

1 **Inter-provincial Reliance for Improving Air Quality in China: A**

2 **Case Study on Black Carbon Aerosol**

3 Yun Li^{†,¶}, Jing Meng^{†,¶}, Junfeng Liu^{†,*}, Yuan Xu[‡], Dabo Guan[§], Wei Tao[†], Ye Huang[†],
4 and Shu Tao[†]

5 [†] Laboratory for Earth Surface Processes, College of Urban and Environmental Sciences, Peking
6 University, Beijing, China.

7 [‡] Department of Geography and Resource Management & Institute of Environment, Energy and
8 Sustainability, the Chinese University of Hong Kong, Hong Kong, China.

9 [§] School of Environmental Sciences, University of East Anglia, Norfolk, U.K.

10 [¶]Y. Li and J. Meng contributed equally to this paper.

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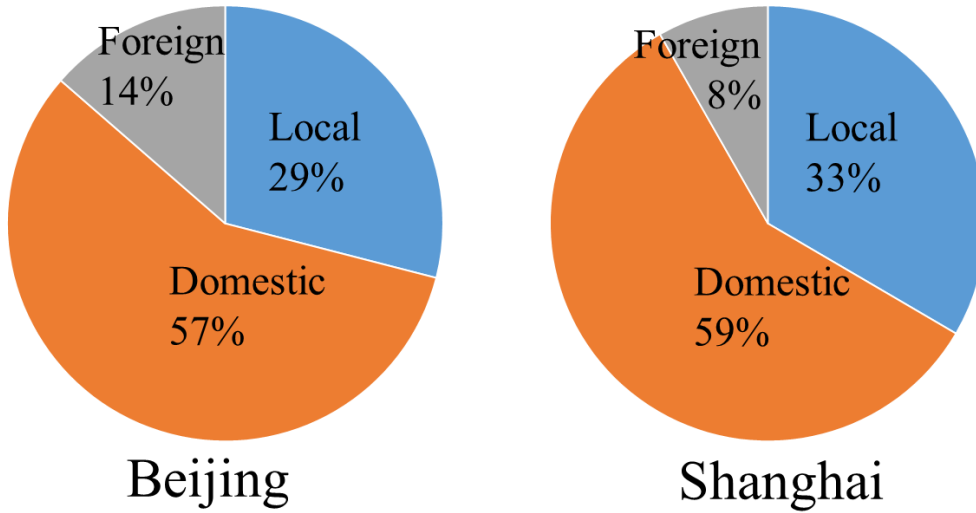
14 **ABSTRACT**

15 Black carbon (BC) is of global concern because of its adverse effects on climate and human
16 health. It can travel long distances via atmospheric movement, and can be geographically relocated
17 through trade. Here, we explored the integrated patterns of BC transport within 30 provinces in
18 China from the perspective of meteorology and inter-provincial trade using the Weather Research
19 and Forecasting with Chemistry (WRF/Chem) model and multi-region input-output analysis. In
20 general, cross-border BC transport, which accounts for more than 30% of the surface concentration,
21 occurs mainly between neighboring provinces. Specifically, Hebei contributes $1.2 \mu\text{g}\cdot\text{m}^{-3}$ BC
22 concentration in Tianjin. By contrast, trade typically drives virtual BC flows from developed
23 provinces to heavily industrial provinces, with the largest net flow from Beijing to Hebei (4.2 Gg).
24 Shanghai is most vulnerable to domestic consumption with an average inter-provincial consumption
25 influence efficiency of $1.5\times 10^{-4} (\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$. High efficiencies ($\sim 8\times 10^{-5} (\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$) are also found from regions including Beijing, Jiangsu and Shanghai to
26 regions including Hebei, Shandong and Henan. The above source-receptor relationship indicates
27 two control zones—Huabei and Huadong control zones. Both mitigating end-of-pipe emissions and
28 rationalizing the demand for pollution-intense products are important within the two control zones
29 to reduce BC and other pollutants.
30

31 **Keywords:** black carbon, inter-provincial transport, source-receptor relationship, input–output
32 analysis

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Consumption-induced BC concentrations



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39 INTRODUCTION

40 Black carbon (BC), which is generated by the incomplete combustion of
41 carbonaceous fuels,^{1,2} is an important combustion component of fine particulate matter
42 (PM_{2.5}).^{3,4} Moreover, the scientific community has been increasingly concerned about
43 its adverse impact on climate change, air quality and human health.⁵⁻⁷ BC aerosols
44 influence climate both regionally and globally by absorbing solar radiation, which
45 reduces the atmospheric lapse rate and burns off cloud droplets.^{3,7} BC level varies
46 consistently with carbon monoxide (CO), nitric oxide (NO) and other traffic-related
47 gaseous pollutants and occupies roughly a fixed proportion of particulate matter (PM)
48 concentration in summer and autumn.^{8,9} Additionally, pollution containing BC has been
49 proven to have a robust epidemiological association with many types of mortality,
50 particularly cardiovascular.^{10,11} Thus, it is acknowledged that BC may serve as an
51 effective indicator of air quality and its health effects in helping to mitigate air pollution
52 including PM, CO and NO.^{4,11,12} Once emitted into the atmosphere, BC has a lifetime
53 of 2-10 days and can be transported long distances by atmospheric movement,¹³⁻¹⁶
54 indicating its well-mixed condition in lower troposphere and regional, rather than local,
55 character.¹⁷

56 China has been the world's largest emitter of anthropogenic BC, organic matter
57 (OM) and other PM_{2.5} precursors.¹⁸⁻²⁰ In 2014, approximately 90% of the major cities
58 in China failed to meet the national air quality standard for PM_{2.5}.²¹ Emissions from the
59 industrial and transport sectors have been identified as the major sources of BC and
60 other combustion PM_{2.5},²² which has led to the need for serious emissions control in
61 China. Recently, the "Law of the People's Republic of China on the Prevention and
62 Control of Atmospheric Pollution" has been revised to emphasize the national target of
63 air quality improvement from a concentration-based perspective and the supervision of
64 pollution sources using emissions-based strategies.²³ This law also calls for
65 collaborative efforts across administrative boundaries for emissions control and air
66 quality improvement. Consequently, a quantitative understanding of the inter-
67 provincial source-receptor relationship of air pollution transport and the underlying

