

1 **The role of potential vorticity anomalies in the Somali Jet on Indian Summer Monsoon**

2 **Intraseasonal Variability**

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24 **Abstract**

25 The climate of the Indian subcontinent is dominated by rainfall arising from the Indian summer  
26 monsoon (ISM) during June to September. Intraseasonal variability during the monsoon is  
27 characterized by periods of heavy rainfall interspersed by drier periods, known as active and  
28 break events respectively. Understanding and predicting such events is of vital importance for  
29 forecasting human impacts such as water resources. The Somali Jet is a key regional feature of  
30 the monsoon circulation. In the present study, we find that the spatial structure of Somali Jet  
31 potential vorticity (PV) anomalies varies considerably during active and break periods. Analysis  
32 of these anomalies shows a mechanism whereby sea surface temperature (SST) anomalies  
33 propagate north/northwestwards through the Arabian Sea, caused by a positive feedback loop  
34 joining anomalies in SST, convection, modification of PV by diabatic heating and mixing in the  
35 atmospheric boundary layer, wind-stress curl, and ocean upwelling processes. The feedback  
36 mechanism is consistent with observed variability in the coupled ocean-atmosphere system on  
37 timescales of approximately 20 days. This research suggests that better understanding and  
38 prediction of monsoon intraseasonal variability in the South Asian monsoon may be gained by  
39 analysis of the day-to-day dynamical evolution of PV in the Somali Jet.

40 **Keywords:** Indian Summer Monsoon (ISM), Somali Jet, Potential vorticity, Wind-stress curl,  
41 Intraseasonal variability

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## 43 **1. Introduction**

44 The summer monsoon during June to September (JJAS) is the chief contributor to total annual  
45 rainfall over the Indian subcontinent, through major rain-yielding systems such as monsoon  
46 depressions, the monsoon trough, offshore vortices, mid-tropospheric cyclones, as well as  
47 orographic rainfall over the Western Ghats. Rainfall is strongest during July and August (JA  
48 hereafter). Since Indian society is so finely tuned to the timing and intensity of the monsoon, any  
49 variations on time scales ranging from the intraseasonal to the interdecadal have huge impacts on  
50 a range of socio-economic sectors, mainly in agriculture, health and industry. The study of  
51 monsoon intraseasonal variability with its characteristic active and break periods of enhanced  
52 and reduced rainfall, each lasting several days or a week or more, is therefore of great  
53 importance for the Indian subcontinent.

54 Widespread research efforts (Rodwell, 1997; Krishnan et al., 2000; Krishnamurthy and Shukla,  
55 2000, 2007, 2008; Gadgil and Joseph, 2003; Rajeevan et al., 2006, 2010; Maharana and Dimri,  
56 2015) have shown how intra-seasonal variability (ISV) is expressed as active and break spells  
57 over the central Indian region during the monsoon (Goswami, 2005). Intraseasonal oscillations  
58 (ISOs) operating on time scales of around 30-60 days are accountable for much of the active and  
59 break events, in addition to the more widely recognized role played by external forcing such  
60 as the El Niño Southern Oscillation (ENSO), which adds a seasonal mean anomaly covering  
61 much of the country (Krishnamurthy and Shukla, 2000). The total amount of monsoon rainfall  
62 can be influenced by the length and relative frequency of active and break spells, which is  
63 primarily determined by the ISOs and their spatiotemporal evolution (Goswami, 2005; Sperber et  
64 al., 2000).

65 Forcing from sea-surface temperatures (SST) plays a very important role in monsoon variability,  
66 through e.g. ocean mixing in the Arabian Sea lowering SSTs and reducing convection and  
67 rainfall (Shenoi et al., 2002). Izumo et al. (2008) found variability in rainfall in western India  
68 was related to SST via variations in moisture transport associated with reduced upwelling off the  
69 Oman and Somali coasts. Further south, higher SSTs in the Seychelles-Chagos thermocline ridge  
70 region south of the equator cause reductions in upwelling, which are related to anomalously  
71 weak south–westerlies in late spring. Vecchi and Harrison (2004) showed a similar relationship  
72 between colder SST anomalies in the western Arabian Sea and decreased rainfall along the  
73 Western Ghats in June and July. In the Bay of Bengal, observations from BOBMEX  
74 observations have shown lowered SST during active phases of convection (Bhat, 2001).

75 On intraseasonal scales, observations from moored buoys suggest that SST varies primarily in  
76 response to variations in convection, decreasing in active spells and increasing in cloud free  
77 conditions (Premkumar et al., 2000). More detailed analysis reveals a quadrature relationship  
78 between Bay of Bengal SST and convection (with SST anomalies peaking 10-15 days before  
79 convective anomalies; Vialard et al., 2011; Jayakumar et al., 2016). The interaction of ocean  
80 with atmosphere during active and break cycle has been studied by Joseph and Sabin (2008).  
81 They observed the maximum positive SST anomaly value over north BoB prior to the  
82 beginning of an active-break cycle. At this time, the positive SST anomaly zone extends from  
83 the Arabian Sea to about longitude 150°E in the west Pacific Ocean which gives the mean SST  
84 anomaly of 11 active-break cycles in the 8 pentads (of an average active-break cycle of period  
85 40 days). In the SST gradient area to the south of maximum SST anomaly, a convective cloud  
86 band forms after about a pentad that in the following 2–3 days generates an LLJ through  
87 peninsular India and the active phase of the monsoon begins. The cloud band thus formed

88 (reducing the incident solar radiation) and the strong winds of the LLJ (by causing evaporation  
89 at the ocean surface) cools the ocean there, when the convection weakens and the LLJ moves  
90 south to an equatorial location in the Indian Ocean which has warmer SST, where a new cloud  
91 band forms. This is the break monsoon phase.

92 The studies by Findlater (1969, 1977) and Hart et al. (1978) define the East African Jet (EAJ)  
93 and Somali Jet systems (henceforth we will describe these together as the Somali Jet) as the  
94 critical elements of the low-level flow that supply the necessary moisture for supporting Indian  
95 monsoon rains (Murakami et al., 1984), and are part of a circulation system that is set up by the  
96 large-scale meridional tropospheric temperature gradient (Xavier et al., 2007). Any changes in  
97 the temperature gradient can thus change the circulation pattern, leading to variations in seasonal  
98 rainfall and timing of monsoon onset (Findlater, 1969). For instance, using monthly mean winds  
99 Findlater (1971) showed that the LLJ splits into two branches over the Arabian Sea, the northern  
100 branch intersecting the west coast of Indian near 17°N, while the southerly branch passes  
101 eastward just south of India.

102 Krishnamurti et al. (1976) simulated the Somali Jet and its interaction with features such as the  
103 orography over East Africa and Madagascar, using an imposed lateral forcing at 75°E to  
104 represent the meridional land–ocean contrast in heating, essentially following Murakami et al.  
105 (1970). Krishnamurti et al. (1976) concluded that the broad-scale Somali Jet was forced by the  
106 land-ocean contrast in heating in this region, and barotropic instability was ascribed as a possible  
107 mechanism for the splitting the Jet over the Arabian Sea. A study by Krishnan et al. (2000)  
108 suggested that forcing by suppressed convection anomalies over the Bay of Bengal leads to the  
109 development of low-level anticyclonic circulation anomalies as a Rossby wave response, which  
110 then propagate northwestward to initiate the monsoon break over India.

111 Potential vorticity (PV) is an important quantity in the low-level monsoon circulation as  
112 identified by Yang and Krishnamurti (1981); they assigned negative PV found in the Arabian  
113 Sea north of the equator to advection from the southern hemisphere associated with the large-  
114 scale monsoon circulation. Hoskins and Rodwell (1995) and Rodwell and Hoskins (1995)  
115 studied the Somali Jet using a time-dependent primitive equation model with specified zonal  
116 flow, mountains and diabatic heating, and showed how symmetric instabilities might be induced  
117 by the transport of negative potential vorticity from the southern hemisphere into the atmosphere  
118 overlying the Arabian Sea. Their study and others (e.g. Slingo et al., 2005) noted the importance  
119 of the East African Highlands in confining the cross-equatorial flow into a zonally narrow jet.  
120 Rodwell and Hoskins (1995) suggested that frictional and diabatic heating provided the  
121 mechanism for material modification of PV within the Somali Jet and were essential in  
122 sustaining it. They noted the strong sensitivity of the Somali Jet to changes in convective heating  
123 over the southern Indian Ocean and that small modifications to PV led to anticyclonic circulation  
124 of the Somali Jet over the Arabian Sea with a tendency of the flow to turn southeastward and  
125 avoid India. According to them, the particles that retain their negative PV over the Arabian Sea  
126 tend to recirculate back into the southern hemisphere, reducing moisture fluxes into the Indian  
127 subcontinent.

128 A number of studies have appeared related to the variability in Somali Jet at interannual and  
129 intraseasonal time scales (Webster et al., 1998; Annamalai et al., 1999; Sperber et al., 2000;  
130 Krishnamurthy and Shukla, 2000; Goswami and Ajaya Mohan, 2001). However, little previous  
131 research has examined the structure of PV anomalies in the Somali Jet and their relation to  
132 monsoon rainfall over India. In our study, we focus on assessing the relationships between PV

133 anomalies in the Somali Jet near the equator and rainfall during active and break phases of the  
134 Indian monsoon during the July and August season.

135 The remainder of this paper is organized as follows. Section 2 contains details of the datasets  
136 used and methodology followed. Section 3 discusses results of our analysis of the monsoon  
137 dynamics during active and break phases, along with evolution of Somali Jet PV during these  
138 phases. Conclusions are presented in Section 4.

## 139 **2. Data and Methodology**

140 We use the daily  $0.5^\circ$  resolution rainfall gridded dataset developed by the Indian Meteorological  
141 Department (IMD) for the months of July and August. The reason for not including June and  
142 September in the present study is because during those months, ISM signals are likely to be  
143 contaminated by the onset and withdrawal phases of the monsoon, respectively. The dataset is  
144 well validated and reliable (Rajeevan and Bhate, 2008) and available from 1971 to 2005 with a  
145 domain starting at  $6.5^\circ\text{N}$ ,  $66.5^\circ\text{E}$  (as the south-west corner) over a total of  $69 \times 65$  grid points. As  
146 a proxy for large-scale convection of tropical regions we use the interpolated Outgoing  
147 Longwave Radiation (OLR) data of Liebmann and Smith (1996) obtained from  
148 NOAA/OAR/ESRL PSD, Boulder, Colorado from <http://www.esrl.noaa.gov/psd> on a  $2.5^\circ \times$   
149  $2.5^\circ$  global grid.

150 Global atmospheric and surface fields are extracted from the European Centre for Medium  
151 Range Weather Forecasts (ECMWF) Interim Re-Analysis data (Dee et al., 2011; ERA-Interim  
152 hereafter) from 1979 to 2005. ERA-Interim operates at a spectral T255 horizontal resolution  
153 corresponding to approximately 79 km spacing on a reduced Gaussian grid at 6-hourly time  
154 means and on 60 vertical levels, with the model top at 0.1 hPa (about 64 km). Data used in the  
155 atmosphere are: PV at 850 hPa, zonal and meridional wind components (u and v), air

156 temperature (T), vertical velocity ( $\omega$ ), specific humidity (q) and geopotential height (z) at the  
 157 1000-, 925-, 850-, 700-, 600, 500-, 400-, and 300-hPa pressure levels. The study period chosen is  
 158 based on the availability of corresponding IMD rainfall observations at  $0.5^\circ \times 0.5^\circ$  resolution,  
 159 which is limited to the period 1979-2005 (Rajeevan and Bhate, 2008). Climatological means and  
 160 anomalies are estimated for each of the selected variables from the reanalysis data for the peak  
 161 monsoon season (JA) and the anomalies plotted for all the selected variables are relative to the  
 162 climatology for July-August for the period 1979-2005.

