

1 Colour changes by laser irradiation of reddish building limestones

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7

8 Abstract

9 We have used X-ray photoelectron spectroscopy (XPS) as a novel method to investigate the
10 causes of colour changes in a reddish limestone under irradiation by a Q-switched Nd:YAG
11 1064nm laser. We irradiated clean dry and wet surfaces of *Pidramuelle Roja*, a building stone
12 frequently used in the Asturian heritage, at fluences ranging from 0.12 to 1.47 Jcm⁻². We
13 measured the colour coordinates and undertook XPS analysis of the state of oxidation of iron
14 both before and after irradiation. Visible colour changes and potential aesthetic damage
15 occurred on dry surfaces from a fluence of 0.31 J cm⁻², with the stone showing a greening
16 effect and very intense darkening. The colour change on dry surfaces was considerably higher
17 than on wet surfaces, which at the highest fluence (1.47 J cm⁻²) was also above the human
18 visual detection threshold. The use of XPS demonstrated that the change in colour (chroma
19 and hue) is associated with a reduction in the iron oxidation state on dry surfaces during laser
20 irradiation. This points out to a potential routinary use of XPS to analyse causes of colour
21 changes during laser cleaning in other types of coloured building stones.

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23 **Keywords:** Q-switched Nd:YAG 1064nm laser, heritage red limestones, X-ray
24 photoelectron spectroscopy, iron oxidation state, colour variation

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1 **Highlights**

- 2 • This is the first time that XPS is used to determine the cause of colour change in
3 coloured stones when cleaned with laser at 1064 nm
- 4 • We demonstrate that the colour change in red limestones is due to a reduction in the
5 state of oxidation of iron, in this case present as hematite.
- 6 • XPS could be routinely used to analyse causes of colour changes during laser cleaning
7 in other types of coloured building stones.

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1

2 1. Introduction

3 The pulsed mode solid-state “Nd ions - Yttrium Aluminum Garnet” (Nd:YAG) laser at the
4 fundamental wavelength of 1064 nm is a widely type of laser used for cleaning building
5 stone. This type of laser is generally considered very suitable for stone cleaning because of
6 its ability for the selective removal of dirt [1]. The chemical and mineralogical composition
7 of the stones affects the absorption to laser radiation and therefore possible chemical and
8 physical transformations and their concomitant colour-related change.

9

10 Colour is one of the stone characteristics that influence its use as building material. Changes
11 in stone colour can be publicly acceptable but also aesthetically unpleasant [2]. Therefore
12 colour is a property that is often measured when undertaking research in conservation,
13 especially when using laser cleaning [3-13].

14

15 Colour changes are frequently measured using the CIELAB and CIELCH systems because
16 they better represent human sensibility to colour than other colour coding systems. The
17 variable L^* represents lightness or luminosity, and a^* (red-green) and b^* (yellow-blue) are
18 the chromatic coordinates. Chroma (C^*_{ab} : saturation or colour purity) and hue (h_{ab} : colour
19 wheel) in the polar system CIELCH are calculated by the equations: $C^*_{ab} = (a^{*2} + b^{*2})^{1/2}$ and
20 $h_{ab} = \tan^{-1}(b^*/a^*)$. Consequently, changes in C^*_{ab} and h_{ab} are more sensitive to changes on a^*
21 or b^* depending on the original colour of the material [8].

22

23 Colour in most building stones is strongly influenced by the content, oxidation state and types
24 of iron compounds. In general, colour changes are usually attributed to changes in the state
25 of oxidation of iron [14-16]. Iron compounds are highly absorbent to 1064 nm laser radiation

1 and therefore strongly condition the response of stone to laser irradiation, especially
2 regarding to colour changes. In our previous research [7,8] we found that the a^* coordinate,
3 or red–green component, is strongly affected. Pink granites and reddish limestones, with
4 higher positive a^* values experienced large colour changes, mainly a decrease in a^* , leading
5 also to changes in h_{ab} (hue). Visually, red limestones stones turned into greener tones. We
6 attributed changes in a^* to thermal effects on the Fe_2O_3 likely contained in the rock minerals.
7 However, we did not assess this experimentally.

