# Smoothness-Increasing Accuracy-Conserving (SIAC) Filtering for Discontinuous Galerkin Solutions over Nonuniform Meshes: Superconvergence and Optimal Accuracy Xiaozhou Li\*<sup>¶</sup> Jennifer K. Ryan<sup>†||††</sup> Robert M. Kirby<sup>‡\*\*</sup> Kees Vuik<sup>§</sup>

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#### Abstract

Smoothness-Increasing Accuracy-Conserving (SIAC) filtering is an area of increasing interest because it can extract the "hidden accuracy" in dis-10 continuous Galerkin (DG) solutions. It has been shown that by applying 11 a SIAC filter to a DG solution, the accuracy order of the DG solution im-12 proves from order k+1 to order 2k+1 for linear hyperbolic equations over 13 uniform meshes. However, applying a SIAC filter over nonuniform meshes 14 is difficult, and the quality of filtered solutions is usually unsatisfactory 15 applied to approximations defined on nonuniform meshes. The applicabil-16 ity to such approximations over nonuniform meshes is the biggest obstacle 17 to the development of a SIAC filter. The purpose of this paper is twofold: 18 to study the connection between the error of the filtered solution and the 19 nonuniform mesh and to develop a filter scaling that approximates the 20

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optimal error reduction. First, through analyzing the error estimates for SIAC filtering, we computationally establish for the first time a relation between the filtered solutions and the unstructuredness of nonuniform meshes. Further, we demonstrate that there exists an optimal accuracy of the filtered solution for a given nonuniform mesh and that it is possible to obtain this optimal accuracy by the method we propose, an optimal filter scaling. By applying the newly designed filter scaling over nonuniform meshes, the filtered solution has demonstrated improvement in accuracy order as well as reducing the error compared to the original DG solution. Finally, we apply the proposed methods over a large number of nonuniform meshes and compare the performance with existing methods to demonstrate the superiority of our method.

In memory of Saul Arbarbenel, a dear friend and mentor.

# <sup>34</sup> 1 Introduction

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In practical applications, there are strong motivators for the adoption of un-35 structured meshes for handling complex geometries and using adaptive mesh 36 refinement techniques. Based on this practical necessity, it is widely believed 37 that discontinuous Galerkin methods, which provide high-order accuracy on 38 unstructured meshes, will become one of the standard numerical methods for 39 future generations. Along with the rapid growth of the DG method, the super-40 convergence of the DG method has become an area of increasing interest because 41 of the ease with which higher order information can be extracted from DG so-42 lutions by applying Smoothness-Increasing and Accuracy-Conserving (SIAC) 43 filtering. However, SIAC filters are still limited primarily to structured meshes. 44 For general nonuniform meshes, the quality of the filtered solution is usually un-45 satisfactory. The ability to effectively handle nonuniform meshes is an obstacle 46 to the further development of a SIAC filter. 47

This paper focuses on applying a SIAC filter for DG solutions over nonuni-48 form meshes. Specifically, this study focuses on the barrier to applying SIAC fil-49 ters over nonuniform meshes – the scaling. This problem was noted in [3], which 50 extends a postprocessing technique for enhancing the accuracy of solutions [1] 51 to linear hyperbolic equations. The postprocessing technique was renamed the 52 Smoothness-Increasing Accuracy-Conserving filter in [5]. A series of studies of 53 different aspects of SIAC filters are presented in [5, 20, 11], etc. For uniform 54 meshes, it was shown that by applying a SIAC filter to a DG approximation at 55 the final time, the accuracy order improves from k+1 to 2k+1 for linear hyper-56 bolic equations with periodic boundary conditions [3]. This superconvergence 57 of order 2k + 1 is promising; however it is limited to uniform meshes. Only for 58 a particular family of nonuniform meshes, smoothly-varying meshes, have the 59

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filtered solutions been proven to have a superconvergence order of 2k + 1 [20]. 60 As for general nonuniform meshes, the preliminary theorem in [3] provides a 61 solution, but it is not very useful in practice. The filtered solutions can still 62 be improved. Further, the computational results for relatively unstructured tri-63 angular meshes [12] suggest that it is possible to reduce the errors of the DG 64 solutions through a suitable choice of filter scalings for approximations defined 65 over unstructured meshes. However, in [12] there is no clear accuracy order 66 improvement and no guarantee of error reduction. Also, the lack of theoretical 67 analysis makes it difficult to evaluate the quality of the filtered solutions. There 68 has been some work related this topic, such as the nonuniform filter proposed 69 in [16, 15]. 70

The primary goal of this paper is to address these challenges and try to improve the quality of the DG solutions over general nonuniform meshes. Our main contributions are:

Optimal accuracy. First, we study the error estimates of the SIAC filter for uniform and nonuniform meshes and point out the difficulties for the filter over nonuniform meshes. Then, we computationally establish for the first time a relation between the filtered solutions and the unstructuredness of nonuniform meshes. Further, we demonstrate that for a given nonuniform mesh, there exists an optimal accuracy (optimal error reduction) of the filtered solution.

**Optimal scaling.** To approximate this optimal accuracy, we first analyze the 80 relation between the filter scaling and the error of filtered solutions for different 81 nonuniform meshes. Then, we introduce a measure of the unstructuredness of 82 nonuniform meshes and propose a procedure that adjusts the scaling of a SIAC 83 filter according to the unstructuredness of the given nonuniform mesh. Also, we 84 demonstrate that with the newly designed optimal scaling, the filtered solution 85 has a higher accuracy order, and the errors are reduced compared to the original 86 DG solutions even for the worst nonuniform meshes. 87

Scaling performance validation. Finally, to ensure the proposed scaling is a robust algorithm that can be used in practice, we validated the performance of the proposed scaling over a large number of nonuniform meshes and compared with other commonly used scalings to illustrate that the accuracy of the DG solution is improved by using the proposed scaling and its superiority compared to existing methods.

This paper is organized as follows. In Section 2, we review the DG method and SIAC filters as well as the relevant properties. In Section 3, we investigate the effects of the filter scaling on the accuracy of the filtered solution. We then introduce a measure of the unstructuredness of nonuniform meshes and provide an algorithm to approach the optimal accuracy in Section 4. Also, in Section 4, we provide a scaling performance validation for the proposed scaling along with other commonly used scalings. Numerical results for different one- and two-dimensional nonuniform meshes are given in Section 5. The conclusions are
 presented in Section 6.

## <sup>103</sup> 2 Background

In this section, we review the necessary properties of discontinuous Galerkin
methods, the definition of nonuniform meshes for the purposes of this article,
and the Smoothness-Increasing Accuracy-Conserving (SIAC) filter.

#### <sup>107</sup> 2.1 Construction of Nonuniform Meshes

<sup>108</sup> Before introducing the discontinuous Galerkin method, we introduce the struc-<sup>109</sup> ture of the nonuniform meshes that will be used in this paper. The main con-<sup>110</sup> struction of the nonuniform meshes are similar to those meshes used in [11]:

#### Mesh 2.1.

$$x_{\frac{1}{2}} = 0, \quad x_{N+\frac{1}{2}} = 1, \quad x_{j+\frac{1}{2}} = \left(j + b \cdot r_{j+\frac{1}{2}}\right)h, \qquad j = 1, \dots, N-1$$

where  $\left\{r_{j+\frac{1}{2}}\right\}_{j=1}^{N-1}$  are random numbers between (-1,1), and  $b \in (0,0.5]$  is a

constant number. Here,  $h = \frac{x_{N+\frac{1}{2}} - x_{\frac{1}{2}}}{N}$  is a function of N, in this way, one can reduce the structure added by increasing the number of elements. The size of element  $\Delta x_j = x_{j+\frac{1}{2}} - x_{j-\frac{1}{2}}$  is between ((1-2b)h, (1+2b)h). In order to save space, we present an example with b = 0.4 only. Other values of b such as 0.1, 0.2 and 0.45 have also been studied and are consistent with the results presented herein.

**Mesh 2.2.** We distribute the element interface,  $x_{j+\frac{1}{2}}$ , j = 1, ..., N - 1, randomly for the entire domain and only require

$$\Delta x_j = x_{j+\frac{1}{2}} - x_{j-\frac{1}{2}} \ge b \cdot h, \qquad j = 0, \dots, N.$$

In this paper (except the performance tests in Section 4), we present the case where b = 0.5 for this mesh. Other values of b such as 0.6, 0.8 have also been studied and are consistent with the results presented herein.

**Remark 2.3.** Mesh 2.1 is a quasi-uniform mesh since  $\frac{\Delta x_{\max}}{\Delta x_{\min}} \leq \frac{1+2b}{1-2b}$ . Mesh 2.2 is more unstructured than Mesh 2.1 since in the worst case  $\frac{\Delta x_{\max}}{\Delta x_{\min}} \approx \frac{1-b}{b}N$ which is unbounded as  $N \to \infty$ . It is expected that the DG approximation and the filtered solution are of better quality for Mesh 2.1 than for Mesh 2.2. Illustrations of these meshes are given in Figure 2.1.

<sup>128</sup> We will analyze the applicability of the SIAC filter scaling factor utilizing <sup>129</sup> these meshes. Mesh 2.1 • • • • • • • • • • • • • • • • • •

Mesh 2.2 urrent to the transmission of tra

Figure 2.1: Illustration of Mesh 2.1 and Mesh 2.2. Here the largest-to-smallest element ratio is about 4.5 for Mesh 2.1 (top), and 33.1 for Mesh 2.2 (bottom).

