

Manuscript Number: MICINF-D-15-00103R1

Title: Elucidating pathways of *Toxoplasma gondii* invasion in the gastrointestinal tract: Involvement of the tight junction protein occludin

Article Type: Original article

Keywords: *Toxoplasma gondii*; occludin; invasion; intestinal epithelial cells

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Abstract: *Toxoplasma gondii* is an obligate intracellular parasite infecting one third of the world's population. The small intestine is the parasite's primary route of infection, although the pathway of epithelium transmigration remains unclear. Using an in vitro invasion assay and live imaging we showed that *T. gondii* (RH) tachyzoites infect and transmigrate between adjacent intestinal epithelial cells in polarized monolayers without altering barrier integrity, despite eliciting the production of specific inflammatory mediators and chemokines. During invasion, *T. gondii* co-localized with occludin. Reducing the levels of endogenous cellular occludin with specific small interfering RNAs significantly reduced the ability of *T. gondii* to penetrate between and infect epithelial cells. Furthermore, in vitro invasion and binding assays using recombinant occludin fragments established the capacity of the parasite to bind occludin and in particular to the extracellular loops of the protein. These findings provide evidence for occludin playing a role in the invasion of *T. gondii* in small intestinal epithelial cells.

1 Elucidating pathways of *Toxoplasma gondii* invasion in the gastrointestinal tract:

2 Involvement of the tight junction protein occludin

3

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22 Note: Supplementary data associated with this article

23 **Abstract**

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25 population. The small intestine is the parasite's primary route of infection, although the
26 pathway of epithelium transmigration remains unclear. Using an *in vitro* invasion assay and
27 live imaging we showed that *T. gondii* (RH) tachyzoites infect and transmigrate between
28 adjacent intestinal epithelial cells in polarized monolayers without altering barrier integrity,
29 despite eliciting the production of specific inflammatory mediators and chemokines. During
30 invasion, *T. gondii* co-localized with occludin. Reducing the levels of endogenous cellular
31 occludin with specific small interfering RNAs significantly reduced the ability of *T. gondii* to
32 penetrate between and infect epithelial cells. Furthermore, an *in vitro* invasion and binding
33 assays using recombinant occludin fragments established the capacity of the parasite to bind
34 occludin and in particular to the extracellular loops of the protein. These findings provide
35 evidence for occludin playing a role in the invasion of *T. gondii* in small intestinal epithelial
36 cells.

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40

41 1. Introduction

42 The ability of *Toxoplasma gondii* to infect almost any warm blooded animal and virtually any
43 nucleated cell makes it the most prevalent parasitic infection worldwide. It is estimated that up to
44 one third the world's human population is infected, although prevalence varies between countries
45 [1, 2]. In the United States, it is estimated that approximately 22% of the population 12 years and
46 older have been infected with *T. gondii* whereas in certain South American countries, the
47 frequency of seropositive individuals is as high as 75% [3]. With the exception of the
48 immunocompromised and pregnant women, *T. gondii* causes a relatively asymptomatic infection
49 of typical fever-like symptoms. The majority of infections occur following the consumption of
50 contaminated, undercooked meat, unwashed vegetables and contaminated water supplies [4, 5].
51 The gastrointestinal tract is therefore a major route of *T. gondii* infection in most cases [6, 7].
52 Tachyzoites are the life form of *T. gondii* that disseminate out of the gut and migrate through the
53 body and infect the brain and muscles, where they convert to bradyzoites that form dormant, long
54 lived and non-immunogenic cysts [8]. How the parasite transmigrates intestinal epithelial cells is
55 unclear, although there is evidence that the paracellular pathway is important for parasite
56 dissemination [9].

