run

size with flow-corrected eDNA will be transferable to other rivers. This is unlikely to be the case when rivers have different morphologies, such as braided floodplains with pockets of eulachon spawning throughout. In such circumstances, a within-river index of abundance might be achieved by monitoring several braids where eulachon congregate, or perhaps the estuary where mixing of water might homogenize the sample.

369 Although there is substantial process error linking eDNA concentrations to fish 370 abundance, mark-recapture presents its own suite of problems. For example, the demographic-371 closure assumptions of mark-recapture estimators are difficult to meet with an anadromous fish 372 that quickly enters and leaves the river (Pollock, 2018). The Chilkoot River mark-recapture study 373 lasts for the duration of the run (typically 4-8 days), beginning on the first day that fish are 374 observed in the river (typically late April) and ending once recapture sampling has exhausted all 375 new fish into the system (i.e. when recaptures are identifying double-marked fish). During this 376 time, new fish immigrate into the river while subsistence fishing activities actively remove fish, 377 thus violating closure. However, mark-recapture population estimates can be robust to moderate 378 violations of closure (Kendall, 1999). In this study, the closed-population assumption is thought 379 to be reasonably met because (1) initial marking efforts remained relatively constant and 380 continued until no new fish appear to be entering the system (i.e. approximately all individuals 381 were potentially subject to marking), (2) there was an equal probability of capture of marked and 382 unmarked fish by subsistence harvesters, and (3) we secured participation of subsistence 383 harvesters to search their catch for marked fish from within the recapture reach. Additionally, it 384 is assumed that eulachon are effectively semelparous (Clarke et al., 2007), and although some 385 individuals may spawn twice in a lifetime the frequency of iteroparity is thought to be rare 386 (Willson, Armstrong, Hermans, & Koski, 2006). Nevertheless, in some years our mark recapture

387 population estimates are likely biased. For example, 2016 was multimodal, which challenged the 388 mark-recapture protocol. In addition, 2019 featured an unusually long eulachon run, which likely 389 included continued entry of eulachon into the river. This could have biased the mark-recapture 390 population estimate high by reducing the proportion of recaptured fish. Similarly, 2017 was a 391 very large but short duration run such that closure assumptions were easier to meet during the 392 short marking and recapture period. Thus violations of closure may have differentially 393 influenced our mark-recapture estimates and led to larger negative residuals in 2017 and positive 394 residuals in 2019 (Fig. 4). This variation in the duration of the eulachon run is also likely to 395 influence inference by eDNA because the area under the curve is sensitive to the duration of the 396 run and the peak is sensitive to the maximum quantity of fish in the aggregation.

397 We have demonstrated that eDNA provides reliable quantification of anadromous 398 eulachon abundance. eDNA is thus a promising tool that can be mobilized by managers, citizen 399 scientists, or indigenous communities to affordably monitor noncommercial species that are 400 neglected by agencies but are culturally and/or economically important. An important potential 401 benefit of eDNA is that it democratizes biodiversity information and management. Ultimately, 402 the reason to collect ecosystem information is to inform the political argument over resource 403 allocation, and this information needs to be high-quality, third-party-verifiable, granular, timely, 404 and understandable (Dietz et al. 2003). eDNA estimates of eulachon fish populations meet these 405 criteria.

406

## 407 Acknowledgements

We thank Oregon State University, The National Geographic Society (#9493-14), US Fish &
Wildlife Service Tribal Wildlife grant (AK-U-28-NA-1), North Pacific Landscape Conservation

410	Cooperative (FW13AP01047), and Bureau of Indian Affairs (A18AP00229) for funding this
411	work. Additionally, we thank the Chilkoot Indian Association Eulachon Tribal Working Group
412	for incorporating the cultural significance of eulachon into this research and all the tribal
413	members that worked as part of the mark-recapture crews. D.W. Yu was supported by the
414	National Natural Science Foundation of China (41661144002, 31670536, 31400470, 31500305),
415	the Key Research Program of Frontier Sciences, CAS (QYZDY-SSW-SMC024), the Bureau of
416	International Cooperation (GJHZ1754), the Strategic Priority Research Program of the Chinese
417	Academy of Sciences (XDA20050202, XDB31000000), the Ministry of Science and Technology
418	of China (2012FY110800), the State Key Laboratory of Genetic Resources and Evolution
419	(GREKF18-04) at the Kunming Institute of Zoology, and the University of East Anglia.

