

Accepted Manuscript

Continental-scale patterns and climatic drivers of fruiting phenology: A quantitative Neotropical review

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PII: S0921-8181(16)30258-2
DOI: doi: [10.1016/j.gloplacha.2016.12.001](https://doi.org/10.1016/j.gloplacha.2016.12.001)
Reference: GLOBAL 2527
To appear in: *Global and Planetary Change*
Received date: 2 July 2016
Revised date: 5 December 2016
Accepted date: 7 December 2016

Please cite this article as: Irene Mendoza, Carlos A. Peres, Leonor Patrícia C. Morellato, Continental-scale patterns and climatic drivers of fruiting phenology: A quantitative Neotropical review. The address for the corresponding author was captured as affiliation for all authors. Please check if appropriate. Global(2016), doi: [10.1016/j.gloplacha.2016.12.001](https://doi.org/10.1016/j.gloplacha.2016.12.001)

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GLOBAL AND PLANETARY CHANGE**Continental-scale patterns and climatic drivers of fruiting phenology: a quantitative Neotropical review****Short title:** Patterns and drivers of fruiting phenology in the Neotropics**Authors:** Irene Mendoza^{1*}, Carlos A. Peres² and Leonor Patrícia C. Morellato¹**Affiliations:**

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Keywords: fruit and seed production; America; frugivore; climate change; tropics

Abstract

Changes in the life cycle of organisms (i.e. phenology) are one of the most widely used early-warning indicators of climate change, yet this remains poorly understood throughout the tropics. We exhaustively reviewed any published and unpublished study on fruiting phenology carried out at the community level in the American tropics and subtropics (latitudinal range: 26°N - 26°S) to (1) provide a comprehensive overview of the current status of fruiting phenology research throughout the Neotropics; (2) unravel the climatic factors that have been widely reported as drivers of fruiting phenology; and (3) provide a preliminary assessment of the potential phenological responses of plants under future climatic scenarios. Despite the large number of phenological datasets uncovered (218), our review shows that their geographic distribution is very uneven and insufficient for the large surface of the Neotropics (~ 1 dataset per ~78,000 km²). Phenological research is concentrated in few areas with many studies (state of São Paulo, Brazil, and Costa Rica), whereas vast regions elsewhere entirely unstudied. Sampling effort in fruiting phenology studies was generally low: the majority of datasets targeted fewer than 100 plant species (71%), lasted 2 years or less (72%), and only 10.4% monitored more than 15 individuals per species. We uncovered only 10 sites with ten or more years of phenological monitoring. The ratio of numbers of species sampled to overall estimates of plant species richness was wholly insufficient for highly diverse vegetation types such as tropical rainforests, seasonal forest and cerrado, and only slightly more robust for less diverse vegetation types, such as deserts, arid shrublands and open grassy savannas. Most plausible drivers of phenology extracted from these datasets were environmental (78.5%), whereas biotic drivers were rare (6%). Among climatic factors, rainfall was explicitly included in 73.4% of cases, followed by air temperature (19.3%). Other environmental cues such as water level (6%), solar radiation or photoperiod (3.2%), and ENSO events (1.4%) were rarely addressed. In addition, drivers were analyzed statistically in only 38% of datasets and techniques were basically correlative, with only 4.8% of studies including any consideration of the

inherently autocorrelated character of phenological time series. Fruiting peaks were significantly more often reported during the rainy season both in rainforests and cerrado woodlands, which is at odds with the relatively aseasonal character of the former vegetation type. Given that climatic models predict harsh future conditions for the tropics, we urgently need to determine the magnitude of changes in plant reproductive phenology and distinguish those from cyclical oscillations. Long-term monitoring and herbarium data are therefore key for detecting these trends. Our review shows that the unevenness in geographic distribution of studies, and diversity of sampling methods, vegetation types, and research motivation hinder the emergence of clear general phenological patterns and drivers for the Neotropics. We therefore call for prioritizing research in unexplored areas, and improving the quantitative component and statistical design of reproductive phenology studies to enhance our predictions of climate change impacts on tropical plants and animals.

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References

1-Introduction

Phenological shifts are one of the most widely used early-warning indicators of climate change (IPCC, 2014; Menzel et al., 2006; Parmesan and Yohe, 2003; Primack et al., 2009; Root et al., 2003), because the timing of life-cycle events is finely tuned to climate (Cleland et al., 2007). Numerous studies have shown systematic changes in the vegetative and reproductive schedules of organisms as a consequence of rising temperatures (Chambers et al., 2013; IPCC, 2014; Rosenzweig et al., 2008). For instance, there is a large body of evidence from the Northern Hemisphere that many plant species tend to bloom earlier in springtime (Gordo and Sanz, 2010; Parmesan, 2007; Primack et al., 2009; Schwartz et al., 2006; Xu et al., 2013), or drop their leaves later in autumn (Gallinat et al. 2015; Gill et al., 2015; Ibáñez et al., 2010), as a response to warmer temperatures. Other changes in the timing of migrations, diapause or breeding events have also been widely reported in animals (e.g. Both et al., 2006; Forrest, 2016; Parmesan, 2006, 2007; Primack et al., 2009).

Detecting the climatic drivers that control plant phenology is a central challenge in ecological research. Air temperature in particular (including winter chilling) has been signaled as the most critical environmental cue affecting plant life cycles, especially in high-latitude regions (Cook et al., 2012; Menzel et al., 2006). This explains the high confidence in the detection and attribution of advancements of plant growth and flowering to warming due to anthropogenic climate change (IPCC, 2014; Parmesan, 2007; Parmesan and Hanley, 2015). However, our relatively robust understanding of temperature-driven phenological changes results from the overwhelming dominance of research carried out in temperate, boreal and arctic ecosystems of the Northern Hemisphere (Chambers et al., 2013). As such, drivers other than spring temperature (Gallinat et al., 2015), particularly in other study regions, have been severely neglected. In

the tropics, temperature gradients are far subtler than in high-latitude climates, so plant seasonality has traditionally been explained in terms of rainfall patterns (Reich, 1995; van Schaik et al., 1993). Many tropical studies have therefore attempted to relate flower and fruit production to the alternation of dry and wet seasons, even if other environmental drivers may also be relevant. Among them, photoperiod (day length relative to night length) and the intensity of solar irradiation (i.e. daily insolation) may be among the most important, yet understudied, triggers of tropical plant phenology (Borchert et al., 2015; Calle et al., 2010; van Schaik et al., 1993). Although some workers have reviewed the main biotic and abiotic drivers affecting plant reproductive phenology (e.g. Chambers et al., 2013; Fenner, 1998; Rathcke and Lacey, 1985; Richardson et al., 2013; Rosenzweig et al., 2008), they offer little information on tropical organisms (but see van Schaik et al., 1993 as an exception). Recently, Morellato *et al.* (2013) provided a qualitative overview of plant phenology studies and perspectives in Central and South America, but a quantitative continental-scale review of phenological drivers remains conspicuously lacking throughout the tropics.

Our poor understanding of the climatic drivers of tropical phenology can be clearly linked to the overall paucity of long-term ecological monitoring (i.e. with at least 10 years of data, see Chambers et al., 2013 for the same criterion) in virtually all tropical countries (but see Alencar et al., 1979; Newstrom et al., 1994; Wright and Calderón, 2006 as exceptions for the Neotropics). In contrast, long-term time series of phenological data are relatively abundant for many temperate areas (e.g. Cook et al., 2012; Menzel et al., 2006; Schwartz and Reiter, 2000; Sparks and Menzel, 2002). In addition to short-term monitoring, the high species diversity in the tropics imposes major challenges because it is difficult to distinguish a single-species phenological trend from the overall community pattern if this species is rare or poorly sampled (Morellato et al., 2010; Newstrom et al.,

1994; Sakai, 2001). Phenological cycles are extremely variable among taxa (Parmesan, 2007; Primack et al., 2009), so assemblage-wide phenological patterns become noisy when co-existing plant species display a broad array of background life-cycle modes, including annual, biannual or irregular flowering and fruiting (Newstrom et al., 1994; Sakai, 2001). Finally, standardized methods of phenological monitoring are severely lacking, rendering broad generalizations more difficult (Chapman et al., 1994; Morellato et al., 2010; Newstrom et al., 1994). For instance, methodological comparisons between seed traps, observations of individual trees and liana crowns in the forest canopy, and fruit counts have shown inherent differences in the timing of fruiting, even if the study area and monitoring time were the same (Chapman et al., 1992; Morellato et al., 2010; Stevenson et al., 1998; Zhang and Wang, 1995).

Here, we review all published and unpublished research that examined fruiting phenology throughout the Neotropics using a community-wide approach. We were originally interested in retrieving studies representative of the phenological patterns of different Neotropical vegetation types, so we discarded studies at the population level. First, this large dataset, consisting of 218 different sites derived from 177 studies, enabled a comprehensive overview of the current status of fruiting phenology research. We evaluated the geographic distribution and variation in sampling effort of studies in terms of number of species observed, duration of monitoring, sampling techniques and vegetation types. Second, we extracted for each study the environmental drivers correlated with fruiting patterns, to discuss the abiotic factors that have been widely reported to explain Neotropical fruiting phenology. Our final purpose in this review is to identify major gaps in our understanding of the environmental drivers of fruiting phenology, as well as future research needs. We focus on fruiting phenology because vertebrate and invertebrate consumers can alter their metabolism, dietary profile, local

daily movements and long-distance migrations tracking fruit resource production (Morellato et al., 2016; Peres, 1994). Therefore, changes in fruiting patterns at the plant community level have major bottom-up consequences for biodiversity conservation and management (Morellato et al., 2016; Peres, 1994). Our geographic focus is justified because the Neotropics is the most species-rich region on Earth, resulting from a complex geomorphology and paleographic evolution (Antonelli and Sanmartin, 2011; Arroyo et al., 2010) and the presence of extensive wetlands (Amazon wetlands and the Pantanal, Fraser and Keddy, 2005) and large river basins with associated vegetation (Amazon, Paraná, Purus and Madeira, Arroyo et al., 2010). In addition, the African and Asian tropics have an even poorer tradition of phenological research (Chambers et al., 2013; Schwartz, 2013).