163 To understand the dynamics of the Somali Jet, PV anomalies and associated variables at 850 hPa  
 164 have been plotted over the region  $0^\circ\text{N}-25^\circ\text{N}$ ,  $45^\circ\text{E}-80^\circ\text{E}$ , shown in Fig. 1 (Box-1) during active  
 165 and break spells of the monsoon. Based on the spatial structure of Somali Jet PV anomalies over  
 166 Box-1, this region has been selected for further discussion of Somali Jet PV dynamics because it  
 167 is representative of PV transport and associated convection.

168 The potential vorticity equation as mentioned in Rodwell and Hoskins (1995) can be written as:

$$169 \frac{DP}{Dt} = \frac{1}{\rho} F_\zeta \cdot \nabla\theta + \frac{1}{\rho} \zeta \cdot \nabla\dot{\theta} ,$$

170 where P is potential vorticity,  $\nabla$  is 3D gradient operator,  $F_\zeta = \nabla \times F_v$ , (the 3D curl of  
 171 momentum forcing) and  $\dot{\theta} = D\theta/Dt$ . The two terms on the right-hand side of the PV equation  
 172 represent the material modification to PV due to frictional and diabatic effects respectively.

### 173 **2.1 Defining active and break phases**

174 To obtain the dates of active and break events we use an index over the Monsoon Core Region  
 175 (hereafter MCR;  $73^\circ\text{E}-82^\circ\text{E}$  and  $18^\circ-28^\circ\text{N}$  as in Mandke et al., 2007). For preparing the daily  
 176 rainfall time series from IMD data over MCR, area averaging has been performed for JA over  
 177 the period 1979-2005. For the calculation of the daily-standardized anomaly rainfall time series,  
 178 the daily precipitation anomaly to the climatological seasonal cycle is divided by the daily-



179 evolving standard deviation of the time series. Based on this standardized anomaly time series,  
 180 active (break) spells are distinguished as periods when the value of the standardized anomaly for  
 181 the rainfall is greater than +1 (less than -1) standard deviation for at least three consecutive days.  
 182 The corresponding dates (as listed in Table 1) are then used to select corresponding active and  
 183 break phases from ERA-Interim and other datasets over the 1979-2005 period. The method used  
 184 is similar to that in Rajeevan et al. (2006). Some of the active and break spell dates of this study  
 185 during JA do not coincide with observations in some previous studies in some of the years but  
 186 they compare well to the dates given by Maharana and Dimri (2015). This slight mismatch in the  
 187 dates can be attributed to length of study period chosen: since rainfall is considered over the  
 188 MCR for JA only, some events are missed at the June-July and July-August boundaries.

## 189 **2.2 Diabatic heating**

190 The thermodynamic energy equation presented in Newell et al. (1974), in pressure coordinates, is  
 191 used for the calculation of the diabatic heating term:

$$192 \quad \frac{\partial \bar{T}}{\partial t} + \frac{1}{a} \left( \frac{\bar{u}}{\cos \phi} \frac{\partial \bar{T}}{\partial \lambda} + \bar{v} \frac{\partial \bar{T}}{\partial \phi} \right) + \bar{\omega} \left( \frac{\partial \bar{T}}{\partial p} - \frac{R \bar{T}}{c_p p} \right) = \bar{Q}$$

193 where  $c_p$  is the specific heat of dry air at levels of constant pressure,  $R$  is the gas constant for dry  
 194 air, and  $p$  is the pressure. The heating term  $\bar{Q}$  includes contributions by the transfer of heat by  
 195 turbulent and molecular conduction  $\bar{Q}_s$ , from latent heat release  $\bar{Q}_l$ , and by radiative processes  
 196  $\bar{Q}_r$ . Diabatic heating rate fields are computed from the above equation as a residual, using daily  
 197 data from ERA-Interim. The quantities with bars above indicate time averages.

## 198 **3. Results**

199 Lagged composites of different meteorological parameters have been studied here in order to  
 200 understand how different processes and signals change and propagate during mean active and  
 201 break phases. These composites have been generated using the standardized rainfall anomaly in

202 the MCR region as described in Section 2.1, with lags over the range  $\pm 10$  days. A composite of  
203 original dates of active and break spells calculated for JA months from the period 1979-2005 is  
204 chosen as lag00. Other lag dates are calculated with respect to the peak day (for example, if the  
205 peak (lag=0) date is 20<sup>th</sup> July, then the lag+02 date is 22<sup>nd</sup> July, etc.).

206 Lagged composites of daily rainfall anomalies over the whole Indian landmass are shown in Fig.  
207 2a and b for active and break phases respectively. Fig. 2a shows the movement of a band of  
208 positive rainfall anomalies from southern India to the monsoon core zone, i.e. generally  
209 northwards, over the development of the active event, i.e. from lag-10 to lag00. Shortly after the  
210 peak, negative rainfall anomalies exist over the foothills of the Himalayas and the southeast  
211 peninsula region, the latter being a rain shadow region, receiving reduced rainfall in the lee of the  
212 Western Ghats mountains. The period from lag-02 to lag+02 also shows the propagation of very  
213 large positive rainfall anomalies from the east coast adjoining the Bay of Bengal (BoB) towards  
214 the northwest, suggestive of moving anomalies up the monsoon trough. The lagged composites  
215 during the break phase (Fig. 2b) show a general reversal of the rainfall anomalies compared to  
216 active phases, with negative rainfall anomalies over the Western Ghats, and general northward  
217 propagation of negative anomalies from the western part of central India at lag-08, covering the  
218 whole central region by lag-04 and with more intense anomalies by lag00. The evolution of  
219 rainfall during active and break phases of the monsoon is discussed in more detail by  
220 Krishnamurthy and Shukla (2000, 2007, 2008), Rajeevan and Bhate (2008) and Maharana and  
221 Dimri (2016).

222 Rainfall over India is supported largely via the transport of moisture from the Arabian Sea and  
223 southern Indian Ocean by the strong cross-equatorial Somali Jet winds (Findlater, 1969; Naidu et  
224 al., 2011a). On interannual and intraseasonal timescales, variations in SST in the tropical Indian

225 Ocean are also known to be strongly affected by monsoon wind variability (McCreary et al.,  
226 1993; Sengupta et al., 2001; Ramesh and Krishnan, 2005). Lagged composites of wind  
227 anomalies at 850 hPa during active and break periods are presented in Fig. 3a, b respectively.  
228 The lagged composite of wind-speed anomalies during active spells (Fig. 3a) shows a negative  
229 anomaly over northern India that moves northwards as time continues towards lag00.  
230 Conversely, during break periods (Fig. 3b), exactly the opposite pattern is observed, i.e. weaker  
231 westerlies during lag-04 to lag+02 and negative wind anomalies over the MCR region and  
232 Arabian Sea.

233 The PV budget calculation by Rodwell and Hoskins (1995) demonstrated how the change in sign  
234 in Coriolis parameter at the equator prevents cross-equatorial flow in the absence of material  
235 tendencies in PV. They showed that the frictional torque exerted by the East African Highlands  
236 on the Somali Jet is an important mechanism for modifying PV. According to Rodwell and  
237 Hoskins (1995), when there is very little further modification of the PV, the Somali Jet turns  
238 anticyclonically over the Arabian Sea and the flow tends to avoid India. Lagged composites of  
239 PV during active and break periods (figure not shown) over the Arabian Sea at 850 hPa have  
240 been plotted in order to show the spatial pattern of PV advection during these periods which  
241 display advection of negative PV across the equator into the Northern Hemisphere over the  
242 western Indian Ocean. While there are many similarities between active and break phases, the  
243 periods immediately before the peak active phase, and 6 days after the peak break, do display  
244 more negative PV anomalies upstream over the western equatorial Indian Ocean.

245 The PV anomalies themselves are shown in Figs. 4a and 4b for active and break periods  
246 respectively. The regions in Figs 4a and 4b have been selected in order to get an overview of PV  
247 behavior over the whole Arabian Sea rather than just over the SW region. During active spells, a

248 negative PV anomaly exists in the south-eastern quadrant of the Arabian Sea, consistent with a  
249 lack of material modification of PV, which is then manifested by a stronger Somali Jet that  
250 curves to the east over southern India (See Fig. 3a). This negative PV anomaly weakens after  
251 lag00. During break periods (Fig. 4b), the opposite pattern exists: positive PV anomalies in the  
252 south eastern region of the Arabian Sea imply greater modification of PV by diabatic  
253 mechanisms, and weaker westerly winds over southern India (see Fig. 3b); the positive PV  
254 anomaly gets stronger from lag-10 to lag00, before becoming weaker as time moves past the  
255 peak of the break period.

256 In order to examine the vertical structure of the PV anomalies, they are shown at the 500 hPa  
257 level in Fig. 5a and 5b. During active periods (Fig. 5a), negative PV anomalies mostly cover the  
258 central and western Arabian Sea at lag00, before dissipating in time by lag+10. During break  
259 periods (Fig. 5b), the converse is true: modified, i.e. positive, PV anomalies occur over the  
260 Arabian Sea region which again dissipate by lag +10.

261 The concept of PV has been found particularly helpful by Hoskins et al (1985) to analyze the  
262 role of diabatic processes in the development of PV anomalies. In order to explain the material  
263 modification in Somali Jet PV, we have examined daily diabatic heating anomalies at 850 hPa  
264 for lag-10 to +10 in active and break periods; the results are shown in Fig. 6a and 6b  
265 respectively. During active periods (Fig. 6a), negative diabatic heating anomalies build over the  
266 southern Arabian Sea, indicating less convection (and hence less PV modification) from lag-06  
267 to lag+06. During break events (Fig. 6b), there is an east-west split in the southern Arabian Sea,  
268 with the eastern half covered by negative diabatic heating anomalies between lag-10 to lag00,  
269 which dissipate by lag +10.

270 The large-scale pattern of convection has been shown in order to understand the thermodynamic  
271 state of atmosphere and its variability during the modification of PV that prevails in different  
272 phases of monsoonal season using outgoing longwave radiation (OLR, contour) and lagged  
273 composites of SST (shaded) anomalies for active and break spells is shown in Fig. 7a and b  
274 respectively between lag-10 to +10. During an active spell (Fig. 7a, contour) a strong negative  
275 OLR anomaly covers most of the Arabian Sea region and Western Ghats, consistent with  
276 enhanced deep cloud cover, while a positive anomaly can be seen south of the Indian peninsula,  
277 which slowly moves northwards as time progresses. Between lag+02 and lag+10, positive  
278 anomalies grow over most of the Arabian Sea region especially between lag+08 and lag+10. The  
279 NW-SE split in OLR anomalies mirrors the shape of PV anomalies, such that in the SE quadrant  
280 of the Arabian Sea, positive OLR anomalies developing following an active phase imply reduced  
281 convection, less mixing in the atmospheric BL, a shoaling of the atmospheric BL, and less  
282 material modification of PV; this results in the negative PV anomalies displayed in the positive  
283 lags of Fig. 4a, and the anticyclonic curvature of winds over the south of India. Since break  
284 phases develop following the transition from active periods, breaks (Fig. 7b, contour) are  
285 characterized by the presence of strong positive OLR anomalies that completely cover the  
286 Somali Jet PV region from lag-08 to lag-02, but then move northwards as time progresses. The  
287 break periods suggest more modification of PV by convection and boundary layer mixing, and a  
288 deeper mixing in the atmospheric BL leading to deepening of the atmospheric BL over the  
289 northern Arabian Sea, which is consistent with winds curving weakly i.e. weaker south  
290 westerlies over the northern half of India (See Fig. 3b).