420

# 421 **References**

- Barnes, M. A., & Turner, C. R. (2016). The ecology of environmental DNA and implications for
   conservation genetics. *Conservation Genetics*. https://doi.org/10.1007/s10592-015-0775-4
- Betts, M. F. (1994). The subsistence hooligan fishery of the Chilkat and Chilkoot Rivers. *Technical Paper Series*, (213), 1–69.
- Biggs, J., Ewald, N., Valentini, A., Gaboriaud, C., Dejean, T., Griffiths, R. A., ... Dunn, F.
  (2015). Using eDNA to develop a national citizen science-based monitoring programme for
  the great crested newt (Triturus cristatus). *Biological Conservation*, 183, 19–28.
  https://doi.org/10.1016/J.BIOCON.2014.11.029
- Bryant, M. D. (2009). Global climate change and potential effects on Pacific salmonids in
  freshwater ecosystems of southeast Alaska. *Climatic Change*, 95(1–2), 169–193.
- 432 https://doi.org/10.1007/s10584-008-9530-x
- 433 Clarke, A. D., Lewis, A., Telmer, K. H., & Shrimpton, J. M. (2007). Life history and age at
  434 maturity of an anadromous smelt, the eulachon Thaleichthys pacificus (Richardson).
  435 *Journal of Fish Biology*, 71(5), 1479–1493. https://doi.org/10.1111/j.1095436 8649.2007.01618.x
- 437 Dietz T, Ostrom E, Stern PC (2003) The struggle to govern the commons. *Science* 302, 1907438 1912.
- 439 Doi, H., Uchii, K., Takahara, T., Matsuhashi, S., Yamanaka, H., & Minamoto, T. (2015). Use of
  440 Droplet Digital PCR for Estimation of Fish Abundance and Biomass in Environmental
  441 DNA Surveys. *PLOS ONE*, 10(3), e0122763. https://doi.org/10.1371/journal.pone.0122763
- 441 DivA Surveys. *TEOS ONE*, *10*(3), c0122703. https://doi.org/10.1371/journal.pone.0122703 442 Dowling, N. A., Smith, D. C., Knuckey, I., Smith, A. D. M., Domaschenz, P., Patterson, H. M.,
- 443 & Whitelaw, W. (2008). Developing harvest strategies for low-value and data-poor
- fisheries: Case studies from three Australian fisheries. *Fisheries Research*, 94(3), 380–390.