57

58 The small intestinal epithelial barrier consists of a single layer of intestinal epithelial cells (IECs)
59 that separate the luminal contents from the underlying mucosa. These cells express apico-lateral
60 junctional proteins, the most apical of which is the tight junction (TJ). TJs provide a barrier for
61 the regulated passage of ions, uncharged molecules and macromolecules. They consist of a
62 complex of over 100 proteins, the interactions of which determine barrier function. Prominent TJ
63 proteins include the claudin family members that control permeability, junctional adhesion
64 molecules that govern cell polarity and migration, and the MARVEL proteins such as occludin,
65 which regulates permeability to macromolecules, while a variety of other integral membrane
66 proteins, peripheral membrane proteins and signaling proteins such as Zonula occludens-1 (ZO-

67 1) make up the remaining TJ complex [10-12]. TJs are dynamic in nature and often consist of
68 mobile pools within the membrane and cytoplasm that are involved in recycling and turnover of
69 the protein. In the case of occludin this mobility is associated with changes in phosphorylation
70 status [13, 14].

71

72 TJs are targeted by pathogens as a mechanism of host invasion. For example, the enteric
73 pathogens *Vibrio cholera* and *Clostridium perfringens* secrete proteases and enterotoxins,
74 respectively, that degrade occludin and claudins [15]. A paracellular route of entry between cells
75 via intercellular adhesion molecule 1 (ICAM-1) by *T. gondii* has been reported [9] and we have
76 previously shown that *T. gondii* bradyzoites and cysts affect the cellular distribution of occludin
77 in barrier epithelial cells both *in vitro* and *in vivo* [16, 17].

78

79 Using epithelial cells derived from within the crypts of Lieberkühn of the murine small intestinal
80 epithelium, we investigated the pathways by which *T. gondii* invades (defined as infection into
81 cells and transmigration between cells via the paracellular pathway) the intestinal epithelium.

82 2. Materials and Methods

83 2.1 Cells.

84 The rodent small IEC lines m-IC_{c12} and IEC-6 were maintained as previously described [18, 19].
85 To reduce occludin expression, m-IC_{c12} cells were cultured either on 13mm coverslips (for H&E
86 staining), in 6 well plates (for immunoblotting), or on transwell cell culture inserts (for
87 transmigration assays). In each case 0.38µg of occludin-specific siRNA (a mixture of three 19 -
88 25 nucleotides, Santa Cruz) in transfection media (OptiMEM, Invitrogen) was added to the cell
89 cultures for 6 h at 37°C, washed and then incubated for a further 24 h in normal growth media. As
90 a control, m-IC_{c12} cells were incubated with scrambled (non-silencing, scRNA) siRNAs (Santa
91 Cruz). Occludin knockdown was assessed by immunoblotting and immunocytochemistry. Bead
92 arrays (30 Plex Bead Mixture, BD Biosciences) were used to quantify cytokines and chemokines
93 in cell supernatants, according to the manufacturers' instructions and analyzed using a Cytomics
94 FC500 MPL (Beckman Coulter).

95

96 2.2 Parasites.

97 The type 1 RH strain of *T. gondii* tachyzoites stably expressing YFP [20] were maintained by
98 continuous passage in confluent monolayers of Hs27 Human Fetal Foreskin Fibroblasts
99 (European Collection of Cell Cultures) in DMEM supplemented with 2 mmol/L L-Glutamine and
100 10% FBS at 37C in 5% CO₂. Pelleted parasites were collected after 90% HFF lysis by
101 centrifugation at 1000g for 15 min.

102

103 2.3 Transmigration and Infection assays.

104 m-IC_{c12} cells were plated onto the apical compartment of polyethylene terephthalate (PET) cell

105 culture transwell inserts (8 μ m pore size, BD Biosciences) within a 24 well plate. TEER was
106 measured using an Epithelial Tissue Volt Ohmmeter 2 (World Precision Instruments). By day 13,
107 inserts contained confluent, polarized monolayers of cells. Barrier permeability was assessed by
108 periodic TEER measurements and flux of FITC-conjugated dextran (3 - 5kDa; Sigma-Aldrich)
109 across the transwell membrane; 1mg/ml FITC-dextran was added to the apical compartment and
110 media from the basal compartment was analyzed for FITC content using a FLUOstar OPTIMA
111 microplate reader (BMG Labtech). FITC-dextran quantification was determined from a standard
112 curve generated using standards of known concentration. Transmigrating parasites were
113 identified from the basal compartment by centrifugation and analyzing by flow cytometry using a
114 Cytomics FC500 MPL. Data was analyzed post-collection using FlowJo version 7.6 (TreeStar).