68 economic drivers is of great importance.^{24, 25}

69 Previous studies have evaluated the possible sources of air pollution for a target
70 region.^{13, 26} For example, Guo et al. analyzed the observational data collected at
71 Changdao Island north of Shanghai and found that a significant amount of BC is
72 transported from Shandong and Jiangsu provinces.²⁷ Xue et al. utilized particulate
73 source apportionment technology (PSAT) in the CAMx model and found that
74 approximately 40% of ambient PM_{2.5} concentrations in Beijing, Shanghai and Jiangsu
75 are contributed by cross-boundary transport.²⁸ If the source-receptor relationship were
76 accepted by the relevant provincial governments, it might be the basis for promoting
77 multi-province cooperation on emissions control.²⁹

78 Apart from observed atmospheric transport, domestic trade also affects the
79 distribution of emissions to a large extent and thus changes air pollution levels
80 geographically.^{30, 31} The production of traded products increases local emissions while
81 reducing the emissions in consuming regions. This trade-induced virtual emissions
82 transfer among provinces in China has been well documented for carbon dioxide (CO₂),
83 sulfur dioxide (SO₂), primary PM_{2.5} and other atmospheric pollutants, which
84 demonstrates that some developed provinces shift emissions to less-developed
85 provinces by importing products.^{25, 30} As with atmospheric transport, this virtual
86 transfer of emissions and the degradation of air quality via trade also lead to a source-
87 receptor relationship. When considering the location disparity between consumers and
88 producers, the emissions generated in one province might be significantly driven by
89 final consumption in a different province. Thus, for purposes of cross-provincial action
90 on air pollution control, it is equally important to identify both the sources and the
91 drivers of air pollution.

92 In this study, we used BC as a proxy to establish the source-receptor relationship
93 involving the atmospheric transport and trade-induced virtual transfer of primary
94 pollution among 30 provinces in mainland China in 2007 (excluding only Tibet, where
95 reliable data are unavailable). BC was chosen as a representative for cross-regional air
96 pollutant mitigation because of its impact on environment, regional character and
97 representative for other fine aerosol species. The model simulation of BC transport was

98 undertaken using the Weather Research and Forecasting with Chemistry (WRF/Chem)
99 model. An explicit tagging method was implemented in the WRF/Chem model to
100 efficiently track the pathways of BC transport.³² We also used multi-region input-output
101 (MRIO) analysis to examine the virtual transfer of BC emissions resulting from trading
102 goods and services.³³ By combining both physical and virtual transfers of BC emissions,
103 we quantified the direct and indirect inter-provincial linkages in terms of pollution
104 transport. This quantification leads to feasible suggestions on the priority of BC
105 reduction and the possibility of cooperative responsibility for pollution mitigation in
106 China.

107 **METHODOLOGY AND DATA**

108 **Emission inventory and data sources.**

109 A production-based emissions inventory was developed by multiplying the energy
110 consumption data and BC emission factors.^{34, 35} Energy consumption data for 30
111 provinces in China (as listed in Table S1 in the supporting information) were derived
112 from provincial statistical yearbooks and energy balance tables from the 2008 Chinese
113 Statistical Yearbooks (Data were based on the investigation of year 2007) for each
114 province.³⁶ We aggregated the provincial BC emissions into 17 sectors (listed in Table
115 S2 in the supporting information) to conform with the Chinese MRIO Table.³⁷ Emission
116 factors for 8 types of energy (i.e., coal, coke, gasoline, kerosene, diesel, fuel oil,
117 liquefied petroleum gas and natural gas) were obtained from previous studies (listed in
118 Table S3 in the supporting information).^{34, 35} The derivation of production-based BC
119 emissions attributable to energy consumption for province f is expressed as:

$$120 \quad C_p^f = \sum_{i=1}^{17} \sum_{m=1}^8 E_{i,m}^f \times EF_{i,m} \quad (1)$$

121 where $E_{i,m}^f$ is the energy consumption of fuel m in sector i , province f ; $EF_{i,m}$ is the
122 emission factor of fuel m in sector i .

123 Here, we considered only industrial BC emissions from all 17 aggregated
124 economic sectors, because industrial emissions can “flow” in inter-provincial trade.

125 According to the Chinese MRIO Table, every particular sector has a more or less
126 monetary output to support non-local industry. By contrast, residential energy
127 consumption cannot “flow” in trade, and was thus excluded in our analysis. We used
128 the industrial BC emissions in WRF/Chem modeling for consistency with the MRIO
129 analysis by mapping the emissions with high spatial resolution.³⁴ We also conducted
130 additional simulations using revised all-source anthropogenic BC emission inventory
131 from Wang et al. for model evaluation.³⁴

132 **Model description and configuration.**

133 WRF/Chem is a meteorological model that enables the simulation of atmospheric
134 phenomena across scales ranging from meters to thousands of kilometers.³⁸
135 WRF/Chem includes chemical processes such as emissions, gas/aqueous phase
136 chemistry and dry/wet deposition.³⁹ WRF/Chem has been widely applied to simulate
137 the transport of BC and its radiative impact.^{38, 40, 41} Real meteorological data are used
138 as the initial and lateral boundary condition input for the WRF/Chem model to simulate
139 the physical transport of BC aerosols. Here we applied a data set from the NCEP FNL
140 Operational Model Global Tropospheric Analyses, which provide data every six hours
141 for the period from December 16st, 2006, to December 31st, 2007 for model simulation.
142 The first two weeks of the simulation were used for model spin-up.

143 To quantify source-receptor relationships among the 30 provinces, an explicit
144 tagging technique was used in WRF/Chem to avoid modifying BC emissions.⁴² This
145 method differs from the traditional sensitivity approach to avoid reducing BC emissions
146 that may strongly disturb the local climate. Similar approaches have been previously
147 applied in global models to estimate the long-range transport of BC, OC and PM_{2.5}
148 between continental regions.^{13, 32, 43} In this tagging approach, two classes of BC tracers
149 are used for each “tagged” region. One is for hydrophobic BC, which represents freshly
150 emitted BC species, and the other is for hydrophilic BC, which represents aged BC and
151 has sufficient soluble coating to behave as cloud condensation nuclei (CCN). Therefore,
152 30 non-overlapping geographical regions were tagged individually with additional
153 variables to track their transportation and transformation until deposition. Tagged BC

154 has the same physical and chemical properties as untagged BC, and the model thus
155 accurately predicts the pathways of BC dispersion and its influence on surface
156 concentration.

157 We use the WRF/Chem model to track the inter-provincial source-receptor
158 relationships for BC in 2007 with a $0.2^\circ \times 0.2^\circ$ horizontal resolution. In general, the
159 model agreed within a factor of 2 with the observations (As shown by Figure S1 in the
160 supporting information - observational data were collected from published literatures.⁴⁴⁻
161 ⁵⁷ The spatial distribution of the data is shown in Figure S2). The output results were
162 archived hourly and used to calculate the average surface concentrations for a province
163 over a given period of time for analysis.