2-Current status of Neotropical phenological studies

2.1. Historic background of phenological research and its increasing importance

Observations on animal and plant life cycles date back to ancient times and are intimately linked to the development of agriculture (Hudson and Keatley, 2010; Schwartz, 2013). However, the term phenology (from the Greek *φαινω*, which in English means “to show, to appear”) was adopted for the first time in 1849 by Charles Morren to describe the periodic monitoring of plants in the Royal Botanic Garden of Brussels (Demarée and Rutishauser, 2009, 2011; Morren, 1849). In the Neotropics, phenological studies started much later, with the first records of flowering and fruiting undertaken by Davis & Richards (1933) in an evergreen forest of British Guiana. Other pioneer studies were those of Alvim (1964) in Brazil and Allen (1956) in Costa Rica (see Morellato et al., 2013 for a complete historical description of the phenological research in Central and South America). Since the origins of phenological research, the number of studies has exponentially increased. A simple web search using the term “phenolog*” in the Scopus® database for all type of available documents (which includes peer-reviewed

journals, books and conference proceedings) shows ~24,000 references between 1970 and 2015 (Fig. 1). The total output is smaller when we restricted the search to studies including the terms "phenology*" and "trop*" (1,990), and further still when "fruit*" was added to the query (454), but the trend over time was the same (Fig. 1). This exponential growth in publication rate of phenological studies (as shown by a least square fit to an exponential model, $R^2 = 0.96$) is a common behavior for the fields of ecology, biometeorology or Evolution (Fig. 1) among others, particularly after 1995 (Gupta et al., 1997; Vinkler, 2010). Even so, this increased rate of publication also shows a burgeoning interest in phenological research, more recently motivated by its insights into climate change (Cleland et al., 2007; Parmesan, 2007; Ibáñez et al., 2010; Keatley & Hudson 2010; Chambers et al., 2013; Richardson et al., 2013). However, as we shall see, the extraction of clear and general phenological patterns and drivers is hindered by the unevenness in the geographic distribution of study sites, diversity of sampling methods, vegetation types, and different research motivations.

2.2. Review methodology: selection criteria for literature survey

We conducted a comprehensive bibliographic research of any published and unpublished study on fruiting phenology that has been carried in the American tropics and subtropics from 26°N to 26°S. Published studies were searched using the Web of Science®, but supplemented by other electronic databases, including Binabitrop, Google Scholar, NAL Catalog, Periódicos CAPES, Scielo, and Scopus®. Our search terms included "phenolog*", "fruit*", and the sequence of all Neotropical countries. We restricted our searches to studies published up to December 2013. We also included grey literature such as reports from non-governmental organizations, governmental institutions, and unpublished MSc and PhD dissertations, which had been cited in other papers, detected using digital libraries of the main Latin American universities, or obtained via direct

enquiry to the authors. Unpublished information was also obtained from two sources: (1) original datasets from studies in which the authors of this paper were involved; and (2) direct enquiry to authors who had carried out unpublished phenological studies as uncovered by mass emailing to lists of ecologists (in January 2014) and systematic reviews of all abstracts in the Association of Tropical Biology and Conservation (ATBC) meetings from 2003 to 2013. A strict set of criteria was followed to select any published or unpublished dataset on fruiting phenology (Morellato et al., 2013): (1) the study had a community-wide approach that included at least ten species of more than one taxonomic family per site; (2) the study spanned an inclusive period of at least 12 consecutive months of observations; and (3) the study had been carried out in native vegetation. These criteria were chosen given that our original purpose was to evaluate fruiting as key resources for frugivorous vertebrates. Therefore, we discarded population-level studies, those conducted for agricultural purposes, and those conducted over very short timespans. When different publications were available from the same locality (e.g. Barro Colorado Island, Panama and La Selva, Costa Rica), we included them independently. Similarly, if the same study examined phenological information from different vegetation types or habitats that were separately monitored, we included each as an independent study (site) in our database (although they shared the same geographic coordinates in some cases, (e.g. Opler et al., 2000; Morellato et al., 2000). We discarded studies exclusively focused on herbaceous species (3.6 % of initial list of studies) because they rarely provide resources for frugivores. For each combination of research work and site (hereafter, dataset) that fitted the above list of criteria, we extracted the following items: bibliographic reference; locality as named in the study; exact location coordinates; duration of phenological monitoring (months); phenological sampling techniques (classified as direct observations with marked or unmarked individuals, litter traps, herbarium vouchers, seeds retrieved from fecal material, number of individuals, species and families monitored; life forms; and

vegetation types. In order to understand the climatic influence on fruiting phenology, we first extracted from each dataset the assessed or inferred drivers of phenology, separating biotic from abiotic, which could include several environmental variables in the last case. Second, we noted whether any statistical analysis had been used to explore the relationship between environment and fruiting, and the sign of the statistical correlation when significant. Each dataset could include more than one environmental driver, and was added separately to our database. Third, we also recorded the time of year when the fruiting peak was described in relation to rainfall seasonality (dry, wet and transition seasons). Our entire R code used for data analyses and graphics are deposited in <https://github.com/iremendoza/fruit-phenology>. Data are available in a PANGEA repository.

2.3. Geographic distribution of phenological research

Our research uncovered a total of 218 fruiting phenology datasets across the Neotropics (Appendix 1), representing the largest literature survey compared to any other reviews on fruit phenology. For instance, Ting *et al.* (2008) and Hanya *et al.* (2013) reviewed 48 and 31 datasets at a global scale and Asian forests, respectively. However, this represents a very low density of studies for the large surface of the Neotropics (1 dataset per ~78,000 km²).

The spatial distribution of Neotropical phenological studies surveyed was very uneven (Fig. 2). Some regions of South America such as the State of São Paulo in Brazil or Central Amazonia concentrated a large percentage of datasets (15.6% and 7.3%, respectively), whereas vast regions lack any phenological information, mainly in southern and western Amazonia, western Peru, and southern Bolivia (Fig. 2). Mesoamerican and Caribbean studies were represented by Panama, Costa Rica, Guatemala, Cuba and Puerto

Rico. We were unable to find a single study from Belize, Honduras, El Salvador, or Nicaragua.

An analysis of the number of studies by country showed a highly-skewed J-shaped distribution, with an overwhelming dominance of research in Brazil compared to other countries (52.8% of datasets; Fig. 3). This dominance cannot only be attributed to Brazil's vast territory, but also to the economic boom and large research investments allocated across the country over the last decade (Regalado, 2010). In particular, the high concentration of phenological studies in the state of São Paulo is facilitated by the São Paulo Research Foundation (FAPESP), the leading research funding agency across all Brazilian states (Catanzaro et al., 2014). In addition, two of the authors of this review have carried out two decades of phenological research in both the Atlantic Forest and *cerrado* scrublands of São Paulo and Brazilian Amazonia, further increasing the concentration of studies in these regions. Costa Rica is the second top-ranking country, with 9.4% of phenological datasets (Fig. 3). This again reflects high levels of research funding and support, as several North American institutions (such as the Organization for Tropical Studies and the Canadian Organization for Tropical Education and Rainforest Conservation) have a long tradition of ecological research in Costa Rica. In the case of Panama, five studies were conducted at Barro Colorado Island (BCI; Fig. 2), whereas other areas in the country remain unsampled. The establishment of a field station on BCI by the Smithsonian Tropical Research Institute (STRI) in 1923 clearly boosted phenological research in that area, including some seminal studies (Croat, 1975) and others derived from the long-term monitoring program of flowering and fruiting phenology initiated in 1987 (Wright and Calderón, 2006).

2.4. Sampling effort: number of stems and species sampled, monitoring length and frequency of phenological observations

Not surprisingly, most datasets on fruiting phenology targeted between 10 and 100 plant species (71%; median= 64; Fig. 2A), lasted only 24 months or less (71.8%, median = 18 months; Fig. 2B and Supplementary Fig. 1), and monitored fewer than 1,000 individual stems or vouchers (78.4%, median = 400). In addition, only 10.4% of datasets sampled more than 15 individuals per species (Supplementary Fig. 2), which would be the ideal minimum sample size for phenological studies at the community level (Morellato et al., 2010). Sampling effort in fruiting phenology studies was therefore generally low. Regarding the duration of observations, long-term monitoring was extremely rare right across the Neotropics, with only ten study sites with 10 or more years of phenological data (excluding studies using herbarium vouchers or bibliographic compilations; Fig. 2B): Barro Colorado Island, Panama, 1987 - present (Wright and Calderón, 2006; Zimmerman et al., 2007); El Verde, Puerto Rico, 1992 – present (Zimmerman et al., 2007); Península de Osa and Golfo Dulce, Costa Rica , 1989 - 2001 (Lobo et al., 2008); Nouragues Research Station, French Guiana, 2001 - 2011 (Mendoza et al. *unpublished*); Trombetas, Brazil, Central Amazon, 1978 - 1995 (Knowles and Parrotta, 1997); Jenaro Herrera Arboretum, Peru, 1974 - 1983 (Gautier and Spichiger, 1986); Yasuni National Park, Ecuador, 2000 – present (Garwood et al. *unpublished*; Persson, 2005), and Linhares Nature Reserve, southeast Brazil, 1982 - 1992 (Engel and Martins, 2005); the Ducke Reserve near Manaus, Brazil, 1965 – present, which is the longest long-term plant phenology study anywhere across the entire Neotropics (Alencar et al., 1979; Morellato et al., 2013), and the Cerrado of Itirapina, São Paulo, Brazil, from 2004 – present (Morellato et al., 2013).

There is a trade-off between sampling effort (in terms of number of species and individuals) and the frequency of phenological censuses (Hemingway and Overdorff, 1999; Morellato et al., 2010): low numbers of sampled individuals per species requires higher monitoring frequency to gain accuracy and resolution in describing phenological patterns (Morellato et al. 2010). When sample size is too low, weekly or fortnightly observations have been described as the most appropriate censusing frequency to

distinguish phenophase peaks (Morellato et al., 2010), but this was rarely used in our database (6.3% and 19.8% of datasets, respectively). In most studies, plants were monitored only once each month (61.3%), which represents a compromise between logistical field work constraints and gaining detail in phenological information (Morellato et al., 2010). Monitoring once every two months or at more irregular intervals was only the case of a few datasets (1.3% and 6.3%, respectively). As nearly 90% of the studies we uncovered targeted fewer than 15 individuals per species (Fig. S2), monthly sampling may affect our insights into phenological patterns, especially in highly diverse vegetation types (see below) or communities dominated by species with fast fruit ripening, in which observers can overlook ephemeral fruit crops.

2.5. Sampling techniques

Fruiting phenology studies have been designed for different purposes and, therefore, sampling methods are highly divergent. Works range from purely descriptive studies that may relate climatic seasonality to fruit production (e.g. Croat, 1975), compare phenological patterns across different habitats (e.g. Frankie et al., 1974; Morellato et al., 2000), or address inter-annual variability in fruiting in relation to climatic events such El Niño Southern Oscillation (ENSO, e.g. Wright and Calderón, 2006). An additional major motivation to undertake fruiting phenology studies is to understand temporal patterns of food resource availability for frugivores and vertebrate seed dispersers, including birds (e.g. Develey and Peres, 2000; Wheelwright, 1986), bats (Estrada and Coates Estrada, 2001), primates (Boubli, 1997; Palminteri et al., 2012; Peres, 1994) and fish species (Kubitzki and Ziburski, 1994, Reys et al. 2005).