8

9 Here we use X-ray photoelectron spectroscopy (XPS) as a novel method to investigate the
10 causes of chemical variations leading to colour changes in a reddish limestone under laser
11 irradiation at 1064 nm wavelength. The XPS technique has only been recently -and very
12 rarely- used to analyse potential changes on stone surfaces by laser cleaning at different
13 wavelengths [17,18]. One of the strengths of XPS is the identification of oxidation states [19]
14 and it is widely used for quantitative analysis of surface chemical composition. The XPS
15 detector quantifies the amount of photoelectrons emitted by the sample after being triggered
16 with the X-ray source. Binding energy (BE) is related to the energy needed to extract the
17 photoelectrons from the atom and is characteristic of each element and their oxidation state.
18 Since core level electrons in solid-state atoms are quantized, the resulting energy spectra
19 exhibits peaks characteristic of the electronic structure for atoms in the sample [20].

20

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3. Materials and Methods

3.1. Material

In this investigation, we have used the reddish building stone *Piedramuelle Roja*, which is extensively used in historic buildings of Oviedo (Asturias, Northern-Spain), including the Cathedral and the Pre-Romanesque monuments. *Piedramuelle Roja* is a limestone with calcite and Fe-rich dolomite (70-80%), quartz (15-25%), and iron oxides (5%), mainly as goethite and hematite, which confer the colour to the stone. Muscovite, chlorite, glauconite and illite are minor components of this stone. Open porosity ranges from 5 to 15% and the mean value of pore throat size is circa 0.1 μm [6, 21].

3.2. Methods

3.2.1. Laser irradiation

Experiments were carried out using a Q-switching Nd:YAG laser system; $\lambda = 1064 \text{ nm}$; spot diameter = 6 mm; pulse frequency rate = 20 Hz; pulse duration = 6 ns and maximum pulse energy varying around 353 – 415 mJ. Details of the method are described in Esbert et al., [6].

We irradiated clean stone samples as follows:

1. On dry surfaces (50 mm \times 50 mm) at fluences ranging from 0.12 to 1.47 J cm^{-2} along different strips. Each strip was irradiated five times.
2. On dry and wet surfaces (50 mm \times 50 mm), applying a thin layer of water before laser irradiation, at two different fluences (0.5 and 1.47 J cm^{-2}).

3.2.2. Colour measurements

Colour was measured prior, and after irradiation with a MINOLTA CR-200 colorimeter using the illuminant C, beam of diffuse light of 8 mm diameter, 0° viewing angle geometry, specular component included and spectral response closely matching the CIE (1931) standard observer curves. A representative colour and reduced error because of colour variability was gained by using the differences between two successive cumulative averages of the parameters L^* , a^* and b^* .

The CIELAB and CIELCH systems were used here to represent colour differences [EN ISO 105-J05, 22], and to compare the relative importance of each parameter in the colour change. We also refer to the total colour difference and an approximate corresponding grey scale rating (GSc) according to EN ISO 105-A05 [23]. Grey scale values indicate human visual discrimination to colour variation and vary from 5 (nonvisible changes) to 1 (very strong changes) and relate to intervals of ΔE^*_{94} from <0.40 to ≥ 11.60 . Possible causes for colour changes were initially assessed by opaque minerals' examination under reflected-light optical microscopy.

Descriptive statistics involved determination of means, standard errors and 95% confidence intervals. Statistical significance of the colour changes was evaluated by the Mann–Whitney (Wilcoxon rank) nonparametric test in STATA 14. Colour changes were plotted, for an easier visualisation, as polar and scatter plots.

3.2.3. XPS analysis

X-ray Photoelectron (XPS) provides information about the oxidation state of the elements and their concentration at the sample surface. A K-ALPHA XPS system (Thermo Scientific) was used to analyse the state of the oxidation of iron in the samples before and after laser irradiation. All spectra were collected using K-alpha radiation (1486.6 eV), yielding a focused X-ray spot with a diameter of 300 μm , at 3 mA and 12 kV. Twenty eight cumulative scans were performed in order to obtain an adequate signal-to-noise ratio. Differences between pre and post laser application were analysed by calculating odds ratios of the spectra peak's height and area for Fe^{2+} vs Fe^{3+} and their 95% confidence intervals using STATA 14.

4. Results and discussion

4.1. Colour changes

The main colour changes are summarised in Figs. 1-3 and in Table 1. *Piedramuelle Roja* limestone is strongly affected by laser radiation, mainly when irradiated on dry surfaces. Fig. 1 shows colour changes (ΔE^*_{94}) and the equivalent grey scale rating (GSc) at different fluences on dry surfaces. Visual changes are detected from a fluence of 0.31 J cm^{-2} , with the stone showing a greening effect and very intense darkening. This changes are significant in L^* , a^* and b^* . However, possible changes mainly in a^* and perhaps b^* could occur at lower fluences [see details in Esbert et al, 6].