- 445 https://doi.org/10.1016/J.FISHRES.2008.09.033
- Ficetola, G. F., Miaud, C., Pompanon, F., & Taberlet, P. (2008). Species detection using
  environmental DNA from water samples. *Biology Letters*, 4(4), 423–425.
  https://doi.org/10.1098/rsbl.2008.0118
- 449 Flannery, B. G., Spangler, R. E., Norcross, B. L., Lewis, C. J., & Wenburg, J. K. (2013).
- 450 Microsatellite Analysis of Population Structure in Alaska Eulachon with Application to
- 451 Mixed-Stock Analysis. *Transactions of the American Fisheries Society*, *142*(4), 1036–1048.
  452 https://doi.org/10.1080/00028487.2013.790841
- Hart, J. (1973). Pacific fishes of Canada. *Bulletin of the Fisheries Research Board of Canada*, *180*, 148–150.
- Hay, D., & Mccarter, P. B. (2000a). Status of Eulachon Thaleichtheys pacificus in Canada. *Fisheries and Oceans Canada. Canadian Stock Assessment*, 2000/145.
- Hay, D., & Mccarter, P. B. (2000b). Status of eulachon Thaleichthys pacificus in Canada. *Fisheries and Oceans Canada. Canadian Stock Assessment*, 9R.
- Jerde, C. L., Mahon, A. R., Chadderton, W. L., & Lodge, D. M. (2011). "Sight-unseen" detection
  of rare aquatic species using environmental DNA. *Conservation Letters*.
  https://doi.org/10.1111/j.1755-263X.2010.00158.x
- Kendall, W. (1999). Robustness of Closed Capture Recapture Methods To Violations of the
  Closure Assumption. *Ecology*, 80(8), 2517–2525.
- Lacoursière-Roussel, A., Côté, G., Leclerc, V., & Bernatchez, L. (2016). Quantifying relative
  fish abundance with eDNA: a promising tool for fisheries management. *Journal of Applied Ecology*. https://doi.org/10.1111/1365-2664.12598
- Laramie, M. B., Pilliod, D. S., & Goldberg, C. S. (2015). Characterizing the distribution of an
  endangered salmonid using environmental DNA analysis. *Biological Conservation*.
  https://doi.org/10.1016/j.biocon.2014.11.025
- 470 Levi, T., Allen, J. M., Bell, D., Joyce, J., Russell, J. R., Tallmon, D. A., ... Yu, D. W. (2019).
  471 Environmental DNA for the enumeration and management of Pacific salmon. *Molecular*472 *Ecology Resources*. https://doi.org/10.1101/394445
- 473 Lincoln, F. C. (1930). Calculating waterfowl abundance on the basis of banding returns. US
  474 Department of Agriculture, 118, 1–4.
- 475 Lodge, D. M., Turner, C. R., Jerde, C. L., Barnes, M. A., Chadderton, L., Egan, S. P., ...
- 476 Pfrender Michael E. (2012). Conservation in a cup of water: estimating biodiversity and
  477 population abundance from environmental DNA. *Molecular Ecology*, 21(11), 2555–2558.
  478 https://doi.org/10.1111/j.1365-294X.2012.05600.x
- 479 Mächler, E., Deiner, K., Steinmann, P., & Altermatt, F. (2014). Utility of environmental DNA
  480 for monitoring rare and indicator macroinvertebrate species. *Freshwater Science*.
  481 https://doi.org/10.1086/678128
- Moody, M. F., & Pitcher, T. J. (2010). Eulachon (Thaleichthys pacificus): past and present. *The Fisheries Centre, University of British Columbia, Canada, 18*(2), 197.
  https://doi.org/10.14288/1.0074735
- 485 NOAA. (2010). Endangered and Threatened Wildlife and Plants: Threatened Status for Southern
  486 Distinct Population Segment of Eulachon. In *Federal Register* (Vol. 75).
  487 https://doi.org/10.1021/j100299a032
- 488 Olds, A. (2016). INTEGRATING LOCAL AND TRADITIONAL KNOWLEDGE AND
- 489 HISTORICAL SOURCES TO CHARACTERIZE RUN TIMING AND ABUNDANCE OF
- 490 EULACHON IN THE CHILKAT AND CHILKOOT RIVERS By Allyson Leigh Olds, B.S.

- 491 *A Thesis Submitted in Partial Fulfillment of the Requirements for th.*
- 492 Olds, A. L., Moran, S. B., & Castellini, M. (2016). *Integrating local and traditional knowledge*493 *and historical sources to characterize run timing and abundance of eulachon in the Chilkat*494 *and Chilkoot rivers.*
- 495 Petersen, C. G. J. (1896). The yearly immigration of young plaice into the Limfjord from the
  496 German Sea. *Report of the Danish Biological Station*, 6, 1–77.
- Plough Id, L. V, Ogburn, M. B., Fitzgerald, C. L., Geranio, R., Marafino, G. A., & Richie, K. D.
  (2018). Environmental DNA analysis of river herring in Chesapeake Bay: A powerful tool
- *for monitoring threatened keystone species*. https://doi.org/10.1371/journal.pone.0205578
   Pollock, K. H. (2018). Modeling Capture, Recapture and Removal Statistics for Estimation of
   Demographic Parameters From Fish and Wildlife Populations: Past, Present and Future.

502 *American Statistical Association*, 86(413), 225–238.

