Changing the real viewing distance reveals the temporal evolution of size constancy in visual cortex

- 4 Juan Chen^{1,2*}, Irene Sperandio^{3*}, Molly J. Henry², Melvyn A Goodale^{2,4},
- ⁵ ¹Center for the Study of Applied Psychology, Guangdong Key Laboratory of Mental Health and
- 6 Cognitive Science, and the School of Psychology, South China Normal University, Guangzhou,
- 7 Guangdong Province, 510631, China
- ⁸ ²The Brain and Mind Institute, The University of Western Ontario, London, ON, N6A 5B7
 ⁹ Canada
- ¹⁰ ³The School of Psychology, University of East Anglia, Norwich, NR4 7TJ, United Kingdom
- ⁴Department of Psychology, The University of Western Ontario, London, ON, N6A 5C2
- 12 *Correspondence should be addressed to Juan Chen (juanchen@m.scnu.edu.cn) or Irene
- 13 Sperandio (<u>I.Sperandio@uea.ac.uk</u>)
- 14 Lead contact: Juan Chen

15 Short title

16 Temporal evolution of size constancy

17 Key words (<10)

- 18 EEG, size constancy, real distance, retinal size, physical size, perceived size, representational
- 19 similarity analysis
- 20
- 21
- ~~
- 22
- 23
- 24
- 25

26 Summary

- 27 Our visual system provides a distance-invariant percept of object size by integrating retinal
- 28 image size with viewing distance (size constancy). Single-unit studies with animals have shown
- 29 that real distance cues, especially oculomotor cues such as vergence and accommodation can
- 30 modulate the signals in the thalamus or V1 at the initial processing stage [1-7]. Accordingly, one
- 31 might predict that size constancy emerges much earlier in time [8-10], even as visual signals are
- being processed in the thalamus. So far, the studies that have looked directly at size coding have
- 33 either used fMRI (poor temporal resolution [11-13]) or relied on inadequate stimuli (pictorial
- illusions presented on a monitor at a fixed distance [11, 12, 14, 15]). Here, we physically moved
- 35 the monitor to different distances, a more ecologically valid paradigm that emulates what
- happens in everyday life and is an example of the increasing trend of "bringing the real world
- into the lab". Using this paradigm in combination with electroencephalography (EEG), we
- 38 examined the computation of size constancy in real time with real world viewing conditions. Our
- 39 study provides strong evidence that, even though oculomotor distance cues have been shown to
- modulate the spiking rate of neurons in the thalamus and in V1, the integration of viewing
 distance cues and retinal image size takes at least 150 ms to unfold, which suggests that the size-
- 41 distance cues and retinar image size takes at least 150 his to unrold, which suggests that the size-42 constancy related activation patterns in V1 reported in previous fMRI studies (e.g. [12, 13])
- reflect the later processing within V1 and/or top-down input from other high-level visual areas.

44 **Results and Discussion**

45 **Experiment 1: Full-viewing condition**

46 To investigate the influence of *real* distance on size coding, we physically placed the entire

47 visual display at different distances from the observer (Figure 1A). In this more natural viewing

48 paradigm, all distance cues including oculomotor adjustments (vergence, accommodation),

49 binocular disparity, and pictorial cues, such as relative size, familiar size, occlusion, texture

50 gradient, perspective, etc., were available and congruent with one another when participants

51 viewed the stimuli binocularly with the room lights on (i.e., full-viewing condition).

52 To measure the temporal evolution of the representation of stimulus size (i.e., retinal image size 53 versus physical size and perceived size) with the change of viewing distance, four conditions 54 were examined: near-small (NS), near-large (NL), far-small (FS), and far-large (FL) (Figure 1B). Crucially, the stimuli in the NS and FL conditions had the same retinal image size, while 55 those in the NS and FS conditions had the same physical size, as did those in the NL and FL 56 conditions. The similarity between the different conditions in retinal image size and in physical 57 58 size are reflected in the two "similarity matrices" shown in Figure 1C, which by definition were 59 the same for all participants. Unlike retinal size or physical size, however, the perceived size of 60 each stimulus varies between individuals and could be largely influenced by the availability and weighting of distance cues [16-18]. A continuous measure of perceived size was used only in 61 Experiments 1a and 2. Therefore, similarity matrices for perceived size could be calculated in 62 these two experiments (see Figure 1C, right column for an example of such a matrix in 63 64 Experiment 2, in which distance cues were restricted). In Experiment 1, participants simply

65 identified whether the stimulus was the small one or the large one by pushing one of two keys.