#### <sup>130</sup> 2.2 Discontinuous Galerkin Methods

Here, we briefly describe the discontinuous Galerkin method; more details can
be found in [2, 4]. As an illustrative example, we consider a multi-dimensional
linear hyperbolic equation of the form

$$u_t + \sum_{i=1}^d A_i u_{x_i} + A_0 u = 0, \qquad (\mathbf{x}, t) \in \Omega \times [0, T],$$
  
$$u(\mathbf{x}, 0) = u_0(\mathbf{x}),$$
(2.1)

where  $u_0$  is sufficiently smooth, the coefficients  $A_i$  are constants and  $\Omega = [a_1, b_1] \times \cdots \times [a_d, b_d] \subset \mathbb{R}^d$ . Let K represent an element in a quadrilateral tessellation  $\mathcal{T}_h$  of the domain  $\Omega$ . Discontinuous Galerkin methods seek an approximation  $u_h$  in the space of piecewise polynomials of degree  $\leq k$ ,

$$V_h^k = \left\{ \varphi : \varphi|_K \in \mathbb{P}^k, \, \forall K \in \mathcal{T}_h \right\},\,$$

and the DG approximation  $u_h$  is determined by the scheme

$$((u_h)_t, v_h)_K - \sum_{i=1}^d (a_i u_h, (v_h)_{x_i})_K + \sum_{i=1}^d \int_{\partial K} a_i \hat{u}_h v_h n_i ds + (a_0 u_h, v_h)_K = 0, \quad (2.2)$$

for any  $v_h \in V_h^k$ , and  $\hat{u}_h$  is the flux. For the results presented in this paper, we have utilized one particular choice – the upwind flux. Here, (f,g) denotes the standard inner product:

$$(f,g)_K = \int_K fg \, dK.$$

#### <sup>142</sup> 2.3 Superconvergence in the Negative Order Norm

The DG method has many important properties. The most relevant property for the purposes of this paper are the accuracy order of the divided differences of the DG approximation. In the  $L^2$  norm it is k + 1 which aides in proving the superconvergence of order 2k + 1 in the negative order norm. These properties are the theoretical foundations of SIAC filters (see [3, 11]) and define the choice of the number of B-splines in the SIAC convolution kernel. To highlight this connection, the error of filtered solution can be viewed a linear combination of
the errors from the choice of the number of B-splines used in the filter as well
as the discretization error,

$$\|u - u_h\|_0 \leq \underbrace{C_1 H^{2k+1}}_{\text{Number of B-Splines}} + C_2 \underbrace{\|\partial_H^\alpha (u - u_h)\|_{-(k+1)}}_{\text{Discretization Error}}.$$

This is discussed further in Section 3.2. Because of the importance of the divided
differences in the error estimates, in this section, we first discuss the properties
of the divided difference of DG approximation. For uniform meshes, the main
theorem is given below.

**Theorem 2.1** (Cockburn et al. [3]). Let u be the exact solution of equation (2.1) with periodic boundary conditions, and  $u_h$  the DG approximation derived by scheme (2.2). For a uniform mesh, the approximation and its divided differences in the  $L^2$  norm are:

$$\|\partial_h^{\alpha}(u-u_h)\|_{0,\Omega} \le Ch^{k+1},\tag{2.3}$$

<sup>160</sup> and in the negative order norm:

$$\|\partial_h^{\alpha}(u-u_h)\|_{-(k+1),\Omega} \le Ch^{2k+1},\tag{2.4}$$

where  $\alpha = (\alpha_1, \dots, \alpha_d)$  is an arbitrary multi-index and h is the diameter of the uniform elements.

This theorem is valid assuming that the exact solution has sufficient regularity (belongs to a Hilbert space of order 2k + 2). Unfortunately, the error estimates of the DG approximation and its divided differences for nonuniform meshes become much more challenging, and for this case the estimates (2.3) and (2.4) are valid only for the DG approximation itself, that is,

Lemma 2.2 (Cockburn et al. [3]). Under the same conditions as in Theorem
 2.1. The DG approximation for a nonuniform mesh satisfies

$$\|u-u_h\|_{0,\Omega} \le Ch^{k+1},$$

<sup>170</sup> and in the negative order norm:

$$\|u - u_h\|_{-(k+1),\Omega} \le Ch^{2k+1}.$$
(2.5)

As for the divided differences,  $\partial_h^{\alpha} u_h$ , for nonuniform meshes, instead of (2.4), we have only the following lemma:

**Lemma 2.3.** Under the same conditions as in Lemma 2.2, given a constant scaling H, for nonuniform meshes, the divided differences of the DG approximation in the  $L^2$  norm satisfies

$$\|\partial_H^{\alpha}(u-u_h)\|_{0,\Omega} \le C_{\alpha}h^{2k+1}H^{-|\alpha|},$$

<sup>176</sup> and in the negative order norm:

$$\|\partial_{H}^{\alpha}(u-u_{h})\|_{-(k+1),\Omega} \le C_{\alpha}h^{2k+1}H^{-|\alpha|},$$

where  $\alpha = (\alpha_1, \ldots, \alpha_d)$  is an arbitrary multi-index.

**Remark 2.4.** Lemma-2.3 was first introduced as a conjecture in [3], and presented as a lemma with proof in [11]. In this paper, h is defined during the construction of Mesh 2.1 and Mesh 2.2,  $h = \frac{x_{N+\frac{1}{2}} - x_{\frac{1}{2}}}{N}$  is a function of the element N. Here, we note that Lemma 2.3 is valid for arbitrary constant H, but we will discuss how to choose the optimal scaling H in the following sections.

The relation between the  $L^2$  norm and the negative order norms are introduced in the following lemma:

Lemma 2.4 (Bramble and Schatz [1]). Let  $\Omega_0 \subset \subset \Omega_1$  and s be an arbitrary but fixed nonnegative integer. Then for  $u \in H^s(\Omega_1)$ , there exists a constant C such that

$$\|u\|_{0,\Omega_0} \le C \sum_{|\alpha| \le s} \|D^{\alpha}u\|_{-s,\Omega_1}.$$

In Table 2.1, we provide a basic example of the divided difference operation 189 over a nonuniform mesh (randomly chosen among Meshes 2.2). In this table,  $\mathcal{P}u$ 190 is the  $L^2$  projection of  $u(x,0) = \sin(x)$  over a randomly generated nonuniform 191 mesh. From Table 2.1, we can see that for  $\alpha \geq 1$ , the divided differences  $\partial_{\mu}^{\alpha} \mathcal{P} u$ 192 only have accuracy order of  $k + 1 - \alpha$ . This example clearly suggests that the 193 nonuniform mesh estimate (2.5) no longer holds, and the estimates in Lemma 194 2.3 can not be improved without further assumptions on the nonuniformity of 195 the mesh. 196

**Remark 2.5.** In this paper, the main results are based on the  $L^2$  norm. However, we also included the numerical results in the  $L^{\infty}$  norm for consistency with existing literature.

#### 200 2.4 SIAC Filter

We use the classical SIAC filter that stems from the work of Bramble and Schatz [1], Thomée [22] and Mock and Lax [14]. An extension of this technique to discontinuous Galerkin methods was introduced in [3]. Motivated by [3], a series of publications have studied SIAC filtering for DG methods from various aspects, such as [5, 12, 19, 18, 21].

arvia	vided differences over a randomity generated nonumorin mesn.											
		F	$v_u$			$\partial_h$	$\mathcal{P}u$		$\partial_h^2 \mathcal{P} u$			
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
	$\mathbb{P}^2$											
20	8.43E-05	-	2.76E-04	-	1.29E-03	-	4.12E-03	-	3.63E-02	-	9.20E-02	-
40	1.02E-05	3.05	3.10E-05	3.16	3.61E-04	1.84	1.54E-03	1.41	1.79E-02	1.02	4.76E-02	0.95
60	2.92E-06	3.09	1.03E-05	2.71	1.44E-04	2.27	4.78E-04	2.89	1.08E-02	1.26	3.22E-02	0.97
80	1.19E-06	3.13	3.89E-06	3.39	8.46E-05	1.84	2.89E-04	1.75	8.33E-03	0.89	2.41E-02	1.01
						$\mathbb{P}^{3}$						
20	1.78E-06	-	4.76E-06	-	2.99E-05	-	9.77E-05	-	7.01E-04	-	2.10E-03	-
40	1.17E-07	3.93	3.04E-07	3.97	4.39E-06	2.77	1.66E-05	2.56	1.75E-04	2.01	6.25E-04	1.75
60	2.03E-08	4.32	6.11E-08	3.96	1.10E-06	3.42	3.99E-06	3.52	6.86E-05	2.30	2.38E-04	2.38
80	6.50E-09	3.96	1.87E-08	4.11	4.57E-07	3.05	1.35E-06	3.76	3.83E-05	2.03	1.26E-04	2.22

Table 2.1:  $L^2$  – and  $L^{\infty}$  –errors for the  $L^2$  projection of  $u(x, 0) = \sin(x)$  and its divided differences over a randomly generated nonuniform mesh.

SIAC filtering is applied only at the final time T of the DG approximation, and the filtered solution  $u_h^{\star}$ , in the one-dimensional case is given by

$$u_h^{\star}(x,T) = \left(K_H^{(2r+1,\ell)} \star u_h\right)(x,T) = \int_{-\infty}^{\infty} K_H^{(2r+1,\ell)}(x-\xi)u_h(\xi,T)d\xi,$$

where the filter,  $K^{(2r+1,\ell)}$ , is a linear combination of central B-splines,

$$K^{(2r+1,\ell)}(x) = \sum_{\gamma=0}^{r} c_{\gamma}^{(2r+1,\ell)} \psi^{(\ell)} \left( x - \left( -\frac{r}{2} + \gamma \right) \right),$$
(2.6)

and the scaled filter is  $K_H^{(2r+1,\ell)}(x) = \frac{1}{H}K^{(2r+1,\ell)}\left(\frac{x}{H}\right)$  with scaling H (H = hfor uniform meshes). Here,  $\psi^{(\ell)}(x)$  is the  $\ell$  order central B-spline, which can be constructed recursively using the relation

$$\psi^{(1)} = \chi_{[-1/2,1/2)}(x),$$

$$\psi^{(\ell)}(x) = \frac{1}{\ell - 1} \left(\frac{\ell}{2} + x\right) \psi^{(\ell - 1)} \left(x + \frac{1}{2}\right) + \frac{1}{\ell - 1} \left(\frac{\ell}{2} - x\right) \psi^{(\ell - 1)} \left(x - \frac{1}{2}\right), \quad \ell \ge 2.$$
(2.7)

<sup>212</sup> Typically, the number of B-splines is chosen as 2r + 1 = 2k + 1, and the order of <sup>213</sup> B-splines is chosen as  $\ell = k + 1$ . In the remainder of the paper, we use 2k + 1 B-<sup>214</sup> splines of order k+1. The coefficients,  $c_{\gamma}^{(2r+1,\ell)}$ , are calculated by enforcement of <sup>215</sup> the property that the filter reproduces polynomials by convolution up to degree <sup>216</sup> 2r,

$$K^{(2r+1,\ell)} \star p = p, \quad p = 1, x, ..., x^{2r}.$$
 (2.8)

<sup>217</sup> Later on we will need the following lemma

(1)

**Lemma 2.5.** Let 2r be an even number, then the SIAC filter  $K^{(2r+1,\ell)}$  given in (2.6), which satisfies (2.8), reproduces polynomials by convolution until degree of 2r + 1,

$$K^{(2r+1,\ell)} \star p = p, \quad p = 1, x, \dots, x^{2r+1}.$$
 (2.9)

<sup>221</sup> Proof. c.f. [23].