291 For SST anomalies, during active periods, the NW/SE split is again apparent, with a band of  
292 anomalously cold SSTs that moves slowly northwestwards from lag-10 to +10, removing the

293 warm SST anomaly in the northern Arabian Sea during lags-10 to lag-04. The presence of colder  
294 SSTs is because of enhanced upwelling of relatively cold subsurface oceanic water by windstress  
295 curl anomalies (see later). The cold anomalies appear to slightly lead the similar northwestward  
296 movement of warm OLR anomalies (See Fig. 7a, shaded), which is consistent with colder SSTs  
297 inhibiting convection and increasing OLR. During the break periods (Fig. 7b, shaded), weaker  
298 winds cause less upwelling, and warm SST anomalies slowly move northwards across the  
299 Arabian Sea from lag-06 to lag+06. The north-south dipole seen during both active and break  
300 periods is hereafter referred as the Arabian Sea Dipole (ASD), and is similar to other dipoles  
301 observed both in the Arabian Sea and the Bay of Bengal during active and break phases  
302 (Krishnan et al., 2000).

303 The spatial structure of wind stress is important in understanding how wind forcing affects ocean  
304 upwelling, and the consequent effects on SST. Lagged composites of anomalies of wind stress  
305 and their curl are plotted in Fig. 8. The anomalies display a similar pattern to the winds shown in  
306 Fig. 3. During active phases, strong positive wind stress curl anomalies force oceanic upwelling  
307 and cooling on the northern/northwestern flank of the Somali Jet up to lag+02, while a negative  
308 windstress curl pattern builds on the southern/southeastern flank of the Somali Jet from lags-06  
309 to+02, which tends to reduce upwelling and raise SST. These patterns are consistent with the  
310 cold SST anomalies displayed in Fig. 7a (shaded) that are slowly shunted northwestwards.  
311 During break periods (Fig. 8b), a similar but opposite pattern of wind stress curl and wind stress  
312 is observed; positive wind stress curl on the southern/southeastern flank from lag-04 to lag+04,  
313 and negative wind stress curl on the northern/northwestern flank. These patterns of wind stress  
314 curl and wind stress during active and break periods are in agreement with the results of  
315 Anderson et al. (1992).

316 As an example, the lagged correlations between PV versus SST and PV versus rainfall are shown  
317 in Fig. 9. The correlation between PV and SST shows a high positive correlation at lag-04 which  
318 indicates the lagging of PV by SST on a scale of 4 days while a maximum negative correlation  
319 between PV and rainfall can be seen at lag00.

#### 320 **4. Discussion and Conclusions**

321 The above results suggest a potential feedback loop that connects various aspects of  
322 intraseasonal monsoon core region (MCR) rainfall and offer a mechanism for the propagation of  
323 related anomalies. Key to the feedback is the northwest/southeast split in PV anomaly and  
324 windstress curl in the Arabian Sea. A schematic of such a feedback for active and break phases is  
325 shown in Fig. 10, and described here.

326 If one considers an active period anomaly over the south eastern Arabian Sea, an oceanic Rossby  
327 wave for instance might cause a negative SST anomaly (Fig. 7a, shaded) lag-08 to -04). The  
328 Somali Jet in this region has a negative PV anomaly in its southern flank and a positive PV  
329 anomaly on its northern flank (Fig. 4a lag-08 to 00). This negative SST anomaly will be  
330 associated with reduced evaporation, less convection and higher OLR (Fig. 7a, contour) lag-08 to  
331 -04), which leads to less mixing of PV. The negative PV anomaly acts to curve the flow  
332 anticyclonically, causing a negative (downwelling) windstress curl anomaly on the jet's southern  
333 flank (Fig. 8a lag-06 to +02), and thus surface warming there. Conversely the positive PV  
334 anomaly on the jet's northern flank acts to curve the flow cyclonically, causing an upwelling  
335 windstress curl, and a surface cooling north of the jet. Because the mixed layer is deeper towards  
336 the south of the jet, the overall effect is to cool the northern Arabian Sea more quickly than the  
337 southern Arabian Sea warms (Fig. 7a, shaded) lag00 to +10).

338 On the other hand, if one considers a break period anomaly, a positive SST anomaly (Fig 7b,  
339 shaded) lag-08 to -04) leads to more evaporation, more convection and lower OLR over the  
340 southern Arabian Sea (Fig. 7b, contours) lag-04 to +02), which leads to more mixing of PV: The  
341 Somali Jet in this region then has a positive PV anomaly on its southern flank and a negative PV  
342 anomaly on its northern flank (Fig. 4b lag-04 to +02). The positive PV anomaly acts to curve the  
343 flow cyclonically, causing a positive (upwelling) windstress curl anomaly on the jet's southern  
344 flank (Fig. 8b lag-04 to +04), and surface cooling south of the Jet. Again, because the mixed  
345 layer is deeper towards the south of the jet, the overall effect is to warm the northern Arabian Sea  
346 faster than the southern Arabian Sea cools (Fig. 7b, shaded) lag00 to +10).

347 The descriptions above deal with the onset of the anomalous winds, but not the timescale over  
348 which the wind anomalies decay: this happens after lag+02 for active events, and after lag+06  
349 for break events. For active events, the slow warming developing over the southern Arabian Sea  
350 (Fig. 7a, shaded) lag+02 to +10) allows more evaporation, convection, mixing and PV  
351 modification, reducing the negative PV anomaly (Fig 4a lag+04 to +10); the opposite (cooling  
352 and reduction of positive PV anomalies) happens following break events. Additionally, during  
353 active events, high vertical wind shear in the BL might itself cause large amounts of mixing and  
354 PV modification. Consideration of PV anomalies therefore allows a mechanism whereby SST  
355 anomalies build and propagate northwestwards through the Arabian Sea during active and break  
356 periods.

357 The timescale for the feedback is essentially set by the timescales for anomalies in diabatic  
358 forcing to change Somali Jet PV, and for resulting wind stress curl anomalies to force vertical  
359 ocean velocities in the mixed layer that significantly change SST. It can be estimated in a similar  
360 manner to Marshall et al. (2001) and O'Callaghan et al. (2014) by expressing the Ekman-induced



361 transport as a pseudo heat flux  $H_E = c_p \Delta SST \nabla \times \tau / f$ , where  $\Delta SST$  is the horizontal SST  
362 gradient across the domain (or  $\sim 2$  K),  $c_p$  is the specific heat capacity of water,  $\nabla \times \tau$  is estimated  
363 from Fig. 8, and  $f \approx 2 \times 10^{-5} \text{s}^{-1}$ . The temperature change of the surface can then be calculated  
364 assuming that the heat flux is distributed throughout the mixed layer, which we assume is 40 m  
365 deep. Following the peak of an active event,  $H_E \approx 20 \text{ Wm}^{-2}$ , implying a change in  
366 temperature of  $O(0.1)$  K (the size of the anomalies that emerge in the south eastern corner of the  
367 panels in Fig. 7 (shaded)) over 10 days.

368 While the precise role of PV anomalies in the Somali Jet on the intensity and structure of  
369 different phases of ISM rainfall is debated, it has been broadly acknowledged in previous studies  
370 that modification in PV is required in order to avoid breaks in the monsoon (Rodwell and  
371 Hoskins, 1995; Rodwell, 1997; Joseph and Sijikumar, 2004). Our work suggests a more complex  
372 picture of PV whereby during an active event, PV modification (from negative to positive) is  
373 minimal over the Arabian Sea, but mainly happens as the flow crosses the peninsula and turns  
374 cyclonically northward, causing a low pressure anomaly. Conversely, during a break, PV is  
375 being significantly modified upstream over the Arabian Sea, weakening the jet and reducing flow  
376 onto the Indian peninsula: the result is an absence of convergence over India, and a lack of  
377 rainfall.

378 Simulating ISM variability therefore requires coupled ocean-atmosphere GCMs that represent  
379 the above feedbacks correctly, such as the oceanic mixed layer response to a wind stress curl  
380 anomaly, and convection and subsequent PV modification response to an SST anomaly. If  
381 feedbacks such as these are weak in GCMs, then ISM variability may be too weak; conversely, if  
382 such feedbacks are too strong, ISM variability may be so strong that mean rainfall can be  
383 significantly biased. We therefore speculate that adequate vertical resolution of the upper ocean

384 is necessary in order to resolve PV-driven interactions with the oceanic mixed layer. In addition,  
385 since key elements of these feedbacks such as ocean mixed layer processes and convection are  
386 parametrized, we suggest that analysis of such feedbacks in a variety of coupled ocean-  
387 atmosphere GCMs should be performed in future.

388 Climate model projections of the ISM later in this century suggest increased mean rainfall, but  
389 greater variability (e.g. as reviewed in Turner and Annamalai, 2012). Warmer SSTs suggest  
390 shallower ocean mixed layers (though the dynamical influence of ISM winds on the ocean is  
391 important over the Arabian Sea), and potentially a different relationship between convection and  
392 the response of PV modification to an SST anomaly, e.g. due to changed humidity and lapse  
393 rates. Analysis of how GCMs simulate present-day PV behavior in different phases of the ISM  
394 may therefore shed light on understanding ISM variability in different GCM projections of 21<sup>st</sup>  
395 century climate change.

396 In the present study, we have examined the variability of rainfall on intraseasonal time scales and  
397 atmospheric processes associated with it over the monsoon core region (MCR; as a  
398 representative region of Indian monsoon variability) and its relation with PV anomalies in the  
399 Somali Jet during different phases of the ISM. By shedding light on the response of the ISM to  
400 modified PV, and resulting flow – precipitation interactions, this study will contribute to better  
401 understanding the influence of Somali Jet dynamics on the monsoon on different time scales.