Given the wide spectrum of ecological studies based on fruiting phenology, several sampling techniques have been used (Archibold, 1994; Blake et al., 1990; Hemingway and Overdorff, 1999; Morellato et al., 2010). We distinguished first between direct and indirect observation methods (Box 1). Among direct approaches, the simplest and most widely applied technique (77% of datasets; Fig. 4) is based on observations of focal crowns of previously marked individual plants. Observers then record the presence or absence of fruits of each marked stem, or alternatively use a rank-abundance index of any particular phenophase (d'Eça-Neves and Morellato, 2004; Fournier, 1974; Morellato et al., 2010). Studies focused on frugivore feeding ecology frequently include fruit counts within a tree (or woody liana) crown with the aid of binoculars (e.g. Palminteri et al., 2012). Other studies multiply the Fournier score (from 0 to 4; Fournier 1974) of each stem by its basal area to derive a Fruit Availability Index (e.g. Hawes and Peres, 2016; Peres, 1994). However, direct observation can be done on unmarked individuals, generally as a consequence of systematic floristic surveys (Box 1), although this approach is rarely used (9.2%, Fig. 4). This technique consists of regular collections or observations of reproductive plant parts, typically following pre-established trails or plots, along which the phenological status of unmarked individuals of species is noted (e.g. Batalha and Martins, 2004; Sabatier, 1985). Unlike observations based on marked individuals, the information derived from these surveys is restricted to presence/absence of fruits of each species, rather than quantitative estimates of fruit production.

Phenology can also be estimated from indirect observations, and we detected four main types (Box 1): seed traps, ground surveys, monitoring of seeds contained in animal faeces, and herbarium vouchers. Seed-rain traps were the most common among indirect methods (13.8% of all datasets, Fig. 4, e.g. Mendoza et al., 2015; Wright and Calderón, 2006). These generally consist of regularly placed square frames (individual sampling

trap surface across all studies = $0.66 \pm 0.6 \text{ m}^2$; mean \pm sd) containing a fine mesh. The number of traps is also highly variable among studies, ranging from 25 to 300 (113.3 ± 71.4). Seed trapping is a preferred technique for studies focusing on quantitative fruit production (e.g. biomass) per unit area, although it has several caveats. For instance, fruit traps actually measure the residual fruit production once the fraction of fruits consumed by arboreal animals has been subtracted (Blake et al., 1990; Terborgh, 1983). Thus, estimates of edible fruits are biased during the low productivity season, in which frugivores are likely to eat a higher proportion of available fruits than when the fruit is superabundant (Terborgh, 1983). This can alter the perception of seasonal variation in fruit abundance (Zhang and Wang, 1995). Further, there is a lag between the timing when a fruit is produced in the canopy and the moment it is dispersed (or dropped from the mother tree) that depends on the ripening time of the species (Zhang and Wang, 1995, Morellato et al. 2010). In addition, plants bearing very small seeds or fruits ($<1 \text{ mm}$ in diameter, the size of the mesh) are rarely sampled by traps, so there is a systematic size bias, although such tiny seeds are infrequent in nature so the method is robust enough (Wright and Calderón, 1995). Finally, traps present a “chance concentration effect” in which some species with much clumped fruits may be overestimated by a chance effect, such as when the trap is placed just below a fruit-bearing tree or palm (Stevenson et al., 1998). A stratified sampling design would avoid oversampling a tree or habitat type (see Zhang & Wang 1995 as example), but this approach is rare. Instead, seed traps are normally set in regular grids (Mendoza et al. *unpublished* in Nouragues) or, for logistic reasons, along trails in the forest (e.g. Wright & Calderón 2006 on BCI). Despite these caveats, seed traps undoubtedly offer advantages for quantitatively sampling fruit production and quantify other ecological processes such as seed dispersal distances and frugivore activity (e.g Mendoza et al., 2015; Stevenson et al., 1998).

Fruit ground surveys represent another indirect method of phenological observation and consist of counting fallen fruits along an established transect (e.g. Hawes and Peres, 2016; Zhang and Wang, 1995, Genini et al. 2009). This method, also useful for estimating fruit biomass, was rarely used in our review (1.8% of datasets). Fruit ground surveys have advantages for frugivore studies by allowing censusing large area and avoiding the chance concentration effect (Barlow and Peres, 2006). However, it also presents some of the caveats of fruit traps regarding time intervals between fruit production and dispersal, and overestimation of fruiting seasonality due to frugivore activity. In addition, fruit removal on the ground is faster than in traps (due to seed predation and/or fruit putrefaction Zhang and Wang, 1995), so more frequent censuses are recommended for this technique.

The same problems arise for studies recording animal faeces on seed traps (only 3.2% of datasets), given that they present a bias towards dietary preferences of the animal species studied. In addition, the high concentration of seeds in scats increases seed predation risk. However, this technique is useful as complementary phenological information for studies addressing dispersal activity of frugivorous vertebrates, typically primates (e.g. González-Zamora et al., 2014).

The use of herbarium voucher specimens to extract fruiting patterns is still rare as a community-wide estimative across the Neotropics (4.1% of datasets, Fig. 4). This less orthodox approach dates from the 1970s for the tropics (Gentry, 1974) and several workers have noted its comparability with field studies (Borchert, 1996; Primack et al., 2004; Proença et al., 2012). Although it can be a powerful tool to detect phenological patterns of some species (Miller-Rushing et al., 2006; Zalamea et al., 2011), they are less frequently used at the community level (see Croat, 1975; or Ter Steege and Persaud, 1991 as exceptions). Herbarium collections are also typically combined with other sources of information, such as floristic surveys (e.g. Arbeláez & Parrado-Rosselli, 2005;

Tannus et al., 2006), or literature reviews (e.g. Ter Steege and Persaud, 1991). In addition, herbarium vouchers register more frequently flowering than fruiting information (e.g. a survey of Brazilian herbaria shows that vouchers with flowering data are twice more frequent than those with fruiting data; G. Carvalho, *personal communication*), so they may be less useful to survey fruiting dates. However, as some collections date back to 1800 as in the case of Brazilian herbaria (G. Carvalho, *personal communication*), this is perhaps the only source of historical phenological information for regions with a shorter scientific tradition such as the Neotropics. We therefore encourage future research expanding the use of herbarium-based data to analyze long phenological series in relation to climate change in the tropics.

All of these observation methods can involve several sampling techniques (Box 1): the most typical is to follow a pre-established linear transect or plot (d'Eça-Neves and Morellato, 2004), where phenological censuses are regularly conducted. However, some studies have a commercial or ecological interest for some tree species and therefore, workers systematically search for these species within a given area (e.g. Engel and Martins, 2005). Floristic surveys are normally conducted using the walking-and-collecting method, in which researchers follow trails and collect the species they find along their way (e.g. Frenedo, 2004). Animal-focused studies can present phenological data as complementary information on diets, but in this case censuses are normally done on food-species (e.g. Santos et al., 2013).

2.6. Plant habits and vegetation types sampled

Some of the most species-rich ecoregions on Earth are in the Neotropics, which contains six of the 25 global biodiversity hotspots: i.e. Brazilian Atlantic forest, Cerrado, Tropical

Andes, Caribbean, Mesoamerica, and Chocó/Darién/Western Ecuador (Myers et al., 2000). The Amazon biome represents the largest continuous tract of tropical forest, and has the world's largest stock of aboveground terrestrial carbon and the most species-rich woody flora (Ter Steege et al., 2013). This extremely high diversity in vegetation types has been classified in different ways. Davis' (1997) broad classification includes as major terrestrial vegetation types: evergreen and semi-evergreen moist forests, tropical dry forests, open grassy savannas, desert and arid steppes, temperate evergreen forests, and montane formations (Table 1). This classification has been extended by Olson *et al.* (2001), who distinguished 867 ecoregions nested within 14 biomes. From these, 168 are represented in the Neotropics; the Cerrado, the dry Chaco, the Southwestern Amazon moist forests, and the Caatinga being the most extensive in area (Olson et al., 2001). Other classifications of Neotropical biomes can be found in Arroyo *et al.* (2010), Metzger *et al.* (2013) and Jaramillo and Cárdenas (2013).

Given the description and site localities of each data source, we redefined the vegetation type of each study site following the consolidated version of the ecoregions of Olson *et al.* (2001), distinguishing a total of nine vegetation types: tropical evergreen forest (hereafter, rainforest), seasonal forest, tropical dry forest, cerrado woodland, desert and xeric shrubland, open grassy savanna, temperate evergreen forest, montane formation, and seasonally-flooded forest (see Table 1 for a more detailed description). We distinguished seasonally-flooded forests (wetlands, gallery forests, and Amazonian *várzea* and *igapó* forest) as a separate category regardless of the biome in which they were embedded, because fruiting phenology is primarily triggered by regional variation in flood pulses. Olson's classification was extracted from a freely available GIS layer (<http://www.worldwildlife.org/publications/terrestrial-ecoregions-of-the-world>) and the attribution of vegetation types to our database sites was done using ESRI® ArcMap™ 10.x.

The uneven geographic distribution of phenological studies (Fig. 2) was also reflected in the skewed distribution among vegetation types (Fig. 5). The largest proportion of datasets were classified as rainforests (44.5%), whereas temperate evergreen forests represented the least studied vegetation type (2.3%), also due to their reduced land cover (Table 1 and Fig. 5). Although tropical dry forests account for a vast area (Quesada et al., 2009; Sánchez-Azofeifa et al., 2005), phenological studies were restricted to Costa Rica (Guanacaste and Comelco), Mexico (Chamela), eastern Ecuador, and Peru (12% of datasets). Some important Neotropical dry forests such as the Chiquitano Bolivian dry forest were only represented by a single study (Justiniano & Fredericksen, 2000). Further, the large dry forests in South America (Brazil, Peru, Ecuador, Bolivia, Venezuela) and the Caribbean (e.g. Bahamas, Dominican Republic, Haiti, Jamaica) are yet to be studied.

Although tropical rainforests contain the largest number of phenological studies, large areas of the Amazon Basin (such as the Brazilian states of Acre, Rondonia and Mato Grosso) have been completely neglected. Some 8.3% of all datasets fall within the cerrado woodland (Table 1), but again little phenological information is available from vast areas of Central Brazil dominated by this vegetation.

Plant species richness varies greatly across Olson's ecoregions (Kier et al., 2005), and phenological species monitoring significantly varied across vegetation types of our review (linear model with the number of species varying as a function of vegetation types; $F_{8,208} = 2.9$, $p = 0.005$; Fig. 6). The largest number of species monitored were in the cerrado, open grassy savannas, rainforests, and seasonal forests (median range of number of species sampled = 76-90; Fig. 6), whereas tropical dry forests and desert and arid shrublands had the least diverse samples (median = 27-29 species; Fig. 6). However, the richness of focal species sampled is likely related to the regional scale floristic diversity in each ecoregion. We therefore calculated a ratio p of sampling effort as following: $p = s/S$, where s is the number of species sampled in each phenological study,

and S is the estimated number of plant species within each ecoregion according to Kier *et al.* (2005). As expected, taxonomic sampling effort in phenological studies (p) was extremely low and represented only ~2% of the regional flora (overall mean across vegetation types; Fig. 6). These low p values are expected since the estimates of plant species richness were done at large spatial scale (ecoregions), whereas phenological studies were done at local scales, thereby reducing the proportion of species sampled if compared to the local flora. This incongruence of scale is also common in other ecological studies, but our estimate of sample effort (p) allowed comparisons across vegetation types. Sample taxonomic effort was highest in open grassy savannas and desert/xeric shrublands, despite their absolute small number of species sampled and sample sizes (Table 1 & Fig. 6). The most diverse vegetation types such as the cerrado, tropical dry forest and rainforests exhibited the lowest sample efforts according to the p ratio (Fig. 6). Despite their overall low values, seasonally dry forests showed a relatively high taxonomic sampling effort (Fig. 6).

