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**Title Page****Title: A feasibility study of indocyanine green fluorescence mapping for sentinel lymph node detection in cutaneous melanoma**

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## Summary

**Objectives:** Sentinel lymph node biopsy (SLNB) is standard of care for staging regional LN in AJCC stage IB-IIc melanoma; using dual localization with radiolabelled colloid and blue dye. Combining these gives optimal accuracy; drawbacks include cumulative radiation exposure for healthcare workers, coordination between disciplines and anaphylaxis. An alternative tracer agent is indocyanine green (ICG); an optical enhancer that fluoresces in the near infrared range. This prospective cohort study assesses the feasibility of using ICG as a tracer agent to detect SLN in cutaneous melanoma.

**Methods:** Primary melanoma patients diagnosed with pT1b-pT4b tumours undergoing SLNB were recruited over a 6-month period at a tertiary referral centre. All underwent standard preoperative lymphoscintigraphy (LSG) using 20-40MBq of Tc<sup>99m</sup> radiolabelled nanocolloid plus intraoperative Patent Blue dye (PBD). ICG was administered as a third tracer agent intraoperatively.

**Results:** 62 patients (33M/29F) were recruited; median age was 61 years. Median melanoma Breslow thickness was 1.6mm. 144 specimens containing 135 SLN were excised. Concordance rate for all 3 tracer agents was 88.1%(119/135 LN); that for radioisotope/PBD was 88.2%(95%CI:82.2,93.7). There were no discordance pairs between radioisotope/PBD compared to radioisotope/PBD/ICG. Radioisotope/ICG significantly increased the sensitivity of detecting SLN to 98.5%(95%CI:94.8,99.8);  $p < 0.00001$  compared to radioisotope/PBD. Concordance rate of intraoperative ICG drainage pattern with LSG was 22.6%.

**Conclusion:** ICG utilization showed comparable sensitivity with gold standard. Technical challenges e.g. ICG leakage into biopsy field, poor concordance with LSG limits its efficacy in melanoma SLNB. We therefore do not recommend replacing current practice with ICG alone or by using a combination with TC<sup>99m</sup>.

**Keywords:** cutaneous melanoma; sentinel lymph node biopsy; indocyanine green

Sentinel lymph node biopsy (SLNB) is the standard of care for staging regional lymph nodes (LN) in stage AJCC IB-IIIC melanoma<sup>1</sup>. The technique utilises dual localisation with a radiolabelled colloid and a blue dye such as Patent Blue (PB). Combining these give an excellent sensitivity and specificity<sup>2,3</sup>

Potential drawbacks associated with the use of radioisotopes as tracer agents for localisation of SLN. include cumulative radiation exposure for healthcare workers, problems with surgical waste disposal and potential repeat of the 2009 European shortage of radioisotopes. Furthermore, co-ordinated efforts between different disciplines is essential.<sup>4</sup> Adverse events associated with the blue dye include prolonged skin-staining and anaphylaxis<sup>5-7</sup>. Localisation techniques using another non-radioactive tracer which has comparable accuracy to PB and Tc<sup>99</sup> therefore warrants investigation.

A potential alternative is indocyanine green (ICG). Potential benefits include the absence of serious adverse reactions, (allergic reactions are rare<sup>8</sup>). ICG is utilised as an optical enhancer and fluoresces light in the near-infrared range. It also emits fluorescence when it makes contact with plasma proteins in the lymphovascular systems of the extracellular compartment.

The principle of fluorescence imaging has been applied to SLN mapping in early breast cancer. The advantage of using ICG in these cancer types is that their lymphatic drainage is relatively predictable, often obviating the need for pre-operative LSG. In contrast, lymphatic drainage of cutaneous melanoma is notoriously unpredictable<sup>15</sup> necessitating the need for LSG to determine SLN location and potential nodal fields. Preliminary work with melanoma have been published, but with only a limited number of patients<sup>16-18</sup>.

The primary aim of this study was to assess the feasibility of utilising ICG to detect SLN in cutaneous melanoma; we aimed to achieve this by determining the sensitivity of ICG fluorescence imaging in SLN identification when combined with Tc<sup>99</sup> and PB. Secondary endpoints included evaluation the accuracy of percutaneous visualisation of lymphatic channels and nodal fields intra-operatively compared to pre-operative LSG. Standard patient demographics and tumour characteristics were also collected.

Primary cutaneous melanoma patients over the age of 18 years diagnosed with pT1b-pT4b tumours, clinically N0, undergoing wide local excision and SLNB were

identified at specialist skin MDT and recruited in a prospective and consecutive manner over a 6-month period at a tertiary melanoma referral centre. Exclusion criteria included prior LN surgery, previous history of cutaneous melanoma, failed pre-operative LSG and contraindication to ICG such as pregnancy, lactating patients and those with thyroid problems.

All patients underwent standard pre-operative LSG using 20-40 MBq of Tc-99m radiolabelled nanocolloid (ELUMATIC III) in addition to intra-operative PB (Bleu Patente®; Guerbet)<sup>18</sup>. ICG was administered as a third tracer agent intra-operatively.

This study protocol was approved by the Research Ethics Committee and MHRA (Eudra CT number: 2012-002244-25).

62 patients (33M:29F) were recruited with a median age of 61 years (31-78 years). The distribution of melanoma and LN drainage characteristics are described in table 1. Median melanoma Breslow thickness was 1.6mm. None of the patients experienced anaphylaxis.