164 **Multi-region Input-output (MRIO) Analysis.**

165 Originating from Leontief,⁵⁸ input-output analysis has been widely used to link
166 global and regional environmental issues with final consumption.^{31,33} In the past decade,
167 environmental MRIO analysis has been developed to quantify emissions transfer via
168 inter-regional trade.^{33,59,60} Here, we used the Chinese MRIO Table from 2007 that was
169 developed by Liu et al. to quantify BC emissions embodied in traded products.³⁷ The
170 MRIO table consists of three parts. Part One is the intermediate input/output for 17
171 sectors in 30 provinces. Part Two consists of provincial final consumption (i.e., urban
172 household consumption, rural household consumption, government consumption and
173 investment) and international export. Part Three consists of production-based BC
174 emissions for 17 sectors in 30 provinces.

175 For the entire system covering all provincial economies, we have the following
176 balance of monetary flows:

$$177 \quad X = AX + Y \quad (2)$$

178 where X is a vector representing total monetary output for every province, A is a matrix
179 with its elements defined as intermediate input to produce a unit output, and Y is a
180 vector representing the total output of final consumption and international export in
181 each province.

182 Consumption-based BC emissions can be obtained by introducing emission

183 intensity EI :

$$184 \quad C_c = EI(I - A)^{-1}Y_c \quad (3)$$

185 where EI is a vector with its elements defined as the direct BC emissions per unit of
186 economic output, $(I - A)^{-1}$ is the Leontief inverse matrix and Y_c is the final
187 consumption.

188 This basic formula can be further used to quantify emissions from the production
189 of traded products. For instance, BC emissions embodied in the products exported from
190 province f to province s can be calculated as

$$191 \quad C_c^{fs} = EI^f(I - A)^{-1}Y_c^s \quad (4)$$

192 where EI^f is a vector of BC emission intensity for province f but zero for all others
193 and Y_c^s is the final consumption of province s .

194 **RESULTS**

195 **Physical Transport of BC via Atmospheric Movement.**

196 Figure 1 shows the major cross-boundary influence pattern of the area-weighted
197 annual mean surface BC concentration caused by industrial emissions. The annual
198 mean surface BC concentrations range from $0.025 \mu\text{g}\cdot\text{m}^{-3}$ (Qinghai) to $5.7 \mu\text{g}\cdot\text{m}^{-3}$
199 (Shanghai). Shanghai and Tianjin ($4.2 \mu\text{g}\cdot\text{m}^{-3}$) have the highest BC concentration.
200 Major local sources of pollution for these two coastal megalopolises are traffic and
201 transport sectors (as suggested by Figure S3), while emissions in their contiguous
202 provinces also exert considerable influence. Industry-dominant provinces including
203 Shandong ($2.8 \mu\text{g}\cdot\text{m}^{-3}$), Henan ($2.9 \mu\text{g}\cdot\text{m}^{-3}$) and Liaoning ($2.0 \mu\text{g}\cdot\text{m}^{-3}$) also have heavy
204 BC concentrations. Moreover, provinces with heavier BC pollution are likely to be
205 located along or near the coastline.

206 Provincial BC concentrations are profoundly influenced by trans-boundary
207 transport. The reciprocal effect between two contiguous provinces whose emissions
208 share resemblances is generally comparable. It is particularly noticeable between Hebei
209 and Shandong, Shandong and Henan, Jiangsu and Anhui, and Jiangsu and Shanghai,
210 where approximately 10% of the BC concentrations in these provinces are contributed

211 by one another. The northern provinces tend to be net contributors to the pollution load
212 of the more southerly provinces in eastern China. Remarkably, Hebei is responsible for
213 $0.59 \mu\text{g}\cdot\text{m}^{-3}$ (24%) and $1.2 \mu\text{g}\cdot\text{m}^{-3}$ (28%) surface BC concentrations in Beijing and
214 Tianjin, respectively. It is also responsible for $0.13 \mu\text{g}\cdot\text{m}^{-3}$ (7%) and $0.17 \mu\text{g}\cdot\text{m}^{-3}$ (6%)
215 of the BC concentration in Shanxi and Henan, respectively. Whereas Shanxi contributes
216 $0.20 \mu\text{g}\cdot\text{m}^{-3}$ (22%) BC in Shaaxi, and Henan contributes $0.23 \mu\text{g}\cdot\text{m}^{-3}$ (19%) of the BC
217 concentration in Hubei and $0.17 \mu\text{g}\cdot\text{m}^{-3}$ (8%) in Anhui.

218 **Virtual Transfer of BC via Inter-provincial Trade.**

219 Figure 2(a) shows the comparison of total production-based and consumption-
220 based BC emissions in 2007 for 30 Chinese provinces. Total industrial BC emissions
221 amount to 894 Gg in China in 2007, which is consistent with previous studies.^{34, 61, 62}
222 From the production perspective, Shandong ranks first with emissions of 79.7 Gg,
223 followed by Henan (73.5 Gg), Shanxi (61.1 Gg) and Hebei (60.3 Gg). Provincial
224 consumption-based BC emissions present a different distribution pattern, with 741 Gg
225 (83%) emissions induced by domestic demand. This percentage is comparable to
226 previous results on primary PM_{2.5} and gaseous pollutants including SO₂ and NO_x.^{25, 63}
227 Except for Shandong (contributing 64.7 Gg emission), the southern provinces,
228 including Zhejiang (57.2 Gg), Jiangsu (55.0 Gg) and Guangdong (51.2 Gg), hold the
229 top positions. Remarkably, the Yangtze River Delta contributes 20% of the total
230 embodied BC emissions, although its domain area is less than 2% of the total area.
231 Consumption-based emissions consist of emissions from four types of final
232 consumption. Investment is the dominant motor driving industrial BC emissions for 29
233 provinces (the exception is Xinjiang), contributing approximately 40%–70% of the
234 total consumption-based BC emissions. Urban household consumption is the second
235 largest driver of BC emissions, ranging from 15% in Shanxi to 43% in Tianjin.
236 Government consumption and rural household consumption account for the remaining
237 15%.