In relation to lifeforms, trees were the most frequently studied (81.0%), followed by shrubs (37.7%), woody lianas (20.3%), and epiphytes (11.8%). Because we explicitly excluded studies based entirely on herbaceous vegetation, the percentage of datasets including any herb species was relatively low (19.4%), although this does not necessarily reflect the importance of this lifeform.

3-Environmental drivers of fruiting phenology across the Neotropics

Plant phenology responds to the relative forcing of abiotic vs. biotic factors (Memmott *et al.*, 2007; Rathcke and Lacey, 1985; van Schaik *et al.*, 1993). Biotic factors include intra- and inter-specific competition for resources, which affect plant interactions with

pollinators, seed dispersers, seed predators and herbivores (Rathcke and Lacey, 1985; Ehrlén, 2015).

Staggered or clumped fruit production are then suggested to be strategies to avoid competition for effective dispersers, increase frugivore visitation rates, and escape pre- or post-dispersal seed predators (Fenner, 1998; Janzen, 1967; Janzen, 1971; Rathcke and Lacey, 1985; van Schaik et al., 1993). An extreme case of synchronized and massive fruit production is masting, which may be a strategy of plants to cope with seed predators and attract dispersers (Kelly and Sork, 2002; Mendoza et al., 2015). Despite the ultimate selective pressure exerted by biotic factors, climate is typically the proximate environmental cue that triggers plant reproduction and determines the timing of life cycles (van Schaik et al., 1993). In tropical ecosystems, biotic interactions are considered more influential in shaping fruit phenology compared to abiotic factors, under the deeply entrenched assumption that climatic variables are more constant than in temperate biomes (Morellato et al. 2000, 2013). However, 78.5% of the datasets compiled in our review explicitly addressed an abiotic variable in attempting to explain patterns of fruiting phenology (Table 2), whereas data in only 6% of datasets were related to biotic factors. This dominance of climate and other environmental factors as explanatory drivers of fruiting can be attributed to two explanations: (1) ultimate biotic drivers of fruiting are in general difficult to disentangle and require evolutionary approaches that are rarely undertaken (van Schaik et al., 1993); and (2) anthropogenic climate change has set strong research and funding priorities on impacts of climate on ecosystems (IPCC, 2014). Although abiotic factors were generally addressed, 55% of datasets did not include any statistical inference in examining phenological patterns. Rather, they qualitatively described the fruiting period in relation to some environmental gradient, typically rainfall seasonality (e.g. Frankie et al., 1974; Justiniano and Fredericksen, 2000; Mikich and Silva, 2001; Ragusa-Netto and Silva, 2007), but also other variables such as inundation regime (e.g. Kubitzki and Ziburski, 1994) or ENSO events

(Wright et al., 1999). Considering studies that included any statistical test, the most common approach was simple correlative analysis using either Spearman or Pearson correlations (85.5%, e.g. Morellato et al., 2000; Wallace and Painter, 2002). The second most used practice for analyzing phenological records was the use of simple or multiple linear regression (9.6%, e.g. Engel and Martins, 2005), which also has a correlational basis. All these correlative techniques fail to account for the auto-correlated nature of phenological time series (Hudson and Keatley, 2010). Some statistical attempts to solve this have barely been used in the Neotropics (4.8%), such as cross-correlations between environmental variables and phenology (Wright and Calderón, 2006) or power spectrum analysis (Bendix et al., 2006).

Of the climatic drivers, rainfall was the most commonly reported (74.0% of datasets, Table 2) for all types of vegetation (Supplementary Table 1). Especially in the case of desert and xeric shrubland, all datasets included this variable (Table S1). The typical pattern described in the literature is that phenology will markedly respond to strong rainfall seasonality (Borchert et al., 2005; Morellato et al., 2013; van Schaik et al., 1993). Although we did not measure rainfall seasonality, we indirectly tested this hypothesis by comparing the frequency of studies that related fruiting peaks during the dry and rainy season (or the transitions between them) using a chi-square analysis (Table 3). As the number of studies was very unequal, we only had sufficiently large sample sizes for rainforest, cerrado woodland, and seasonally flooded forest. Both rainforests ($\chi^2 = 26.023$; $df = 3$; $p < 0.001$) and cerrado woodlands ($\chi^2 = 10.706$; $df = 2$; $p < 0.005$) significantly showed more peaks during the rainy season, and we could not find significant differences for seasonally-flooded forests (Table 3). This contradicted the seasonality hypothesis in the case of rainforests, where a dominance of aseasonal or year-round fruiting would be expected (e.g. seminal work of Snow 1965). Further

quantitative analyses are therefore required to examine how rainfall seasonality affects fruiting patterns in rainforests, which can exhibit very diverse rainfall patterns. In the case of cerrado woodlands, the dominance of fruiting peaks in the rainy season is concordant with our expectations, given that rainfall is strongly seasonal in these areas (Batalha and Martins 2004; Camargo et al. 2013). Seasonally-flooded forests may be dominated by the floodwater regime rather than rainfall seasonality and are therefore not concomitant with either dry or wet seasons (e.g. Parolin 2000; Schöngart et al. 2002). In any case, the prevalence of fruiting during the dry or wet season also depends on the prevalent seed dispersal mode. Species bearing dry, wind-dispersed fruits normally peak during the dry season (Morellato and Leitão Filho 1996, Griz and Machado 2001). This has been suggested to be an adaptation to improve wind-dispersal, as deciduous species typically drop their leaves during periods of water scarcity, thereby reducing obstacles for samaras and other similar dry-fruit structures (Morellato and Leitão Filho 1996, Griz and Machado 2001). Fleshy fruits are normally dominant during the rainy season, as the pulp requires high water content for ripening (Chen et al., 2016). Seed dispersal during either the dry or wet season requires different seed dormancy strategies to maximize optimal germination time, typically at the onset of the rainy season (Garwood, 1983).

Although temperature is a dominant phenological driver for temperate plants (e.g. Menzel 2006, Schwartz 2010), it was only addressed by 19.3% of the datasets in our review (Table 2). This was the second most studied driver for all vegetation types (Table S1). In fact, there is a widespread belief that temperature does not constrain plant reproduction in low-latitude regions (e.g. Butt et al., 2015; Reich, 1995). However, some studies (e.g. Cortés-Flores et al., 2013; Staggemeier and Morellato, 2011) showed a significant correlation between temperature and fruiting. This includes not only elevated temperatures, but also cold shocks from subtropical frosts that affect flowering events, fruit maturation, and even the production of seeds that successfully germinate (e.g. Bendix et al., 2006).

Invariant cues such as photoperiod or daily insolation have been claimed to be more important for the timing and synchronicity of plant phenology, especially in areas with low climatic seasonality (i.e. close to the Equator, Borchert et al., 2005; Calle et al., 2010; Morellato et al. 2000). However, these cues are still rarely studied across the Neotropics (9.2% and 3.2% of datasets, respectively; Table 2), even though day-length is an easily acquired environmental variable that only requires the geo-coordinates of study site. Datasets derived from rainforests presented a relatively higher rate of inclusion of photoperiod as climatic drivers (28%; Table S1). This could be motivated by the low rainfall seasonality of some ever-wet rainforests, which may encourage workers to concentrate on other drivers. Solar irradiance values require more sophisticated meteorological stations, which can explain why this variable is so rarely analyzed (Table 2 and Table S1). Other environmental variables that were included comprise inundation or tidal regime in the case of flooded forests such as Amazonian *várzea* or *igapó* (5.9%), ENSO events (1%) and air humidity (1%; Table 2). Although understudied, inter-annual climatic events such ENSO might be critical to explain long-term phenological trends. For example, the analysis of an 18-year dataset from BCI (Panama), showed an increase in flower and seed production during positive ENSO events and a decrease during their negative phase (i.e. La Niña events) compared with neutral years (Wright and Calderón 2006). Similar results were found for a 10-year dataset from Fushan rainforest (Taiwan), where both ENSO and frost events affected flower and seed production (Chang-Yang et al., 2016). Clearly, the paucity of research on inter-annual climatic events (ENSO and other teleconnections) effects also results from the lack of long-term fruiting phenology data series, as many monitoring years are required to undertake such time series analyses.

In short, although climate has been related to phenology in the vast majority of continental-scale studies, qualitative approaches are still very common in the tropical literature and several key environmental drivers remain poorly studied, especially for solar radiation or ENSO events. Much more research on multiple drivers is therefore needed, including novel approaches such as the influence of cloud cover (Pau et al., 2013), atmospheric CO₂, soil humidity, soil nutrients, daily insolation (Borchert et al., 2015), and land-use change (Gordo and Sanz, 2010).

4- Future climate changes and their impact on fruiting phenology

Future climatic models predict drastic changes for most of the tropics; not only will mean temperatures increase (IPCC, 2013), but the frequency of increasingly stronger extreme events will rise. Temperature maxima, extreme droughts and flooding, mega ENSO events, and even exceptionally high tides are expected to be significantly more frequent in the future (Diffenbaugh and Scherer, 2011; IPCC, 2013; Kharin et al., 2013; Marengo et al., 2011). However, organismal and ecosystem responses to these extreme climatic events remain poorly understood (IPCC, 2014). First, there is large uncertainty in the effects of elevated CO₂ in photosynthesis and transpiration of tropical forests, which have direct consequences to leaf, flower and fruit production (IPCC, 2014; Malhi et al., 2009). Second, climatic models are equivocal in terms of changes in precipitation for tropical regions, varying according to geographic area and vegetation type (IPCC, 2014). The only consensus is that more severe and longer dry seasons will become more frequent, especially in the southeastern portions of the Amazon Basin (IPCC, 2014; Joetzier et al., 2013). Phenological trends over long time series can give us some clues of plant responses to future climatic conditions. In addition, changes in fruit and seed production may have cascading community-wide consequences for myriad of vertebrate and invertebrate consumers (Butt et al., 2015; Morellato et al., 2016).

Our review uncovered the ten Neotropical sites for which long-term (>10 years) fruit phenology data are available (Fig. 2). As usual in ecological research in general and phenological studies in particular, long-term monitoring is shown once again to be very rare (Franklin, 1989; Lindenmayer and Likens, 2010; Morellato et al., 2013; Chambers et al., 2013). Nevertheless, these sites provide precious information to understand decadal trends in phenological dynamics, which has been already examined in temperate systems. Unfortunately, some sites such as Nouragues or Linhares have stopped monitoring activities, and efforts to resume observations would be very important. Parmesan's (2007) review showed a spring advancement of 2.3 ± 0.3 days per decade in a meta-analysis of 203 plant species, all of which from the Northern Hemisphere. A recent review also supported this generalized trend of spring advancement for the Southern Hemisphere, but only for temperate species and agricultural systems (Chambers et al. 2013). The different environmental filters that plants face from pollen dispersal to full seed maturation (Owens, 1995) may however obscure the impacts of climate on fruit production. In any case, determining the magnitude of directional trends in reproductive phenology and distinguishing them from typical cyclic oscillations is an urgent research need for tropical ecosystems.