66

- 67 Importantly, to minimize the influence of any dynamic visual or oculomotor adjustments that
- 68 would occur during the actual movement of the monitor on the EEG signals induced by the test
- 69 stimulus, the stimulus was not presented until $1.5 \sim 2.5$ s after the monitor had been moved and
- set in place at the far or near position. This interval between the placement of the monitor and the
- onset of the stimulus ensured that all the distance cues were processed and any visual and oculomotor signals evoked by the movement of the monitor had stabilized well before the
- 73 stimulus was presented.
- Participants all reported stimuli in both NS and FS as "small" and those in both NL and FL as
- ¹ "large". In other words, they all perceived the size of the stimulus according to its physical size
- regardless of viewing distance, suggesting that they had size constancy in the full-viewing
- condition. [In the behavioural part of Experiment 1a (see Supplemental Information for
- details), participants were asked to indicate the perceived size of each stimulus at each viewing
- 79 distance by opening their thumb and index finger a matching amount (i.e., manual estimation)
- 80 [16, 19, 20]. The results again confirmed that participants showed size constancy in the full-
- 81 viewing condition (Figure S1)].
- Figure 2A shows the event-related potentials averaged across all six electrodes of interest (CP3,
- CPZ, CP4, P3, PZ, and P4) [21-23]) for each of the four conditions. The first visually evoked
- component C1, especially the initial portion between 56-70 ms after stimulus onset, is thought to
- reflect the feedforward signals in V1 [24-27]. Any feedback from higher-level visual areas will appear later in the event-related potentials (ERPs). The C1 component in the current experiment
- appear later in the event-related potentials (ERPs). The C1 component in the current experiment
 had a peak latency of 56 ms on average, reflecting initial processing in V1 without any trial-
- 87 had a peak latency of 50 his on average, reflecting initial processing in V1 without any that 88 specific top-down influences. If size constancy occurs at the initial stages of visual processing in
- 89 V1 or even earlier in thalamus, then stimuli of the same physical size would be expected to
- 90 evoke similar C1 amplitudes. However, we found that only the NL stimulus, which had the
- 91 largest retinal image size, evoked a significant C1 (t(1,15) = -3.86; p = 0.002), and the amplitude
- 92 of C1 evoked by the NL stimulus was significantly larger than the one evoked by the FL
- stimulus, which had the same physical and perceived (but not retinal) size as the NL stimulus
- 94 (t(1,16) = -3.08, p = 0.008), suggesting that C1 reflected the retinal image size, but not the
- 95 physical or perceived size of the stimulus.
- 96 As the ERP continued to unfold, the waveform appeared to cluster in a way that reflected the
- 97 physical size of the stimuli rather than their retinal image size. Thus, as can be seen in **Figure**
- **2A**, the waveforms for the NL and the FL conditions (blue lines) began to overlap one another as
- 99 did the waveforms for the NS and FS (pink lines). To examine exactly when the transition from
- the representation of retinal image size to the representation of the physical size occurred, we
- 101 calculated the difference in the amplitude of the ERPs between conditions that had the same
- retinal image size (FL-NS) and conditions that had the same physical size (FS-NS and FL-NL).
 The difference scores (Figure 2B) revealed that waveforms for the stimuli with the same retinal
- 104 image size (FL and NS) overlapped completely until 148 ms after stimulus onset at which point
- 105 they began to separate, suggesting that before this time point the activity in visual cortex
- reflected only the retinal image size [$p_{corrected} < 0.05$, corrected using a cluster-based test statistic
- 107 (Monte Carlo) method embedded in Fieldtrip toolbox [28]; the same criterion was used for all
- 108 time-course-related comparisons hereafter]. In contrast, the difference scores showed that the
- 109 waveforms for the two small stimuli (FS and NS) began to overlap at 150 ms after stimulus onset

- and the waveforms for the two large stimuli (FL and NL) at 144 ms (**Table S1**), suggesting that
- after these time points, the activity in visual cortex began to reflect the physical size of the visual
- 112 stimuli.
- 113 We also performed a representational similarity analysis (RSA) based on the *patterns* of signals
- 114 from all six electrodes within a 20-ms sliding time window. Each element of the similarity
- 115 matrix for neural signals was the Pearson's correlation between the EEG signal patterns of each
- 116 pair of conditions (see **Methods** for details). If the visual signals were representing retinal image
- size, then the similarity matrix for the EEG signal patterns (neural model) should have a higher
- 118 correlation with the similarity matrix for the retinal image size (retinal model, **Figure 1C left**)
- 119 than with the similarity matrix for the physical size (physical model, **Figure 1C middle**).
- 120 Consistent with our prediction, the RSA revealed that the neural model was significantly
- 121 correlated with the retinal model before about 150 ms (Figure 2C, see Table S2 for details.
- 122 Note: numbers in **Table S2** show the *start* point of the 20-ms-sliding window), and was
- significantly correlated with the physical model after about 124 ms. Importantly, the neural
- model was more strongly correlated with the retinal model at $50\sim150$ ms and was more strongly
- 125 correlated with the physical model at a later time window, although the latter difference did not
- 126 survive correction for multiple comparisons (Figure 2C). Taken together, these results provide
- 127 converging evidence that during the early stages of visual processing (within the first ~150 ms)
- the observed activity is locked to the retinal image size but later on it begins to reflect the real-
- 129 world size of a visual stimulus.
- 130 One might argue that the post-150 ms overlap in the waveforms for stimuli of the same real-
- 131 world size in Experiment 1 might be due to nothing more than the fact that participants had only
- 132 two choices in their behavioral response: small or large. To rule this out, in Experiment 1a, we
- replicated the EEG protocol of Experiment 1, but asked participants to detect the onset of an
- 134 open circle that was randomly interleaved with the experimental stimuli (solid circles) during the
- 135 EEG recording. The results were consistent with those in Experiment 1 (Supplemental
- 136 Information, Figure S2), which suggests that size-distance integration is to some extent
- 137 automatic and independent of the task the participants were performing. Moreover, because each
- 138 participant gave an estimate of the perceived size of the stimulus in each condition, we were able
- 139 to compute the similarity matrix for perceived size for each participant. The RSA results showed
- 140 that the correlation of the neural model with the physical-size model and the correlation of the
- 141 neural model with the perceived-size model overlapped almost perfectly (**Figure S2C**), which is
- 142 not surprising given that almost all the participants showed size constancy.
- 143 One may also argue that the late convergence of ERP components between conditions with the
- same physical size reflects the white-black pattern because the ratio of the black stimulus area to
- 145 the white background area correlates with the physical size of the stimulus regardless of viewing
- 146 distance. This is unlikely because the ERPs were time-locked to the onset of the stimulus.
- 147 Importantly, our Experiment 2 also shows that the later ERP components reflect the perceived
- size of the stimulus, not the white-black patterns (see below).

149 Experiment 2: Restricted-viewing condition

- 150 In Experiment 2, we removed most of the cues to viewing distance, which would be expected to
- disrupt size constancy [16, 17]. If size constancy emerges in the grouping of the EEG

- 152 components after 150 ms, as our earlier results with full viewing suggested, then under restricted
- 153 viewing we expected to see disruption in that grouping.