238 The difference between production-based and consumption-based BC emissions
239 indicates that emissions are transferred via trade. Figure 2(b) illustrates net emissions

240 transfer through trade (only the largest fluxes between provinces are shown). 13 of 30
241 provinces are net emissions importers, and the other 17 provinces are net exporters. Net
242 importers are mainly industry-dominant provinces such as Hebei (23.2 Gg), Shanxi
243 (18.3 Gg), Liaoning (17.3 Gg) and Henan (15.7 Gg). Their industrial activities and
244 associated emissions enhanced by trade support consumption across the country,
245 particularly for a few developed provinces. Conversely, Zhejiang (24.1 Gg), Shanghai
246 (16.4 Gg), Beijing (14.5 Gg) and Guangdong (13.8 Gg) are major BC exporters. They
247 behave as exporters in trade with almost all other provinces, whereas the larger flows
248 more often end up in Hebei and Henan.

249 The pattern of major flows is from southeastern China to the North China Plain
250 (NCP) geographically and from developed to less-developed provinces economically.
251 The demand-driven flows can be categorized into three types based on the economic
252 strength of emission exporter and importer, with the dominant pattern being from a
253 province with abundant capital to a province owning heavy industry (Figure 2b). First,
254 the largest BC emissions transfer occurs from Beijing to Hebei (with 4.2 Gg BC
255 emissions being relocated), followed by a flow of 4.1 Gg emissions from Zhejiang to
256 Hebei. Second, shifts in emissions between contiguous provinces of comparable
257 economic strength are also noticeable. They occur noticeably within the Yangtze River
258 Delta and northeastern provinces, including Heilongjiang, Jilin and Liaoning, which
259 indicate intimate economic relationships between contiguous provinces. The typical
260 emission flows in this category are from Shanghai to Jiangsu (1.2 Gg) and from Jilin to
261 Liaoning (2.7 Gg). The third type of flow is from industrial provinces to resource-rich
262 but less-developed provinces, suggesting the need for inputting fundamental raw
263 materials for industrial activities. For example, 1.4 Gg of emissions are transported
264 from Hebei to Shanxi. In general, trade-induced emission flows across China occur
265 from south to north and coastal to inland, exhibiting a reversed source-receptor pattern
266 to BC dispersion via atmospheric transport.

267 Apart from revealing emission flows from a regional aspect, MRIO can also
268 explore the sectors that undertake the transfer of BC emissions in trade.³¹ Figure 3
269 shows the sector-specific BC emission transfer embodied in inter-provincial trade. At

270 the national level, nearly half of these trade-relevant emissions are caused by the
271 production of intermediate products. This ratio is even higher in Shanxi, Gansu,
272 Qinghai, Xinjiang and Yunnan, where more than 80% of the emissions are caused by
273 the massive quantities of low-value-added raw materials and energy that are produced
274 for export. By contrast, Zhejiang and Jiangsu have the highest proportion of
275 intermediate goods from the import aspect because these provinces lack natural
276 resources but are advanced in processing capabilities.

277 Moreover, final use accounts for the remaining 15%–55% of trade-embodied
278 emissions, in which heavy industry (including the petro-chemical, nonmetallic mineral
279 products, and metallic mineral products) plays a dominant role in most provinces due
280 to its intensive energy consumption. In addition to heavy industry, exporting
281 agricultural products induces salient BC emissions in Hubei and Sichuan provinces;
282 mining and washing are responsible for most trade-relevant BC emissions in Shanxi
283 and Liaoning provinces. However, for Jiangsu and Zhejiang, light industry such as
284 textile and timber processing is key to generating BC emissions. With regard to
285 imported products, less-developed provinces tend to have a higher proportion of
286 emission output in high-value products of light industry, whereas the provinces scarce
287 in energy and raw materials are likely to depend on products of mining and washing in
288 other provinces.

289 **Surface BC Concentrations from a Production and Consumption Perspective.**

290 By combining atmospheric BC transport and emission flow in trade, the source of
291 surface BC concentrations can be classified according to their on-site emission region
292 and the final consumer of the relevant products. With regard to whether BC is emitted
293 locally or from other provinces, BC concentration can be classified as either local or
294 domestic production. Meanwhile, from a consumption-based perspective, BC
295 concentration can be referred to as local, domestic or foreign consumption. We specify
296 “domestic consumption” as the consumption from the other 29 provinces, and “foreign
297 consumption” as the internationally-exported products from the province where
298 production-based BC is generated. From an on-site emission perspective, 9 provinces

299 (i.e., Hubei, Anhui, Jiangxi, Hainan, Guangxi, Chongqing, Guizhou, Shaanxi and
300 Qinghai) have a share of domestic-production concentration (i.e., originated from the
301 other 29 provinces, namely the green, yellow plus light grey portions in Figure 4) over
302 50%. Others are typically within 30%–50%, with the lowest (3%) being Xinjiang. This
303 indicates the importance of local emissions, but trans-boundary transport is also a
304 noteworthy contributor. With regard to the key provinces for air pollution mitigation,
305 Beijing has a proportion of domestic-production BC surface concentration equal to 42%,
306 Tianjin 45%, Shanghai 22%, Jiangsu 39%, Zhejiang 41% and Guangdong 40%. BC
307 concentration originating from other provinces but induced by local consumption (i.e.,
308 the green portion in Figure 4) is very small (<3%) and mainly driven by neighboring
309 provinces.

310 From the consumption perspective, final demands within mainland China on
311 average induce 82% of provincial surface BC concentration across China. Domestic-
312 consumption-induced concentration (i.e., the red plus yellow portions in Figure 4) is
313 greater than local-consumption-induced concentration (i.e., the blue plus green portions
314 in Figure 4) for all provinces, which indicates the profound influence of inter-regional
315 trade and trans-boundary transport on concentration. The proportion of BC
316 concentration generated locally but induced by domestic consumption ranges from 4%
317 in Hainan to 50% in Xinjiang and reflects the comparative industrial scales for
318 supporting local living standards or for securing economic growth via exports. This
319 proportion is above 30% for typical emission importers. Particularly, 43% and 41% of
320 the concentration in Hebei and Liaoning, respectively, is contributed by the local
321 emissions induced by domestic consumption. Meanwhile, for emissions-exporting
322 provinces, such as Beijing, Zhejiang and Guangdong, this proportion falls to near 20%.
323 However, Shanghai has a higher percentage (40%) of local-domestic concentration
324 because of its considerable share of industry to support domestic consumption.

325 **Source-Receptor Relationship from Combined Production and Consumption** 326 **Perspectives.**

327 To combine the influence of atmospheric transport and inter-provincial trade on

328 surface BC concentrations and emissions, which is informative for judging the priority
329 of cooperative action for pollution mitigation, we introduce three source-receptor
330 indicators shown in Figure 5.