144 specimens were excised, average 2.3 specimens per patient. 135 SLN were included in the histological analysis. The concordance rate for all 3 tracer agents was 88.1% (119 of 135 LNs - table 2). The concordance rate between radio-isotope and PB was 88.2% (95% CI: 82.2%-93.7%). There were no discordant pairs between radio-isotope/PB compared to radio-isotope/PB/ICG fluorescence. One extra LN demonstrated fluorescence and blue staining only. All positive SLN were radioactive, blue and fluorescent (n=19).

Radio-isotope and ICG fluorescence significantly increased the sensitivity of detecting SLN to 98.5% (95% CI: 94.8%-99.8%;  $p < 0.00001$ ) compared to radio-isotope or PB alone.

In 98.4% (61/62) of patients, lymphatic channels were visualised prior to incision using ICG. In 38 patients (62.3%), percutaneous visualisation with PDE showed greater detail or extra channels when compared against pre-operative LSG. However in 10 cases (16.1%), less details or less channels were visualised with PDE ;this

would have translated to missing a lymphatic drainage basin in 3 cases. Percutaneous LN visualisation was variably dependent on the depth of LN beneath the skin, however this was not consistent when compared to different LN drainage sites.

According to our data, sensitivity of ICG with PB was 88.9%, which is lower than gold standard<sup>3,19</sup>. Moreover, there is a level of inaccuracy when interpreting ICG; only 22.6% of patients had exact corresponding lymphatic channel imaging when comparing PDE and pre-operative LSG. This is likely due to the high variability of lymphatic drainage in melanoma<sup>15,20</sup>, and thus a challenge when using ICG.

Of our cohort, only 14.5% (n=9) patients presented with a head and neck (H&N) primary melanoma; however this translated to a quarter of the LN drainage basin. It is difficult to conclude whether ICG would contribute a better operative achievement in H&N melanoma.

PB alone only identified 120 of 135 SLNs (88.9% sensitivity) which correlates with data on development of dual technique<sup>2,3</sup>. Although the data suggests PB adds very little to the SLNB technique combined with Tc<sup>99</sup> or ICG, practically it may aid the surgeon in SLN visualisation intra-operatively. Interestingly, radio-sensitive and positive fluorescence alone identified all but one SLN with a sensitivity of 98.5%; however utilisation of ICG was associated with some technical problems. Poor visualisation of lymphatic channels using ICG pre-operatively in obese patients makes it challenging to plan incisions. This is in keeping with studies that have found that when SLNs located deeper, such as the axilla or inguinal region, there is unreliable tracking percutaneously using fluorescence<sup>21,22</sup>.

Other tracer agents such as Tc-99m Tilmanocept (Lymphoseek®; Navidea) have been trialled in two near-identical non-randomised phase III trials that compared it to blue dye. Results have shown that it identifies 98.7% of blue nodes<sup>23</sup>. This shows promising results for an alternate tracer agent. Other studies have investigated the use of hybrid radioactive and fluorescent tracer (ICG-<sup>99m</sup>Tc-nanocolloid in SLNB for H&N malignancies with favourable results<sup>24,25</sup>; however further studies are required to establish its use in melanoma outside the H&N region.

In this successful feasibility study, we have demonstrated that ICG, in combination with Tc<sup>99</sup> radio-labelled nanocolloid has excellent sensitivity (98.5%) in detecting SLN in melanoma. However, in practice, the requirement for additional equipment, the relatively impracticality of being unable to follow the fluorescent imaging whilst using standard operating lights severely limits its application. Whilst we have identified some positive aspects to the technique, replacement of Tc<sup>99</sup> or PB with ICG in SLNB for cutaneous melanoma is not recommended and we suggest that further developmental work is required.

#### **Conflict of interest statement**

Conflicts of interest: none declared

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Table 1: Melanoma and lymph node characteristics of cohort

	Number (n)	Percentage (%)
<b>Melanoma staging</b>		
pT1b	14	22.6
pT2a	24	38.7
pT2b	3	4.9
pT3b	7	11.3

pT4a	4	6.5
pT4b	3	3.9
Unknown	1	1.6
<b>Site of primary tumour</b>		
Head and neck	9	14.5
Trunk	28	45.2
Limb	25	40.3
<b>Ulceration</b>		
Present	15	24.2
Absent	46	74.2
Unknown	1	1.6
<b>Perineural invasion</b>		
Present	1	1.6
Absent	60	96.8
Unknown	1	1.6
<b>Lymphovascular invasion</b>		
Present	1	1.6
Absent	56	90.3
Possible	4	6.5
Unknown	1	1.6
<b>Lymph node drainage basin</b>		
Axilla	70	50
Neck	37	26.4
Groin	26	18.6
Interval	7	5
<b>Number of drainage basins</b>		
1	44	71

2	15	24.2
3	2	3.2
4	1	1.6
<b>Number of lymph nodes</b>		
1	17	27.4
2	22	35.4
3	16	25.8
4	4	6.5
5	3	4.8

Table 2: Sensitivity of tracer agents in the detection of SLN. 135 SLN excised in total.

Tracer agent	SLN detected	Sensitivity	95% CI	Significance: McNemar's test (vs. radioisotope/ICG)
Radio/PB/ICG	119	88.2%	(82.2% - 93.7%)	p=1.0000
Radio/PB	119	88.2%	(82.2% - 93.7%)	Reference
ICG/PB	120	88.9%	(82.3% - 93.7%)	p=1.0000
Radio/ICG	133	98.5%	(94.8 % - 99.8%)	p<0.0001
Radio	134	99.3%	(95.9% - 100%)	p<0.0001
PB	134	99.3%	(95.9% - 100%)	p<0.0001
ICG	120	88.9%	(82.3% - 93.7%)	p=1.0000

SLN – sentinel lymph node, Radio – radioisotope, PB – Patent Blue, ICG – indocyanine green,  
CI – confidence interval