Table 1 and Figures 2 and 3 show colour changes on tables irradiated at 1.47 J cm^{-2} . Colour change on wet surfaces is considerably lower but above the human visual detection threshold. *Piedramuelle Roja* limestone shows GSc values at 1.47 J cm^{-2} that evidence visual colour variations. Wet surfaces of the stones experience smaller but significant changes in L^* , a^*

1 and b*. On wet surfaces, there is an increase in the b* co-ordinate that results in a subsequent
2 increase in chroma.

3

4 Hematite, limonite and goethite were identified under reflectance microscopy in the untreated
5 surfaces. After laser irradiation on dry surfaces, the stone orange background disappears and
6 no hematite is clearly observed [see details in Esbert et al., 6].

7

8 *4.2. Variation of the oxidation state of iron*

9 The XPS analysis provided interesting information about oxidation states of iron under
10 different conditions. Table 2 and Figure 4 show the region of the spectrum corresponding to
11 high-resolution iron 2p_{3/2} XPS transitions for the iron species in untreated and laser-irradiated
12 *Piedramuelle Roja* dry surfaces. The spectra deconvolution is produced since they are clearly
13 separated by about 1.2 eV and with 1/2 intensity ratios between them [24]. Each deconvoluted
14 peak is a 30% mixed Lorentzian/Gaussian function. The obtained deconvolution of the iron
15 2p_{3/2} spectra shows three main peaks.

16

17 Peak A occurs at a binding energy (BE) about 709 eV, peak B at 711 eV and peak C at 714
18 eV. The low-BE (peak A) corresponds to ferrous (Fe²⁺) compounds and the main peak in the
19 centre of spectra (peak B) includes the ferric (Fe³⁺) compounds. The high-BE peak (peak C)
20 is assigned to a surface or satellite peak, which has been ascribed to shake-up or charge
21 transfer processes [20,25-28]. Consequently, the evolution of oxidation state of iron
22 compounds is studied through the peaks A and B.

23

1 We used the binding energy of the deconvoluted peaks to analyse the evolution of oxidation
2 state of iron compounds. The binding energy values of these peaks significantly changed by
3 laser irradiation, although their values slightly decrease to the reduce form (Table 2).

4

5 The intensity has been defined as both height and area ratios of the peaks A and B (A/B).

6 This means that laser irradiation produces a reduction of the oxidised iron, which is presented

7 in *Piedramuelle Roja* minerals mainly as hematite. Thus, the A/B height and area ratios

8 ($\text{Fe}^{2+}/\text{Fe}^{3+}$ compounds) tend to increase after laser irradiation, which seems to be significant

9 as there is not overlapping between the 95% CI pre and post laser (Table 2). Odds ratios Fe^{2+}

10 vs Fe^{3+} for height and area post vs pre laser are significant higher than 1, with 95% CI both

11 lower and upper bounds higher than 1. Height and area odds ratios are very similar, around

12 1.4 (95%CI 1.3-1.5) suggesting an increase in the odds of Fe^{2+} of around 40% after laser

13 irradiation at this experimental conditions.

14

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16 **5. Conclusions**

17 The analysis carried out by XPS proved that iron reduction is the main responsible of colour

18 changes on dry surfaces of *Piedramuelle Roja* limestone irradiated with laser at 1064 nm, i.e.

19 at absorbing near-red wavelengths. As iron is the element that has the strongest influence on

20 the colour of limestone, this is translated in strong visible colour changes (hue and chroma),

21 statistically significant in all colour coordinates, with the a*coordinate (red-green) being

22 especially sensitive.

23

1 Visible colour changes and potential aesthetic damage occurred on dry surfaces from a
2 fluence of 0.31 J cm^{-2} , with the stone showing a greening effect and very intense darkening.

3 The colour change on dry surfaces is considerably higher than on wet surfaces, which at the
4 highest fluence (1.47 J cm^{-2}) is also above the human visual detection threshold.

5

6 XPS could be routinely used to analyse causes of colour changes during laser cleaning in
7 other type of coloured building stones.

8

9 **Acknowledgements**

10 This research was partially funded by the Royal Society Joint grant scheme (UK) and the
11 CICYT (Spain), contract grant number 1FD97-0331-C03-01. We would like to dedicate this
12 document to our dear Prof. Rosa M. Esbert.