154 The stimuli were white solid circles presented on a black background. Participants were asked to

view the stimulus with their non-dominant eye through a 1-mm pinhole in an otherwise

156 completely dark room [16, 17] (i.e. restricted-viewing condition, **Figure 3A**), while performing a

157 size-irrelevant detection task (as in Experiment 1a) during the EEG recording. In this situation, 158 no binocular distance cues (i.e., vergence, binocular disparity) were available and pictorial cues

- were dramatically reduced as the background merged with the edges of the pinhole in the
- 160 darkened room. In addition, the small pinhole prevented participants from using accommodation
- 161 as a reliable cue to distance [29]. As a result, participants would have to rely mainly on retinal
- 162 image size to judge object size; thus, a stimulus at the near distance would be perceived as larger
- 163 than the same stimulus at the far distance because the stimulus would subtend a larger retinal
- 164 image size at the near distance [16, 17].
- 165 However, because participants still knew whether the monitor was at the near or the far position,

166 presumably on the basis of cues from the moving monitor when its position was changing and

167 from other cues, such as retinal illuminance, size constancy was not affected by the restricted-

viewing condition to the same extent across participants. Given that the purpose of this

169 experiment was to explore the neural correlates of perceived size when size constancy was

170 disrupted, we performed a behavioral screening test before the real experiment to select

171 participants. 15 out of the 32 participants whose size constancy was disrupted to some degree

172 and one participant who showed perfect size constancy in the restricted-viewing condition were

173 selected and performed both the behavioral and the EEG portions of the main experiment. Their

174 behavioral results are shown in **Figure 3B**.

175 The peak of C1 in Experiment 2 occurred approximately 20 ms later than it did in Experiment 1,

176 probably because only one eye was being stimulated in this experiment [30]. Nevertheless,

consistent with Experiment 1, the NL stimulus, which had the largest retinal size, evoked the
 strongest C1 component (compared with the amplitude of the other three conditions, paired t-

test, all t < 3.13, p < 0.006; Figure 4A, middle), again suggesting that retinal image size, not

180 physical size, was driving the activity of the early ERP components. The waveforms for those

181 conditions in which the stimulus subtended the same retinal image size (NS and FL) began to

depart from each other around 144 ms after stimulus onset (**Figure 4B, Table S1**), just as they

- did in Experiment 1, but overall the waveforms did not show the same clear groupings according
- to physical size as they did in Experiment 1. Instead, the waveform evoked by the NL stimulus

began to separate from the FL stimulus approximately 154 ms after stimulus onset and never

showed any overlap with FL, even though they had the same physical size. This pattern is

- 187 consistent with the fact that, under restricted viewing condition, the NL stimulus was perceived
- 188 to be the largest stimulus of the four (**Figure 3B**).

189 Given that there was considerable variability in size constancy across participants (Figure 3B),

190 we then tested whether this variability in size constancy would also be reflected in the later

191 components of the EEG waveforms. To this end, we calculated a behavioral index (BI) of

192 disruption in size constancy and an EEG index (EI) of disruption in size constancy for the late

193 component of the ERPs (blue shaded area from 154 ms to 350 ms in Figure 4A, middle) for

- 194 each participant (see Methods for details), and then calculated the correlation between them
- across participants. We found that there was indeed a significant correlation between BI and EI

- across participants (r = 0.55, p = 0.03; Figure 4A, right). We also calculated a similar
- 197 correlation between BI and EI for the early C1 component (the orange shaded area in Figure 4A,
- 198 **middle**), but the correlation was not significant (r = -0.30, p = 0.28; Figure 4A, left), suggesting
- that the variability in perceived size across participants is reflected in the later ERP components but not in C^{1}
- 200 but not in C1.

201 RSA was again performed to reveal the time course of the representation of size (retinal size,

- 202 physical or perceived size). For the similarity matrix of perceived size, the manual estimates of
- 203 perceived size provided by the participants were used just as in Experiment 1a (see Method
 204 Details). As predicted, although the retinal model and the perceived model were both highly
- correlated with the neural model from about 80 ms after stimulus onset (see **Table S2** for
- details), we found a trend in favor of the retinal model at the early stage (**Figure 4C**, orange is
- above green) and a trend in favor of the perceived model at the later stage (Figure 4C, green is
- above orange, see **Table S2** for statistical results). This again provides convincing evidence that
- the integration of viewing distance with retinal size does not occur until the later stage of visual
- 210 processing.
- 211 Because white circles, instead of black circles, were used in this experiment, one might argue
- that the retinal illuminance and pupil size would have varied with viewing distance, which might
- affect the ERP signals. But those effects would likely be smaller compared to changes in retinal
- size and in any case would likely influence the early components. Our RSA results also
- 215 confirmed that the ERPs after 150 ms did represent the perceived size. In addition, in Experiment
- 216 2, all the participants saw was a white disk (the black background merged completely with the
- edge of the pinhole in the dark). Therefore, there was no possibility that the ERP activity could
- 218 reflect differences in the pattern or black-white-ratio of the display.
- 219 It is important to note that we changed the physical distance of the stimulus display from trial to
- trial, so that in the full-viewing condition, a large range of distance cues was available and
- 221 entirely congruent with one another. A previous study showed that when real distance was
- 222 manipulated, the size-distance scaling was much stronger than when only pictorial cues were
- 223 provided [13]. Moreover, the long interval after the monitor had been set in place provided
- enough time for the distance cues to be well processed before the onset of the stimulus, so that
- the distance information could theoretically be integrated with the retinal information about the
- test stimulus as soon as it was presented. For all these reasons, the time (i.e., 150 ms after stimulus enset) we identified as the transition point from the set dimension of the set of t
- stimulus onset) we identified as the transition point from the coding of retinal image size to the coding of perceived size is probably the earliest possible time point at which the integration of
- retinal image size and viewing distance information can take place.
 - 230 The 150 ms required for the size-distance integration is consistent with the time that is typically
 - required (80 to 150 ms after stimulus onset) for the feedback from higher-order visual areas to
 - V1 or recurrent processing within V1 [31]. Therefore, our results suggest that although the
 - activation related to size constancy was observed in early visual area V1 in previous fMRI
 - studies [10-13], the key integration does not happen at the initial visual processing in V1.
 - Recurrent feedback to V1 has been shown to be critical for feature binding [32, 33]. In a similar
 - 236 fashion, such feedback could be used to integrate distance information with retinal image size to
 - 237 calculate the real-world size of objects, and subsequently, integrate real-world size with other
 - object features, such as shape, colour, and visual texture. Indeed, it is worth noting that accounts
 - 239 of feature integration have almost entirely ignored object size, perhaps because only images