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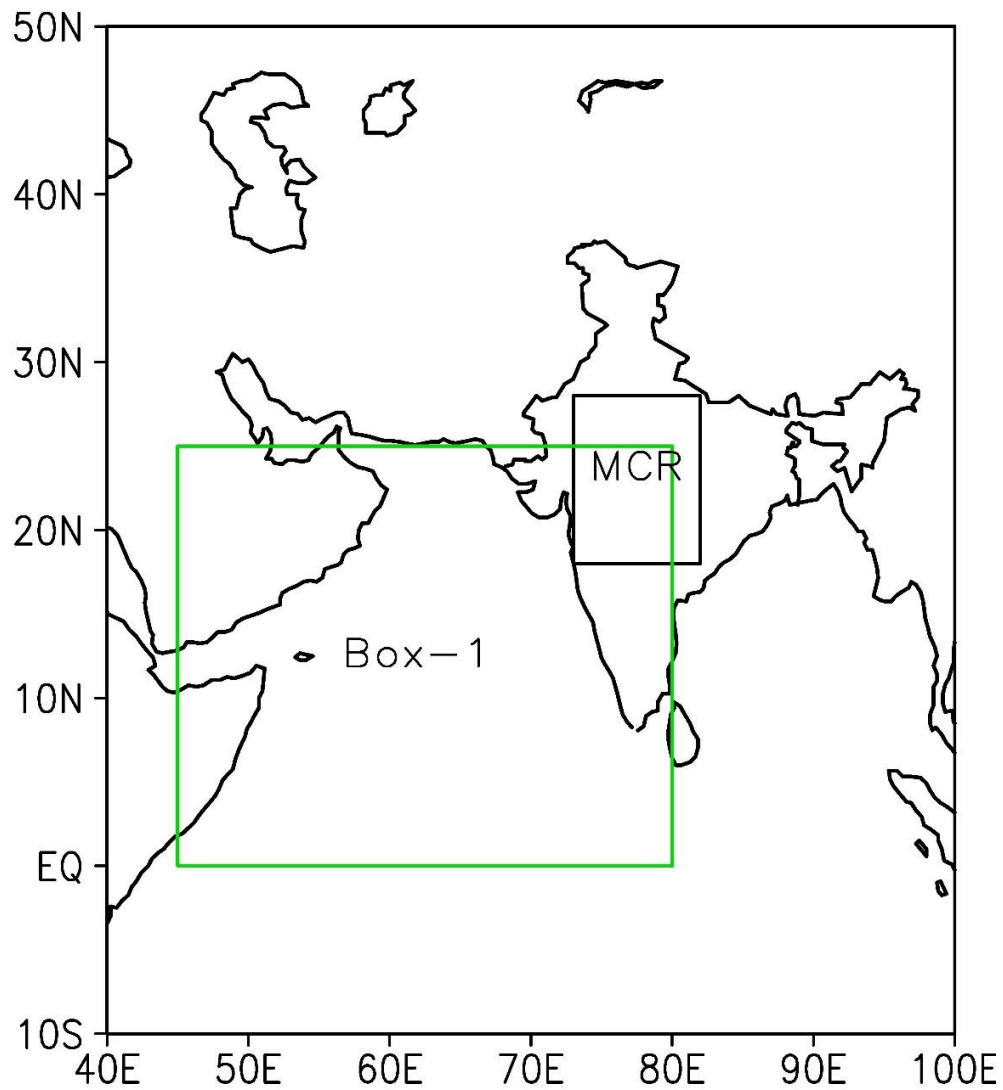
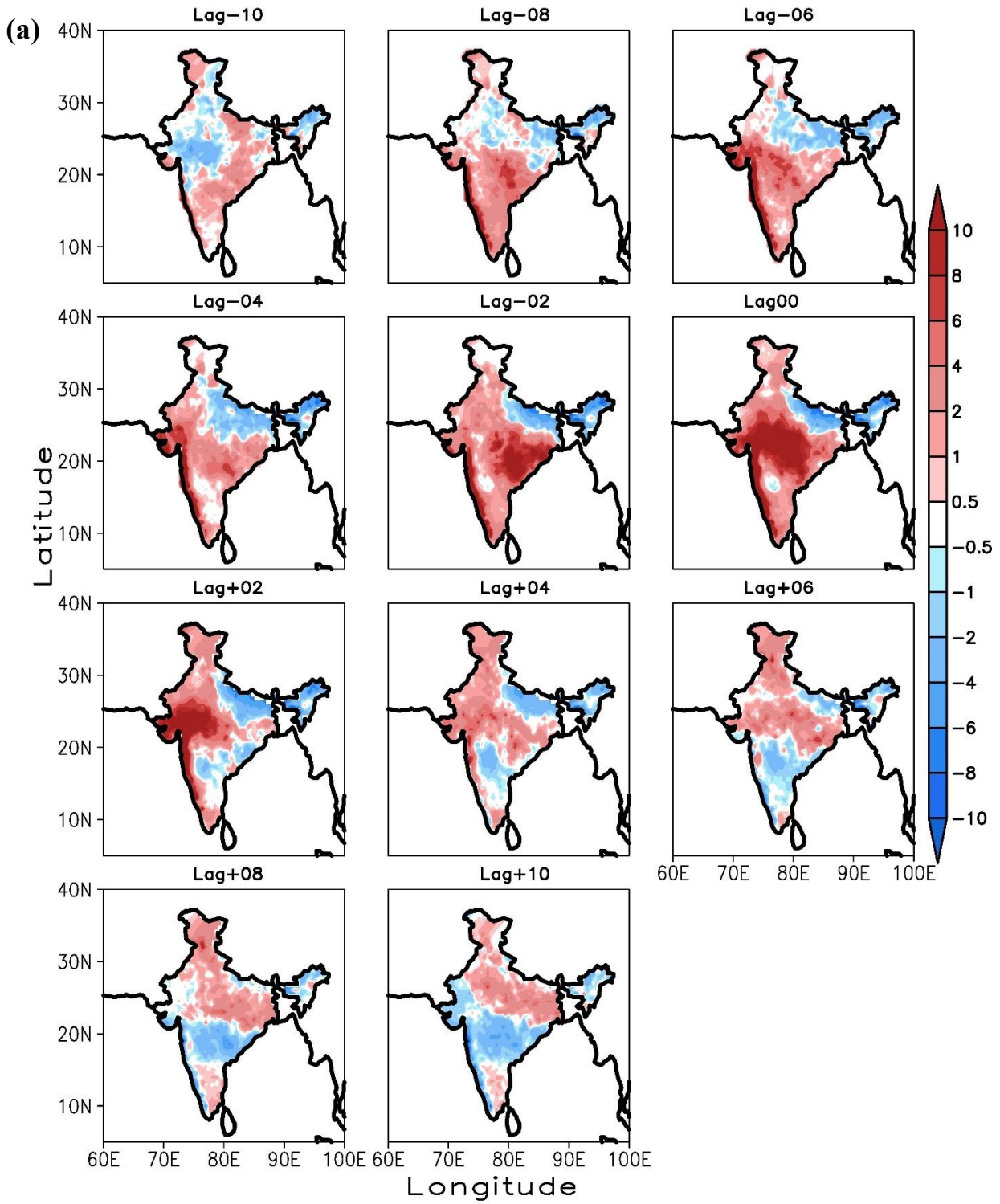


Fig.1. Study region considered over the Indian political boundary (hereafter referred to as 'India') and Indian monsoon core region (73°E-82°E and 18°N-28°N; box-MCR) along with Somali Jet PV region (45°E-80°E and 0°N-25°N; Box-1).



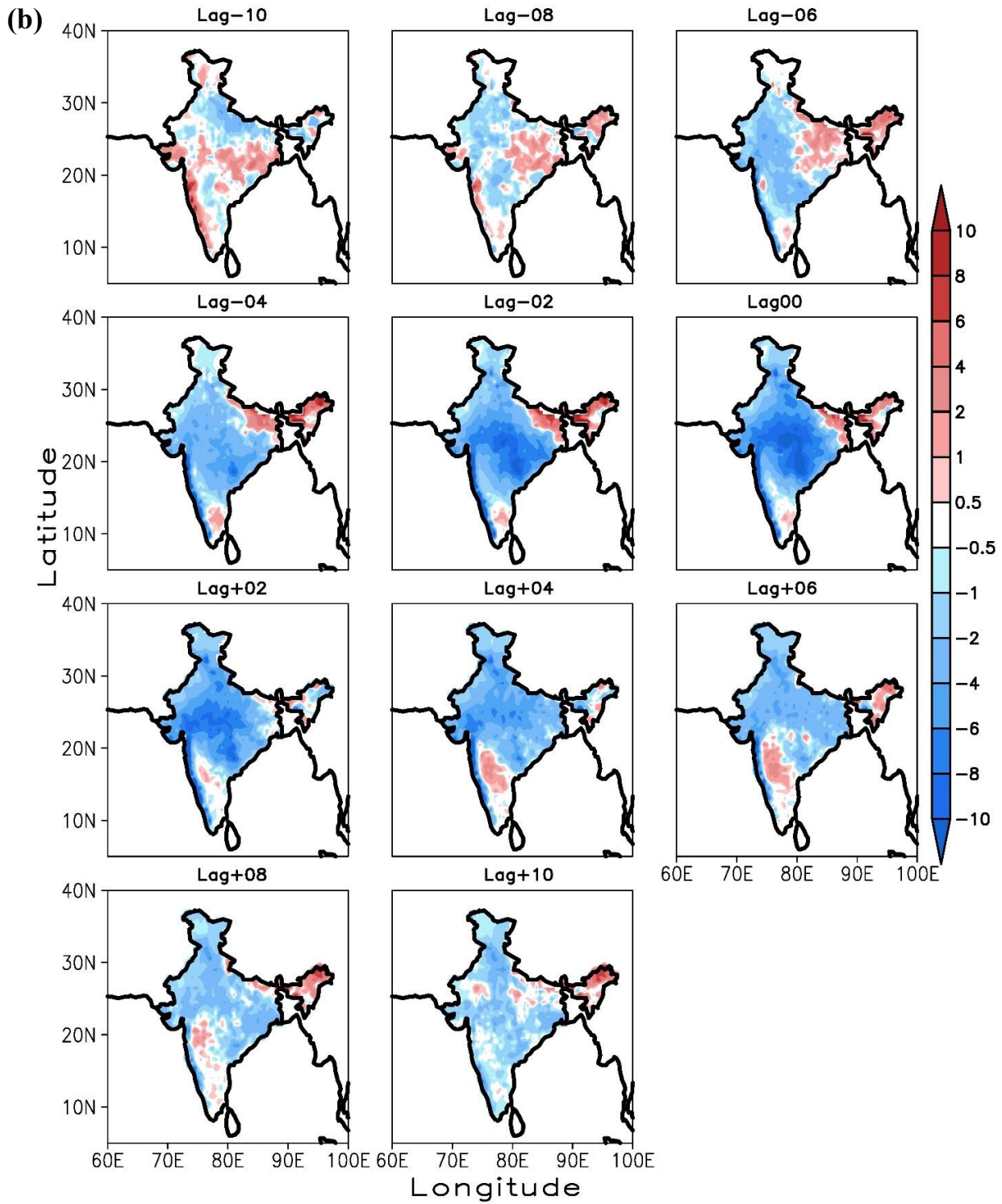
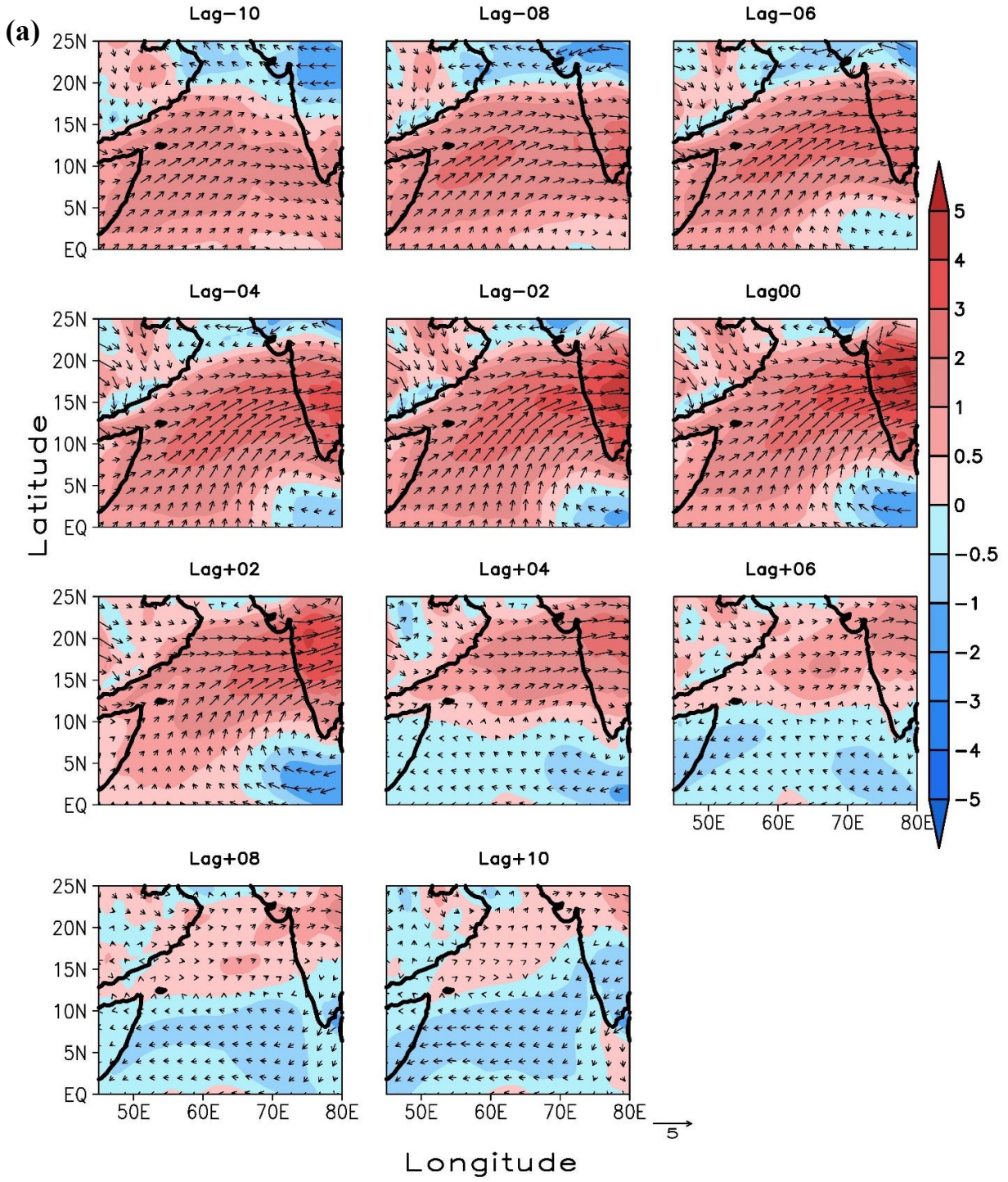