In the multidimensional case, the multidimensional filter is the tensor product of the one-dimensional filter (2.6)

$$\mathbf{K}_{H}^{(2r+1,\ell)}(\mathbf{x}) = \prod_{i=1}^{d} K_{H}^{(2r+1,\ell)}(x_{i}), \qquad \mathbf{x} = (x_{1}, \dots, x_{d}) \in \mathbb{R}^{d},$$

with the scaled filter  $\mathbf{K}_{H}^{(2r+1,\ell)}(\mathbf{x}) = \frac{1}{H^{d}}K^{(2r+1,\ell)}\left(\frac{\mathbf{x}}{H}\right)$ . A computationally efficient alternative to the tensor product case is to use the Hexagonal SIAC filter (HSIAC) by Mirzarger et al. [13], or the Line SIAC filter introduced by Docampo et al. [6] and applied to problems in visualization problems by Jallepalli et al. [9].

## <sup>229</sup> 3 SIAC Filter for Nonuniform Meshes

In order to design a more accurate SIAC filter for nonuniform meshes, we have
 to investigate the relations between the DG approximation and SIAC filters for
 nonuniform meshes.

#### 233 3.1 Existing Results

As mentioned in [3, 10], for uniform meshes, SIAC filtering can improve the 234 accuracy order of DG solutions for linear hyperbolic equations from k+1 to 235 2k + 1 when a sufficient number of B-splines are chosen. This accuracy order, 236 2k + 1, and various studies of SIAC filters, such as position-dependent filters 237 [19, 24], the derivative filter [18], etc., are limited to uniform meshes. For 238 nonuniform meshes, the aims of improving the accuracy order and reducing 239 the errors of the DG solution remains an ongoing challenge for SIAC filtering. 240 Most preliminary results consider only a particular family of meshes, smoothly 241 varying meshes [5, 17, 20]. It was proven in [20] that the filtered solutions also 242 have an accuracy order of 2k + 1 for smoothly varying meshes. However, for 243 general nonuniform meshes, there are only a few computational results [12], and 244 the only theoretical estimates were given in [3, 11]. 245

**Theorem 3.1.** Under the same conditions as in Lemma 2.2, denote  $\Omega_0 + 2supp(K_H^{(2k+1,k+1)}) \subset \Omega_1 \subset \Omega$ . Then, for general nonuniform meshes, we have

$$\|u - K_H^{(2k+1,k+1)} \star u_h\|_{0,\Omega_0} \le Ch^{\mu(2k+1)},$$

 $_{249}$  where the scaling H is chosen as

$$H = h^{\mu}, \quad \mu = \frac{2k+1}{3k+2}.$$
 (3.1)

<sup>250</sup> *Proof.* c.f. [3, 11].

For convenience, in this paper we refer to  $\mu$  as the scaling order and  $\mu_0 = \frac{2k+1}{3k+2}$ . Theorem 3.1 gives a useful scaling that allows us to enhance the accuracy of the DG solution, especially the derivatives of the DG solution [11], but may not be optimal.

However, from the perspective of improving the DG approximation itself, satisfying the requirements of Theorem 3.1 can be cumbersome. For example, the accuracy order will be higher than the original DG approximation only if  $k \ge 2$ :

$$\mu_0(2k+1) > k+1 \quad \Rightarrow \quad k \ge 2 \, (k \in \mathbb{Z}).$$

If, alternatively, at least one order higher accuracy order is desired, then  $k \ge 5$ :

$$\mu_0(2k+1) \ge k+2 \quad \Rightarrow \quad k \ge 5 \ (k \in \mathbb{Z}).$$

Another important issue is the computational efficiency. As discussed in [11] when h is small (a fine mesh), the filter scaling  $H = h^{\mu_0} \ge h^{2/3}$  dramatically increases the support size of the filter. To post-process one position in the domain, the post-processor has a support of (3k+2)H. It follows that by choosing  $\mu < 1$ , the computational cost dramatically increases.

More importantly, instead of increasing the accuracy order, practical applications are more concerned with reducing the error. Although using the scaling  $H = h^{\mu_0}$  improves the accuracy order, many practical examples suggest that using a scaling order of  $\mu_0$  usually increases the errors. For example, for the numerical experiments given in this paper (Section 5), the filtered solutions that use a scaling order of  $\mu_0$  have a qualitatively worse error in the  $L^2$  norm compared to the original DG solutions.

#### 272 3.2 The Optimal Accuracy

Although Theorem 3.1 holds for arbitrary nonuniform meshes, the filtered so-273 lutions based on the filter scaling  $H = h^{\mu_0}$  does not achieve expectations with 274 respect to order improvement, error reduction and computational efficiency. The 275 problem stems from the crude estimate of the scaling order  $\mu_0$  that ignores the 276 mesh structure. In order to improve Theorem 3.1, it is necessary to reconsider 277 the filter scaling for nonuniform meshes. To complete this task, we first explore 278 the relation between the filter scaling and the error of the filtered solution. We 279 remind the reader that in this paper, H represents the filter scaling and h rep-280 resents the mesh size. As given in [3], we can write the error estimate of the 281 filtered solution as 282

$$\|u - u_h^\star\|_{0,\Omega_0} \le \Theta_1 + \Theta_2,\tag{3.2}$$

283 where

$$\Theta_1 = \|u - K_H^{(2k+1,k+1)} \star u\|_{0,\Omega_0} \le C_1 H^{2k+2} |u|_{H^{2k+2}}, \tag{3.3}$$

284 and

$$\Theta_{2} = C_{0} \sum_{|\alpha| \le k+1} \|D^{\alpha} K_{H}^{(2k+1,k+1)} \star (u - u_{h})\|_{-(k+1),\Omega_{1/2}}$$
  
$$\leq C_{0} C_{1} \sum_{|\alpha| \le k+1} \|\partial_{H}^{\alpha} (u - u_{h})\|_{-(k+1)\Omega_{1}},$$
(3.4)

 $_{285}$  by Lemmas 2.5 and 2.4, where

$$\Omega_0 + supp(K_H^{(2k+1,k+1)}) \subset \Omega_{1/2}, \quad \Omega_{1/2} + supp(K_H^{(2k+1,k+1)}) \subset \Omega_1.$$

According to the above estimates, the error is bounded by  $\Theta_1$  and  $\Theta_2$ , where  $\Theta_1$  describes the error generated by reproducing polynomials and  $\Theta_2$  represents the error in the negative order norm.

The estimate for  $\Theta_1$  is clear. The error is given by the polynomial reproduction property (2.9) and the exact solution u. It is obvious from (3.3) that  $\Theta_1$ , only depends on the filter scaling and is bounded by  $C_1 H^{2k+2} |u|_{H^{2k+2}}$ . This bound increases with the scaling H.

The  $\Theta_2$  term is more challenging. Lemma 2.3 gives an estimate of  $\|\partial_H^{\alpha}(u-u_h)\|_{-(k+1),\Omega_1}$  for nonuniform meshes,

$$\|\partial_{H}^{\alpha}(u-u_{h})\|_{-(k+1),\Omega_{1}} \le Ch^{2k+1}H^{-|\alpha|}.$$
(3.5)

The above estimate holds for arbitrary nonuniform meshes, but it is not the optimal bound for many meshes. For example, consider the smoothly-varying meshes used in [5, 20, 11]. For these types of meshes, a better estimate is

$$\|\partial_{H}^{\alpha}(u-u_{h})\|_{-(k+1),\Omega_{1}} \leq Ch^{2k+1}$$

for well chosen H, see [20]. Clearly, one can guess that the accurate bounds 298 of  $\Theta_2$  are very different between an almost uniform mesh and a totally random 299 mesh, but the current estimate (3.5) fails to relize this relation (the relation 300 between  $\Theta_2$  and the unstructuredness of the mesh). Also, from the existing 301 results in [5, 11, 12, 20], one can see that the  $\Theta_2$  term is strongly dependent 302 on the unstructuredness of the mesh. However, based on [3], the estimate (3.5)303 suggests that there is a trend that  $\Theta_2$  decreases with the scaling H. See Figure 304 3.1 for numerical support. 305

In this paper, we seek to obtain the minimized error of the filtered solution with respect to the scaling order  $\mu$ . To do this, we need to find a proper scaling order  $\mu$  (assuming  $H = h^{\mu}$ ) such that  $\Theta_1 = \Theta_2$ . As mentioned in [3], in the worst case the scaling order  $\mu = \mu_0 = \frac{2k+1}{3k+2} \ge 0.6$ , and in the best case  $\mu \approx 1$ . We examine the  $L^2$  and  $L^{\infty}$  errors with scaling order  $\mu$  in the range of [0.6, 1] for two nonuniform meshes: Mesh 2.1 and Mesh 2.2. Figure 3.1 shows the variations when  $\mu$  increases from 0.6: the error is first reduced until a minimum error is achieved and then the error starts to rise again. We can see that the minimized error in the  $L^2$  and  $L^{\infty}$  norms correspond to the different scaling orders  $\mu$ ; see also Table 3.1. Since the theoretical estimates are based on the  $L^2$  norm, in the following we define the concept of the optimal accuracy based on the  $L^2$  norm.

<sup>317</sup> **Definition 3.1** (Optimal Accuracy). For a given mesh, the optimal accuracy <sup>318</sup> of the filtered solution is given by

$$\min_{0 \le H \le 1} \|u - K_H^{(2k+1,k+1)} \star u_h\|_0.$$
(3.6)

The scaling H that minimizes the error is referred to as the optimal scaling and denoted as  $H^*$ , where the optimal scaling order  $\mu^*$  is defined as  $H^* = h^{\mu^*}$ . Note:

• When H = 0, the filter  $K_H^{(2k+1,k+1)}$  degenerates to the delta function and we have

$$||u - K_H^{(2k+1,k+1)} \star u_h||_0 = ||u - \delta \star u_h||_0 = ||u - u_h||_0.$$

In this sense, the optimal accuracy is at least as good as the original DG accuracy.

• Since  $H \in [0,1]$  and  $||u - K_H^{(2k+1,k+1)} \star u_h||_0$  is continuous respect to H, the minimum of (3.6) must exist.

Remark 3.1. We can also define the optimal accuracy based on different norms, such as the  $L^{\infty}$ -norm, or even different filters, but it will lead to different optimal scaling order  $\mu^{*}$ .

Table 3.1: The optimal scaling order  $\mu^{\star}$  with respect to Mesh 2.1 and Mesh 2.2 with N = 20, 40, 80, 160, based on the linear equation (5.1) with periodic boundary conditions.