13

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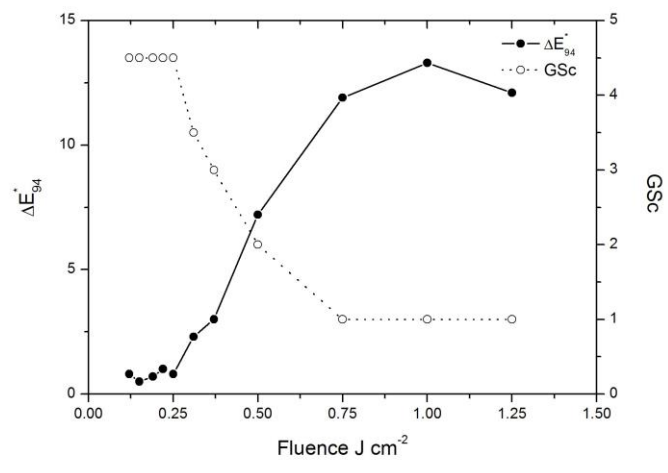
	L*	a*	b*	C* _{ab}	h _{ab}	ΔE* ₉₄	GSc
PRE DRY	59.1 (58.7-59.6)	11.9 (11.6-12.2)	21.5 (20.9-22.1)	24.6 (23.9-25.2)	60.9 (60.4-61.4)		
POST DRY	54.0 (53.6-54.4)	4.4 (4.2-4.6)	15.0 (14.7-15.4)	15.6 (15.3-16.0)	73.7 (73.2-74.3)	11.2(10.9-11.4)	1.5
p-value*	<0.001	<0.001	<0.001				
PRE WET	59.2 (58.8-59.5)	12.2 (11.9-12.4)	20.1 (19.8-20.5)	23.5 (23.2-23.9)	58.9 (58.5-59.3)		
POST WET	57.2 (57.0-57.5)	11.2 (11.0-11.3)	21.5 (21.4-21.7)	24.3 (24.1-24.4)	62.6 (62.2-63.0)	2.6 (2.6-2.6)	3.5
p-value*	<0.001	<0.001	<0.001				

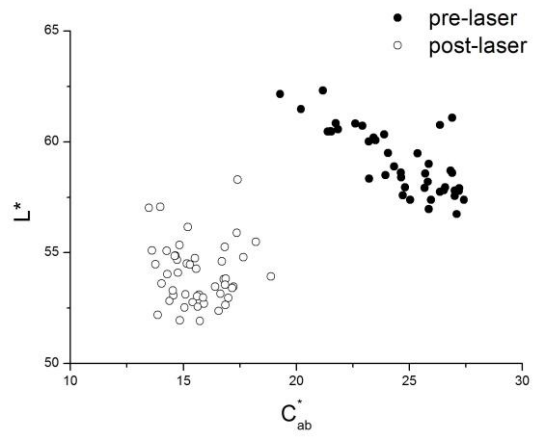
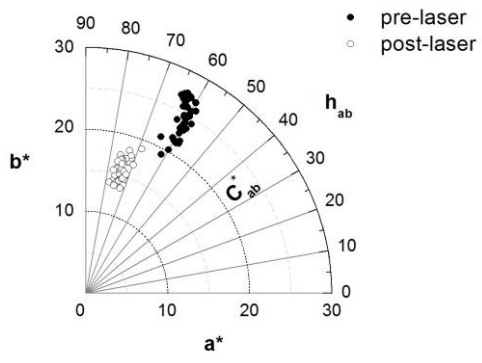
* From Mann–Whitney (Wilcoxon rank) test

Table 1.- Mean and 95% confidence intervals of colour variables pre and post laser irradiation at 1.47 J cm⁻² on dry and wet *Piedramuelle Roja* surfaces and colour changes (ΔE*₉₄ and GSc) measured following EN ISO recommendations [22, 23].

	Pre-laser	Post-laser
Energy (eV)		
Peak A (Fe ⁺²)	709.9	709.83
Peak B (Fe ⁺³)	711.89	711.53
Peak C (Satellite)	714.25	713.31
A/B height ratio	0.81 (0.79-0.83)	1.14 (1.12-1.15)
A/B area ratio	0.68 (0.67-0.69)	0.93 (0.92-0.94)
	Odds Ratio Post-laser vs Pre-laser	
Height Fe ⁺² vs Fe ⁺³	1.41 (1.29-1.54)	
Area Fe ⁺² vs Fe ⁺³	1.37 (1.30-1.45)	

Table 2.- Change in the Energy of 2p_{3/2} XPS Fe-peaks on *Piedramuelle Roja* surface pre and post Nd:YAG laser irradiation at 1064 nm wavelength on dry surfaces. In brackets 95% confidence intervals. Odds ratios and confidence intervals were calculated on 28 cumulative scan counts.