331 Figure 5(a) shows the emission-concentration relationship that is defined as the
332 annual averaged surface BC concentration over a receptor resulting from a unit of
333 emission in a source region (in $(\mu\text{g}\cdot\text{m}^{-3})/(\text{Gg}\cdot\text{yr}^{-1})$).²⁹ This atmospheric transport
334 efficiency is calculated by dividing the source-produced concentration in a receptor by
335 total annual emission in the source region. The colored chart shows a pattern in which
336 a receptor is more sensitive to its own emissions than to domestic emissions and to
337 upwind contiguously located sources than to remote ones. Normalized BC
338 concentrations resulting from local emission range from 0.0047 $(\mu\text{g}\cdot\text{m}^{-3})/(\text{Gg}\cdot\text{yr}^{-1})$ in
339 Xinjiang to 0.20 $(\mu\text{g}\cdot\text{m}^{-3})/(\text{Gg}\cdot\text{yr}^{-1})$ in Shanghai, which is mainly determined by the
340 emission density. Non-local contributions to BC concentration from neighboring
341 provinces is typically 1-2 orders of magnitude smaller than local emissions but more
342 than 1-2 orders of magnitude larger than emissions from remote provinces. Provinces
343 within Jing-Jin-Ji and the Yangtze River Delta share a close relationship in BC
344 concentration through atmospheric transport. For instance, 1 Gg annual emission in
345 Beijing and Hebei can increase the surface BC concentration in Tianjin by 0.022 and
346 0.019 $\mu\text{g}\cdot\text{m}^{-3}$, respectively. Similarly, the bilateral influence between Jiangsu, Shanghai
347 and Zhejiang ranges from 0.003 to 0.013 $(\mu\text{g}\cdot\text{m}^{-3})/(\text{Gg}\cdot\text{yr}^{-1})$.

348 Figure 5(b) shows the trade-induced consumption-emission relationship that is
349 defined as the production-based BC emissions of a receptor associated with a unit of
350 domestic consumption from a source (in tons of BC per billion Yuan), in which
351 consumption includes the sum of four final consumption.³⁰ This BC intensity is
352 calculated by dividing the source-induced BC emission in a receptor by total annual
353 final consumption in the source region. Unlike Figure 5(a) in which the pattern of
354 atmospheric transport exhibits a diagonal distribution, the consumption-emission graph
355 reflects a column-like distribution, which indicates that massive amounts of BC
356 imported from almost all other provinces via trade into some industry-dominant
357 provinces (e.g., Hebei, Henan, and Liaoning). Normalized production-based BC

358 emissions induced by local consumption range from 3.4 tons per billion Yuan in Tianjin
359 to 53.4 tons per billion Yuan in Shanxi. Generally, this value is higher in provinces with
360 massive energy consumption than in developed provinces with strict environmental
361 laws. However, those developed provinces relocate significant amounts of emissions to
362 other provinces. The proportion of emissions caused in other 29 provinces is
363 comparable to the proportion of local on-site emissions in developed provinces. Every
364 billion Yuan of consumption in Tianjin produces 5.6 tons of BC emissions in Hebei, 1.6
365 times its own local emission intensity. Similarly, Hebei and Henan receives 2.4 and 2.1
366 tons of BC emissions respectively for every billion Yuan of consumption in the Yangtze
367 River Delta. Heilongjiang and Jilin shift 7.2 and 8.4 tons of BC emissions, respectively,
368 to Liaoning for every one billion Yuan of consumption.

369 Figure 5(c) shows the consumption-concentration relationship, i.e., annual
370 averaged surface BC concentration in a receptor resulting from a unit of domestic
371 consumption in a source (in $(\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$), which considers the joint
372 influence of trans-boundary transport and inter-regional trade on surface BC
373 concentration together. This consumption influence efficiency is calculated by
374 concentration in a receptor caused by on-site emissions in 30 provinces that are induced
375 by a unit of annual consumption in a source. The pattern of the graph is a combination
376 of Figure 5(a) and (b), showing both aggregated groups along the diagonal and column-
377 like distribution of eminent contributions. The bilateral influence of domestic
378 consumption within Jing-Jin-Ji and the Yangtze River Delta is more than 8×10^{-5} $(\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$.
379 Northeastern provinces also show intimate internal relationships
380 regarding both atmospheric transport and inter-provincial trade. Surprisingly, Tianjin
381 and Shanghai are the two provinces most vulnerable to consumption-based emissions
382 in other provinces despite being net BC exporters in inter-provincial trade. The average
383 surface BC concentration resulting from a unit of consumption in a non-local source
384 region in Shanghai and Tianjin is 1.5×10^{-4} $(\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$ and 1.4×10^{-4}
385 $(\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$, respectively. This phenomenon can be attributed to the
386 considerably high BC concentration resulting from a unit of local-production emission
387 and a prevailing proportion of local-production emission induced by domestic

388 consumption than by local consumption. Although they are densely urbanized
389 metropolises, Tianjin and Shanghai have a considerable scale of secondary industry,
390 which induces a massive emission import (see S3 in the supporting information). In
391 addition, the source-receptor relationship between consumption and concentration is
392 also noticeable within some emission exporters and importers in inter-provincial trade.
393 Per-billion Yuan annual consumption from a source province can lead to an
394 approximately $8 \times 10^{-5} \mu\text{g} \cdot \text{m}^{-3}$ increase in BC concentration in a receptor province. In
395 particular, BC concentrations in Liaoning and Hebei are largely affected by domestic-
396 consumption emissions particularly from the Jing-Jin-Ji area and Shanxi, whereas the
397 concentrations in Anhui and Jiangsu are affected by emissions from the Yangtze Delta
398 area and Anhui. In Henan and Shandong, BC concentration is sensitive to consumption
399 in all the source provinces mentioned above. These provinces may show a close
400 relationship for air pollution control.

401 **DISCUSSION**

402 Using WRF/Chem modeling and environmental MRIO analysis, we quantified the
403 source-receptor relationship of atmospheric transport and trade-induced geographical
404 relocation of BC emissions. By combining the dual effects, we traced the influence
405 from both producer and consumer perspectives on BC surface concentration for each
406 province in China. The results can provide insights into collaborative efforts on air
407 pollution control for policy makers.