Mesh		Ν	Aesh 2.	1			Ν	Aesh 2.	2	
	$u_h$			$oldsymbol{u}_h^\star$		$u_h$			$oldsymbol{u}_h^\star$	
Ν	$L^2$ error	order	$\mu^{\star}$	$L^2$ error	order	$L^2$ error	order	$\mu^{\star}$	$L^2$ error	order
					$\mathbb{P}^2$					
20	2.62E-04	-	0.90	2.69E-05	-	8.01E-04	-	0.82	1.21E-04	-
40	3.26E-05	3.00	0.85	1.58E-06	4.08	6.30E-05	3.67	0.81	4.16E-06	4.87
80	3.23E-06	3.34	0.84	6.50E-08	4.61	3.86E-06	4.03	0.82	1.10E-07	5.24
160	4.03E-07	3.00	0.81	4.25E-09	3.94	1.43E-06	1.44	0.75	2.84E-08	1.96
					$\mathbb{P}^{3}$					
20	7.31E-06	-	0.97	2.25E-07	-	2.07E-05	-	0.90	1.39E-06	-
40	5.23E-07	3.80	0.91	5.69E-09	5.31	9.49E-07	4.45	0.87	1.95E-08	6.16
80	2.64E-08	4.31	0.88	9.46E-11	5.91	7.12E-08	3.74	0.85	3.31E-10	5.88
160	1.58E-09	4.07	0.86 2.65E-12 5.16			5.77E-09	3.63	0.80	2.56E-11	3.69



Figure 3.1: The  $L^2$  and  $L^{\infty}$  errors in log scale of the filtered solutions with various scaling  $H = h^{\mu}$ ,  $\mu \in [0.6, 1.0]$ . The black dashed line marks the location of  $\mu_0 = \frac{2k+1}{3k+2}$ . The DG approximation is for the linear equation (5.1) with polynomials of degree k = 2, 3 for Mesh 2.1 and Mesh 2.2.

#### **330 3.2.1** The Convergence Rate

In Figure 3.1, plots of the  $L^2$  and  $L^{\infty}$  error versus the scaling  $h^{\mu}$  are given for  $0.6 < \mu \leq 1$ . A dashed line is given at the value  $\mu_0 = \frac{2k+1}{3k+2}$ . We remind the reader that based on (3.3), the design of the filter leads to

$$\Theta_1 \sim \mathcal{O}(H^{2k+2}).$$

When  $\mu$  is decreasing,  $H = h^{\mu}$  is increasing, then the  $\Theta_1$  term becomes dominant 334 once  $\mu$  becomes small. We can also observe this from Figure 3.1, once  $\mu$ 335  $\mu^{\star}$ , the errors of the filtered solutions are dominated by the  $\Theta_1$  term in (3.3), 336 which has a convergence rate of  $\mu(2k+2)$  (before the minimum occurs in the 337 convergence plots). Tables 3.2 and 3.3 show the results of using  $\mu$  such that 338  $\mu_0 < \mu < \mu^*$ . However, as we mentioned earlier, the  $\Theta_2$  term (Equation (3.4)) 339 is challenging. Figure 3.1 demonstrates once  $\mu > \mu^*$ , the errors of the filtered 340 solutions have a trend to increase with  $\mu$ , which means the  $\Theta_2$  has the same 341 trend to increase for  $\mu^* < \mu < 1$  (if  $\mu \to \infty$ , the filtered errors degenerate 342

to the DG errors). In short, Figure 3.1 together with Tables 3.1, 3.2 and 3.3 343 show that with a proper scaling (or scaling order  $\mu$ ), the filtered solutions have 344 a higher accuracy order, and the errors are reduced compared to the original 345 DG solutions. We also compare the results to the filtered solutions that use a 346 scaling order  $\mu_0$  to demonstrate the improvement of using scaling order  $\mu > \mu_0$ . 347 Further, we point out that for the different nonuniform meshes, the value of  $\mu^{\star}$ 348 will be different, see Figure 3.1. In the next section, we will mainly concentrate 349 on the given nonuniform mesh only, to find the optimal accuracy (or  $\mu^{\star}$ ) of the 350 filtered solutions over the given nonuniform mesh. 351

Table 3.2:  $L^2$  – and  $L^{\infty}$  –errors for the DG approximation  $u_h$  together with two filtered solutions (using a scaling of order  $\mu = \mu_0$  and  $\mu = 0.75$ ) for the linear equation (5.1) with periodic boundary conditions for Mesh 2.1.

						μ =	$= \mu_0$		$\mu = 0.75$			
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
						$\mathbb{P}^1$						
20	7.59E-03	-	3.00E-02	-	2.91E-02	-	4.12E-02	-	4.39E-03	-	7.68E-03	-
40	1.87E-03	2.02	9.51E-03	1.66	7.47E-03	1.96	1.06E-02	1.96	6.03E-04	2.86	1.39E-03	2.47
80	4.17E-04	2.16	2.23E-03	2.10	1.88E-03	1.99	2.66E-03	1.99	6.97E-05	3.11	1.94E-04	2.84
160	1.00E-04	2.06	5.95E-04	1.90	4.74E-04	1.99	6.71E-04	1.99	9.35E-06	2.90	3.23E-05	2.59
						$\mathbb{P}^2$						
20	2.62E-04	-	1.64E-03	-	5.13E-03	-	7.25E-03	-	6.12E-05	-	9.86E-05	-
40	3.26E-05	3.00	2.36E-04	2.80	5.86E-04	3.13	8.29E-04	3.13	2.75E-06	4.48	4.40E-06	4.49
80	3.23E-06	3.34	2.11E-05	3.49	6.21E-05	3.24	8.79E-05	3.24	1.19E-07	4.53	1.85E-07	4.57
160	4.03E-07	3.00	4.01E-06	2.39	6.36E-06	3.29	8.99E-06	3.29	5.48E-09	4.44	1.33E-08	3.80
						$\mathbb{P}^{3}$						
20	7.31E-06	-	4.16E-05	-	1.08E-03	-	1.52E-03	-	3.82E-06	-	5.45E-06	-
40	5.23E-07	3.80	3.23E-06	3.68	5.17E-05	4.38	7.31E-05	4.38	6.26E-08	5.93	9.09E-08	5.91
80	2.64E-08	4.31	1.60E-07	4.33	2.22E-06	4.54	3.14E-06	4.54	9.94E-10	5.98	1.49E-09	5.93
160	1.58E-09	4.07	1.16E-08	3.79	9.10E-08	4.61	1.29E-07	4.61	1.57E-11	5.99	2.53E-11	5.88

## **4** The Unstructuredness of Nonuniform Meshes

In Section 3, we proposed the concept of the optimal accuracy and numerically 353 demonstrated that there exists an optimal scaling order  $\mu^{\star}$  such that using the 354 optimal scaling,  $H^{\star} = h^{\mu^{\star}}$ , minimizes the error of the filtered solutions in the  $L^2$ 355 norm. Then, the remaining question is how to find  $\mu^{\star}$  for a given nonuniform 356 mesh. Table 3.1 provides  $\mu^*$  by testing different values of the scaling, which 357 is certainly impractical. Theoretically, even for uniform meshes whose optimal 358 scaling order is  $\mu^* \approx 1$ , it is impossible to find the exact value of  $\mu^*$ . However, 359 in this section, we propose an approximation  $\mu_h$  that is sufficiently close to  $\mu^*$ 360 and leads to filtered solutions with improved quality. 361

An important observation from Figure 3.1 for determining  $\mu^{\star}$  is that the optimal scaling order depends on the structure of the nonuniform meshes, and hence the optimal scaling order is different. The rule of thumb is that the more Table 3.3:  $L^2$  – and  $L^{\infty}$  –errors for the DG approximation  $u_h$  together with two filtered solutions (using a scaling order of  $\mu = \mu_0$  and  $\mu = 0.7$ ) for the linear equation (5.1) with periodic boundary conditions for Mesh 2.2.

									0.5			
		ı	$\iota_h$			μ =	$= \mu_0$			$\mu =$	= 0.7	
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
						$\mathbb{P}^{1}$						
20	1.00E-02	-	3.12E-02	-	3.16E-02	-	4.46E-02	-	7.81E-03	-	1.17E-02	-
40	1.99E-03	2.34	1.03E-02	1.60	7.60E-03	2.06	1.07E-02	2.05	8.42E-04	3.21	1.50E-03	2.96
80	6.38E-04	1.64	3.99E-03	1.37	1.90E-03	2.00	2.70E-03	1.99	1.10E-04	2.94	2.88E-04	2.38
160	1.43E-04	2.15	1.06E-03	1.92	4.79E-04	1.99	6.80E-04	1.99	1.97E-05	2.48	5.86E-05	2.30
						$\mathbb{P}^2$						
20	8.01E-04	-	5.52E-03	-	5.15E-03	-	7.28E-03	-	1.64E-04	-	2.63E-04	-
40	6.30E-05	3.67	5.42E-04	3.35	5.87E-04	3.13	8.30E-04	3.13	7.96E-06	4.37	1.28E-05	4.37
80	3.86E-06	4.03	2.67E-05	4.35	6.22E-05	3.24	8.79E-05	3.24	4.21E-07	4.24	6.20E-07	4.36
160	1.43E-06	1.44	2.23E-05	0.26	6.36E-06	3.29	8.99E-06	3.29	3.05E-08	3.79	1.53E-07	2.02
						$\mathbb{P}^{3}$						
20	2.07E-05	-	1.17E-04	-	1.08E-03	-	1.52E-03	-	1.24E-05	-	1.79E-05	-
40	9.49E-07	4.45	7.44E-06	3.97	5.17E-05	4.38	7.31E-05	4.38	2.71E-07	5.52	3.84E-07	5.54
80	7.12E-08	3.74	5.57E-07	3.74	2.22E-06	4.54	3.14E-06	4.54	5.71E-09	5.57	8.47E-09	5.50
160	5.77E-09	3.63	6.75E-08	3.04	9.10E-08	4.61	1.29E-07	4.61	1.19E-10	5.58	1.78E-10	5.57

unstructured the mesh, the smaller the value of  $\mu^*$ . In order to approximate the value of  $\mu^*$ , it is important to define a measure of the unstructuredness of nonuniform meshes.

#### 4.1 The Measure of Unstructuredness of Nonuniform Meshes

Before discussing the unstructuredness, we first provide a definition of structured
 meshes.

**Definition 4.1** (Structured Mesh). A mesh with N elements is considered structured if there exists a function  $f \in C^{\infty}$  and f' > 0, such that

$$x_{j+\frac{1}{2}} = f(\xi_{j+\frac{1}{2}}), \quad \forall j = 0, \dots, N,$$
(4.1)

where  $\left\{\xi_{j+\frac{1}{2}}\right\}_{j=0}^{N}$  corresponds to a uniform mesh with N elements over the same domain.

According to [20], filtered solutions for structured meshes have the same accuracy order (2k + 1 for linear hyperbolic equations) as for uniform meshes.

Now we introduce a new parameter  $\sigma$ , the unstructuredness of the nonuniform mesh, to measure the difference between the given nonuniform mesh and a structured mesh with the same number of elements.