Fig. 2. Time-averaged lagged composite of daily rainfall (mm/day) anomalies from lag+10 to lag-10 for (a) active, and (b) break periods.



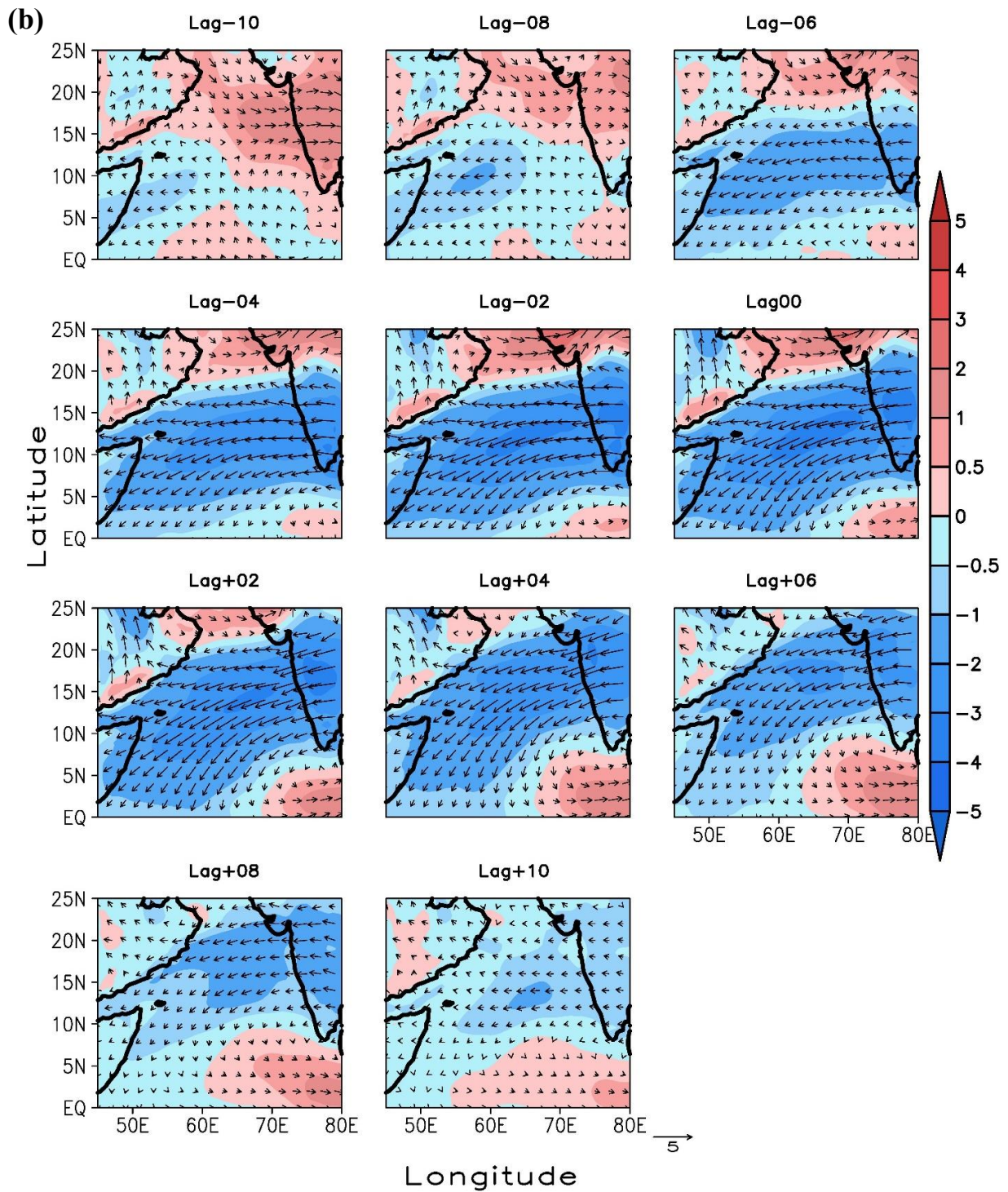
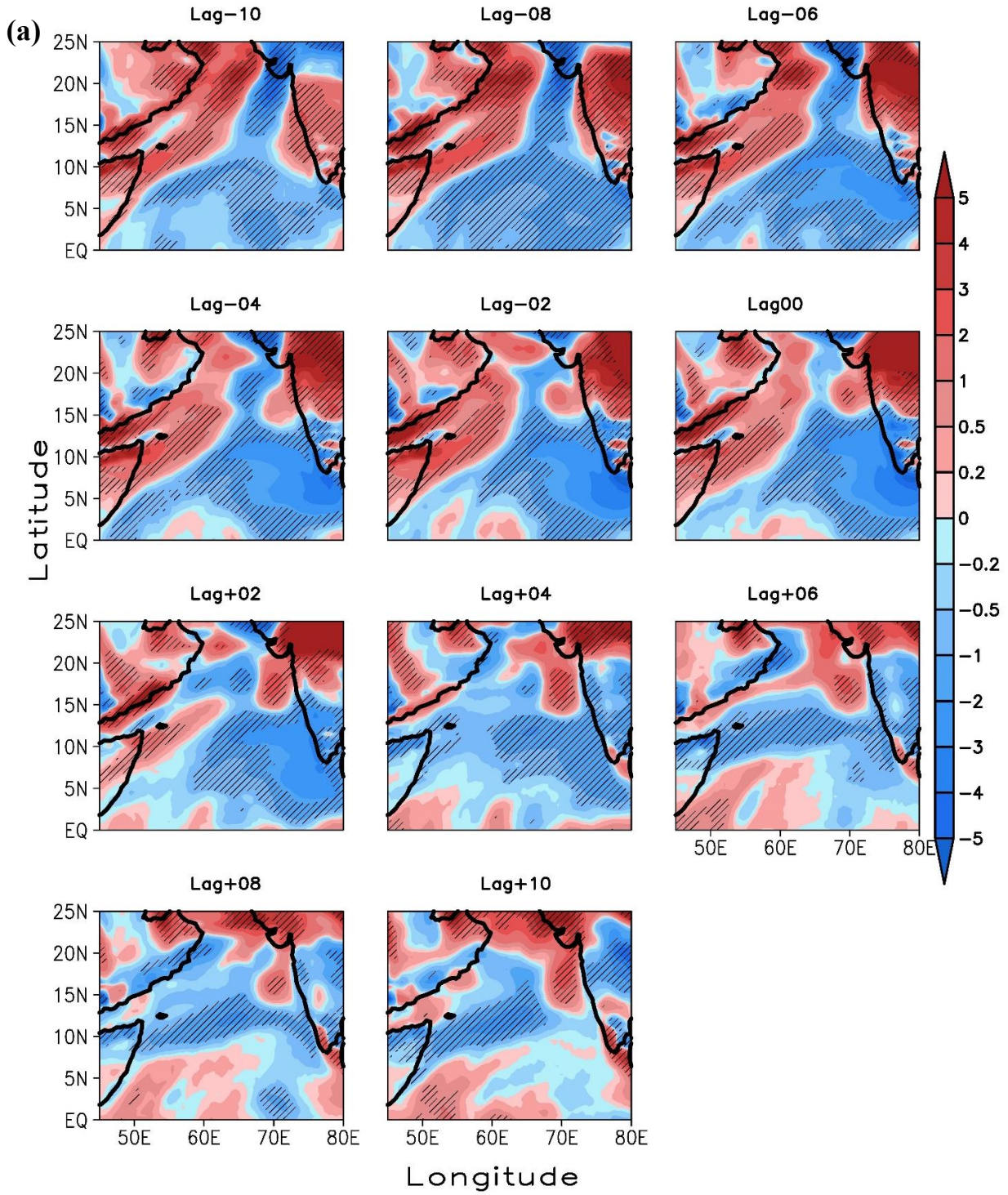


Fig. 3. Time-averaged lagged composite of daily wind anomalies at 850 hPa (magnitude; shaded, vector; 5 m/s) from -10 to +10 lag during Jul and Aug over the region 45°E-80°E and 0°N-25°N for (a) active, and (b) break periods.