- 240 presented on a display at a fixed distance rather than real objects presented at different distances
- 241 have been employed in these studies.
- 242 On the face of it, the 150 ms required for size-distance integration in perception seems
- surprisingly late given that cues like vergence and accommodation modulate the spiking rate of
- neurons in LGN, SC, and the initial response in V1 [1-7, 34]. But it is likely that, although the
- integration of retinal image size and distance information takes at least 150 ms for perception,
- some oculomotor distance information could be conveyed rapidly to visuomotor networks in the dorsal stream [27, 35] to mediate action. It has been suggested that efference copy information
- from vergence (and theoretically accommodation) is conveyed from the superior colliculus (via
- thalamic nuclei) to the frontal eye fields and to visuomotor areas in the posterior parietal cortex,
- completely by-passing the geniculostriate pathway altogether [36-38]. Additional support for
- this idea comes from studies showing that patients with lesions of V1 can scale the opening of
- their grasping hand to the size and orientation of goal objects [39-42], even though they do not
- 253 perceive those objects.

254 Acknowledgments

255 We thank Amratha Chandrakumar and Jason Kim for their help with data collection. This

- research was supported by a Discovery Grant from the Natural Sciences and Engineering
- 257 Research Council of Canada (No. RGPIN-2017-04088 to MAG), a grant from the Canadian
- Institute for Advanced Research (to MAG), and a grant from the National Natural Science
- 259 Foundation of China (No. 31800908 to JC).

260 Author Contributions

J.C., I.S., and M.A.G. designed the study. J.C. performed the research. J.C. and M.J.H. analyzed
 the data. All authors contributed to the writing of the manuscript.

263 **Declaration of Interests**

264 The authors declare no competing financial interests.

265 **Figure Legends**

- 266 Figure 1 The setup, design, and the "similarity" matrices between conditions. (A) In
- 267 Experiment 1 and the control experiment (Experiment 1a), participants viewed the stimuli
- binocularly with room lights on (i.e., full-viewing condition). The stimulus was a black solid
- circle on a white background, and therefore the changes in the retinal illuminance with distance
- were minimized. The monitor was placed on a movable track so that it could be moved to
- different distances from the observer. (B) Solid circles of two sizes (Small = 4 cm and Large = 8 m^2) were presented at two distances (Nacr = 28.5 cm and Ear = 57 cm) (C). The retired lines in
- cm) were presented at two distances (Near = 28.5 cm and Far = 57 cm). (C) The retinal-image size similarity matrix, the physical-size similarity matrix, and the perceived-size similarity
- matrix for all conditions. The retinal-size and physical-size matrices consisted of values of "0" s
- (i.e. 0s indicate "different") or "1"s (1s indicate the "same"). The elements of the perceived size
- similarity matrix were calculated for each participant based on the "similarity" of the reported

- 277 perceived size between each pair of conditions. "Similarity" was operationally defined as the
- difference in perceived size between each pair of conditions multiplied by -1. The matrix on the
- 279 right shows an example of "similarity" in perceived size in Experiment 2 in which distance cues
- 280 were restricted. For Experiment 1, no continuous estimates of perceived were collected, and
- therefore only the retinal-size model and physical-size model were tested. For Experiment 1a, all
- the participants showed excellent size constancy, so the similarity matrix for perceived size (not
- shown in this figure) was essentially identical to the similarity matrix for physical size.
- 284 Figure 2 ERP results of Experiment 1. (A) ERP curves that were first averaged across all six 285 electrodes of interest for each participant and then averaged across participants for each condition. (B) The difference in amplitude between conditions that had the same retinal size (i.e., 286 287 between NS and FL), and between conditions that had the same physical size (i.e., between FS and NS, and between FL and NL). The gray arrow points to approximately when the 288 289 representation of retinal image size ended and when the signals began to change to represent the physical size (see Table S1 for statistical results). (C) The results of the representational 290 similarity analysis (RSA). Each curve shows the time course of correlation between the 291 292 similarity matrix of the neural model obtained from the ERP amplitude pattern and the similarity 293 matrix of each of the size models (Retinal Size model and Physical Size model). The horizontal axis shows the start point of the 20-ms sliding time window. Shaded regions show standard error 294 295 of the mean. The colored thick bars show when the values on each curve were significantly 296 different from 0. The gray box shows when the two correlations were significantly different (see Table S2 for statistical results). The p values were corrected using a cluster-based test statistic 297 298 (Monte Carlo) method embedded in FieldTrip toolbox [28]; the same criterion was used for all 299 time-course-related comparisons hereafter. See Figures S1 and S2, and Tables S1 and S2 for the perceived-size results and ERP results of Experiment 1a in which participants viewed the same 300 stimuli in the same full-viewing condition as they did in Experiment 1 but performed a different 301
- 302 task.
- 303 Figure 3 Restricted-viewing condition and the behavioral results of perceived size in
- **Experiment 2.** (A) Participants viewed the stimuli monocularly through a 1 mm pinhole in
- 305 complete darkness. The stimuli were solid white circles presented on a black screen. Through the 306 1-mm hole, participants were able to see only part of the monitor (dashed-line circle) but not the
- borders. Again, the monitor was moved to different distances with the same setup as that in
- 308 Experiment 1. (B) The perceived size (measured via manual estimation) for each individual
- 309 (shown as each gray line with symbols) in Experiment 2 during restricted viewing and their
- 310 average results (black lines with symbols).
- Figure 4 Results of Experiment 2. (A) Middle: ERP curves that were first averaged across all 311 six electrodes for each participant and then averaged across participants for each condition. Left: 312 Scatter plot showing the correlation between the amount of size-constancy disruption reflected in 313 the perceived size (i.e., behavioral index) and the amount of size-constancy disruption reflected 314 in the earliest visual-evoked component C1 (i.e., the orange area in the middle figure, EEG 315 index). Right: scatter plot showing the correlation between the behavioral index and the EEG 316 index reflected in the later ERP components (i.e., the blue area in the middle figure). (B) The 317 difference in ERP amplitude between conditions that had the same retinal size or the same 318 319 physical size (see Table S1 for statistical results). (C) RSA results. Each curve shows the time course of the correlation between the similarity matrix of each size model and the similarity 320
- 321 matrix of the neural model obtained from the ERP activation pattern. The horizontal axis shows