115

116 *2.4 Immunocytochemistry.*

117 m-IC_{c12} cells were fixed in either 2% formaldehyde (to visualize the parasites) or acetone (to
118 visualize the TJ proteins), permeabilized with 0.2% Triton X-100 and incubated with blocking
119 buffer (0.2% Triton X-100, 3% BSA, 3% goat serum, 3% fish skin gelatin in PBS) prior to
120 incubation with primary antibodies including occludin, claudin-2 (Invitrogen), ZO-1 (Santa Cruz)
121 and β -catenin (BD Biosciences). Controls consisted of either no primary antibody or isotype
122 matched antibodies of irrelevant specificity. A 1:1 mixture of Rhodamine-peanut and -wheat
123 germ agglutinin (Vector Labs) was used to visualize the apical membrane. For transwell cultures,
124 the PET membrane was extracted from the insert and placed cell side up onto a glass microscope
125 slide with DePeX (BDH) and covered with a glass coverslip. To visualize intracellular parasites,
126 m-IC_{c12} cells grown on 13mm diameter glass coverslips (BDH), fixed (2% formaldehyde),
127 permeabilized and H&E counterstained before mounting and viewing using an upright or
128 inverted LSM510 META on a Zeiss AxioVert 200M microscope. Images were analyzed on LSM
129 software or AxioVision image viewer. Z stacks were composed of 1 μ m interval sections with the

130 40× objective unless stated otherwise. To visualize occludin by Z stack, cells were marked for the
131 apical and basal membrane using surface carbohydrates and β -catenin respectively. This provided
132 a distinction between cell domains where tight junction proteins are expressed. Throughout
133 experiments, polarized cells were of similar depth and therefore their plane of imaging was
134 consistent as possible. In addition, these markers provided a boundary between the membrane
135 and cytoplasm of each cell. Image quantification was carried out using the Integrated Density
136 tool from Image J1.47V.

137

138 *2.5 Electron Microscopy.*

139 IECs were plated onto collagen gel-coated Thermanox coverslips in 35mm dishes (Ibidi) and
140 cultured for 8 days prior to incubation with RH-YFP *T. gondii* tachyzoites for 2 h. Media was
141 removed and cells rinsed in PBS before fixing with 3% glutaraldehyde (Agar Scientific) in 0.1M
142 cacodylate buffer (pH 7.2) for 2 h. Further details of sample preparation can be found in the
143 supplementary information. Samples were visualized using a Zeiss Supra 55 VP FEG SEM,
144 operating at 3kV (Zeiss).

145

146 *2.6 Two-photon-microscope live imaging.*

147 IEC-6 were plated onto 35mm μ -dishes (Ibidi) coated with Matrigel® (Corning) and cultured for
148 four days. Cells were labeled by staining with CellTracker™ Red CMPTX (Invitrogen) prior to
149 apical addition of RH-YFP *T. gondii* tachyzoites immediately before imaging. Images were
150 acquired using a LaVision BioTec TriM Scope II 2-photon microscope (Bielefeld) based on a
151 Nikon Eclipse Ti optical inverted microscope with a Nikon 40x water immersion (Apo LWD λ S
152 NA 1.15) objective (Nikon UK Ltd) and a temperature control system (Life Imaging Services).

153 Multi photon excitation was provided by a Coherent Chameleon Sapphire laser (Coherent Inc.) at
154 1060nm to simultaneously excite CellTracker™ Red and RH-YFP *T. gondii*. Typical image
155 volumes were 100 x 100 x 27µm and Z-stacks were separated by 1µm. Time resolved data were
156 acquired by continuous measuring of Z-stacks for up to 30 min. The frame rate was 51.2 sec with
157 these parameters. Images were analysed with the Fiji/ImageJ package.