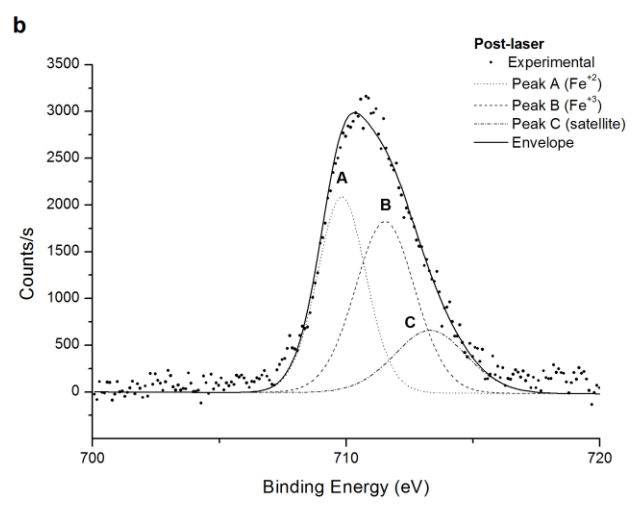
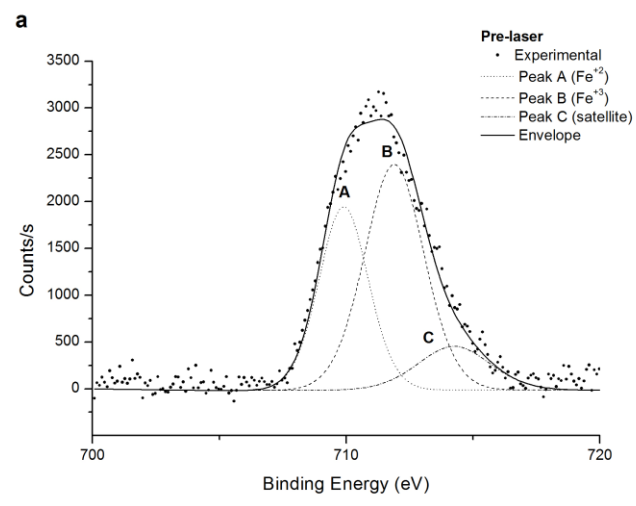
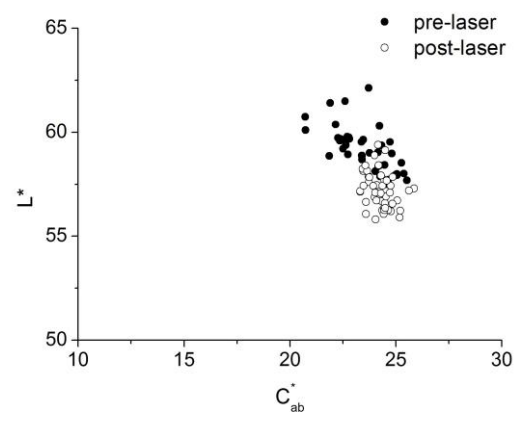
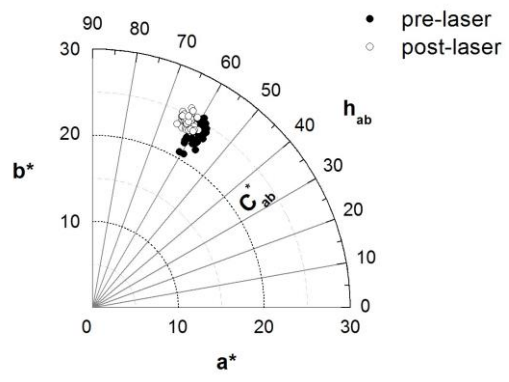


Fig. 1. Colour changes (ΔE^*_{94}) and grey scale rating (GSc) at different fluences on dry surfaces of *Piedramuelle Roja* [EN ISO 105-A05, 24].

Fig. 2. Polar and Cartesian scattergrams for *Piedramuelle Roja* limestone irradiated with Q-switched Nd:YAG 1064nm laser at 1.47 J cm^{-2} on dry surfaces [8].

Fig. 3. Polar and Cartesian scattergrams for *Piedramuelle Roja* limestone irradiated with Q-switched Nd:YAG 1064nm laser at 1.47 J cm^{-2} on wet surfaces.

Fig. 4: Evolution of Fe $2p_{3/2}$ XPS spectrums for *Piedramuelle Roja* limestone pre (a) and post (b) irradiation with Q-switched Nd:YAG 1064nm laser on dry surfaces.