408 The source-receptor relationship of physical BC transport among provinces is
409 largely determined by both the amount of BC emissions and the direction of prevailing
410 winds. Depending on locations, more than 20% of surface BC concentration may
411 originate from a neighboring province, particularly for provinces such as Tianjin and
412 Hubei, which are located downwind contiguously of major BC source provinces (such
413 as Hebei and Henan). By contrast, provinces such as Hebei, Shanxi, Henan, Zhejiang
414 and Guangdong transport substantial BC pollution to their neighbor provinces. Notably,
415 in inland China, where the north wind is dominant particularly in autumn and winter
416 because of the influence of the Siberian High, BC transport typically occurs more

417 usually from north to south. In southeastern coastal China, however, where airflow is
418 driven by the Hawaiian High, BC transport occurs mainly northwestward during the
419 summer. Combining these two factors, the proportion of surface BC concentration
420 caused by the other provinces varies from 3% to 71%. Provinces such as Hubei, Shaanxi,
421 and Hainan are vulnerable to non-local emissions because of their relatively small scale
422 of industry compared with their neighbors with massive industrial production. Beijing,
423 Shandong, Jiangsu and other provinces with on-site emissions comparable to those of
424 their neighbors are also sensitive to domestic-production emissions. Several provinces,
425 such as Xinjiang, Liaoning and Shanghai, have a percentage above 75% of the local-
426 production surface BC concentration owing to their location and the total amount of
427 BC emissions, which indicates a local-production dominant situation.

428 Unlike atmospheric transport, which is driven by natural forces, domestic trade
429 relocates BC in a different way. Beijing, Tianjin, Guangdong and the Yangtze River
430 Delta are more likely to outsource BC emissions via inter-provincial trade to industrial
431 provinces including Hebei, Henan, Shanxi and Liaoning. For developed provinces such
432 as Beijing and Shanghai, consumption-based BC emissions can be double the
433 production-based BC emissions, whereas in industry-dominant provinces such as Hebei
434 and Shanxi, net BC emissions transferred via trade amount to approximately 30% of
435 their production-based emissions. Surface BC concentration generated by local
436 emission but induced by domestic consumption can account for more than 30% of the
437 total concentration in these provinces. In addition, three northeastern provinces show
438 tight economic connections, with Liaoning playing the major role of emissions importer.
439 This imbalance in inter-provincial trade may be due largely to the enormous disparity
440 in wealth and economic structure among provinces.⁶⁴ Although emission transport via
441 trade is bilateral, developed provinces are more likely to import low value-added
442 commodities in the heavy industry, mining and washing, and agricultural sectors from
443 less-developed provinces according to their mainstay industry, while exporting
444 technology-containing commodities from light industry.

445 Combining these two aspects, it is reasonable to say that the patterns of
446 atmospheric transport and inter-provincial trade are the opposite of one another.

447 Emission flows from Beijing and Tianjin to Hebei are transported in reverse to
448 influence the local BC concentration. Similar patterns can also be observed from
449 Shanghai to Jiangsu and Zhejiang. Moreover, consumption in developed provinces
450 located along the southeastern coastline increases BC concentration and exerts adverse
451 influence on air quality in those emission input industry-dominant provinces, mainly
452 on NCP via trade. These phenomena may serve as a major motivation for the close
453 cooperation between provinces on air pollution control as advocated in the “Law of the
454 People's Republic of China on the Prevention and Control of Atmospheric Pollution.”
455 By introducing advanced technology from developed provinces to industry-dominant
456 provinces and by taking cross-regional governance into consideration, the supportive
457 provinces benefit in that their surface pollutant concentration caused by domestic-
458 production emissions is reduced. Meanwhile, cooperation contributes to reducing
459 surface BC concentration in the emission source, which may compensate for the
460 pollutant transferred via inter-provincial trade. Overall air quality in China can also be
461 enhanced because downwind provinces suffer less from trans-boundary emissions.
462 Generally, neighboring provinces have a more intimate relationship concerning both
463 trans-boundary transport and inter-provincial trade, which indicates their optimal
464 prospects for joint efforts on mitigating air pollution. Two partly overlapping control
465 zones for collaborative action on air pollution mitigation with prior concern are
466 promoted according to our study. The Huabei control zone, led by the Jing-Jin-Ji area,
467 together with Shandong, Shanxi, Liaoning and Henan has a close relationship with
468 respect to both trans-boundary transport and virtual transfer of emissions. Liaoning is
469 also intimate with Jilin and Heilongjiang and may implement multilateral supervision
470 action. Meanwhile, for Shandong and Henan, cooperation with the Yangtze River Delta
471 (i.e., Jiangsu, Shanghai and Zhejiang) and Anhui can also achieve enhanced efficiency
472 in emission mitigation. These 6 provinces comprise the Huadong control zone.

473 **ASSOCIATED CONTENT**

474 **Supporting Information.** Tables S1-S4, Figures S1-S3 include provinces and sectors
475 information, emission factors of BC for 8 fuel types, sectoral distribution of provincial

476 production-based BC emissions and the evaluation of model simulation with
477 observations. Supporting data contain simplified Chinese MRIO Table (2007) for 30
478 provinces and 17 sectors. This material is available free of charge via the Internet at
479 <http://pubs.acs.org>.

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485 **AUTHOR INFORMATION**

486 **Corresponding Author**

487 *Phone and fax: +86 (0)10 6275 7852; email: jfliu@pku.edu.cn.