158

159 *2.7 Immunoblotting.*

160 m-IC_{e12} cells were lysed in ice-cold lysis buffer (1% Triton X-100, 100 mmol/L NaCl, 25
161 mmol/L Tris-HCl, pH 7.4, 1 mmol/L sodium orthovanadate, 5 mmol/L EDTA, 2 mmol/L EGTA,
162 50 mmol/L phenylmethanesulfonyl fluoride (PMSF), 25 mM sodium fluoride, 10× protease
163 inhibitor cocktail and 15× phosphatase inhibitor cocktail (Sigma-Aldrich)) by repeatedly passing
164 through a 19 gauge needle before centrifuging at 16,100g for 10 min at 4°C. Protein
165 quantification was determined using the DC Protein Assay Kit (BioRad Labs). To provide
166 additional verification of equal loading across lanes, densitometry analysis was performed on
167 coomassie-stained gels by scanning and imaging gels using Quantity One software (version
168 4.6.1). For immunoblotting, samples were transferred onto Hybond C+ nitrocellulose membranes
169 (Amersham Biosciences), blocked in 5% BSA in TTBS (150 mM NaCl, 20 mM Tris Base, 0.1%
170 Tween-20, pH 7.4) and incubated in 1% BSA in TTBS buffer with primary antibodies for 24 h at
171 4°C and secondary HRP conjugates (Santa Cruz) for 1 h at 25°C. Membranes were imaged using
172 the enhanced SuperSignal West Pico Chemiluminescent substrate (Pierce Chemical Company)
173 and visualized with a Fluor-S-Multi Imager (Bio-Rad) and Quantity One software (version 4.5.2).

174

175 *2.8 Recombinant occludin peptides.*

176 DNA regions coding for extracellular loop (ECL) 1 (residues 85 to 138) (184bp) ECL2 (residues

177 191 to 241) (167bp), ECL1+ECL2 (residues 85 to 241) (485bp) and C-terminus (residues 261 to
 178 521) (800bp) murine occludin fragments were PCR amplified from pBABE-FLAG+Occ plasmid
 179 DNA (Britta Engelhardt, University of Bern, Switzerland) [21] using the following primer pairs:
 180 ECL1-F, ATGCCATATGACACTTGCTTGGGACAG-3' and ECL1-R, 5'-
 181 AGCAGCCGGATCCTAGCCTTTGGCTGCTCTTGGGT-3' (full length ECL1); ECL2-F, 5'-
 182 ATGCCATATGATAATGGGAGTGAACCC-3' and ECL2-R, 5'-
 183 ATGGATCCTACTGGGGATCAACCACAC-3' (full length ECL2); ECL1-F, 5'-
 184 ATGCCATATGACACTTGCTTGGGACAG-3' and ECL2-R, 5'-
 185 ATGGATCCTACTGGGGATCAACCACAC-3' (full length ECL1+ECL2); and C'-F, 5'-
 186 ATGCCATATGGCTGTGAAAACCCGAAG-3' and C'-R, 5'-
 187 ATGGATCCTAAGGTTTCCGTCTG-3' (full length C-terminus). PCR products were cloned
 188 into the *NdeI* and *BamHI* sites of the expression vector pET15b (Novagen) and sequence-verified
 189 prior to transforming *E. coli* Rosetta2 (DE3) pLysS. *E. coli* expressing His-tagged-protein
 190 products were purified using the Ni-NTA purification system (Qiagen) under denaturing
 191 conditions according to the manufacturer's instructions. Eluted proteins were immediately re-
 192 nated through the removal of urea by sequential dialysis. The purity of the recombinant
 193 occludin peptides was determined by SDS-PAGE.

194

195 *3.0 Occludin-parasite binding assays.*

196 IEC-6 cells were plated onto 13mm diameter glass coverslips (BDH) and cultured for 4 days
 197 prior to apical addition of either RH-YFP *T. gondii* tachyzoites (control) or RH-YFP *T. gondii*
 198 tachyzoites pre-incubated with 2µM recombinant occludin peptides for 15 minutes, for 2 h.
 199 To visualize intracellular parasites, IEC-6 cells were permeabilized and H&E counterstained
 200 before mounting and imaging of parasitophorous vacuoles using an inverted Zeiss AxioVert
 201 200M microscope. Images were analyzed on AxioVision image viewer with 6-12 fields of view

202 recorded for each slide.