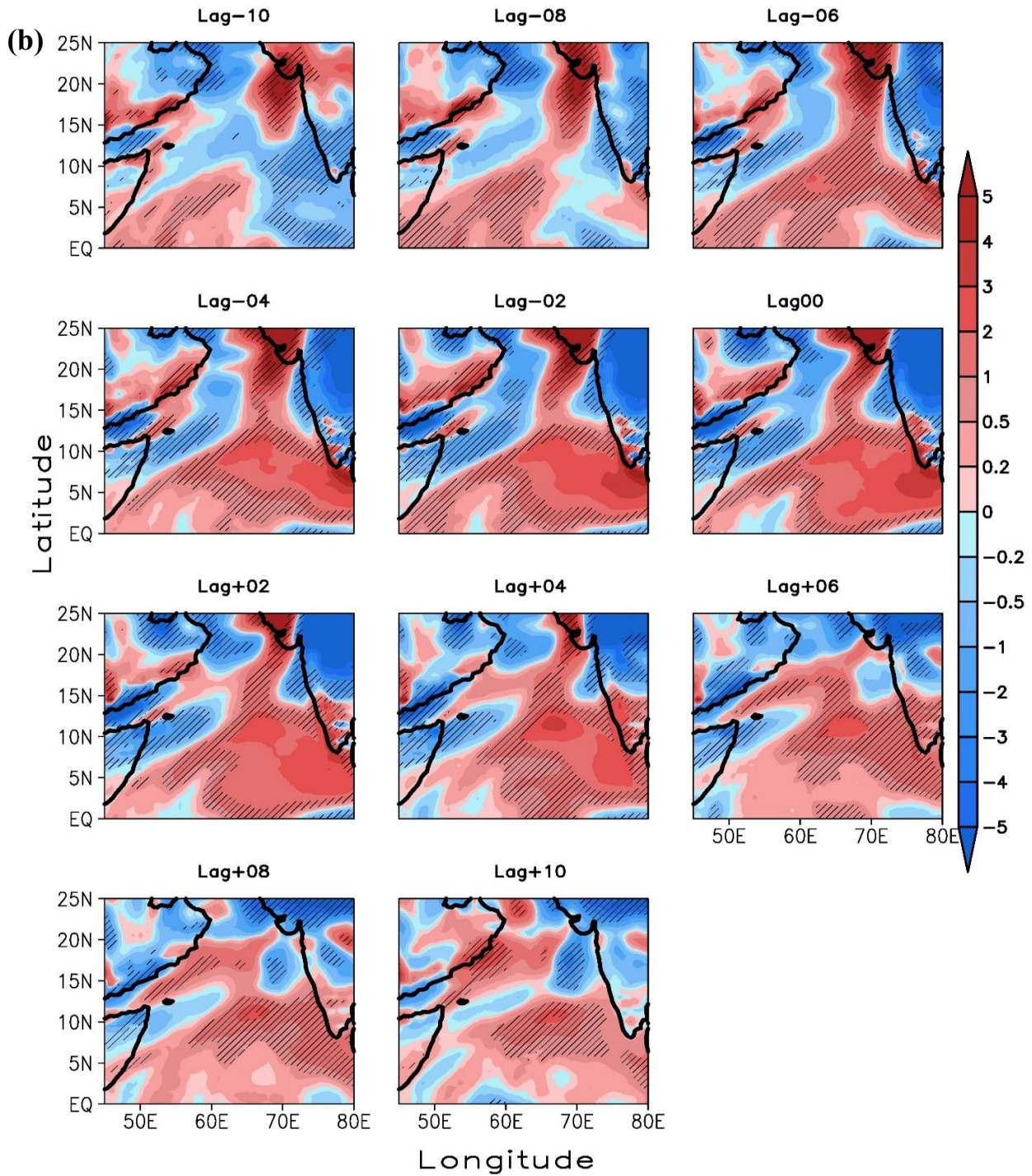
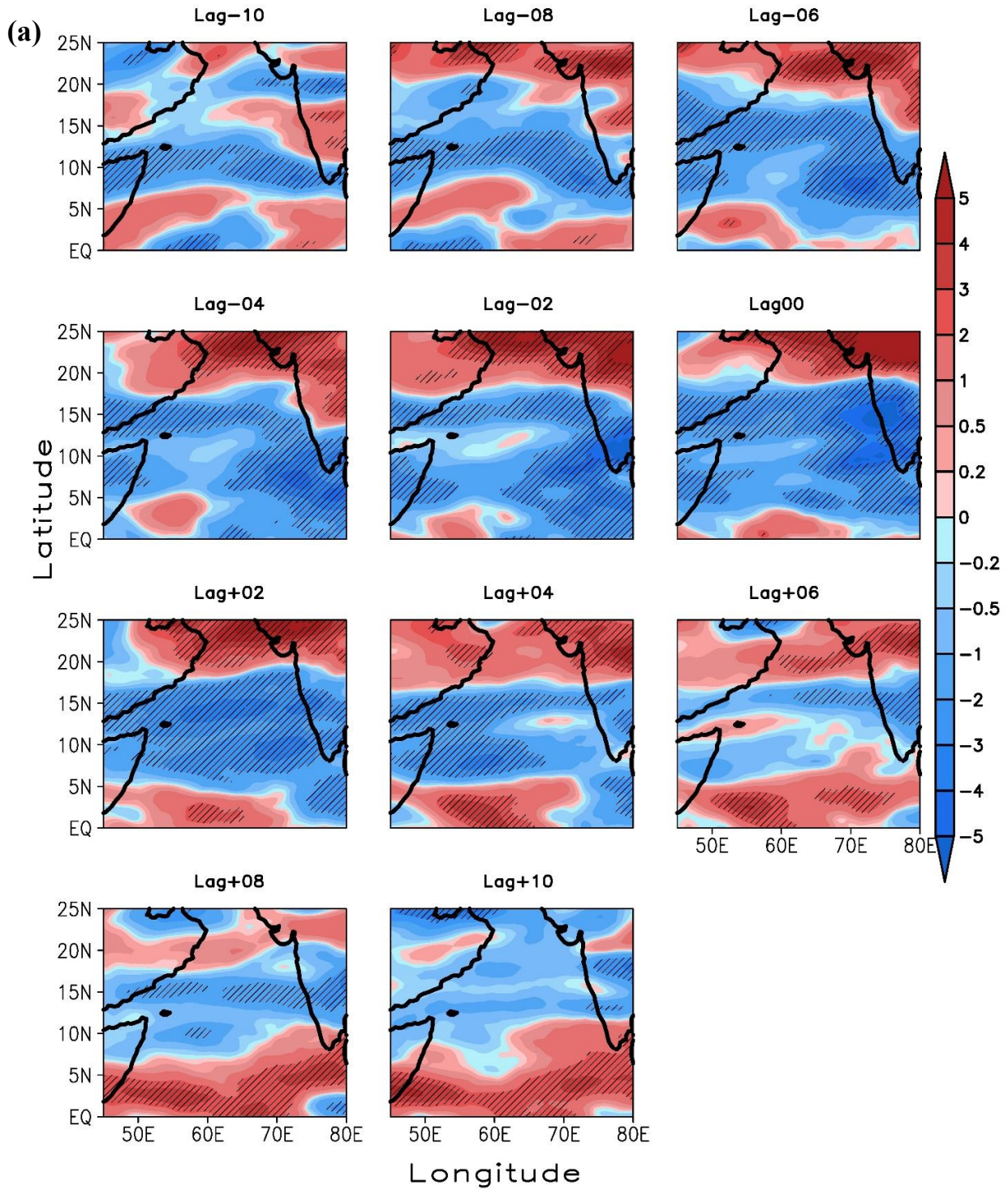


Fig. . Time-averaged lagged composite of daily Somali Jet PV ( $10^{-8} \text{ m}^{-2} \text{ s}^{-1} \text{ K kg}^{-1}$ ) anomalies at 850 hPa from -10 to +10 lag for period during Jul and Aug over the region  $45^{\circ}\text{E}$ - $80^{\circ}\text{E}$  and  $0^{\circ}\text{N}$ - $25^{\circ}\text{N}$  for (a) active, and (b) break periods. The hatched region corresponds to  $\geq 95\%$  significance.





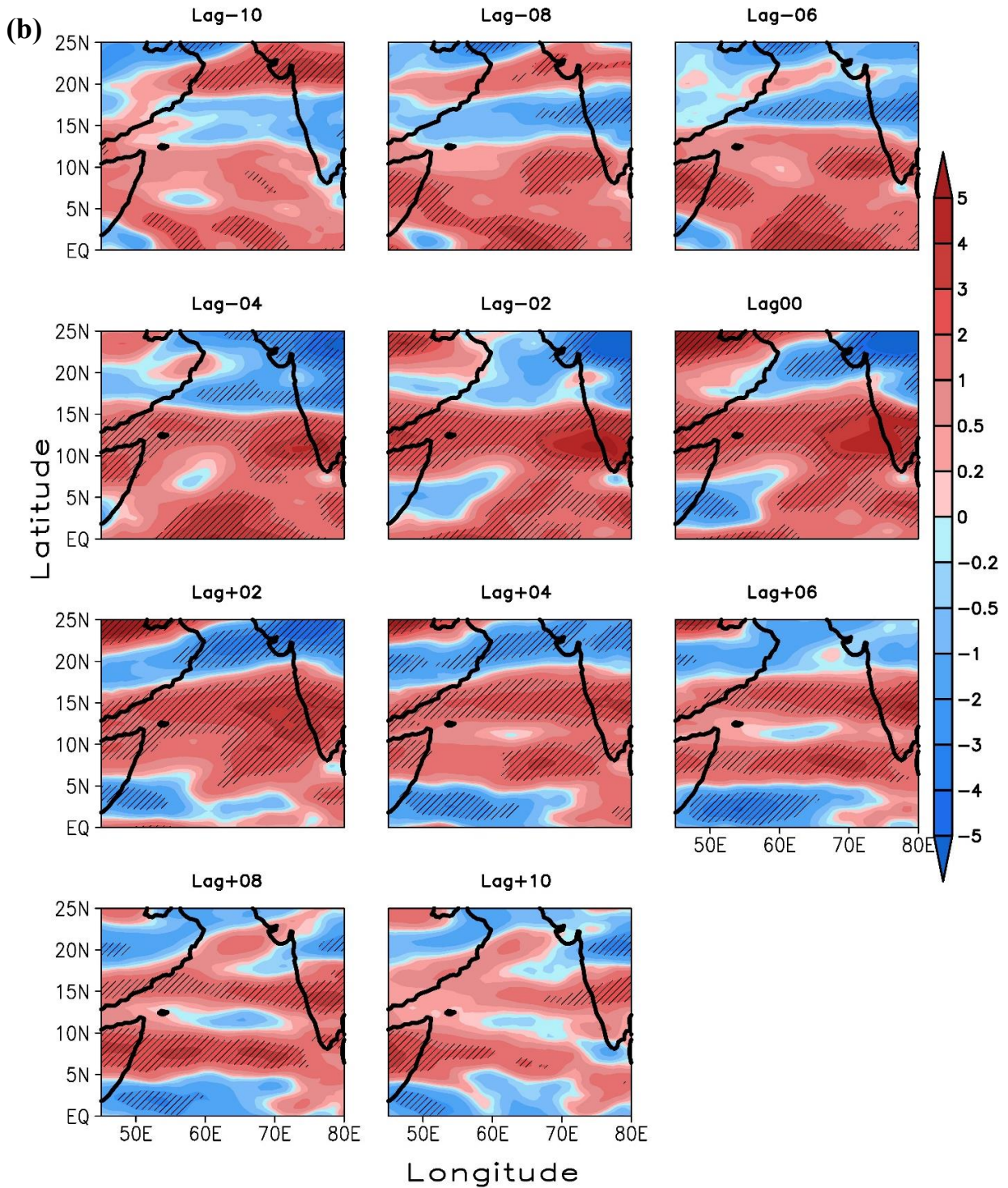
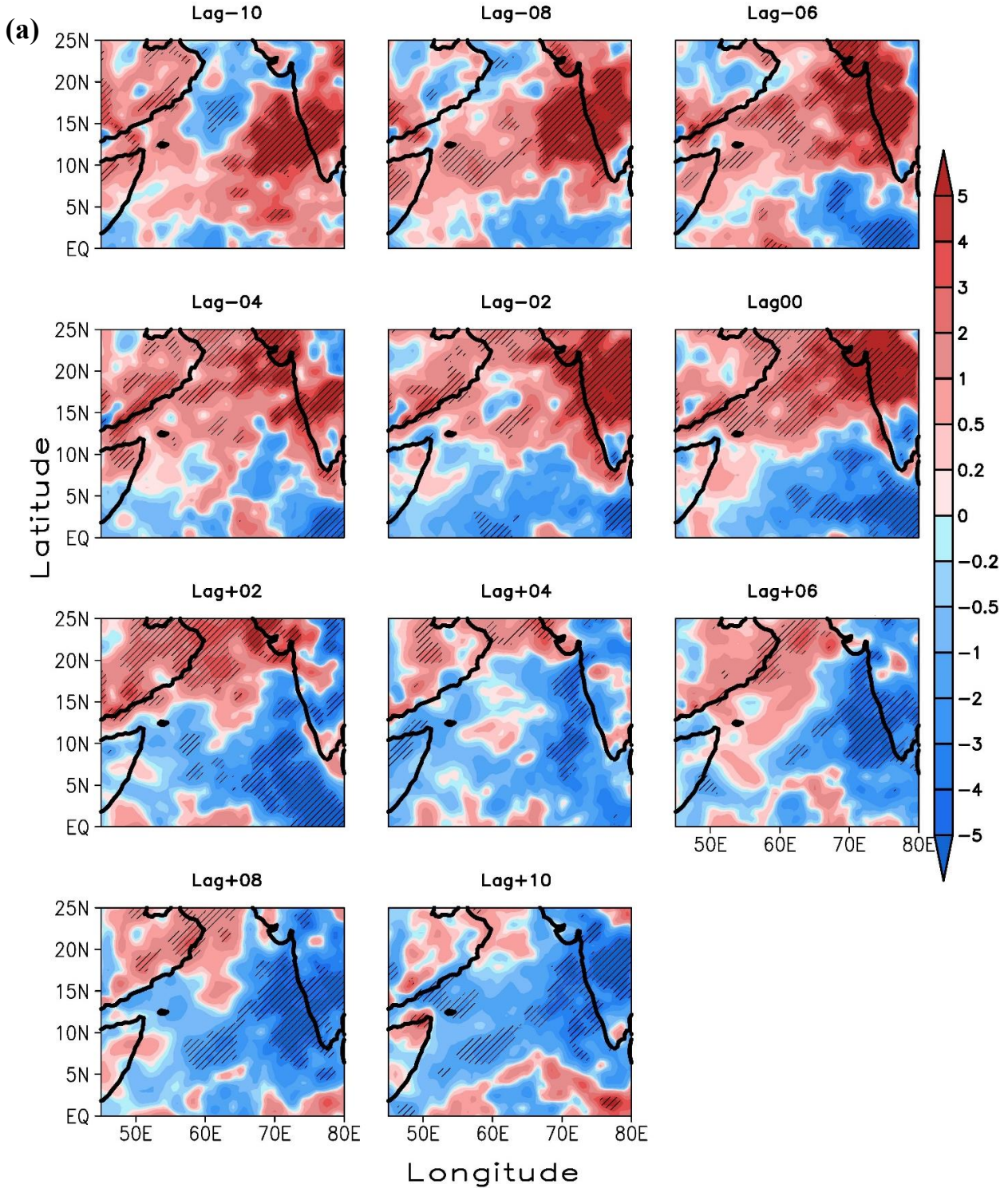