- 322 the start point of the 20-ms sliding time window. Shaded regions show standard error of the
- 323 mean. Again, the colored thick bars in (B) and (C) show when the values on each curve were
- 324 significantly different from 0 and the gray box shows when the difference in the correlation of
- 325 neural model with Retinal Model and with Perceived Model was statistically significant (see
- Table S2 for statistical results).
- 327

328 STAR★Methods

329 Contact for Reagent and Resource Sharing

330 Further information and requests for resources should be directed to and will be fulfilled by the

331 Lead Contact Juan Chen (juanchen@m.scnu.edu.cn).

332 Experimental Model and Subject Details

333 Seventeen participants took part in Experiment 1. One participant's data were discarded because

- of strong noise in his EEG signals. The ages of the remaining 16 participants (6 males, 10
- females) ranged between 21 and 27 (M = 24.4, SD = 1.86). Six of the participants of Experiment
- 1 and ten naïve participants (16 in total, 5 males and 11 females with ages ranging between 19
- and 27, M = 23.06, SD = 2.69) took part in the EEG portion of Experiment 1a, but only 14 of
- them took part in the behavioral portion of the experiment where participants were asked to
- manually estimate the perceived size of the stimulus. Two participants were unable to complete
- the behavioral portion because they had to leave the testing session before it was finished.
- Sixteen participants took part in both the EEG portion and the behavioral size estimation task of E_{1}
- Experiment 2 (6 males and 10 females). One of them also took part in Experiment 1 and another 242 also took part in Experiment 10. Their ages remained between 10 and 52 (M = 26.60, SD = 0.24)
- also took part in Experiment 1a. Their ages ranged between 19 and 52 (M = 26.69, SD = 9.34). All participants were right handed and had no history of neurological impairments. Participants
- in Experiments 1 and 1a had either normal or corrected-to-normal visual acuity. All participants
- in Experiment 2 had normal visual acuity. Informed consent was obtained from all subjects
- 347 according to procedures and protocols approved by the Health Sciences Research Ethics Board at
- 348 The University of Western Ontario.

349 Method Details

350 Stimuli and setup

351 In Experiments 1 and 1a, the stimuli were black (luminance: 0.74 cd/m²) solid circles with a

- diameter of 4 cm (i.e. 'Small' or 'S') or 8 cm (i.e. 'Large' or 'L') (Figure 2B). They were
- presented in the center of a screen with a white (luminance: 79.13 cd/m^2) background. The
- 354 stimulus was presented on a 19 inch monitor (ViewSonic, width: 37.5 cm, height: 30 cm). The
- display monitor was mounted on a movable track so that the experimenter could move it to a

- near (28.5 cm, 'N') or a far viewing distance (57 cm, 'F') (Figure 2A). We used black circles on
- a white background, instead of white circles on a black background as stimuli, so that the
- 358 changes in retinal illuminance with distance should be minimized. We used solid circles, instead
- of gratings or other complex objects as stimuli, to avoid any confound of differences in spatial
- 360 frequency at different viewing distances. There was a fixation point (a red dot) on the center of
- the screen throughout the experiments. Participants were seated in front of the screen with their chin on a chinrest. This experiment was performed with the room lights on and under binocular
- 363 viewing conditions (i.e., full-viewing condition).
- In Experiment 2, the same design as described above (2 sizes × 2 distances) was adopted. The
- room was completely dark and participants looked at the stimuli through a 1 mm hole on the pin-
- 366 hole glasses with their non-dominant eye (i.e., restricted-viewing condition). The stimuli were
- 367 *white* (luminance: 79.13 cd/m²) solid circles presented on a *black* (luminance: 0.74 cd/m²)
- 368 background. The reason for using white circles as stimuli was that if black circles were presented
- 369 on a white background in Experiment 2, participants would be able to see the boundary of the
- 370 circular field of view clearly when they wore pin-hole glasses. The relative size between the
- 371 circular stimuli and the area they could see through the pin-hole would have provided them with
- information regarding the size of the stimuli, which would have made it impossible to disrupt
- 373 size constancy.

374 Procedure

- 375 In Experiment 1, participants were asked to indicate whether a solid circle was small or large
- regardless of distance by pressing two keys ("1" for small and "2" for large) during EEG
- 377 recording. At the beginning of each trial, the experimenter was cued with a small letter, either
- 378 'N' or 'F', that appeared at the corner of the screen to indicate whether the viewing distance of a
- 379 specific trial would be near or far (note: the participants could not see the letter in their far
- 380 periphery). The experimenter who sat beside the monitor would move the monitor to the near or
- far position, accordingly. $1.5 \sim 2.5$ s after the screen was moved to the right position, the
- 382 experimenter pushed a key to trigger the presentation of the stimulus. The stimulus was
- presented on the screen for 0.2 s. Participants were asked to maintain fixation at the fixation point throughout the experiment. There were 100 trials in each run, with 25 trials for each
- 385 condition.
- In Experiment 1a, the protocol of the EEG trials was the same as that described for Experiment 1
- 387 with two exceptions. First, during EEG recording in each run, there were 10 additional trials in
- 388 which the stimulus was an open circle of a middle size, rather than a solid circle. Participants
- 389 were asked to push a key ("0") as soon as they saw the open circle (i.e., size-irrelevant detection
- task). Second, in addition to the EEG trials, 14 out of the 16 participants also performed a
- behavioral task in which they were asked to open their thumb and index finger to indicate the
- 392 perceived size of the stimulus (manual estimation task) [16, 19, 20]. The distance between the
- finger and thumb was then measured with a measuring tape. This psychophysical measure was taken after the EEG session. Participants completed 4-5 psychophysical blocks depending on the
- time available, with 2 manual estimates for each of the four conditions in each block. [Note that
- it is unlikely that the six of the 16 participants who performed both Experiments 1 and 1a would
- also be implicitly categorizing the two "main" stimuli as "Small" or "Large" in Experiment 1a
- because the target stimulus in the detection task of Experiment 1a was different in size from the