**Definition 4.2** (Unstructuredness). For a nonuniform mesh 
$$\left\{x_{j+\frac{1}{2}}\right\}_{j=0}^{N}$$
, its

<sup>381</sup> unstructuredness  $\sigma$  is given by

$$\sigma = \inf_{f \in \mathcal{C}^{\infty}, f' > 0} \left( \sum_{j=0}^{N} \left( f(\xi_{j+\frac{1}{2}}) - x_{j+\frac{1}{2}} \right)^2 / (N+1) \right)^{\frac{1}{2}},$$
(4.2)

where  $\left\{\xi_{j+\frac{1}{2}}\right\}_{j=0}^{N}$  corresponds to the uniform mesh with N elements for the same domain. The smaller the  $\sigma$ , the more structured the mesh.

Without loss of generality, we denote the domain  $\Omega = [0, 1]$ . Then, in the worst case, we have

$$\left(\sum_{j=0}^{N} \frac{\left(f(\xi_{j+\frac{1}{2}}) - x_{j+\frac{1}{2}}\right)^2}{N+1}\right)^{\frac{1}{2}} < \left(\sum_{j=0}^{N} \frac{(1-0)^2}{N+1}\right)^{\frac{1}{2}} = 1 \Rightarrow \sigma < 1.$$

**Remark 4.1.** The definition of unstructuredness is designed by considering the discrete  $L^2$  norm formula. It is a natural choice since the focus is on the error in the  $L^2$  norm. Furthermore, it establishes a connection between general nonuniform meshes and the well-studied structured meshes. Besides formula (4.2), there are different ways to identify the unstructuredness of the mesh, such as through the variation of mesh elements [8], utilizing different norms, or the methods mentioned in Appendix.

#### <sup>393</sup> 4.2 SIAC Filtering Based on Unstructuredness

After defining the unstructuredness,  $\sigma$ , we now study the relation of  $\sigma$  and the filter scaling, which allows for determining  $\mu_h$ . This depends on two very challenging estimates: that of the negative-order norm and that of the divided differences over a nonuniform mesh. Note that for the divided difference with a general scaling H,  $u_h(x + \frac{H}{2})$  and  $u_h(x - \frac{H}{2})$  are not in the same approximation space even for uniform meshes. Since the translation invariance with respect to both the DG mesh size h and the scaling H, for uniform meshes, one has to let the scaling H satisfies that H = mh (m is a positive integer) to keep  $u_h(x + \frac{H}{2})$ and  $u_h(x - \frac{H}{2})$  in the same space. Therefore, it is difficult to establish a rigorous error estimates. In Theorem 3.1, a rough error estimate of  $\partial_H u_h$  is obtained by using the bound

$$\begin{aligned} \|\partial_{H}(u-u_{h})\|_{0} &\leq \frac{1}{H} \left( \left\| (u-u_{h}) \left( x + \frac{H}{2} \right) \right\|_{0} + \left\| (u-u_{h}) \left( x - \frac{H}{2} \right) \right\|_{0} \right) \\ &\leq \frac{2}{H} \|u-u_{h}\|_{0}. \end{aligned}$$

This does not take into the unique unstructuredness of a given mesh. Further, as demonstrated in the previous section, the result is not optimal. Here, in this paper, we are seeking for a robust algorithm which is useful in a practical setting
 to obtain error reduction.

In this section, we propose a method based on relating the nonuniform mesh to its closest structured mesh (under Definition (4.2)). That is,

$$\underbrace{\|\partial_H(u-u_h)\|_0}_{\text{nonuniform mesh}} \leq \underbrace{\|\partial_H(u-u_h)\|_{0,f(\xi)}}_{\text{structured mesh}} + \underbrace{\|\partial_H(u-u_h)\|_{0,\text{diff}}}_{\text{difference}}.$$

As mentioned earlier [20], we know that the first divided difference over the structured mesh  $\left\{f(\xi_{j+\frac{1}{2}})\right\}_{j=0}^{N}$  has nice properties. Then, we assume that the error of the first divided difference of the DG solution for the nonuniform mesh  $\left\{x_{j+\frac{1}{2}}\right\}_{j=0}^{N}$  is dominated by the difference between the nonuniform mesh and its closest structured mesh.

403 Now, consider the difference term  $\|\partial_H(u-u_h)\|_{0,\text{diff}}$ , we have

$$\|\partial_H(u-u_h)\|_{0,\text{diff}} = \frac{2}{H} \left( \sum_{j=0}^N \|u-u_h\|_{0,\Omega_j}^2 / (N+1) \right)^{\frac{1}{2}},$$

where  $\Omega_j = [x_{j+\frac{1}{2}}, f(\xi_{j+\frac{1}{2}})]$  (or  $\Omega_j = [f(\xi_{j+\frac{1}{2}}), x_{j+\frac{1}{2}}]$ ). Since the approximation  $u_h$  on the interval  $\Omega_j$  cannot be estimated rigorously through the traditional error estimates, we assume that

$$\|u - u_h\|_{0,\Omega_j}^2 = \int_{\Omega_j} (u - u_h)^2 dx \le C |\Omega_j| \|u - u_h\|_{\infty}^2$$
  
=  $C \left| x_{j+\frac{1}{2}} - f(\xi_{j+\frac{1}{2}}) \right| h^{2k+2}.$  (4.3)

407 The above assumption is based on  $L^{\infty}$  estimate that

$$\|u - u_h\|_{\infty} \le Ch^{k+1},$$

which has not been proven theoretically, but validate numerically for rectangular meshes (the meshes considered in this paper). For general unstructured triangular meshes, a reduced accuracy order of  $\mathcal{O}(h^{k+1-\frac{d}{2}})$  needs to be considered. Then, by using the Cauchy-Schwarz inequality, we have

$$\begin{split} \|\partial_{H}(u-u_{h})\|_{0,\mathrm{diff}} &= \frac{2}{H} \left( \sum_{j=0}^{N} \|u-u_{h}\|_{0,\Omega_{j}}^{2} / (N+1) \right)^{\frac{1}{2}} \\ &\leq Ch^{k+1}H^{-1} \left( \sum_{j=0}^{N} \left| x_{j+\frac{1}{2}} - f(\xi_{j+\frac{1}{2}}) \right| / (N+1) \right)^{\frac{1}{2}} \\ &= Ch^{k+1}H^{-1} \left\{ \left( \sum_{j=0}^{N} \left( f(\xi_{j+\frac{1}{2}}) - x_{j+\frac{1}{2}} \right)^{2} / (N+1) \right)^{\frac{1}{2}} \right\}^{\frac{1}{2}} \end{split}$$

<sup>412</sup> By using Definition (4.2) and the assumption that  $\|\partial_H(u-u_h)\|_{0,\text{diff}}$  is the <sup>413</sup> dominant term, we obtain

$$\|\partial_{H}(u-u_{h})\|_{0} \leq C \frac{\sqrt{\sigma}}{H} h^{k+1} = C \frac{h^{\frac{1}{2} \log_{h} \sigma}}{H} h^{k+1}, \qquad (4.4)$$

414 and by induction

$$\|\partial_H^{\alpha}(u-u_h)\|_0 \le C \frac{\sqrt{\sigma}}{H} h^{k+1} = C \left(\frac{h^{\frac{1}{2}\log_h \sigma}}{H}\right)^{\alpha} h^{k+1}.$$
(4.5)

**Remark 4.2.** The above analysis is the motivation for using formula (4.2) to define the unstructuredness. Also, we point out that assumption (4.3) is an empirical rather than a rigorous estimate. Furthermore, the assumption that  $\|\partial_H(u-u_h)\|_{0,diff}$  dominates  $\|\partial_H(u-u_h)\|_0$  is reasonable only when the nonuniform mesh is not so close to the respective structured mesh ( $\sigma \gg 0$ ).

Based on the value of  $\sigma$ , we divide the nonuniform meshes into two groups and discuss the corresponding strategies separately.

• Nearly structured meshes:  $\log_h \sigma \geq 2$ .

 $_{423}$  This definition is based on estimate (4.5), when

$$\frac{\sqrt{\sigma}}{h} \geq \frac{\sqrt{\sigma}}{H} \geq 1, \quad \Rightarrow \quad \sigma \geq h^2 \quad \Rightarrow \quad \log_h \sigma \geq 2.$$

<sup>424</sup> Then, the nonuniform mesh is almost a structured mesh, and the effect of the <sup>425</sup> difference is negligible. In other words, we can treat these nearly structured <sup>426</sup> meshes as structured meshes and use the conclusions in [20]. Also, we note that <sup>427</sup> the definition is not strict; when  $\log_h \sigma \approx 2$  we can also treat these nonuniform <sup>428</sup> meshes as structured meshes.

• Unstructured meshes:  $\log_h \sigma < 2$ .

<sup>430</sup> This is a more challenging case and the aim of this paper. Under the same <sup>431</sup> conditions as in Lemma 2.2, we assume that for a nonuniform mesh with the <sup>432</sup> unstructuredness parameter  $\sigma$  as defined in equation (4.2) and based on the <sup>433</sup> results in [22], the divided differences of DG solution satisfies

$$\|\partial_{H}^{\alpha}(u-u_{h})\|_{-(k+1),\Omega_{0}} \leq Ch^{2k+1} \left(\frac{h^{\frac{1}{2}\log_{h}\sigma}}{H}\right)^{\alpha},$$
(4.6)

when  $H \leq h^{\frac{1}{2} \log_h \sigma}$ . Moreover, the divided differences of the approximation satisfy

$$\sum_{\alpha=0}^{k+1} \|\partial_H^{\alpha}(u-u_h)\|_{-(k+1)} \le C \left(\frac{h^{\frac{1}{2}\log_h \sigma}}{H}\right)^{k+1} h^{2k+1},$$

Scaling order	Definition
$\mu_0$	$\mu_0 = \frac{2k+1}{3k+2}$ , see Theorem 3.1
$\mu_{ m max}$	$h^{\mu_{\max}} = \max \Delta x_j, j = 1, \dots, N.$
$\mu_h$	$\mu_h = \frac{2k+1}{3(k+1)} + \frac{1}{6}\log_h \sigma \approx \frac{2}{3} + \frac{1}{6}\log_h \sigma, \text{ see } (4.7)$
$\mu^{\star}$	$H = h^{\mu^{\star}}$ minimizes $  u - K_H^{(2k+1,k+1)} \star u_h  _0$ .

Table 4.1: Four types of scaling order used in the performance validation.