Fig. 5. Time-averaged lagged composite of daily Somali Jet PV ( $10^{-8} \text{ m}^{-2} \text{ s}^{-1} \text{ K kg}^{-1}$ ) anomalies at 500 hPa from -10 to +10 lag for period during Jul and Aug over the region  $45^{\circ}\text{E}$ - $80^{\circ}\text{E}$  and  $0^{\circ}\text{N}$ - $25^{\circ}\text{N}$  for (a) active, and (b) break periods. The hatched region corresponds to  $\geq 95\%$  significance.



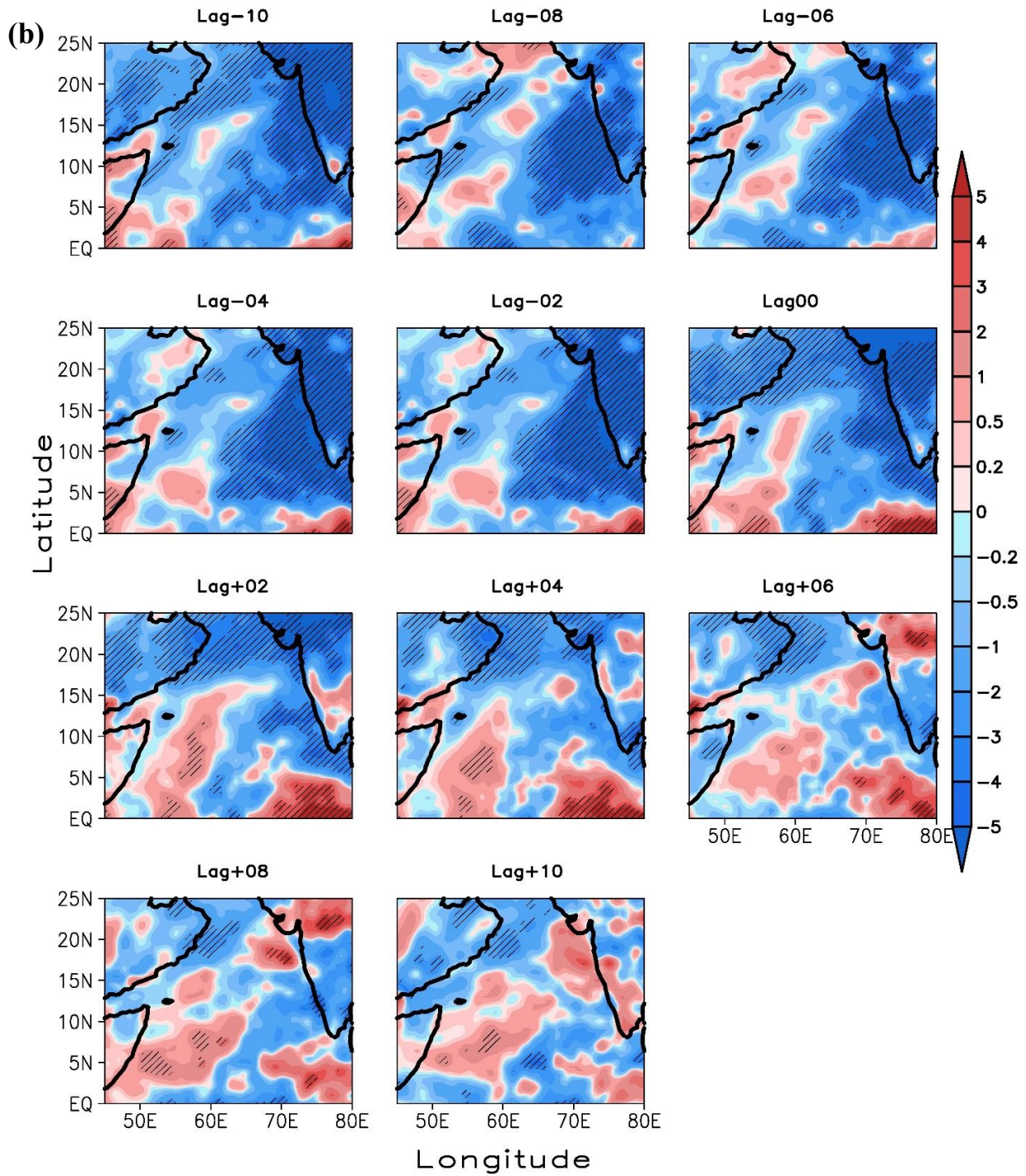
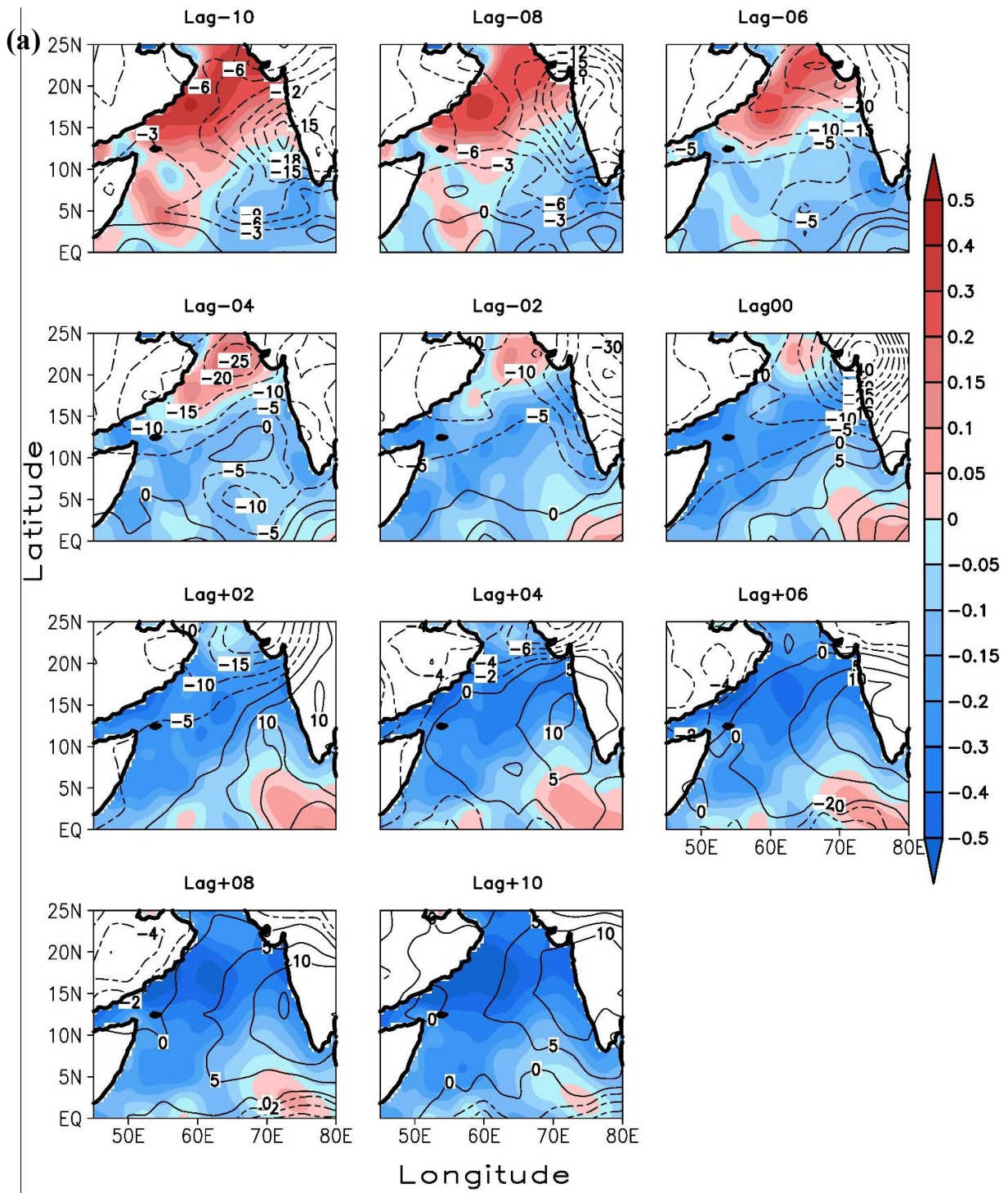
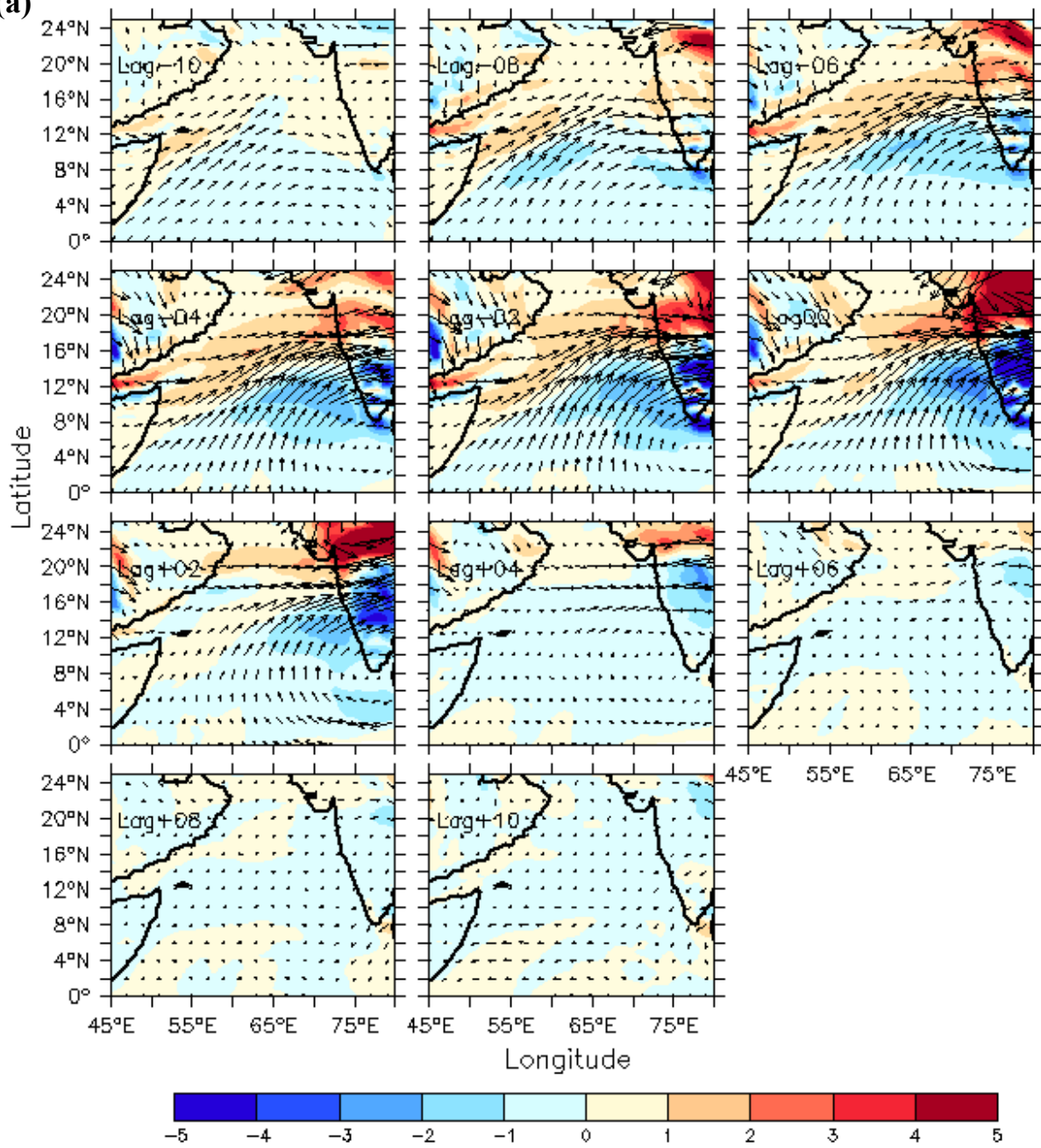