203

204 For peptide-parasite binding assays His-tagged occludin peptides or a His-tagged mCherry
205 protein (20 μ M in 6 M urea in buffer I (PBS with 1 mM CaCl and 0.05% Tween-20)) were
206 immobilised onto Schott Nexterion H slides (Jena, Germany) of a 16-well superstructure in a
207 humidified chamber for 2 h at 20°C. Wells were washed in decreasing concentrations of urea (4 –
208 0 M) in buffer I then blocking solution for 1 h (25 mM ethanolamine in 100 mM sodium borate
209 buffer). The wells were then washed in buffer I and incubated with YFP *T. gondii* tachyzoites
210 (10^6 per well) for 2 h at 20°C. Slides were fixed with 2% formaldehyde prior to mounting and
211 bound parasites were visualized by UV microscopy (Zeiss AxioVert 200M microscope and
212 AxioVision image viewer). Parasites were counted using fluorescent pixel counts at 63x
213 magnification (Adobe Photoshop CS6) with 6-12 fields of view recorded for each well.

214

215 3.1 Statistical Analysis.

216 All data was assessed for normal distribution using the Kolmogorov-Smirnoff test and for
217 homogeneity of variance by the Bartlett's test. For parametric data, an independent *t* test, or a
218 one-way ANOVA was carried out. For non-parametric data the Mann-Whitney U test and the
219 Kruskal-Wallis test was used. Post-Hoc analyzes were carried out with Tukey's Multiple
220 Comparison Test or Dunn's and Dunnett's Multiple Comparison tests. Data was analyzed using
221 Prism GraphPad software. P values of less than 0.05 were considered significant. *P<0.05,
222 **P<0.01, ***P<0.001, ****P<0.0001. Any data points that were two or more standard deviations
223 away from the mean were considered outliers and disregarded from analyzes. Error bars represent
224 (\pm SEM) unless stated otherwise.

225 3. Results

226 3.1 Experimental approach.

227 We used a cell culture model of the mammalian intestinal epithelium to investigate how *T. gondii*
228 interacts with and can breach the intestinal barrier. Virulent type 1 strain RH, *T. gondii*
229 tachyzoites-YFP [20] were used in conjunction with the small intestine-derived epithelial cell
230 lines m-IC_{cl2} [18] and IEC-6 [19] to assess barrier function, visualize and characterize parasite
231 interactions with TJ complexes and to quantify parasite transmigration. Natural infection of *T.*
232 *gondii* normally occurs via sporozoites or bradyzoites that invade the intestine and differentiate
233 into tachyzoites. However, tachyzoites also contribute to the pathogenesis of acute toxoplasmosis
234 [22, 23] and are infective via the oral route [24, 25, 16]. m-IC_{cl2} cells resemble those found along
235 the of the small intestine, possessing hallmark features of cells of the lower crypt-villous axis
236 with cytoplasmic accumulation of sucrose isomaltase, expression of the polymeric Ig receptor
237 and cystic fibrosis transmembrane conductance regulator Cl⁻ channel, and the ability to produce
238 Paneth cells [18]. IEC-6 cells possess characteristics of normal crypt epithelial cells and
239 differentiate in culture, developing cell surface alkaline-phosphatase (ALP) enzyme activity [19,
240 26].

241

242 3.2 *T. gondii* parasites cluster around cellular junctions.

243 *T. gondii* tachyzoites dispersed over the apical surface of a confluent polarized monolayer of m-
244 IC_{cl2}, frequently settled around epithelial cellular junctions as seen by both immunofluorescence
245 (Fig.1A, C and D) and electron microscopy (Fig.1B and E). The apical surface of cells is covered
246 by microvilli and cell edges appear raised on SEM, which is highlighted in Fig.1B. Using TEM,
247 parasites were observed below the apical tight junction complex (TJ, Fig.1E) and between cells
248 (large structures above and below the parasite). This distribution of parasites suggests the
249 paracellular pathway may be a route of infection and/or transmigration, as proposed previously

250 [9]. Parasites were also seen in association with the cell apical membrane, indicating multiple
251 points of cell contact and possible docking receptors.

252

253 Using m-IC_{c12} grown on transwell inserts the number of YFP-expressing parasites transmigrating
254 from the apical to basal compartment increased over time and up to 2 h after incubation (Fig.1F).
255 Intracellular parasites were contained within a parasitophorous vacuole appearing as a white halo
256 surrounding the parasite (Fig.1G). Parasite egression from infected cells was not considered an
257 important factor within this time frame [27].