<sup>436</sup> and according using the estimates for the filter design and and approximation <sup>437</sup> (Equations (3.2) - (3.4)), we can enforce

$$H^{2k+2} = \left(\frac{h^{\frac{1}{2}\log_h \sigma}}{H}\right)^{k+1} h^{2k+1}$$

<sup>438</sup> Using  $H = h^{\mu_h}$ , we then have for  $\mu_h$  that

$$\mu_h = \frac{2k+1}{3(k+1)} + \frac{1}{6}\log_h \sigma \approx \frac{2}{3} + \frac{1}{6}\log_h \sigma > \frac{1}{2}\log_h \sigma, \qquad (4.7)$$

439 which is much more reasonable to compute as  $H = h^{\mu_h} \leq h^{\frac{1}{2} \log_h \sigma}$ .

#### 440 4.3 Scaling Performance Validation

At the beginning of this section, we first summarize the definitions of all the scalings that are going to be tested in the section, see Table 4.1. As mentioned in Section 3, Theorem 3.1 is not practical since the

• the accuracy order improvement requires  $k \ge 2$ ;

• the errors in the DG solution are not always reduced.

In order to construct a robust algorithm that can be used in practice, we have 446 proposed using scaling (4.7), which demonstrates the relation of the scaling order 447  $\mu_h$  and the unstructuredness,  $\sigma$ . Since this result is not based on a rigorous error 448 estimate, in this section, we validate the performance of the proposed scaling 449  $H = h^{\mu_h}$ , where  $\mu_h$  is given in Equation (4.7) by testing it for many nonuniform 450 meshes. For a fair demonstration, we also compared this scaling with the scaling 451 provided by Theorem 3.1 and the maximum scaling used in many works, such 452 as [5, 12]. For convenience, we use the corresponding scaling orders  $\mu_h$ ,  $\mu_0$  and 453  $\mu_{max}$  to refer these three strategies, respectively (see Table 4.1). 454

#### 455 4.3.1 Test Set-up

First, we present the setting of the nonuniform meshes used for the performance test. Since nearly structured meshes are relatively easily studied, in this test, <sup>458</sup> we focus on unstructured meshes (or meshes with random structures). The <sup>459</sup> information is presented as follows:

- We adopt Mesh 2.2 with b = 0.3. The value of b is chosen not only for allowing sufficient generality of the mesh structure, but also in order to avoid the possibility of round-off error caused by tiny elements.
- In this test, we have considered the number of elements N = 20, 40, 80, using 1700 different samples (5100 meshes in total).
- The finer meshes (N = 40, 80) are generated using rules similar to the coarse mesh (N = 20), which preserves the nonuniform property. A trivial way to generating the finer mesh is by uniformly refining the coarse mesh, which leads to piecewise uniform meshes when N is large.

#### 469 4.3.2 Optimal Scaling Order $\mu$ vs. Errors

We begin by examining how the optimal scaling order  $\mu^{\star}$  and the filtered solutions are altered with the DG approximation over different nonuniform meshes (shows as different DG solutions). This relation is demonstrated in Figure 4.1. Notice the following:

- Trend 1: A larger  $\mu^*$ , corresponds to a smaller filtering region and lower errors for filtered solution. The lower errors clearly displayed for k = 3than k = 2. It also corresponds to a more structured mesh as well.
- Trend 2: Also demonstrated is that when the errors are lower for the DG solution, the optimal filtered solution has better error. This fact is supported by the theory.
- Trend 3: Notice that  $\mu_0 = \frac{2k+1}{3k+2}$  is approximately 0.63 and 0.64 for k = 2, 3. However, we can see that in most cases, this value is far away from  $\mu^*$ .

#### 482 4.3.3 Optimal Scaling versus Existing Scalings

After checking our test meshes for the optimal scaling, we check the perfor-483 mance of the existing scalings and compare the results with the optimal filtered 484 solution. In Figure 4.2, the ratio of the  $L^2$ -errors for the DG solution to the 485  $L^2$ -errors for the filtered solution are plotted against the probability of achiev-486 ing that ratio for a given polynomial order and mesh. If the ratio is less than one 487 then the filtered error is better than the DG error, in other words, the filtered 488 solution is at least accuracy-conserving compared to the DG solution. Further, 489 by considering the ratio of the DG error to the SIAC Filtered error (Figure 4.2), 490 one can see that the performance (the ratio) of the SIAC filtering varies with the 491



Figure 4.1: The comparison of DG errors and their optimal filtered results for different nonuniform meshes respect to  $\mu^*$ . Each plot is based on 1700 random nonuniform samples.

<sup>492</sup> approximation over different nonuniform mesh approximations. On the other <sup>493</sup> hand, we can compare the performance of different scalings by comparing their <sup>494</sup> histogram plots (Figure 4.2). One can tell that one scaling has a histogram <sup>495</sup> closer to the optimal scaling (red) and also has the better performance. Here, <sup>496</sup> we remind the reader that the different scalings are given in Table 4.1.

- Theoretical Scaling,  $\mu_0$  (yellow): For more than half of the mesh samples, the ratio between the DG error and filtered error remains relatively small and the probability of achieving this scaling is higher than for other scalings.
- Maximum Scaling,  $\mu_{max}$  (green): This scaling produces a reasonable ratio for most situations.
- proposed Scaling,  $\mu_h$  (purple): The performance is closer to the optimal results compared to the other two scaling.

**Remark 4.3.** We note that the value of  $\mu^*$  is also affected by the exact solution u, more precisely  $\frac{|u|_{H^{2k+2}}}{|u|_{H^{k+1}}}$ . Since the exact solution is usually unknown in practice, this is difficult to determine. However, this leads us to choose  $\mu_h$  to be slight smaller than  $\mu^*$ .



Figure 4.2: The comparison for the performance of different scalings: optimal scaling, theoretical scaling, maximum scaling, the new scaling for k = 2. The x-axis is the value of  $||u-u_h||_0/||u-u_h^{\star}||_0$ , clearly, the larger the value, the better the filtering. In addition, we mark the accuracy-conserving position x = 1 with a black line.

#### 509 4.3.4 Comparisons

From Figure 4.2, We can clearly see that the new proposed scaling order  $\mu_h$  has the best performance. Now, we use the statistical data of results to give a more clear view of the performance.

First, we check the basic accuracy-conserving property in order to ensure that we are not degrading the DG results. From Table 4.2, we can see that  $\mu_h$ performs the best with respect to accuracy conservation,  $\mu_0$  the worst one, and  $\mu_{max}$  still has considerably large problems for coarse meshes.

Next, we compare the proposed scaling with other two scalings side-by-side in 4.3 and 4.4. Here, motivated by the definition of equivalence of norms, we add the category "similar" to account for small differences in results: if error<sub>1</sub> and error<sub>2</sub> satisfy that  $\frac{1}{C_{tol}}|\text{error}_1| \leq |\text{error}_2| \leq C_{tol}|\text{error}_1|$ , then these two errors are counted as similar. In this note, the tolerance constant  $C_{tol}$  is set as 2.

<sup>523</sup> 1. Table 4.3,  $\mu_0$  vs.  $\mu_h$ : the data clearly suggests that  $\mu_h$  is a better choice <sup>524</sup> than  $\mu_0$ .

<sup>525</sup> 2. Table 4.4,  $\mu_{max}$  vs.  $\mu_h$ : in at least 98% of the cases sampled,  $\mu_h$  produced <sup>526</sup> better results than using  $\mu_{max}$ .

Degree	N	$\mu_0$	$\mu_{max}$	$\mu_h$	$\mu^{\star}$
	20	13.5%	58.9%	100%	100%
$\mathbb{P}^2$	40	41.8%	96.6%	100%	100%
	80	85.1%	100%	100%	100%
	20	3.9%	5.8%	100%	100%
$\mathbb{P}^3$	40	12.2%	69.8%	100%	100%
	80	45.6%	99.6%	100%	100%

Table 4.2: Percent of results which are at least accuracy-conserving  $(||u-u_h^{\star}||_0 \leq ||u-u_h||_0)$ .

		$\mu_0$		$\mu_h$
Degree	N	Better	Similar	Better
	20	0.0%	6.1%	93.9%
p=2	40	0.0%	4.7%	95.2%
	80	0.8%	3.9%	95.3%
	20	0.0%	0.8%	99.2%
p = 3	40	0.0%	0.7%	99.3%
	80	0.0%	1.2%	98.8%

Table 4.3:  $\mu_0$  vs.  $\mu_h$ .

Based on the number of samples and the statistical data, the new scaling is a
reliably better scaling to use among the scalings discussed in this article.

Through many performance tests, it is reasonable to claim that by using the proposed scaling  $\mu_h$ , we can expect that there is an accuracy improvement for  $k \ge 1$  for the given nonuniform mesh (dependent on  $\sigma$ ). In practice, strategy 4.7 provides a way to find the proper scaling for the SIAC filter, it can be used to reduce the errors of given DG solutions.

#### 534 4.4 A Note on Computation

Aside from error reduction, the computational cost of using the filter is also an important factor in practical applications. As mentioned in previous sections, the scaling H used in Theorem 3.1 is usually larger than the scaling required for nonuniform meshes, which means that the computational cost is higher than the uniform mesh case [3, 11]. Based on Figure 3.1, when  $\mu \in [\mu^*, 1]$ , the final accuracy is directly related to the scaling order  $\mu$ , which means one can sacrifice accuracy to improve computational efficiency. For example, if the mesh

		$\mu_{max}$		$\mu_h$
Degree	N	Better	Similar	Better
	20	0.4%	16.7%	82.9%
p=2	40	1.2%	34.9%	63.9%
	80	0.4%	94.5%	5.1%
	20	0.0%	2.2%	97.8%
p = 3	40	0.0%	7.5%	92.5%
	80	0.4%	17.9%	81.7%

Table 4.4:  $\mu_{max}$  vs.  $\mu_h$ .

<sup>542</sup> is closer to a structured mesh, a naive choice of scaling  $H = \max_{j} \Delta x_{j}$  (or <sup>543</sup>  $H = 1.5 \max_{j} \Delta x_{j}, H = 2 \max_{j} \Delta x_{j}$ ) can lead to acceptable results as obtained <sup>544</sup> in [5, 12].