Fig 6. Time averaged lagged composite of daily diabatic heating anomalies at 850 hPa (K/day) from -10 to +10 lag for period during Jul and Aug over the region 45°E-80°E and 0°N-25°N for (a) active, and (b) break period. The hatched region corresponds to  $\geq 95\%$  significance.





(a)







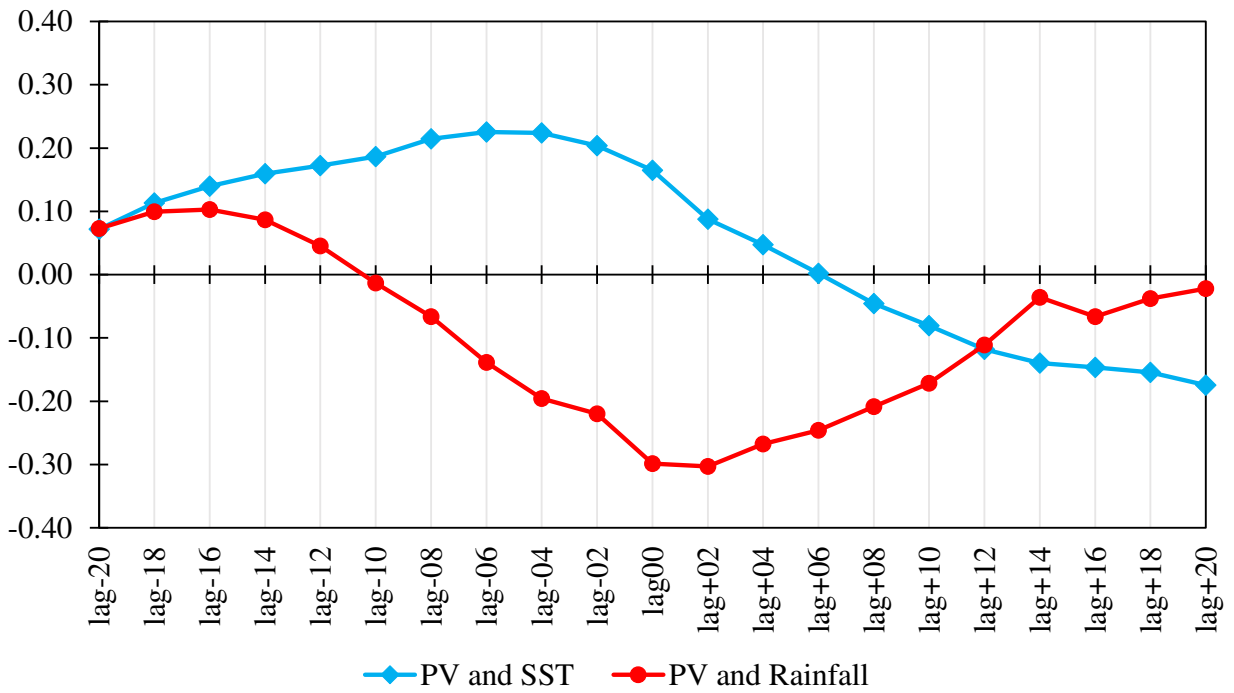


Fig. 9. Lagged correlation between area-averaged PV vs SST (SST shifting) and PV vs Rainfall (Rainfall shifting) for Jul and Aug months over the region 58°E-68°E and 6°N-12°N.

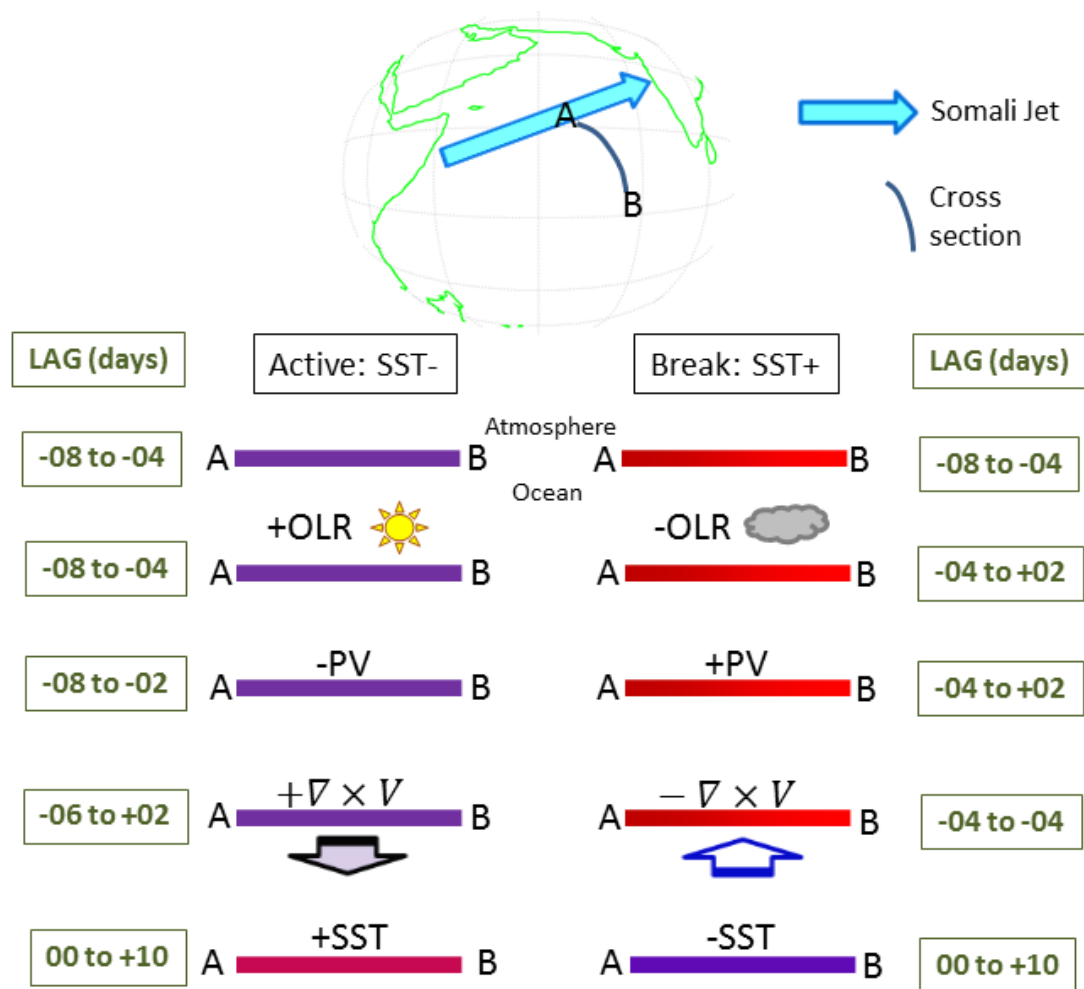


Fig. 10. Schematic showing the development of anomalies in SST, OLR, PV, wind-stress curl, upwelling and resulting SST change on the southern side of the jet in active and break phases. The lag is in days relative to the peak of active and break events as defined by rainfall over the MCR region (see text for full details).

Table 1. Active and break spells date calculated from IMD observation data at 0.5° resolution from the period 1979-2005.

Year	Active spell	Break spell
1979	3-5A, 7-10A	1-7J, 14-16A, 18-29A
1980	1-3A	-
1981	7-9J, 4-6A	24-31A
1982	21-24A	1-8J
1983	25-27J, 10-15A	7-9J
1984	2-4A, 9-11A, 16-19A	10-12J, 27-30J
1985	15-17J, 30J-1A, 7-9A	1-4J, 22-29A
1986	21-24J, 12-15A	1-6J, 23-31A
1987	24-26A	16-19J, 31J-4A
1988	25-27J	-
1989	21-24J	30J-5A
1990	22-24A	-
1991	23-25A	1-4J
1992	26-29J, 16-21A	3-10J
1993	15-17J, 3-6A	20-24J, 8-14A, 22-29A
1994	10-14J, 18-21J	-
1995	18-21J, 23-25J	2-7J, 12-16A
1996	22-28J	1-3J
1997	25-27J, 30J-2A, 22-25A	14-17A
1998	3-6J	22-26J
1999	19-21J	1-5J, 13-15A, 23-25A
2000	17-21J	23-25J, 1-8A
2001	14-16A	26-31A
2002	-	2-15J, 22-31J, 26-28A
2003	26-28J	-
2004	30J-1A, 3-6A, 9-12A	20-22J, 26-31A
2005	1-5J, 25-28J, 31J-2A	8-14A, 24-31A