5- Concluding remarks

Our exhaustive survey provides, for the first time, a quantitative overview of the state of the art of phenological research throughout the Neotropics, with a focus on fruiting. Although we did not review flowering phenology, 70.5% of the datasets compiled here addressed both flowers and fruits. Therefore, insights derived from our review on the geographic distribution of studies, sampling effort, monitoring techniques, and vegetation types may apply to Neotropical reproductive phenology in general.

Not surprisingly, we detected major gaps in terms of the geographic distribution of studies: large continental areas remain entirely unexplored, with most phenological

studies concentrated in regions with a strong tradition of ecological research and funding support by either national or international institutions; i.e. State of São Paulo in Brazil, Panamá (BCI) and Costa Rica. In addition to this geographic bias, datasets compiled here generally resulted from short-term and low-diversity studies, often including only 2 to 5 years of sampling and fewer than 100 plant species. Neotropical vegetation was understudied in fruiting phenology datasets, and the ratio between numbers of species sampled and overall estimates of plant species richness was wholly insufficient for highly diverse vegetation types such as rainforests, seasonal forest and the cerrado, and only slightly more robust for less diverse vegetation types, such as desert and arid shrublands and open grassy savannas. In addition, the huge disparity of sampling techniques rendered any comparative analysis more difficult. Herbarium vouchers can provide precious information on reproduction timing that might overcome some temporal and spatial limitations of traditional phenological monitoring. In short, despite considerable advances in the attribution of phenology as an indicator of anthropogenic climate change, our review highlights that more collaborative effort towards standardized, long-term monitoring is required for the Neotropics.

Disentangling the cues that trigger plant phenology in general and fruiting cycles in particular is a long standing debate that is beyond the scope of this review. Other studies have discussed in detail the role of proximate and ultimate triggers of plant phenology and their evolutionary implications (Rathcke and Lacey, 1985; Reich, 1995; van Schaik et al., 1993). Our intention was instead to point out which drivers were used in phenological studies across the tropical Americas to explain fruiting patterns. We reveal that although climatic triggers have been mentioned in most fruiting datasets, this relationship was statistically tested in only 38% of cases. Since the beginning of phenological research, classic studies were essentially qualitative (Lieth, 1973; Schwartz,

2013). Although new studies attempt to incorporate a statistical approach between potential environmental drivers and plant responses, our review points out that more in-depth analyses are lacking even in recent studies (see Hudson and Keatley, 2010 for a review of statistical techniques in phenology). Phenological time series are intrinsically complex, which compounds difficulties in adjusting them to standard linear models. This complexity implies temporal auto-correlation, non-linearity, non-stationary properties (which means that time series can vary over time), and an excess of zeros due to the frequent non-occurrence of the phenophase of interest. Some of the possibilities for overcoming such problems include the use of Cumulative Sum Analysis (CUSUM) for detecting change-point in phenological data (Keatley and Hudson, 2012), Generalized Additive Models for Location, Scale and Shape (GAMLSS) (Hudson et al., 2010; Polansky and Boesch, 2013), cross-correlations (Wright and Calderón, 2006), and their extension by means of wavelet cross-correlations of bivariate time series (Hudson et al., 2011). Although these methods are rarely used in tropical studies, they ensure that drivers of phenology can be identified from multiple predictors and account for the non-linearity of time series and their complexity. Also, Bayesian techniques allow surmounting the pitfalls of linear regression (Dose and Menzel, 2004, Mendoza et al. *unpublished*) and can be especially helpful for detecting change points and rates of these changes in long-term series (Schleip et al., 2008). However, we warn that different statistical methods applied to phenology are typically not interchangeable and they can show differences in rates of change or even species responses (Keatley and Hudson, 2012).

We found that rainfall is predominantly identified as the main driver of fruiting cycles in the tropical literature, followed by ambient temperature. This corresponds well with the assumed paradigm for tropical vegetation in which rainfall seasonality is the principal driver of plant phenology (Morellato et al., 2013). In addition to this tradition of tropical research, temperature and rainfall data are normally the basic climatic variables that are available for most tropical sites, which explain their prevalence as abiotic cues. Lack of

more sophisticated climatic variables may also explain why other environmental factors such as day-length, irradiance and ENSO events have been largely ignored, even though previous reviews had already highlighted the need to explore them in tropical phenology studies (Borchert et al., 2005; Calle et al., 2010; van Schaik et al., 1993).

Another widely held assumption in the literature predicts fruiting to be more affected by rainfall seasonality in more seasonal vegetation types. We found that studies conducted in rainforests and cerrado woodlands significantly showed a dominance of fruiting during the rainy season. This contradicted general predictions for rainforests, because rainfall seasonality is expected to be low in this vegetation type. This can be explained because fleshy fruits require high levels of ground water for maturation, and they tend to dominate tropical woody plant communities towards the tropics (Chen et al., 2016).

6- Future work

Finally, given the quality and distribution of datasets uncovered here, we provide a shortlist of research priorities that we see as necessary to advance tropical plant phenology research.

- 1- Prioritize research in understudied regions where adequate phenological monitoring is lacking, such as the Western and Southern Amazon, coastal Colombia, Central America (other than Costa Rica) or the Caribbean coast. In addition, sampling should be further extended to underrepresented vegetation types (e.g. tropical dry forests, desert and arid shrublands, tropical grasslands and montane formations). This phenological knowledge has relevant implications for conservation and management of native ecosystems (Morellato et al., 2016).

- 2- Over and above the value of more fundamental and descriptive studies, we argue that more quantitative research linking plant phenology to consequences for biodiversity and climate change research is needed.
- 3- In general, sampling effort was low for Neotropical fruiting studies in terms of monitoring length, number of species and number of stems or vouchers included, so we encourage researchers to expand their research, especially over longer time scales. However, we are aware of budget and staff limitations of such increased sampling effort.
- 4- The few existing long-term datasets are a very valuable source of information to support decadal analysis of climate-change effects on fruiting phenology. This could be complemented by data mining from herbarium specimens, which is rarely used in the tropics with outstanding possibilities to understand historical phenological changes.
- 5- Although experimental studies may underestimate plant phenological responses to climate change (Wolkovich et al., 2012), they undoubtedly have value in understanding in detail the physiological mechanisms involved in plant life cycles. More ecophysiological approaches are therefore required to better understand tropical fruiting triggers. As example, the Amazon FACE experiment has a phenology monitoring program using time lapse cameras racking leafing patterns (Grossman, 2016).
- 6- Despite the tradition of attributing tropical phenology to rainfall seasonality, our review has pointed out the need of putting more emphasis in underexplored drivers, namely irradiance seasonality and cold shocks. These barely explored climatic variables can provide important clues in the environmental control of fruiting events.
- 7- Quantitative analyses of fruiting peaks in relation to rainfall seasonality are necessary to understand whether the current paradigm that fruiting is relatively

continuous in aseasonal conditions, namely in the case of rainforests. In addition, this should take into account the community-wide dominance of fleshy fruits in these ecosystems.

- 8- Population viability of frugivorous fauna depends heavily on the availability of fruit resources. Therefore, understanding how new climatic conditions (and particularly climate extremes) will affect fruiting phenology is helpful to detect areas where cascading consequences for fruit consumers could be mitigated (Butt et al., 2015) and improve biodiversity conservation (Morellato et al., 2016).
- 9- There are a myriad of new statistical techniques which take into account the temporal autocorrelation inherent of phenological data, which have been barely used in phenological studies so far (Hudson and Keatley, 2010). In particular, wavelet analyses (Hudson et al., 2011), generalized additive models (Hudson et al., 2010; Polansky and Robbins, 2013), combined with Bayesian models (Schleip et al. 2010) are promising techniques for long-term datasets.

Acknowledgements

IM was funded by a São Paulo Research Foundation FAPESP fellowship (grant #2012/21601-0) and a BEPE fellowship (grant #2013/21968-3). IM was hosted by P. Jordano at the Doñana Biological Station during final writing of this manuscript. LPCM receives a research productivity fellowship from CNPq. Most datasets from the Atlantic Forest, Cerrado and Amazonia were compiled under the financial support of FAPESP (grants #2007/59779-6, #2006/61759-0 and #2010/51307-0 and #2013/59199-0) to LPCM. CAP was funded primarily by the Natural Environment Research Council (NERC) of the UK. We thank V. Arroyo-Rodríguez, A. Barnett, C. Donatti, G. Galdino, A. González-Zamora, COTERC, A. Vreedzaam, J. Hawes, A. Luna, C. Vath, D. J. Brightsmith, G. Martínez-Sovero, M. Ríos, C.E.T. Paine, V. Swamy, and R. Wallace for original data. L.F.

Cancián provided GIS assistance, and M.G.G. Camargo and E. Gressler helped in bibliographic searches. J. Vázquez-Navarro and other researchers at the UNESP Phenology Lab provided useful comments. IM thanks J. Vázquez-Navarro for his aid in parental care of their newborn baby during the writing of this manuscript. We thank T.J. Horscroft for both inviting a submission to Global and Planetary Change after our participation in the symposium on Phenology of the EGU General Assembly 2014 and his subsequent assistance. The authors sincerely thank two anonymous reviewers for their suggestions in a previous version of this manuscript.