# 545 5 Numerical Results

In the previous section, we proposed using the scaling order  $\mu_h$  given by Equa-546 tion (4.7). Using the scaling order  $\mu_h$  can improve the accuracy order and reduce 547 the error from the original discontinuous Galerkin approximation. Also, since 548  $\mu_h$  is designed to approximate the optimal scaling order  $\mu^*$ , the filtered solutions 549 are expected to have a reduction in error compared to the DG approximation. 550 For numerical verification, we apply the newly designed scaling order  $\mu_h$  for 551 various differential equations over nonuniform meshes – Mesh 2.1 and Mesh 2.2 552 - and compare it with using scaling order  $\mu_0$  mentioned in Theorem 3.1. Also, 553 we note that the initial approximation  $u_h(x,0)$  is the  $L^2$  projection of the initial 554 function u(x,0). The third order TVD Runge-Kutta scheme [7] is used for the 555 time discretization. 556

#### 557 5.1 Linear Equation

558 Consider a linear equation

$$u_t + u_x = 0, \quad (x,t) \in [0,1] \times (0,T], u(x,0) = \sin(2\pi x),$$
(5.1)

with periodic boundary conditions at time T = 1 for Mesh 2.1 and Mesh 2.2. Table 5.1 includes the  $L^2$  and  $L^{\infty}$  norm errors of the DG solutions and two filtered solutions with scaling orders  $\mu_0$  and  $\mu_h$ . First we check the results of using scaling order  $\mu_0$  in Theorem 3.1. Although the filtered solutions have a

better accuracy order, both the  $L^2$  and  $L^{\infty}$  errors are worse than the original 563 DG solution! Theorem 3.1 says something only about the order, but not about 564 the quality of the errors. Using a scaling order  $\mu_h$ , SIAC filtering is able to 565 reduce the errors in the  $L^2$  and  $L^{\infty}$  norm and improve the accuracy order. The 566 filtered errors are reduced compared to the DG errors, especially when using a 567 higher order polynomial or a sufficiently refined mesh. Figure 5.1, the pointwise 568 error plots, demonstrate the other feature of SIAC filtering as its name implies: 569 smoothness-increasing. Both the filtered solutions are  $\mathcal{C}^{k-1}$  functions. The 570 smoothness is significantly improved compared to the weakly continuous DG 571 solutions. To ensure the smoothness of the filtered solution across the entire 572 domain, we consider only a constant scaling H across the entire domain. In 573 Figure 5.1 both filtered solutions reduce the oscillations in the DG solution and 574 using a scaling order  $\mu_0$  completely removes the oscillations due to the large 575 filter support size. 576

<sup>577</sup> Comparing the results between Mesh 2.1 and Mesh 2.2, we can see that the <sup>578</sup> DG solutions and filtered solutions with scaling order  $\mu_h$  are better for Mesh 2.1 <sup>579</sup> than for Mesh 2.2 because Mesh 2.1 is more structured than Mesh 2.2. However, <sup>580</sup> using scaling order  $\mu_0$  generates almost the same result, which shows that  $\mu_0$ <sup>581</sup> does not take the mesh structures into account.

#### 582 5.2 Variable Coefficient Equation

After the linear equation (5.1), which has a constant coefficient, we consider the variable coefficient equation

$$u_t + (au)_x = f, \quad (x,t) \in [0,1] \times (0,T]$$
  
$$u(x,0) = \sin(2\pi x),$$
(5.2)

where the variable coefficient  $a(x,t) = 2 + \sin(2\pi(x+t))$  and the right side term f(x,t) are chosen to make the exact solution be  $u(x,t) = \sin(2\pi(x-t))$ . The boundary conditions are periodic and the final time T = 1.

Similar to the linear equation example, we compare the  $L^2$  and  $L^{\infty}$  norm 588 errors in Table 5.2. The pointwise error plots are given in Figure 5.2. The 589 results are similar to the previous results for the constant coefficient equation. 590 Here we point out only the features that are different from the linear equation. 591 Using a scaling order  $\mu_0$  does not reliably reduce the errors in the  $L^2$  norm 592 and the  $L^{\infty}$  norm errors are still worse than the DG solutions. However, using 593 a scaling order  $\mu_h$  reduces the errors in the  $L^2$  norm and the  $L^{\infty}$  norm. The 594 pointwise error plots in Figure 5.2 are more oscillatory compared to Figure 5.1 595 due to the effects of the variable coefficient. 596

Table 5.1:  $L^2$  – and  $L^{\infty}$  –errors for the DG approximation  $u_h$  together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for linear equation (5.1) for Mesh 2.1 and Mesh 2.2

	$u_h$				$\mu = \mu_0$				$\mu = \mu_h$			
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
Mesh	2.1					$\mathbb{P}^1$						
20	7.59E-03	-	3.00E-02	-	2.91E-02	-	4.12E-02	-	4.95E-03	-	8.26E-03	-
40	1.87E-03	2.02	9.51E-03	1.66	7.47E-03	1.96	1.06E-02	1.96	7.19E-04	2.78	1.35E-03	2.61
80	4.17E-04	2.16	2.23E-03	2.10	1.88E-03	1.99	2.66E-03	1.99	9.10E-05	2.98	1.86E-04	2.87
160	1.00E-04	2.06	5.95E-04	1.90	4.74E-04	1.99	6.71E-04	1.99	1.23E-05	2.89	2.67E-05	2.80
						$\mathbb{P}^2$						
20	2.62E-04	-	1.64E-03	-	5.13E-03	_	7.25E-03	-	7.19E-05	-	1.11E-04	-
40	3.26E-05	3.00	2.36E-04	2.80	5.86E-04	3.13	8.29E-04	3.13	3.97E-06	4.18	6.03E-06	4.21
80	3.23E-06	3.34	2.11E-05	3.49	6.21E-05	3.24	8.79E-05	3.24	1.99E-07	4.32	2.90E-07	4.38
160	4.03E-07	3.00	4.01E-06	2.39	6.36E-06	3.29	8.99E-06	3.29	9.23E-09	4.43	1.40E-08	4.37
						$\mathbb{P}^3$						
20	7.31E-06	-	4.16E-05	-	1.08E-03	_	1.52E-03	-	3.17E-06	-	4.50E-06	-
40	5.23E-07	3.80	3.23E-06	3.68	5.17E-05	4.38	7.31E-05	4.38	6.03E-08	5.72	8.72E-08	5.69
80	2.64E-08	4.31	1.60E-07	4.33	2.22E-06	4.54	3.14E-06	4.54	9.97E-10	5.92	1.49E-09	5.87
160	1.58E-09	4.07	1.16E-08	3.79	9.10E-08	4.61	1.29E-07	4.61	1.42E-11	6.13	2.44E-11	5.93
Mesh	2.2					$\mathbb{P}^1$						
20	1.00E-02	-	3.12E-02	-	3.16E-02	-	4.46E-02	-	7.90E-03	-	1.19E-02	-
40	1.99E-03	2.34	1.03E-02	1.60	7.60E-03	2.06	1.07E-02	2.05	9.35E-04	3.08	1.58E-03	2.91
80	6.38E-04	1.64	3.99E-03	1.37	1.90E-03	2.00	2.70E-03	1.99	1.41E-04	2.73	2.87E-04	2.46
160	1.43E-04	2.15	1.06E-03	1.92	4.79E-04	1.99	6.80E-04	1.99	2.38E-05	2.56	5.00E-05	2.52
						$\mathbb{P}^2$						
20	8.01E-04	-	5.52E-03	-	5.15E-03	_	7.28E-03	-	1.25E-04	-	2.98E-04	-
40	6.30E-05	3.67	5.42E-04	3.35	5.87E-04	3.13	8.30E-04	3.13	6.27E-06	4.32	1.14E-05	4.70
80	3.86E-06	4.03	2.67E-05	4.35	6.22E-05	3.24	8.79E-05	3.24	4.35E-07	3.85	6.50E-07	4.14
160	1.43E-06	1.44	2.23E-05	0.26	6.36E-06	3.29	8.99E-06	3.29	3.18E-08	3.78	1.44E-07	2.17
						$\mathbb{P}^3$						
20	2.07E-05	_	1.17E-04	-	1.08E-03	_	1.52E-03	-	3.80E-06	_	5.99E-06	-
40	9.49E-07	4.45	7.44E-06	3.97	5.17E-05	4.38	7.31E-05	4.38	1.03E-07	5.20	1.47E-07	5.35
80	7.12E-08	3.74	5.57E-07	3.74	2.22E-06	4.54	3.14E-06	4.54	2.84E-09	5.18	4.22E-09	5.12
160	5.77E-09	3.63	6.75E-08	3.04	9.10E-08	4.61	1.29E-07	4.61	5.98E-11	5.57	1.07E-10	5.30

#### <sup>597</sup> 5.3 Two-Dimensional Example

<sup>598</sup> For the two-dimensional example, we consider a two-dimensional linear equation

$$u_t + u_x + u_y = 0, \qquad (x, y) \in [0, 1] \times [0, 1], u(x, y, 0) = \sin(2\pi(x + y)),$$
(5.3)

with periodic boundary conditions at time T = 1 for a two dimensional quadrilateral extension of Mesh 2.1 and Mesh 2.2.

The  $L^2$  and  $L^{\infty}$  norm errors are presented in Table 5.3 and Table 5.4, and 601 the pointwise error plots (pcolor plots) are included in Figure 5.3 and Figure 602 5.4. The results are very similar to the one-dimensional examples: the filtered 603 solutions with scaling order  $\mu_h$  reduce the errors in the  $L^2$  norm; using a scaling 604 order  $\mu_0$  increases the error in the  $L^2$  norm for the DG error. In the two-605 dimensional case, computational efficiency becomes more important compared 606 to the one-dimensional case due to the increased computational cost. As men-607 tioned before, using a scaling order  $\mu_0$  is far more inefficient compared to using 608



Figure 5.1: Comparison of the pointwise errors in log scale of the DG approximation together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for linear equation (5.1) for Mesh 2.1 and Mesh 2.2 with polynomials of degree k = 2.

the scaling order  $\mu_h$ . In particular, for a  $\mathbb{P}^3$  polynomial basis with  $N = 160 \times 160$ meshes, using a scaling order  $\mu_0$  is more than 8 times slower for Mesh 2.1 (5 times slower for Mesh 2.2) than using the scaling order  $\mu_h$ .

Remark 5.1. In this paper, we only consider periodic boundary conditions. For other boundary conditions such as Dirichlet boundary conditions, a positiondependent filter [11, 20] has to be used near the boundaries. The results will be similar to the periodic boundary conditions. However, to obtain the optimal result, a position-dependent scaling has to be applied, we will leave it for the future work.

# 618 6 Conclusion

In this paper, we have demonstrated that for a given nonuniform mesh, the filtered solution is highly affected by the unstructuredness of the mesh. By adjusting the filter scaling one can minimize the error of the filtered solution. In addition, a scaling  $H = h^{\mu_h}$  (4.7) of the SIAC filter is proposed in order to Table 5.2:  $L^2$  – and  $L^{\infty}$  –errors for the DG approximation  $u_h$  together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for variable coefficient equation (5.2) for Mesh 2.1 and Mesh 2.2.