- 399 other two. Moreover, the most obvious difference between the target stimulus and the other two 400 stimuli was that it was an open rather than a solid circle.]
- 401 In Experiment 2, the same EEG protocol was used as reported above. Participants performed the
- 402 same size-irrelevant detection task as in Experiment 1a during EEG recording and also
- 403 performed a separate behavioral testing session as in Experiment 1a. Unlike Experiment 1a, the
- 404 psychophysical blocks were performed before any EEG recordings and after every three or four
- 405 EEG runs, in case the perceptual experience of size changed over EEG runs.
- 406 In all experiments, the order of the four conditions was randomized on a trial-by-trial basis.
- 407 Participants completed between 8 and 14 runs of EEG recording depending on the time
- 408 available, for a total of 200-300 repetitions for each condition. Each experiment lasted between 3
- and 4 hours.
- 410 It should be noted that size constancy was not affected by the restricted-viewing condition to the
- 411 same extent across participants, probably because of individual differences in their ability to use
- 412 residual depth cues (e.g. vibration or auditory cues provided by the movement of the monitor, or
- 413 changes in the retinal illuminance of the white stimulus) to enable size constancy. (In another
- 414 study from our lab in which we moved a sphere, rather than a monitor, to different locations on a
- table, we were able to successfully disrupt size constancy in all participants using the same
- restricted-viewing condition [16]). To investigate if the early or the late components of ERPs
- 417 reflect perceived size, we did a behavioral screening to select participants. Fifteen out of the 32
- 418 participants we screened showed size constancy disruption to some degrees. These 15
- 419 participants and an additional participant whose size constancy was perfect in the restricted-
- 420 viewing condition were included in Experiment 2.

421 *EEG measurements*

- 422 Scalp EEG was collected using NeuroScan Acquire 4.3 recording system (Compumedics) from
- 423 32 Ag/AgCl electrodes positioned according to the extended international 10 20 EEG system.
- 424 Vertical electro-oculogram (VEOG) was recorded from two electrodes placed above or below
- 425 the left eye. Horizontal EOG (HEOG) was recorded from two electrodes placed at the outer 426 canthus of the left and the right eyes. Because we were interested in the six electrodes at the
- 426 canthus of the left and the right eyes. Because we were interested in the six electrodes at the 427 parietal and occipital part of the scalp (i.e., CP3, CPZ, CP4, P3, PZ, and P4) that have been
- reported to reflect visual processing [21-23], we always kept the impedance of these six
- 429 electrodes below 10 k Ω . We also tried to keep the impedance of the other electrodes as low as
- 430 possible, but this revealed to be impossible for all participants due to the long duration of the
- 431 EEG session (> 3 hours). EEG was amplified with a gain of 500 K, band pass filtered at 0.05 -
- 432 100 Hz, and digitized at a sampling rate of 500 Hz. The signals on these electrodes were
- 433 referenced online to the electrode on the nose.

434 **Quantification and Statistical Analysis**

435 ERP data Preprocessing

- 436 Offline data analysis was performed with NeuroScan Edit 4.3 (Compumedics) and MATLAB
- 437 R2014 (Mathwork). The EEG data was first low-pass filtered at 30 Hz, and then epoched starting
- 438 at 100 ms before the stimulus onset and ending 400 ms after stimulus onset. Each epoch was
- 439 baseline-corrected against the mean voltage of the 100 ms pre-stimulus interval. The epochs

- 440 contaminated by eye blinks, eye movements, or muscle potentials exceeding \pm 50 μ V at any
- 441 electrode were excluded from the average.

442 Amplitude and latency analyses of ERP components

443 For the event-related potential (ERP) analysis, the remaining epochs after artifact rejection were

444 averaged for each condition. Preliminary analyses revealed that the activity pattern of the four

445 conditions in all 6 electrodes (i.e., CP3, CPZ, CP4, P3, PZ, and P4) were similar. Therefore, only

the ERP amplitude and latency results that were averaged across these six electrodes werereported. The peak amplitude and latency of each component were acquired for each condition

- 447 reported. The peak amplitude and fatency of each component were acquired for each cond
- 448 and each participant.

449 *Representational similarity analysis (RSA)*

- 450 To examine at what time the brain activity was representing the retinal size, physical size or
- 451 perceived size, we calculated the correlation between the similarity matrix revealed in neural
- 452 signals (i.e., ERP amplitude) and similarity matrices for the retinal size, physical size and the
- 453 perceived size, respectively, for each sliding window (10 data points, i.e., 20 ms) with the first
- 454 point of the window moving from -100 ms to 382 ms. The element of the similarity matrix for
- the neural model (i.e., EEG signals) was set as the Fisher-Z correlation coefficient between the
- EEG patterns for each pair of conditions at a specific time window. Each EEG patterns included
- 457 60 elements (10 data points \times 6 electrodes).
- 458 The similarity matrices for the retinal size and the physical size are shown in **Figure 1C left and**
- 459 middle, respectively. The similarity between two conditions was set as 1 if the retinal size or the
- 460 physical size was the same, but was set as 0 if the retinal size or the physical size was different.
- 461 These matrices were fixed across participants. The similarity matrix for perceived size was
- 462 calculated for each individual in Experiments 1a and 2 (see Figure 1C, right for an example in
- Experiment 2). Each element of the matrix was obtained by first calculating the perceived size
- difference between two conditions, and then multiplying the obtained value by -1. For
- 465 Experiment 1, no perceived size data was collected for each individual, and therefore only
- 466 retinal-size model and physical-size model were tested. For Experiment 1a, all the participants 467 showed excellent size constancy, so the similarity matrix for perceived size (not shown in this
- showed excellent size constancy, so the similarity matrix for perceived size
 figure) was essentially identical to the similarity matrix for physical size.
- 469 To obtain an unbiased measurement of the correlation between the neural model and the size
- 469 To obtain an unbiased measurement of the correlation between the neural model and the size 470 model, we used a procedure similar to the n-folded cross-validation that was commonly used in
- 471 pattern recognition analysis [43]. Specifically, we first randomly sampled half group of trials
- from the whole set of ERP trials for each condition, then we averaged the ERPs of the sampled
- trials. The averaged ERPs were used to calculate the correlation coefficients between the EEG
- 474 patterns of each pair of conditions (i.e., the elements of the neural model) at each sliding time
- 475 window and to calculate the correlation between the obtained neural model and size model. This
- 476 procedure was repeated 50 times. The 50 correlation coefficients between the neural model and
- size model were first converted to Fisher-Z scores, and were then averaged to obtain the reported
- 478 correlation results.