- Rees, H. C., Maddison, B. C., Middleditch, D. J., Patmore, J. R. M., & Gough, K. C. (2014).
  REVIEW: The detection of aquatic animal species using environmental DNA a review of eDNA as a survey tool in ecology. *Journal of Applied Ecology*, *51*(5), 1450–1459.
  https://doi.org/10.1111/1365-2664.12306
- Sigler, M. F., Womble, J. N., & Vollenweider, J. J. (2004). Availability to Steller sea lions (
  Eumetopias jubatus ) of a seasonal prey resource: a prespawning aggregation of eulachon (
  Thaleichthys pacificus ). *Canadian Journal of Fisheries and Aquatic Sciences*, 61(8), 1475–
  1484. https://doi.org/10.1139/f04-086
- 511 Signorell, A. (2019). *DescTools: Tools for description statistics*.
- Sowa, J. (ADFG). (2015). Hydrologic Investigations in Support of Reservations of Water for the
   Lost River, Alaska by.
- Spangler, E. A. K. (2002). *The Ecology of Eulachon (Thaleichthys pacificus) in Twentymile River, Alaska*. University of Alaska Fairbanks.
- Takahara, T., Minamoto, T., Yamanaka, H., Doi, H., & Kawabata, Z. (2012). Estimation of Fish
  Biomass Using Environmental DNA. *PLoS ONE*, 7(4), e35868.
- 518 https://doi.org/10.1371/journal.pone.0035868
- 519 Team, Rs. (2015). RStudio: Integrated Development for R. Retrieved from www.rstudio.com
- Tillotson, M. D., Kelly, R. P., Duda, J. J., Hoy, M., Kralj, J., & Quinn, T. P. (2018).
   *Concentrations of environmental DNA (eDNA) reflect spawning salmon abundance at fine spatial and temporal scales.* https://doi.org/10.1016/j.biocon.2018.01.030
- Turnipseed, D. P., & Sauer, V. B. (2010). *Discharge Measurements at Gaging Stations*.
   Retrieved from http://pubs.usgs.gov/tm/tm3-a8/
- Wilcox, T. M., McKelvey, K. S., Young, M. K., Sepulveda, A. J., Shepard, B. B., Jane, S. F., ...
  Schwartz, M. K. (2016). Understanding environmental DNA detection probabilities: A case
  study using a stream-dwelling char Salvelinus fontinalis. *Biological Conservation*, *194*,
  209–216. https://doi.org/10.1016/j.biocon.2015.12.023
- Wilken, U. (2018). Lakes, Labs and Learning: How an Environmental DNA Citizen Science
  Project... *K-12 STEM Education*, 4(4), 391–399. Retrieved from
  https://www.learntechlib.org/p/209557/
- Willson, M. F., Armstrong, R. H., Hermans, M. C., & Koski, K. (2006). Eulachon: A review of
  biology and an annotated bibliography. *National Marine Fisheries Service, Alaska Fisheries Science Center*, (2006–12), 243. https://doi.org/10.1007/s10489-012-0338-z
- Yates, M., Fraser, D., & Derry, A. (2019). Meta-analysis supports further refinement of eDNA
  for monitoring aquatic species-specific abundance in nature. *Environmental DNA*, 1, 5–13.

537 https://doi.org/10.1002/edn3.7 538

# 539 Data Accessibility Statement

540 All data and code are made available in the supporting information.

# 542 Author Contributions

- 543 TH and MP organized the eulachon mark-recapture program. TL initiated the eDNA monitoring
- 544 program based on discussion with DY and SM. TL, MP, JA, collected eDNA samples that were 545 processed in the lab by JA and MP. JA developed primers. MP and TL analyzed data and wrote
- the paper with input and edits from other authors.
- 547