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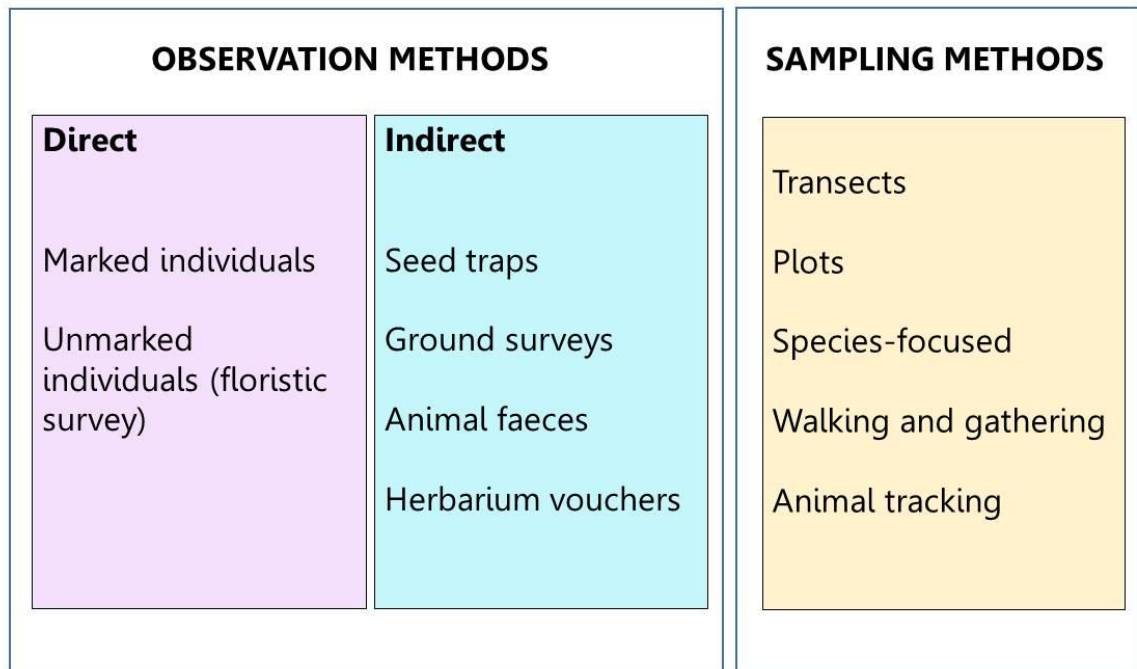
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Box 1. Schematic classification of methods in phenology collated from our review. We distinguished first between direct and indirect observation methods. Direct observations could include marked individuals or not, as the case of floristic surveys. Indirect observations of phenological records include seed traps, ground surveys, seeds counts in animal faeces and herbarium vouchers (see main text for more details). Both types of observations can have several sampling designs by means of transects or plots. Other studies just collected a given number of individuals of selected species or did the “walking-and-gathering” method. A few studies noted the fruit presence/absence of trees visited by animals, generally monkeys.



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Table 1. Classification of vegetation types used in this review. For each study site, we assigned the description of the study area according to a reclassification of Olson *et al.* (2001) into 9 vegetation types. This table also shows the equivalent vegetation types in Davis (1997), the ecoregions and biomes in Olson *et al.* (2001), and a brief description of each vegetation type and the number of associated fruiting phenology studies.

Vegetation classification of this review	Vegetation classification of Davis (1997)	Ecoregions of Olson <i>et al.</i> (2001)	Biomes of Olson <i>et al.</i> (2001)	Description of vegetation types	Number of datasets
Rainforest	Tropical moist forest	Alto Paraná Atlantic forests; Bahia Coastal forest; Caquetá moist forest; Central American pine-oak forests; Guianan moist forests; Guianan piedmont and lowland moist forests; Iquitos <i>várzea</i> ; Isthmian-Atlantic moist forests; Isthmian-Pacific moist forests; Juruá-Purus moist forests; Madeira-Tapajós moist forests; Marajó <i>várzea</i> ; Maranhão Babaçu forests; Monte Alegre varzeá; Napo moist forests; Negro-Branco moist forests; Pernambuco coastal forests; Pernambuco interior forests; Petén-Veracruz moist forests; Puerto Rican moist forests; Purus <i>várzea</i> ; Serra do Mar coastal forests; Sierra de los Tuxtlas; Southern Atlantic mangroves; Southwest Amazon moist forests; Talamancan montane forests; Tapajós-Xingu moist forests; Trinidad and Tobago moist forests; Uatuma-Trombetas moist forests; Xingu-Tocantins-Araguaia moist forests	Tropical and Subtropical Moist Broadleaf Forests	Lowland forests of the Amazon Basin; Brazilian Atlantic forest, <i>restinga</i> forest; lowland moist forests of Central America, Caribe and Mexico; Chocó region of Colombia and Ecuador	97
Seasonal forest	Tropical moist forest	Alto Paraná Atlantic forests; Alto	Tropical and Subtropical	Central America seasonal	13

		Paraná Atlantic forests; Isthmian-Atlantic moist forests; Serra do Mar coastal forests	Moist Broadleaf Forests	forests; Semideciduous forests of Brazil	
Tropical dry forest	Tropical dry forest	Alto Paraná Atlantic forests; Bahia coastal forests; Balsas dry forests; Central American dry forests; Cerrado; Chiquitano dry forests; Eastern Cordillera real montane forests; Jalisco dry forests; Jamaican dry forests; Pantanal; Pernambuco interior forests; Tumbes-Piura dry forests; Western Ecuador moist forests	Tropical and Subtropical Moist Broadleaf Forests	Woody dry forests along the Pacific side of Mexico and Central America, coastal Ecuador and adjacent Peru, Chiquitania area of eastern Bolivia, calcareous associated forests in Jamaica, Brazil and other areas; interior forests of Pernambuco	26
Cerrado woodland	Tropical dry forest	Cerrado; Madeira-Tapajós moist forests	Tropical and subtropical grasslands, savannas, and shrublands	Cerrado <i>sensu stricto</i> and <i>cerradão</i> of Brazil	18
Desert and xeric shrublands	Tropical dry forest and desert and arid step	Caatinga; Llanos; Guianan Savanna	Deserts and Xeric Shrublands	<i>Caatinga</i> of NE Brazil, Llanos of Venezuela, Gran Sabana in the Guayana region	11
Open grassy savanna	Open grassy savanna	Cerrado; Chiquitano dry forests; Guianan savanna; Llanos	Tropical and subtropical grasslands, savannas, and shrublands; Tropical and Subtropical Dry Broadleaf Forests	Cerrado grassland (<i>campo sujo</i>) and open grassy savannas of the Llanos of Colombia and Venezuela, grassy areas of Pantanal of Brazil	13
Temperate evergreen forests	Temperate evergreen forests	Araucaria moist forests; Central American pine-oak forests; Trans-Mexican Volcanic Belt pine-oak forests	Tropical and Subtropical Moist Broadleaf Forests; Tropical and Subtropical Coniferous Forests	Araucarian forests of Southern Brazil and pine-oak forests of Southern Mexico and Central America	5
Montane formations	Temperate evergreen	Campos Rupestres montane savanna;	Montane Grasslands and	Cloud forests of the Andes,	11

	forests	Cauca Valley montane forests; Central Andean wet puna; Eastern Cordillera real montane forests; Magdalena Valley montane forests; Northwestern Andean montane forests; Oaxacan montane forests; Sierra Madre de Chiapas moist forests; Talamancan montane forests;	Shrublands; Tropical and subtropical grasslands, savannas, and shrublands; Tropical and Subtropical Moist Broadleaf Forests	Central America, and South of Mexico; rupestrian fields (<i>campos rupestres</i>) of Brazil	
Seasonally flooded forests	(non specifically separated)	Alto Paraná Atlantic forests; Araucaria moist forests; Amazon-Orinoco-Southern Caribbean mangroves; Araucaria moist forests; Caatinga; Cerrado; Japurá-Solimoes-Negro moist forests; Juruá-Purus moist forests; Llanos; Madeira-Tapajós moist forests; Mesoamerican Gulf-Caribbean mangroves; Monte Alegre varzea; Pantanal; Purus varzea; Tocantins/Pindare Moist Forest	Deserts and Xeric Shrublands; Flooded Grasslands and Savannas; Mangroves; Tropical and subtropical grasslands, savannas, and shrublands; Tropical and Subtropical Moist Broadleaf Forests	Seasonally flooded forests associated with rivers and swamps, distributed in different biomes; mangroves; <i>várzea</i> and igapó forests of the Amazon Basin and Orinoco River.	24

Table 2. Summary of the identified environmental drivers of fruiting phenology in the Neotropics. Total refers to the number of datasets that included each climatic variable (please, note that a given dataset could include more than one climatic variable). We have separated first datasets according to the presence/absence of a statistical test between the environmental variable and fruiting. Only for statistically tested datasets, we noted whether the sign of the correlation was positive or negative in case of being significant (see main text for more details). Species-specific correlations refer that the sign was different depending on the analyzed species.

Climatic driver	Total	Statistically non-tested	Statistically tested correlation with fruiting phenology			
			Positive	Negative	Non-significant	Species-specific
Rainfall	160 (73.4%)	80	26	17	32	4
Air temperature	42 (19.3%)	1	14	7	15	4
Day length	20 (9.17%)		4	6	10	
Flooding or tidal regime	13 (5.9%)	5	5		3	
Irradiance	7 (3.21%)	1	6			
ENSO	3 (1.37%)	1	1		1	
Air humidity	3 (1.37%)		1		2	
Evaporation	1 (0.45%)			1		
None analyzed (or original data)	48 (22.01%)					
Total number of datasets	218					

Table 3. Main peaks of fruiting phenology according to rainfall seasonality, separated according to vegetation types (see Table 1) across the Neotropics. The star indicates that the frequency of studies of a given vegetation type showed significant differences for a given season (following a chi-squared test, only tested for rainforest, cerrado woodland and seasonally flooded forests).

Vegetation type	Main peak of fruiting reported in the literature (number of datasets)						Total
	Dry season	Rainy season	Transition dry to rainy season	Transition rainy to dry season	Aseasonal	Not available	
1- Rainforest*	17	42	7	4	16	2	97
2- Seasonal forest	6	3	2	0	1	0	13
3- Tropical dry forest	5	8	2	0	4	1	26
4- Cerrado woodland*	0	11	4	2	0	1	18
5- Desert and xeric shrublands	3	6	1	0	1	0	11
6- Open grassy savanna	4	2	2	1	2	0	13
7- Temperate evergreen forest	2	0	0	0	1	2	5
8- Montane formations	1	5	2	2	0	0	11
9-Seasonally flooded forests	5	10	2	3	0	4	24

Figure legends

Figure 1. Temporal evolution from 1970 to 2015 of the number of studies found in the Scopus® database including in their title, abstract or keywords the terms “phenolog*” (grey bars), “phenology* AND tropic*” (blue bars), and “phenology* AND tropic* AND fruit*” (red bars). Data were obtained using the “All document types” option and dates restricted before 2016. Dashed line shows the total number of publications in the fields of Ecology, Biometeorology or Evolution for getting illustrated the general trend of publications increase. Concurrently with the overall increase in publications of all Ecological fields, phenological research studies exponentially increased since 1996, altogether with the proportion of studies including tropics and fruit information.

Figure 2. Continental-scale geographic distribution of the 218 fruiting phenology datasets considered in this review. Circle sizes are proportional to the number of plant species sampled (Fig. 2A) or the study length in months (Fig. 2B). Some sites were represented by more than one study, hence the multiple circles.

Figure 3. Number of datasets per country included in this review; phenology studies spanned 18 Neotropical countries, with Brazil dominating research (52.8%).

Figure 4. Frequency of datasets according to the most common observational methods used to quantify fruiting phenology: direct observations (including marked or unmarked individuals) and indirect methods (seed traps, herbarium vouchers, seeds found in animal faeces, and ground surveys of fruit counts).

Figure 5. Geographic distribution of fruiting phenology datasets, showing the nine vegetation types considered in this review: rainforest, seasonal forest, dry forest, cerrado woodland, desert and xeric shrubland, open grassy savanna, temperate

evergreen forest, montane formation, and seasonally flooded forest. Colour background represents Olson's (2001) biomes (see legend).