- 479 Correlation between size constancy disruption index calculated in perceptual judgments and in
 480 ERP components
- 481 In Experiment 2, to test which ERP component reflected the individual variability in size-
- 482 constancy disruption, we calculated the correlation between the amounts of size-constancy
- disruption measured behaviourally and the amount of size-constancy disruption measured in the
- 484 ERP components across individuals.

The behavioral size-constancy disruption index (BI) was defined as the difference in perceived
size between the NL and the FL conditions normalized by the perceived size in the FL condition,
i.e.,

$$488 \qquad \text{BI} = \frac{ME_{NL} - ME_{FL}}{ME_{FL}}, \quad (1)$$

- 489 where ME indicates manual estimate of perceived size.
- 490 The EEG size constancy disruption index (EI) was defined as the area between the ERP
- 491 waveforms for the NL and FL conditions normalized by the area under the FL waveform in an492 interval, i.e.,

493
$$\operatorname{EI} = -\frac{\operatorname{Area}_{NL} - \operatorname{Area}_{FL}}{\operatorname{Area}_{FL}},$$
 (2)

494 where "Area" stands for the numerical integration under the curve in a specific interval. For C1,

this interval was when the C1 amplitudes was significant in the NL condition. Practically, this

496 interval were when C1 amplitudes were significantly higher than the 25% of the peak amplitude

of the C1 in the same condition. In the current case, the interval was between 78-90 ms after
 stimulus onset (the orange shaded area in Figure 4A, middle). For the late EEG component, the

- 498 stimulus onset (the orange shaded area in Figure 4A, indufe). For the fate EEG component, the 499 interval was when the amplitude of NL was significantly different from the FL condition (blue
- shaded area from 154 ms to 350 ms in **Figure 4A, middle**). The large size, but not the small size,
- 501 was used to calculate the behavioral and EEG size-constancy disruption indices because the size
- 502 constancy disruption (i.e., the difference in perceived size or in ERP amplitude between near and 503 far distances) was more evident and reliable in the large size condition than in the small size
- 504 condition in both the behavioral and EEG results. Pearson correlation was calculated to test
- 505 whether or not the correlation between behavioral performance and neural signals was
- significant. For C1, one outlier (beyond \pm -5 SD) was excluded.

507 Statistical Analysis

508 To examine whether or not there was size constancy, repeated ANOVAs with size and distance 509 as main factors were carried out to reveal specifically whether or not the main effect of distance

- 510 was significant. To compare the amplitude of C1 component evoked by different conditions,
- 511 paired sample t-tests were performed on the peak value of the C1 amplitude. To search intervals
- 512 when there were significant differences between each time course and 0 or between two time 513 courses, paired sample t-tests were conducted point-by-point, and they were then corrected for
- 513 courses, paired sample t-tests were conducted point-by-point, and they were then corrected for 514 multiple comparisons using the cluster-based test statistic embedded in FieldTrip toolbox [28]
- (Monte Carlo method, p < 0.05). For the RSA results and the correlation between BI and EI
- results, all statistical comparisons were conducted on the Fisher Z scores of the Pearson
- 517 correlation coefficients.

518 **Data and Software availability**

519 The primary data of this study can be found at <u>http://bmi.ssc.uwo.ca/Chen_CB2019/</u>

520 References

- Lal, R., and Friedlander, M.J. (1990). Effect of passive eye position changes on retinogeniculate transmission in the cat. J Neurophysiol *63*, 502-522.
- 523 2. Weyand, T.G., and Malpeli, J.G. (1993). Responses of neurons in primary visual cortex are
 524 modulated by eye position. J Neurophysiol 69, 2258-2260.
- 5253.Trotter, Y., Celebrini, S., Stricanne, B., Thorpe, S., and Imbert, M. (1992). Modulation of neural526stereoscopic processing in primate area V1 by the viewing distance. Science 257, 1279-1281.
- 527 4. Trotter, Y., and Celebrini, S. (1999). Gaze direction controls response gain in primary visual-cortex
 528 neurons. Nature *398*, 239-242.
- 529 5. Dobbins, A.C., Jeo, R.M., Fiser, J., and Allman, J.M. (1998). Distance Modulation of Neural 530 Activity in the Visual Cortex. Science *281*, 552-555.
- 5316.Masson, G.S., Busettini, C., and Miles, F.A. (1997). Vergence eye movements in response to532binocular disparity without depth perception. Nature 389, 283-286.
- 7. Rosenbluth, D., and Allman, J.M. (2002). The Effect of Gaze Angle and Fixation Distance on the
 Responses of Neurons in V1, V2, and V4. Neuron *33*, 143-149.
- 8. Richards, W. (1968). Spatial remapping in the primate visual system. Kybernetik 4, 146-156.
- 5369.Richards, W. (1971). Size-distance transformations. In Zeichenerkennung durch biologische und
technische Systeme/Pattern Recognition in Biological and Technical Systems. (Springer), pp. 276-
287.
- He, D., Mo, C., Wang, Y., and Fang, F. (2015). Position shifts of fMRI-based population receptive
 fields in human visual cortex induced by Ponzo illusion. Exp Brain Res 233, 3535-3541.
- 541 11. Fang, F., Boyaci, H., Kersten, D., and Murray, S.O. (2008). Attention-Dependent Representation
 542 of a Size Illusion in Human V1. Curr Biol 18, 1707-1712.
- Murray, S.O., Boyaci, H., and Kersten, D. (2006). The representation of perceived angular size in human primary visual cortex. Nat Neurosci 9, 429-434.
- 54513.Sperandio, I., Chouinard, P.A., and Goodale, M.A. (2012). Retinotopic activity in V1 reflects the
perceived and not the retinal size of an afterimage. Nat Neurosci 15, 540-542.
- Liu, Q., Wu, Y., Yang, Q., Campos, J.L., Zhang, Q., and Sun, H.J. (2009). Neural correlates of size
 illusions: an event-related potential study. Neuroreport 20, 809-814.
- Ni, Amy M., Murray, Scott O., and Horwitz, Gregory D. (2014). Object-Centered Shifts of
 Receptive Field Positions in Monkey Primary Visual Cortex. Curr Biol 24, 1653-1658.
- 55116.Chen, J., Sperandio, I., and Goodale, M.A. (2018). Proprioceptive Distance Cues Restore Perfect552Size Constancy in Grasping, but Not Perception, When Vision Is Limited. Curr Biol 28, 1-6.
- Holway, A.H., and Boring, E.G. (1941). Determinants of apparent visual size with distance variant.
 Am. J. Psychol, 21-37.
- 55518.Sperandio, I., and Chouinard, P.A. (2015). The mechanisms of size constancy. Multisens Res 28,556253-283.
- 557 19. Chen, J., Jayawardena, S., and Goodale, M.A. (2015). The effects of shape crowding on grasping.
 558 J Vis 15, 1-9.
- 55920.Chen, J., Sperandio, I., and Goodale, M.A. (2015). Differences in the effects of crowding on size560perception and grip scaling in densely cluttered 3-D scenes. Psychol. Sci. 26, 58-69.
- Luck, S.J. (2005). An Introduction to the Event-Related Potential Technique, (Cambridge, MA:
 Massachusetts Institute of Technology).
- Chen, J., He, Y., Zhu, Z., Zhou, T., Peng, Y., Zhang, X., and Fang, F. (2014). Attention-Dependent
 Early Cortical Suppression Contributes to Crowding. J Neurosci *34*, 10465-10474.