488 **Notes**

489 The authors declare no competing financial interest.

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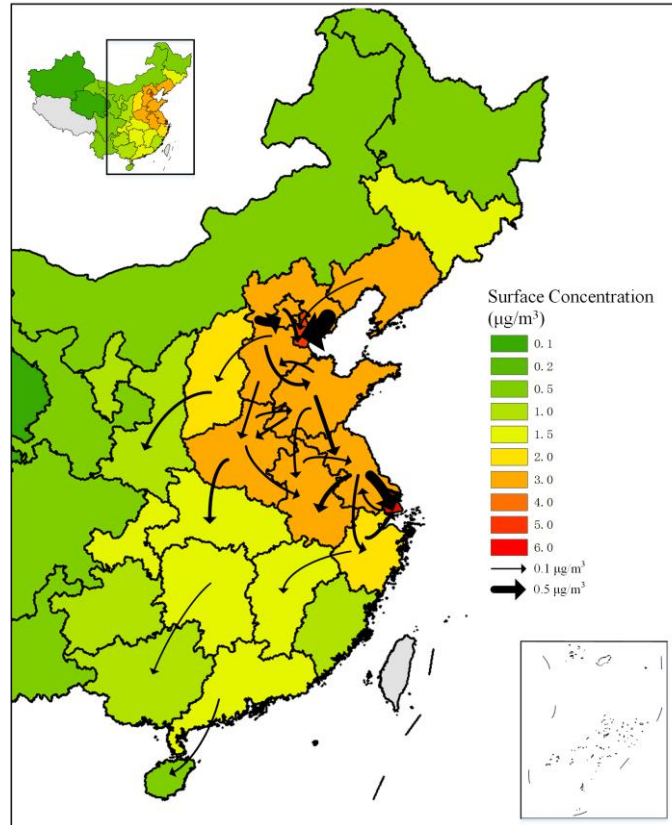
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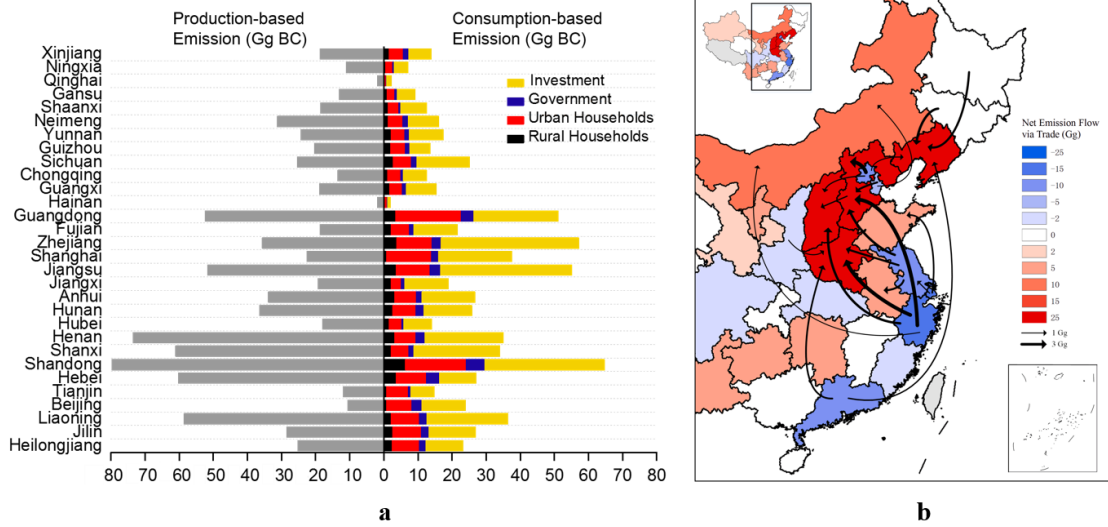
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696

697 **Figure 1.** Largest surface BC concentration contribution via atmospheric transport within 30
 698 provinces. The colors in the map indicate annual mean surface BC concentration. Arrows on the
 699 map reflect a typical contribution above $0.1 \mu\text{g}/\text{m}^3$. The thickness of the arrows indicates the
 700 relative magnitude of the absolute inter-provincial contribution of surface concentration.

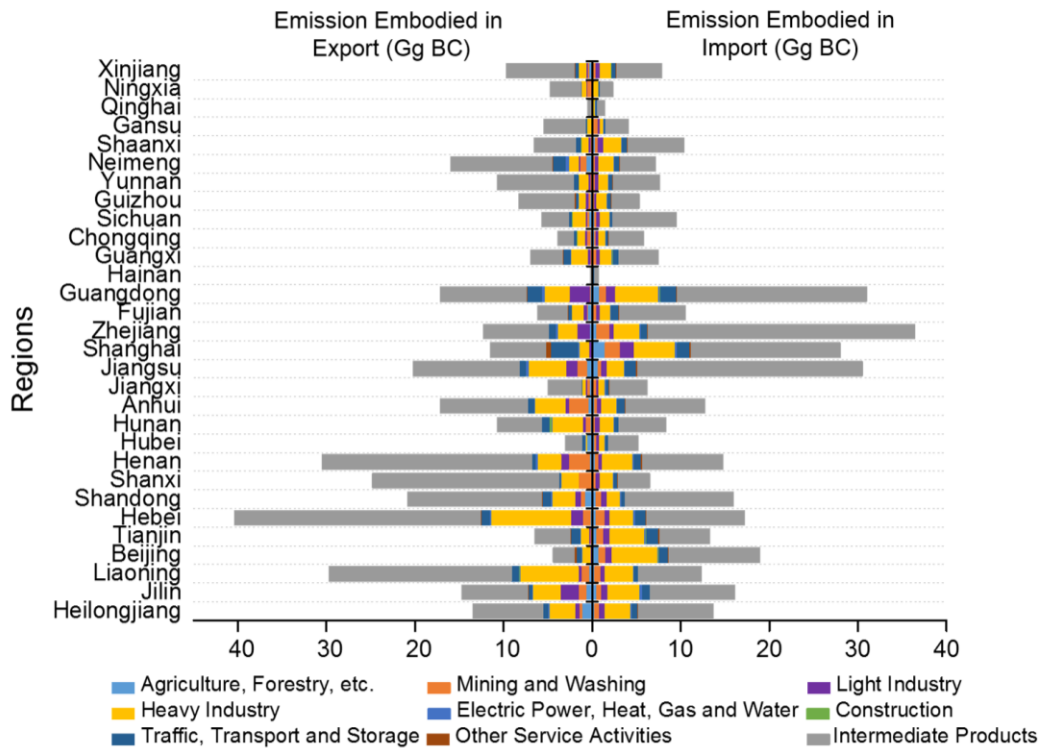
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704 **Figure 2.** (a) A comparison between production-based and consumption-based BC emissions.
 705 Consumption-based BC emissions are categorized into 4 types based on final consumption; (b)
 706 Largest net fluxes in “traded” BC emissions among 30 provinces. Color in the map indicates total
 707 net emission budget (emission imports minus exports) via trade. Red indicates an emission importer,
 708 i.e., more BC is emitted due to the inter-provincial trade. Blue indicates an emission exporter. The
 709 arrows reflect typical cross-border net emission flows above 1 Gg in inter-provincial trade. The
 710 thickness of the arrows indicates the relative magnitude of the net BC emissions transferred between
 711 provinces.