Figure 6. Boxplots indicating the sampling effort p (ratio between the number of species sampled per dataset and the estimated number of species across ecoregions; upper panel); and number of species sampled (lower panel) per vegetation type. Horizontal bars are coloured according to the legend of vegetation types in Figure 5 and are ordered from the highest to the lowest median value or p . See Table 1 for the number of studies in each vegetation type.

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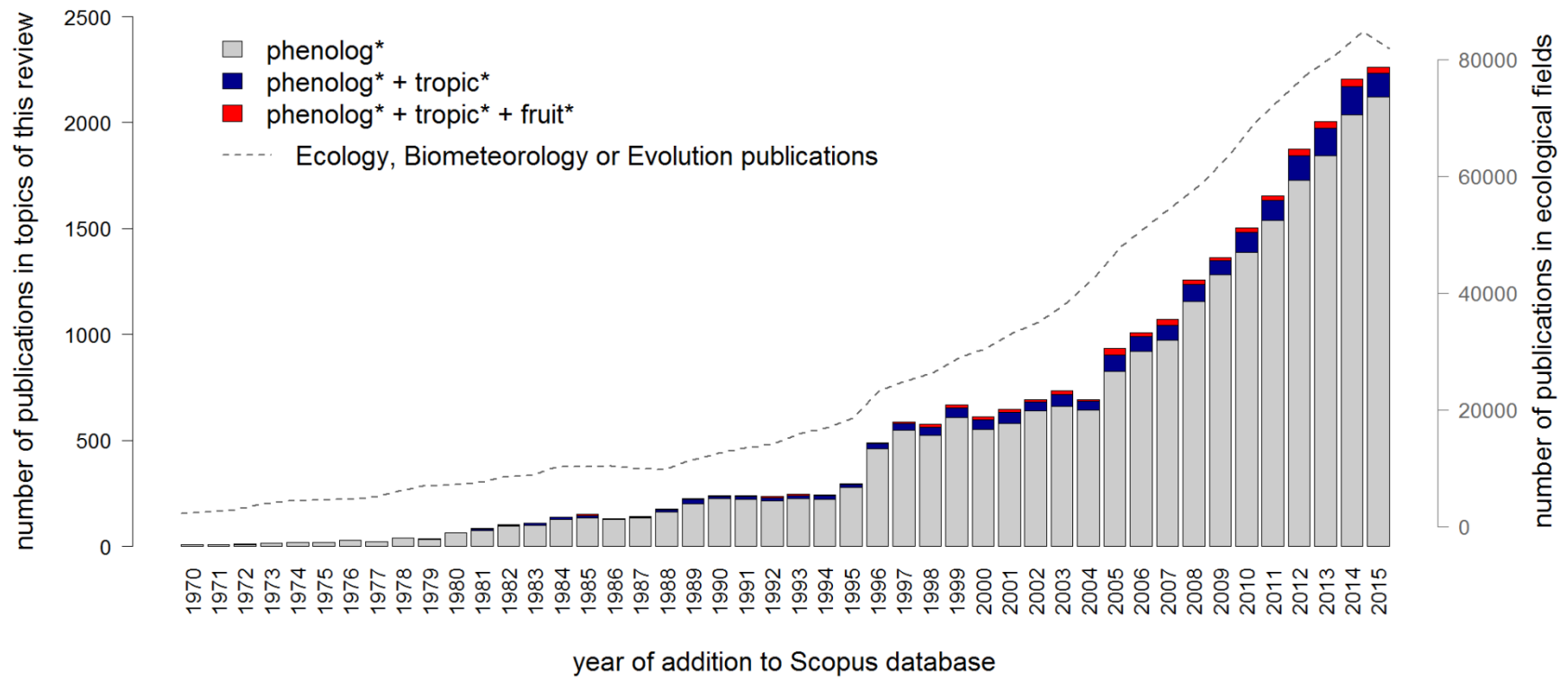


Figure 1.

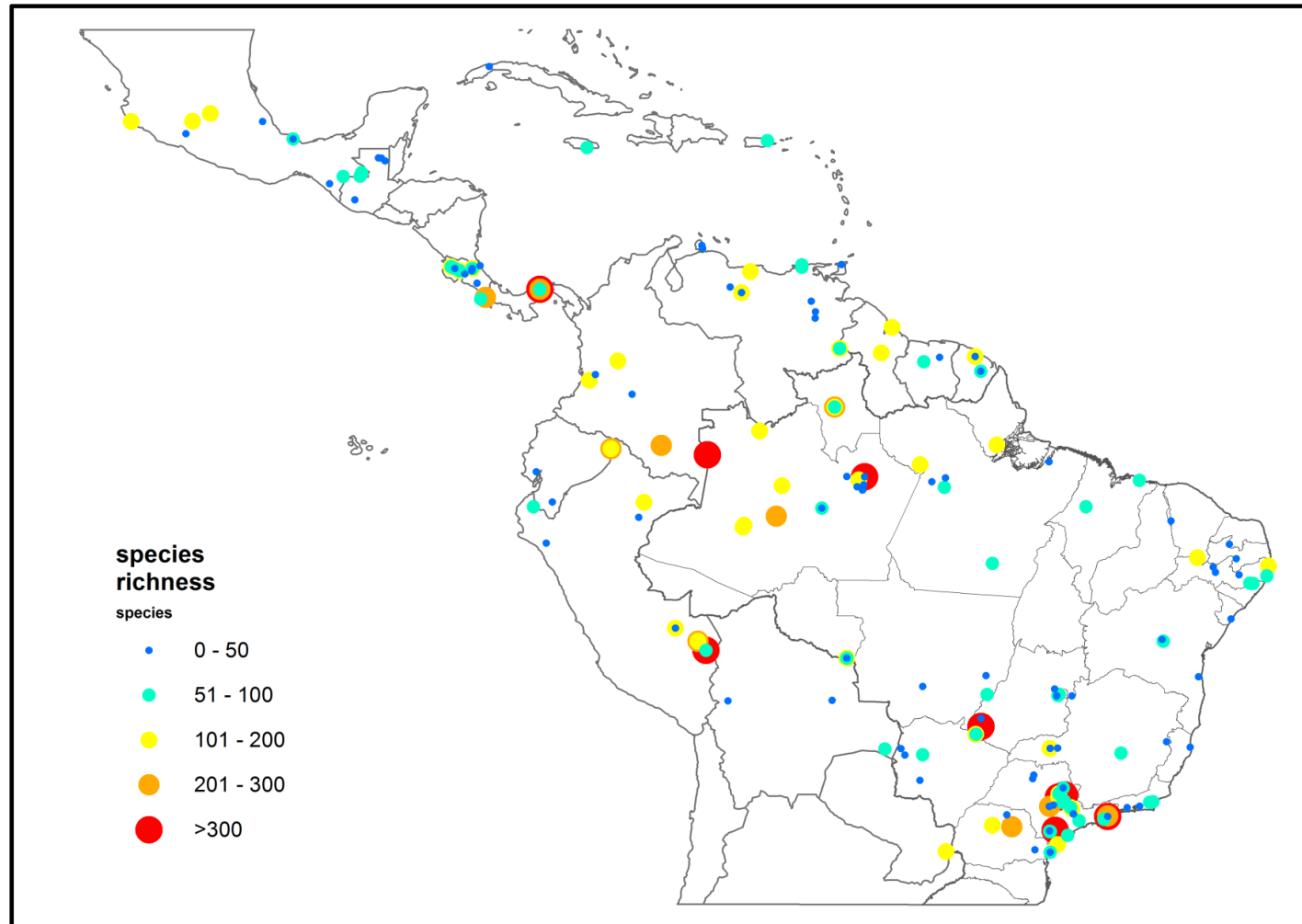


Figure 2A.

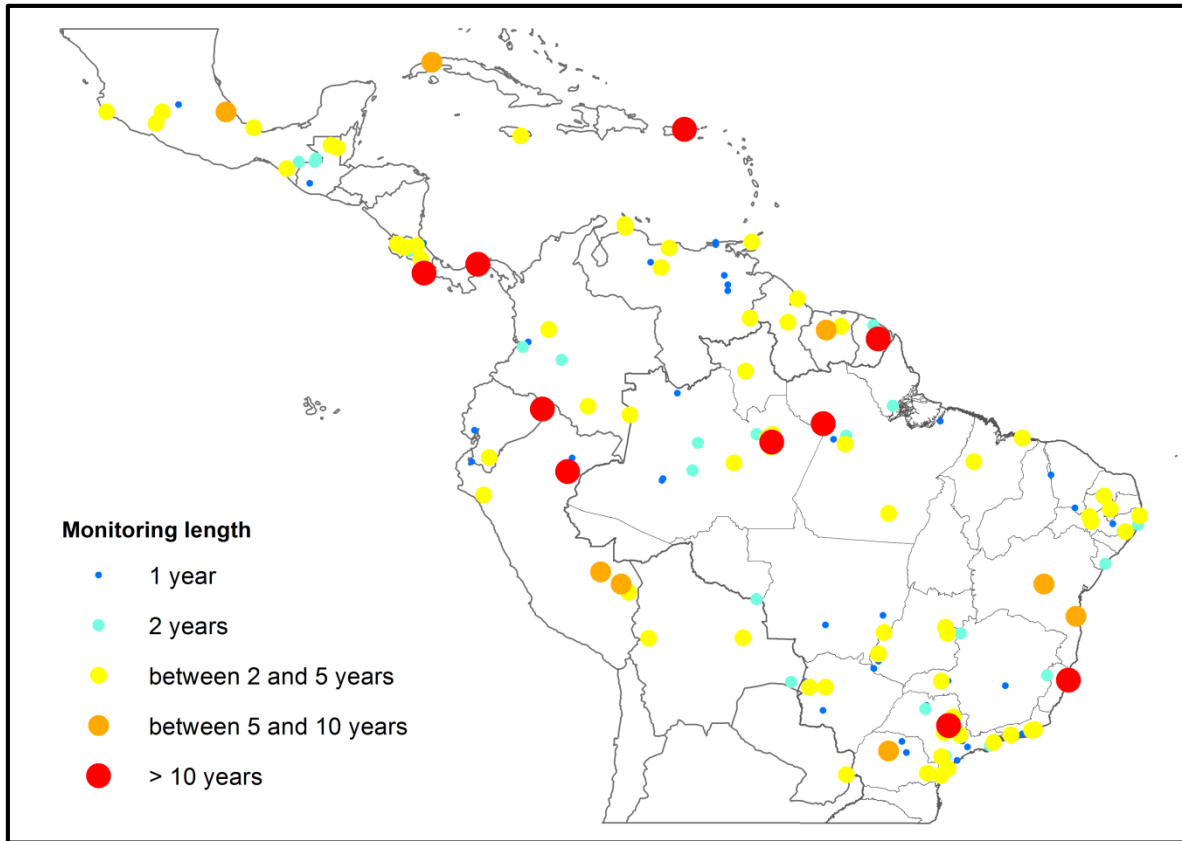


Figure 2B.