258

259 To establish whether IECs responded to *T. gondii* in this model system, cytokine and chemokine
260 secretion was analyzed. Among those tested, significant increases in both keratinocyte
261 chemoattractant (KC, the murine homolog of IL-8), and monocyte chemoattractant protein-1
262 (MCP-1) were detected in epithelial cell-conditioned media in the presence of *T. gondii* (Fig.1H).
263 No changes in interferon- γ , interleukin (IL)-6, IL-10, IL-12, macrophage inflammatory protein
264 (MIP)-1 α , MIP-1 β or tumor necrosis factor- α were detected (data not shown).

265

266 Collectively these observations reveal the ability of *T. gondii* to invade cultured IECs via
267 infection and transmigration, with a preference for cellular boundaries as a site of epithelial cell
268 interaction and adherence. In addition, the epithelial cells responded to the parasites via the
269 production of specific inflammatory mediators.

270

271 *3.3 T. gondii target cellular junctions and transmigrate through the epithelium via the*
272 *paracellular pathway.*

273 The route of parasite infection and transmigration was further investigated using 2-photon
274 microscope-based live imaging. The still images taken from the video (Video S1) and shown in

275 Fig.1I-K illustrate the migration of YFP-*T.gondii* parasites across (I-K) and then through (I¹-K¹)
276 the epithelial cell monolayer. Labeling of the monolayer with CellTracker™ Red emphasized the
277 epithelial cell junctions (X plane; I-K) and paracellular space (Z plane; I¹-K¹), visible as non-
278 stained regions between adjacent epithelial cells. The video highlights the rapid re-orientation and
279 entry of the parasite into the paracellular space (Fig. 1J and J¹ and Video S1) in a process taking
280 less than 52 sec. The parasite then appears to transmigrate through the monolayer, leaving the
281 paracellular space empty (Fig. 1K and K¹ and Video S1). Paracellular egression of a parasite
282 through the basal monolayer was also observed within minutes post-infection (data not shown).

283

284 3.4 *T. gondii* induces changes in the distribution of the tight junction protein occludin

285 Staining m-IC_{c12} cell monolayers with anti-occludin antibodies prior to and after exposure to *T.*
286 *gondii* revealed that occludin localization changed over time in the presence of *T. gondii* (Fig.2).
287 Over the time course, there was a decrease in occludin associated with the TJ complexes with
288 staining concentrated intracellularly (Fig. 2A-E and A'-E'). This was verified by image
289 quantification (Fig.2F and G). In detail, after 30 min, occludin appeared more concentrated at
290 junctions compared with non-infected m-IC_{c12} cells (Fig.2B). After 2 h, the changes in occludin
291 redistribution were more apparent, becoming apically enhanced within the cytoplasm (Fig. 2C').
292 Following 6 h of infection, the presence of occludin at the tight junction complex was fractured
293 compared to the control, and was found increasingly in the cytoplasm (Fig.2D and D'). After 24 h
294 this phenomenon was even more pronounced (Fig.2.E and E'). We have also observed a similar
295 pattern of occludin redistribution in m-IC_{c12} cells in response to *T. gondii* (RH tachyzoite-derived)
296 bradyzoites [17].

297

298 In summary, the immunofluorescence images demonstrate the ability of *T. gondii* to affect
299 changes in the distribution and partitioning of occludin between the cytoplasm, cell membrane

300 and TJ specific domains of m-IC_{c12} epithelial cells.

301

302 *3.5 T. gondii transmigrates between epithelial cells without affecting other junction-associated*
303 *proteins or barrier function.*

304 To determine if other junctional proteins were also affected by *T. gondii*, m-IC_{c12} cells were
305 analyzed for the expression of claudin-2, ZO-1 and β -catenin. Claudin 2 is a transmembrane
306 protein of the tight junction complex primarily involved in the regulation of permeability. ZO-1 is
307 a scaffold protein that connects with occludin, and β -catenin is an adherens junction protein that
308 was chosen to compare whether multiple paracellular junctions were affected by *T. gondii* in our
309 system. In comparison to the parasite-induced redistribution of occludin, the distribution of other
310 junctional proteins was not obviously altered upon exposure to *T. gondii* after 2 h (Fig.3A).
311 Staining at the junctions was still apparent and unaffected by the presence of the parasite. After 6
312 h exposure, tight junction protein expression appeared more punctate although adherens junctions
313 were unchanged. However, co-localization of these other proteins with *T. gondii* was not readily
314 observed. Therefore these differences in expression may be attributed to indirect effects
315 following changes in occludin distribution because, for example, ZO-1 interacts with occludin
316 [28].