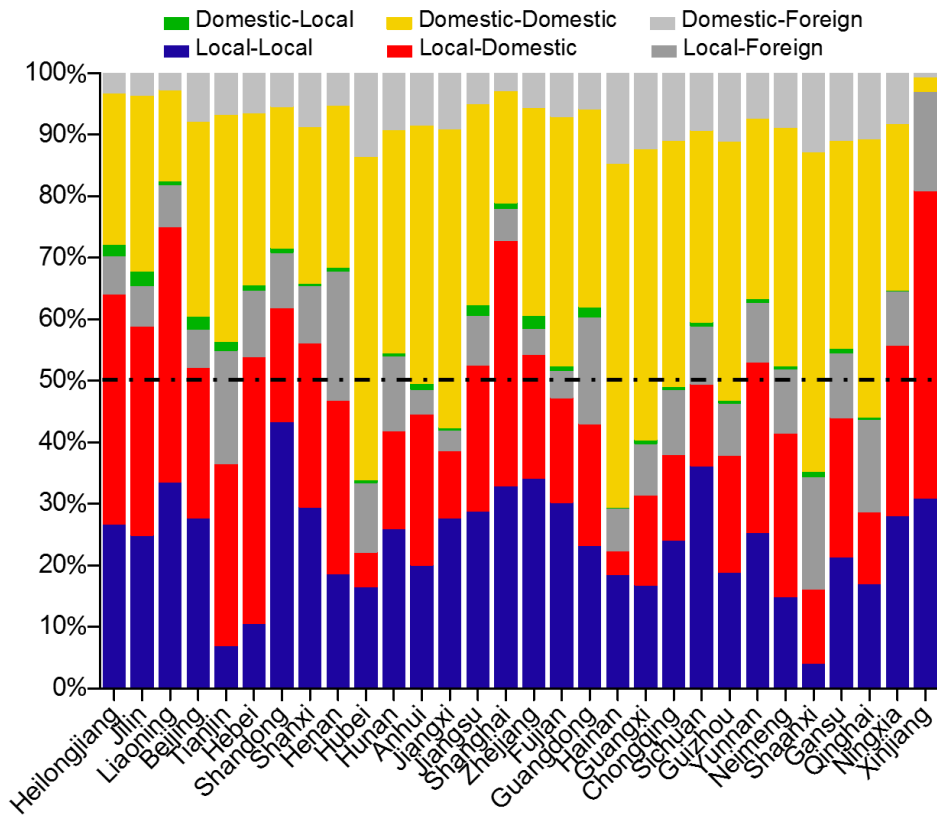
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714 **Figure 3.** Sectoral BC emissions embodied in exported and imported products via inter-provincial
 715 trade for 30 provinces; the 17 sectors in MRIO are sorted into 8 for clearer presentation (listed in
 716 Table S2). Intermediate products (dark gray) are embodied BC emissions used by industry.

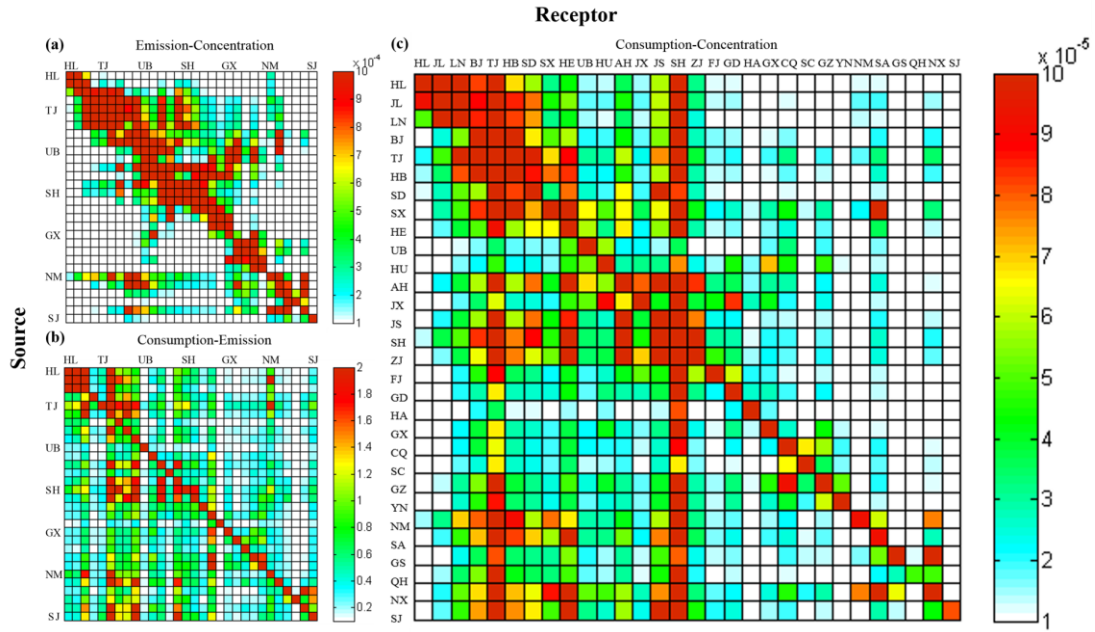
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719 **Figure 4.** The contribution of surface BC concentration in 30 provinces from both production and
 720 consumption perspectives. Blue (Local-Local), red (Local-Domestic), dark grey (Local-Foreign)
 721 columns indicate the percentage of surface concentration in a province that is contributed by its own
 722 on-site emissions but induced by consumptions from its own province, the rest 29 provinces, and
 723 foreign countries, respectively. Comparably, green (Domestic-Local), yellow (Domestic-Domestic),
 724 and light grey (Domestic-Foreign) columns, respectively, indicate the percentage that is contributed
 725 by the emissions released in the other 29 provinces but induced by local, domestic and foreign
 726 consumptions. The dashed line at 50% marks the comparison between surface concentrations
 727 contributed by local and non-local on-site emissions.

728



729

730 **Figure 5.** (a) Source-receptor relationship between provincial emission and BC concentration (area-
 731 weighted at the surface) among 30 provinces (unit: $(\mu\text{g}\cdot\text{m}^{-3})/(\text{Gg}\cdot\text{yr}^{-1})$); (b) Source-receptor
 732 relationship between provincial final consumption and on-site emission (unit: tons per billion Yuan);
 733 (c) Source-receptor relationship between provincial final consumption and surface BC
 734 concentration (unit: $(\mu\text{g}\cdot\text{m}^{-3})/(\text{billion Yuan}\cdot\text{yr}^{-1})$). Province abbreviations (or see Table S1 in the
 735 supporting information) are: HL-Heilongjiang, JL-Jilin, LN-Liaoning, BJ-Beijing, TJ-Tianjin, HB-
 736 Hebei, SD-Shandong, SX-Shanxi, HE-Henan, UB-Hubei, HU-Hunan, AH-Anhui, JX-Jiangxi, JS-
 737 Jiangsu, SH-Shanghai, ZJ-Zhejiang, FJ-Fujian, GD-Guangdong, HA-Hainan, GX-Guangxi, CQ-
 738 Chongqing, SC-Sichuan, GZ-Guizhou, YN-Yunnan, NM-Neimeng, SA-Shaanxi, GS-Gansu, QH-
 739 Qinghai, NX-Ningxia, SJ-Xinjiang.

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