- Chen, J., Yu, Q., Zhu, Z., Peng, Y., and Fang, F. (2016). Spatial summation revealed in the earliest visual evoked component C1 and the effect of attention on its linearity. J Neurophysiol *115*, 500-567
 509.
- 568 24. Clark, V.P., Fan, S., and Hillyard, S.A. (1994). Identification of early visual evoked potential
 569 generators by retinotopic and topographic analyses. Hum. Brain Mapp. 2, 170-187.
- 570 25. Di Russo, F., Martínez, A., Sereno, M.I., Pitzalis, S., and Hillyard, S.A. (2002). Cortical sources of 571 the early components of the visual evoked potential. Hum. Brain Mapp. *15*, 95-111.
- 572 26. Bao, M., Yang, L., Rios, C., He, B., and Engel, S.A. (2010). Perceptual Learning Increases the
 573 Strength of the Earliest Signals in Visual Cortex. J Neurosci *30*, 15080-15084.
- 574 27. Foxe, J.J., and Simpson, G.V. (2002). Flow of activation from V1 to frontal cortex in humans. Exp
 575 Brain Res 142, 139-150.
- 576 28. Oostenveld, R., Fries, P., Maris, E., and Schoffelen, J.-M. (2011). FieldTrip: Open Source Software
 577 for Advanced Analysis of MEG, EEG, and Invasive Electrophysiological Data. Comput intel
 578 neurosc 2011, 9.
- 579 29. Hennessy, R.T., Iida, T., Shiina, K., and Leibowitz, H. (1976). The effect of pupil size on accommodation. Vision Res. *16*, 587-589.
- 58130.Mirzajani, A., and Jafari, A. (2014). The effect of binocular summation on time domain transient582VEP wave's components. Razi Journal of Medical Sciences 21, 29-35.
- Wyatte, D., Jilk, D.J., and O'Reilly, R.C. (2014). Early recurrent feedback facilitates visual object
 recognition under challenging conditions. Front Psychol 5, 674.
- 58532.Bouvier, S., and Treisman, A. (2010). Visual Feature Binding Requires Reentry. Psychol. Sci. 21,586200-204.
- 587 33. Koivisto, M., and Silvanto, J. (2011). Relationship between visual binding, reentry and awareness.
 588 Conscious Cogn 20, 1293-1303.
- Marg, E., and Adams, J. (1970). Evidence for a neurological zoom system in vision from angular
 changes in some receptive fields of single neurons with changes in fixation distance in the human
 visual cortex. Cell. Mol. Life Sci. 26, 270-271.
- Solution Structure
 Solution Structure</l
- 595 36. Sommer, M.A., and Wurtz, R.H. (2008). Brain circuits for the internal monitoring of movements.
 596 Annu. Rev. Neurosci. 31, 317-338.
- 597 37. Grieve, K.L., Acuña, C., and Cudeiro, J. (2000). The primate pulvinar nuclei: vision and action.
 598 Trends Neurosci. 23, 35-39.
- 38. Hanslmayr, S., Volberg, G., Wimber, M., Dalal, Sarang S., and Greenlee, Mark W. (2013).
 Prestimulus Oscillatory Phase at 7 Hz Gates Cortical Information Flow and Visual Perception. Curr
 Biol 23, 2273-2278.
- 39. Prentiss, E.K., Schneider, C.L., Williams, Z.R., Sahin, B., and Mahon, B.Z. (2018). Spontaneous
 in-flight accommodation of hand orientation to unseen grasp targets: A case of action blindsight.
 Cogn Neuropsychol, 1-9.
- 60540.Whitwell, R.L., Striemer, C.L., Nicolle, D.A., and Goodale, M.A. (2011). Grasping the non-
conscious: Preserved grip scaling to unseen objects for immediate but not delayed grasping
following a unilateral lesion to primary visual cortex. Vision Res. 51, 908-924.
- 41. Carey, D.P., Harvey, M., and Milner, A.D. (1996). Visuomotor sensitivity for shape and orientation in a patient with visual form agnosia. Neuropsychologia *34*, 329-337.
- 610 42. Carey, D.P., Dijkerman, H.C., and Milner, A.D. (1998). Perception and action in depth. Conscious
 611 Cogn 7, 438-453.
- 612 43. Bishop, C.M. (2006). Pattern Recognition and Machine Learning, (Springer).
- 613