- 548
- 549

# **Tables**

551 Table 1. Annual eulachon population estimates for the Chilkoot River using a modified Lincoln-

552 Peterson equation (excluding 2013). eDNA monitoring began in 2014.

Measurement	2010	2011	2012	2014	2015	2016	2017	2018	2019
M = Marked	8,017	49,814	27,525	24,084	306	9,384	33,681	30,542	70,127
Initially-adipose clipped									
C = Total in second sample captured above weir	20,210	143,444	48,376	19,886	3,122	8,865	47,654	18,636	80,859
R = Marked recaptures above weir with clip	72	568	186	140	2	45	126	64	210
N1 = Population	2.2	12.6	7.1	3.4	319,586	1.8	12.6	8.7	26.7
Estimate	Million	Million	Million	Million		Million	Million	Million	Million
SE <sup>2</sup> = Standard Error	256,415	521,961	516,583	283,226	158,934	262,518	1,113,520	1,074,932	1,840,573
$CI^3 = 95\%$	1.7 to	11.5 to	6.1 to	2.9 to	8,074	2.3 to	10.5 to	6.6 to	23.2 to
Confidence	2.7	13.6	8.1	3.9	to	1.3	14.8	10.9	30.4
Interval	Million	Million	Million	Million	631,098	Million	Million	Million	Million

Table 2: Annual field effort for the mark-recapture study and number of eDNA sample days,
 water samples, and ddPCR replicates.

Year	Start Date	End Date	Number of Mark- Recapture field days	Number of eDNA sample days	Number of water samples	Number of ddPCR replicates
2010	4/23/2010	4/27/2010	5	NA	NA	NA
2011	4/27/2011	5/8/2011	12	NA	NA	NA
2012	5/2/2012	5/7/2012	6	NA	NA	NA
2014	5/5/2014	5/9/2014	5	8	24	48
2015	4/26/2015	4/29/2015	4	11	33	66
2016	4/20/2016	4/24/2016	4	19	57	114
2017	4/28/2017	5/5/2017	8	13	39	78
2018	5/6/2018	5/11/2018	6	17	51	102
2019	4/25/2019	5/7/2019	13	25	75	150

- Figure 1. The Chilkoot River (A) is located in northern Southeast Alaska. The study area is
  located in the approximately 1.75 km section of river between the outlet of Chilkoot Lake and
  where the river meets the estuary (B). Three 1L water samples were collected at low tide just
  below the initial capture location, where (C) the adipose fin of eulachon captured in the trap was
  clipped into a "shark fin" for easy identification. The beginning of the recapture reach is located
  approximately 0.75 km upstream of the initial capture location, although eulachon are not
  exclusively recaptured within this reach. (D) Crews dip net or cast net to capture eulachon. (E)
- 571 Depicts the Chilkoot River during a large eulachon run.



575 Figure 2 Results of mark-recapture population estimate for eulachon on the Chilkoot River using

- 576 a modified Lincoln-Petersen method. Error bars represent one standard error.
- 577



Chilkoot River Mark-Recapture

Figure 3. Daily results of the Chilkoot River flow-corrected eDNA rate (copies/nl \* discharge in cubic-feet/sec.) in 2014-2016 (A), 2017-2019 (B). The boxplots illustrate the variability among
the three daily water samples, each quantified in two ddPCR replicates. The lower and upper

582 portions of the box correspond to the 25<sup>th</sup> and 75<sup>th</sup> quartile respectively around the median (line).

583 Whiskers extend to the most extreme data points up to 1.5 times the interquartile range.

- 584 Variability increased with the mean such that boxplots at low flow-corrected eDNA rates are
- very small and appear as points.
- 586



**Figure 4.** Results of quasipoisson regression models relating log-transformed mark-recapture population estimate to (A) the size of the peak flow-corrected eDNA rate (p = 0.027, 84.96%Deviance explained), (B) the area under the curve of the flow-corrected eDNA rate (p = 0.005, 92.53% Deviance explained), (C) the size of the peak uncorrected eDNA concentration (p =0.01, 89.53% Deviance explained), and (D) the area under the curve of the uncorrected eDNA concentration (p = 0.006, 90.74% Deviance explained). Gray shading denotes 95% confidence interval.



Figure 5. Mark recapture population estimate of eulachon runs (black dots) from 2014 to 2019
and the predicted number of eulachon based on the peak (A) or area under the curve (B) of the
flow-corrected eDNA rate and the peak (C) and area under the curve (D) of the non-flow
corrected eDNA concentration in the quasipoisson regression model (blue dashed lines). Gray
shading denotes the 95% confidence interval.