	$u_h$					μ =	$= \mu_0$		$\mu = \mu_h$			
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
Mesh	2.1					$\mathbb{P}^1$						
20	6.93E-03	_	3.51E-02	-	2.50E-02	_	3.57E-02	_	1.61E-03	-	4.04E-03	-
40	1.83E-03	1.92	1.05E-02	1.74	6.83E-03	1.87	9.71E-03	1.88	2.32E-04	2.79	5.47E-04	2.89
80	4.15E-04	2.14	2.29E-03	2.20	1.82E-03	1.91	2.58E-03	1.91	3.72E-05	2.64	1.37E-04	2.00
160	1.00E-04	2.05	6.10E-04	1.91	4.66E-04	1.96	6.60E-04	1.97	6.00E-06	2.63	2.09E-05	2.71
						$\mathbb{P}^2$						
20	2.67E-04	-	1.71E-03	-	5.12E-03	_	7.25E-03	-	7.02E-05	-	1.32E-04	-
40	3.26E-05	3.03	2.25E-04	2.93	5.86E-04	3.13	8.29E-04	3.13	3.81E-06	4.20	6.82E-06	4.27
80	3.24E-06	3.33	2.11E-05	3.42	6.21E-05	3.24	8.79E-05	3.24	1.99E-07	4.26	3.23E-07	4.40
160	4.05E-07	3.00	4.01E-06	2.39	6.36E-06	3.29	8.99E-06	3.29	1.03E-08	4.27	2.78E-08	3.54
						$\mathbb{P}^3$						
20	7.43E-06	-	3.68E-05	-	1.08E-03	-	1.52E-03	-	3.18E-06	-	4.75E-06	-
40	5.25E-07	3.82	3.14E-06	3.55	5.17E-05	4.38	7.31E-05	4.38	6.07E-08	5.71	1.05E-07	5.50
80	2.65E-08	4.31	1.56E-07	4.33	2.22E-06	4.54	3.14E-06	4.54	1.01E-09	5.91	1.73E-09	5.93
160	1.58E-09	4.07	1.14E-08	3.78	9.10E-08	4.61	1.29E-07	4.61	1.53E-11	6.04	3.58E-11	5.59
Mesh	2.2					$\mathbb{P}^1$						
20	9.59E-03	_	4.42E-02	-	2.13E-02	_	3.00E-02	_	3.93E-03	-	7.08E-03	-
40	1.95E-03	2.30	1.14E-02	1.96	6.77E-03	1.65	9.62E-03	1.64	3.86E-04	3.35	1.09E-03	2.70
80	6.38E-04	1.61	4.19E-03	1.44	1.82E-03	1.90	2.60E-03	1.89	8.86E-05	2.12	2.85E-04	1.93
160	1.43E-04	2.15	1.09E-03	1.94	4.64E-04	1.97	6.60E-04	1.98	1.65E-05	2.42	5.72E-05	2.32
						$\mathbb{P}^2$						
20	7.90E-04	-	4.96E-03	-	5.08E-03	-	7.19E-03	-	1.71E-04	-	5.14E-04	-
40	6.33E-05	3.64	5.08E-04	3.29	5.86E-04	3.12	8.29E-04	3.12	8.54E-06	4.32	2.74E-05	4.23
80	3.88E-06	4.03	2.59E-05	4.29	6.21E-05	3.24	8.79E-05	3.24	4.40E-07	4.28	8.34E-07	5.04
160	1.44E-06	1.42	2.15E-05	0.27	6.36E-06	3.29	8.99E-06	3.29	1.28E-07	1.78	5.14E-07	0.70
						$\mathbb{P}^3$						
20	2.13E-05	-	1.12E-04	-	1.08E-03	-	1.52E-03	-	4.10E-06	-	8.22E-06	-
40	9.62E-07	4.47	6.98E-06	4.01	5.17E-05	4.38	7.31E-05	4.38	1.08E-07	5.24	2.02E-07	5.35
80	7.22E-08	3.74	5.24E-07	3.74	2.22E-06	4.54	3.14E-06	4.54	2.94E-09	5.20	5.31E-09	5.25
160	5.79E-09	3.64	6.05E-08	3.11	9.10E-08	4.61	1.29E-07	4.61	1.89E-10	3.96	9.78E-10	2.44

approach the optimal accuracy of the filtered solution, where the scaling order 623  $\mu_h$  is chosen according to the unstructuredness of the given nonuniform meshes. 624 Furthermore, we have numerically shown that by using the proposed scaling 625  $H = h^{\mu_h}$ , the filtered solutions have an accuracy order of  $\mu_h(2k+2)$ , which 626 is higher than the accuracy order of the DG solutions. The numerical results 627 are promising: compared to the original DG errors, the filtered error scaling 628 order  $\mu_h$  has a significantly reduced error from the original DG solution as 629 well as increased accuracy order. Also, a scaling performance validation based 630 on a large number of nonuniform meshes has demonstrated the superiority of 631 our proposed scaling compared to other existing methods. Future work will 632 concentrate on extending this scaling order  $\mu_h$  to unstructured triangular meshes 633 in two dimensions and tetrahedral meshes in three dimensions. 634



Figure 5.2: Comparison of the pointwise errors in log scale of the DG approximation together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for variable coefficient equation (5.1) for Mesh 2.1 and Mesh 2.2 with polynomials of degree k = 2



Figure 5.3: Comparison of the pointwise errors in log scale of the DG approximation together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for two-dimensional linear equation (5.3) for Mesh 2.1 (2D,  $\mathbb{P}^2$  and  $N = 160 \times 160$ ).

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		D	G			μ =	= μ <sub>0</sub>		$\mu = \mu_h$			
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
						$\mathbb{P}^1$						
$20 \times 20$	1.28E-02	-	6.09E-02	-	5.76E-02	-	8.20E-02	-	1.08E-02	-	1.86E-02	-
$40 \times 40$	2.57E-03	2.31	1.86E-02	1.71	1.48E-02	1.96	2.11E-02	1.96	1.39E-03	2.96	2.55E-03	2.87
$80 \times 80$	5.79E-04	2.15	4.94E-03	1.91	3.76E-03	1.98	5.33E-03	1.98	1.80E-04	2.94	3.62E-04	2.81
$160 \times 160$	1.42E-04	2.03	1.26E-03	1.98	9.48E-04	1.99	1.34E-03	1.99	2.50E-05	2.85	5.27E-05	2.78
						$\mathbb{P}^2$	•					
$20 \times 20$	3.92E-04	-	3.19E-03	-	1.02E-02	-	1.45E-02	-	1.59E-04	-	2.37E-04	_
$40 \times 40$	4.46E-05	3.13	4.85E-04	2.72	1.17E-03	3.12	1.66E-03	3.12	7.81E-06	4.34	1.19E-05	4.32
$80 \times 80$	5.09E-06	3.13	5.29E-05	3.20	1.24E-04	3.24	1.76E-04	3.24	3.76E-07	4.38	5.69E-07	4.38
$160 \times 160$	6.27E-07	3.02	7.49E-06	2.82	1.27E-05	3.29	1.80E-05	3.29	1.89E-08	4.31	3.22E-08	4.14
						$\mathbb{P}^{3}$						
$20 \times 20$	1.18E-05	-	8.74E-05	-	2.15E-03	-	3.04E-03	-	7.21E-06	-	1.03E-05	-
$40 \times 40$	6.63E-07	4.16	6.65E-06	3.72	1.03E-04	4.38	1.46E-04	4.38	1.19E-07	5.92	1.74E-07	5.89
$80 \times 80$	3.67E-08	4.17	4.03E-07	4.04	4.44E-06	4.54	6.28E-06	4.54	1.83E-09	6.02	2.82E-09	5.94
$160 \times 160$	2.24E-09	4.04	2.53E-08	3.99	1.82E-07	4.61	2.57E-07	4.61	2.95E-11	5.96	5.08E-11	5.80



Figure 5.4: Comparison of the pointwise errors in log scale of the DG approximation together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for two-dimensional linear equation (5.3) for Mesh 2.2 (2D,  $\mathbb{P}^2$  and  $N = 160 \times 160$ ).

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Table 5.4:  $L^2$  – and  $L^{\infty}$  –errors for the DG approximation  $u_h$  together with two filtered solutions (using scaling order  $\mu = \mu_0$  and  $\mu = \mu_h$ ) for two-dimensional linear equation (5.3) for Mesh 2.2 (2D).

		Γ	G			μ =	= μ <sub>0</sub>		$\mu = \mu_h$			
Mesh	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order	$L^2$ error	order	$L^{\infty}$ error	order
						$\mathbb{P}^1$					•	
$20 \times 20$	2.11E-02	-	1.72E-01	-	6.29E-02	-	9.05E-02	-	1.72E-02	-	3.69E-02	-
$40 \times 40$	5.44E-03	1.96	6.98E-02	1.30	1.60E-02	1.97	2.32E-02	1.97	3.04E-03	2.50	9.63E-03	1.94
$80 \times 80$	1.18E-03	2.21	1.42E-02	2.29	3.90E-03	2.04	5.59E-03	2.05	4.00E-04	2.93	1.17E-03	3.04
$160 \times 160$	2.18E-04	2.43	2.90E-03	2.30	9.57E-04	2.03	1.36E-03	2.04	4.80E-05	3.06	1.11E-04	3.40
						$\mathbb{P}^2$						
$20 \times 20$	1.03E-03	-	7.74E-03	-	1.03E-02	-	1.45E-02	-	2.53E-04	-	5.66E-04	-
$40 \times 40$	1.94E-04	2.41	2.17E-03	1.84	1.18E-03	3.13	1.67E-03	3.13	2.34E-05	3.43	8.41E-05	2.75
$80 \times 80$	1.97E-05	3.30	3.55E-04	2.61	1.24E-04	3.24	1.76E-04	3.24	1.08E-06	4.44	5.13E-06	4.04
$160 \times 160$	1.47E-06	3.74	2.77E-05	3.68	1.27E-05	3.29	1.80E-05	3.29	6.07E-08	4.15	1.26E-07	5.35
						$\mathbb{P}^{3}$						
$20 \times 20$	5.18E-05	-	6.23E-04	-	2.15E-03	-	3.04E-03	-	8.67E-06	-	1.63E-05	-
$40 \times 40$	6.16E-06	3.07	9.20E-05	2.76	1.03E-04	4.38	1.46E-04	4.38	2.75E-07	4.98	1.01E-06	4.02
$80 \times 80$	2.83E-07	4.44	3.84E-06	4.58	4.44E-06	4.54	6.28E-06	4.54	5.50E-09	5.64	1.57E-08	6.01
$160 \times 160$	8.38E-09	5.08	1.40E-07	4.78	1.82E-07	4.61	2.57E-07	4.61	1.40E-10	5.30	2.85E-10	5.78

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