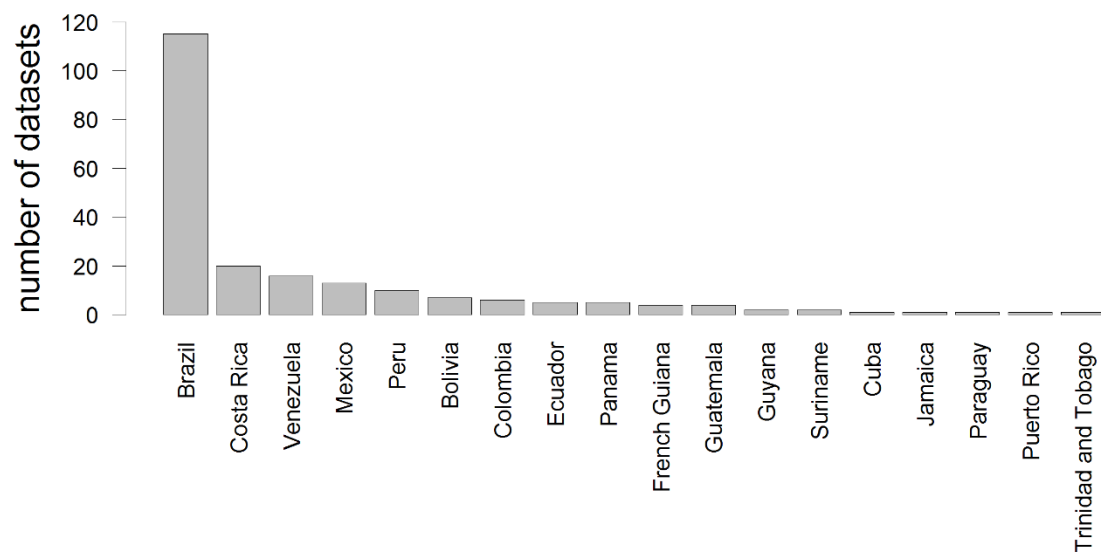


Figure 3.

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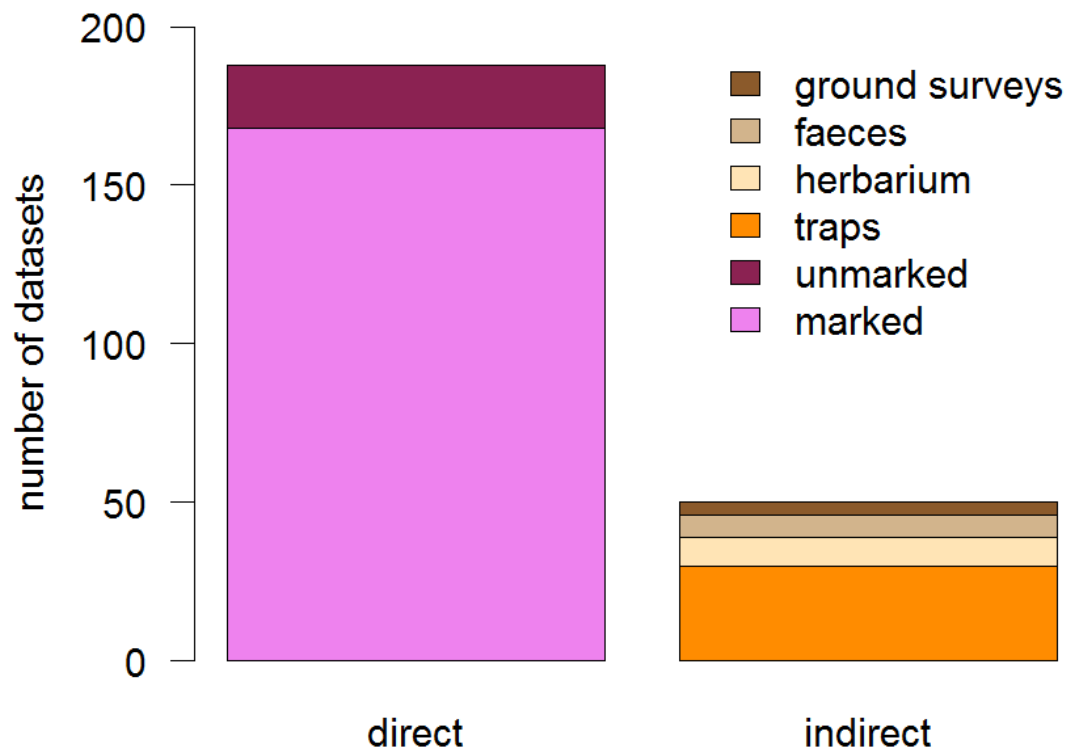


Figure 4.

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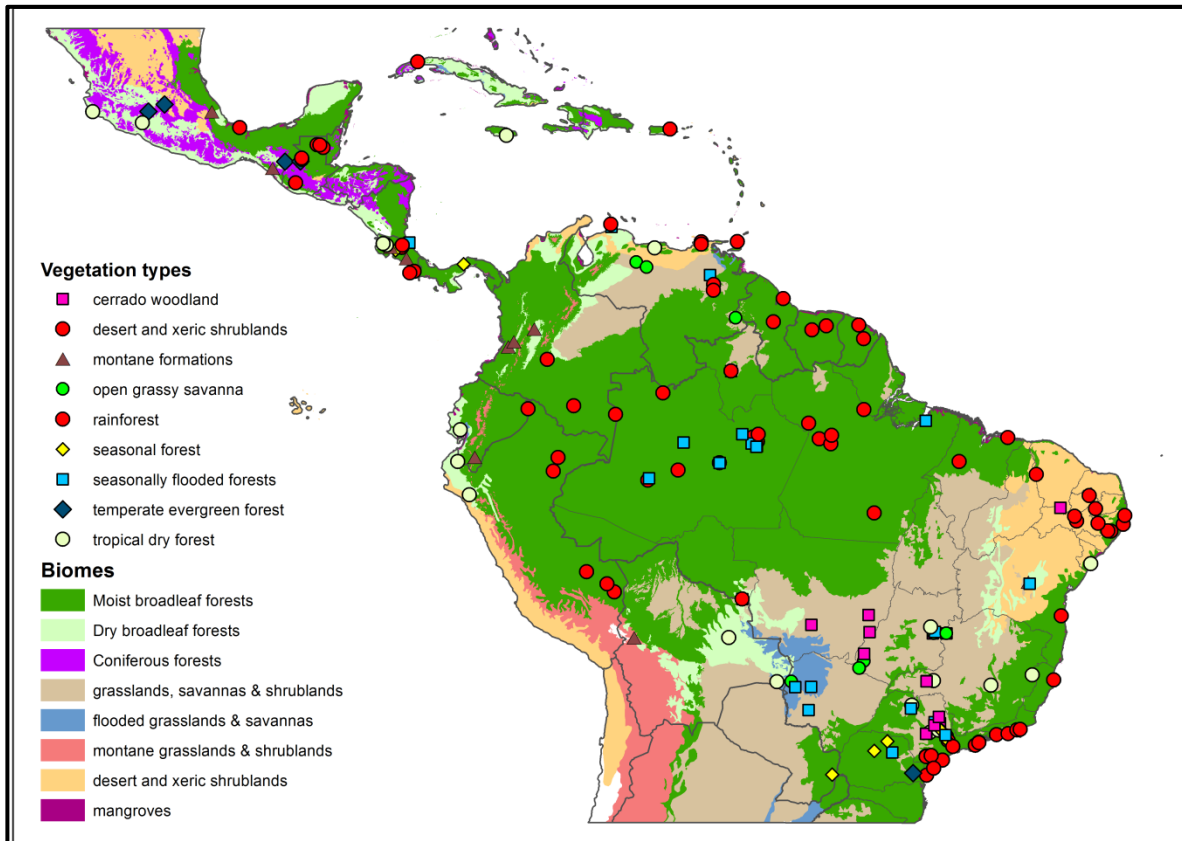


Figure 5.

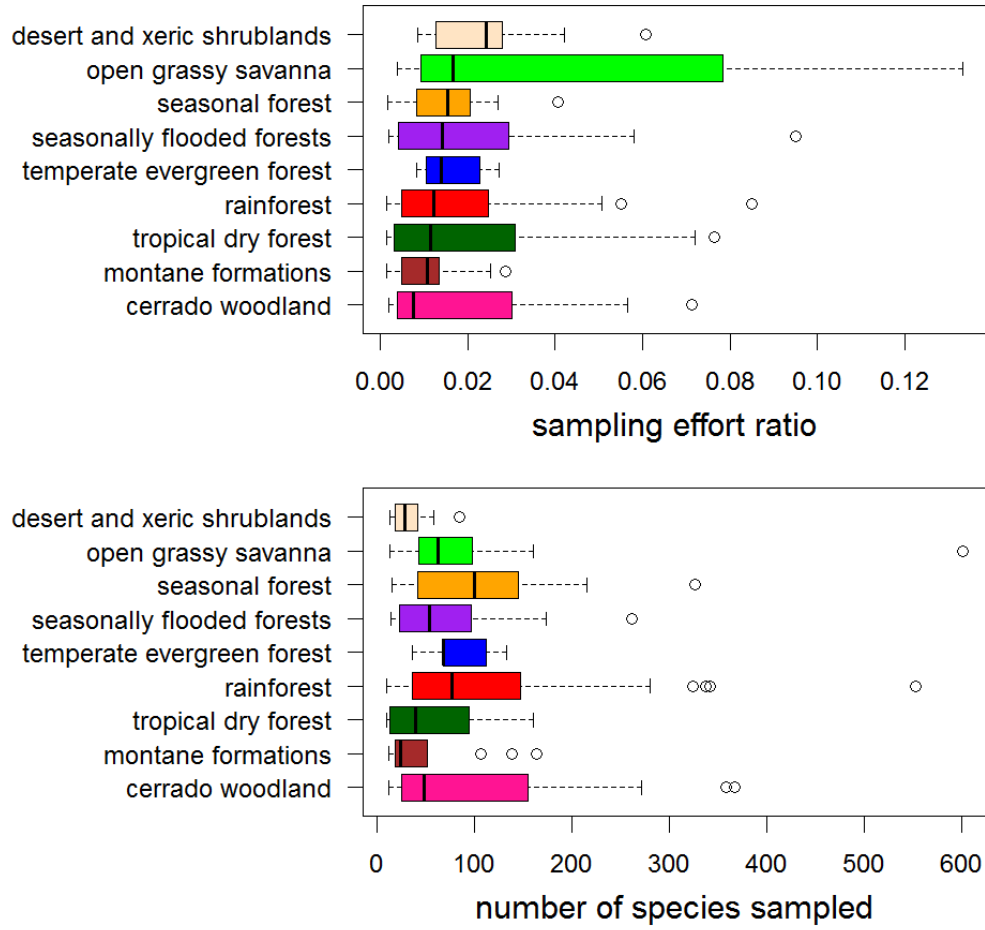


Figure 6.

Highlights

- Tropical phenological research is mostly short term and geographically concentrated
- Rainfall and temperature are the most referred drivers, but others remain unexplored
- Climate-change influence on fruiting rhythms is unresolved for the Neotropics
- New statistical approaches, long-term monitoring and more areas are needed

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