317

318 To determine if transmigrating parasites affected epithelial barrier integrity, transepithelial
319 electrical resistance (TEER) and permeability were measured. After 2 h of exposure to parasites
320 there were no significant differences in TEER (Fig.3B) or permeability to 3 – 5kDa FITC-dextran
321 between non-infected (media) and infected m-IC_{c12} monolayers (Fig.3C). Similar findings of
322 unaltered TEER and permeability were also seen at earlier (0.5 h) and later (6 h) intervals of
323 parasite exposure (data not shown). These findings show that *T. gondii* tachyzoites do not
324 adversely affect the integrity of the intestinal epithelial barrier, in agreement with previous

325 studies using kidney- and trophoblast-derived cell lines [9].

326

327 Immunofluorescence analysis of parasite-epithelial cell co-cultures also showed that tachyzoites
328 co-localized with occludin which appeared to concentrate at the points of parasite entry into, or
329 between cells (Fig.4A-E). Antibody complexes did not bind to the parasite alone (Fig.4F). After
330 infection, occludin was localized at or in close proximity to parasites inside infected cells
331 (Fig.4.C-E and 4G-I).

332

333 *3.6 T. gondii infection and transmigration through epithelial cells is reduced in cells expressing*
334 *lower levels of occludin.*

335 To determine if occludin was required for *T. gondii* infection and/or transmigration, m-IC_{c12} cells
336 were treated for 48 h with occludin-specific small interfering RNA (siRNA) prior to incubating
337 with parasites. Occludin knockdown was confirmed by immunoblotting with levels of reduction
338 equating to ~35%, which persisted for up to 6 days post treatment (Fig.5A and data not shown).
339 Treatment with occludin-specific siRNA had no effect on barrier function as determined via
340 TEER measurements and permeability to 3 - 5kDa dextran (Fig.5B-C). Immunofluorescent
341 staining of siRNA-treated cells confirmed reduced levels of occludin in cells treated with
342 occludin-specific siRNA (Fig.5H) and showed that occludin-specific siRNA had no discernable
343 off-target effects as evidenced by expression of other TJ proteins including claudin-2, ZO-1 and
344 β -catenin that was unaffected by the siRNA treatment (Fig. 5I-K).

345

346 To determine whether or not expression levels of occludin were important for the attachment,
347 invasion and transmigration of *T. gondii*, m-IC_{c12} cells treated with siRNAs against occludin were
348 incubated with parasites. As the parasitophorous vacuole in infected cells is impermeable to H&E
349 it is possible to quantify the numbers of extracellular (adhered, Fig.5D) and intracellular parasites

350 (Fig.5E) using H&E stained preparations of IECs. In cells with reduced levels of occludin there
351 was a modest but significant decrease in the number of adherent parasites (Fig.5D), which
352 correlated with a significant decrease in the proportion of cells infected by *T. gondii* compared to
353 cells treated with non-silencing siRNAs (Fig.5E). In addition, significantly fewer transmigrating
354 parasites were detected in occludin siRNA-treated cells compared to non-silencing siRNA-treated
355 cells (Fig.5F) despite the number of apical parasites present in each sample being equivalent
356 (Fig.5G).

357

358 Following exposure to *T. gondii*, residual occludin in occludin siRNA-treated cells was
359 redistributed in a similar way to that seen in non-treated or non-silencing siRNA-treated cells
360 (Fig.5H), suggesting that *T. gondii* was still able to interact with the residual occludin. By
361 contrast, there were no changes in the distribution of other junctional proteins following infection
362 of occludin-reduced cells (Fig.5I-K).

363

364

365 *3.7 T. gondii binds the extracellular loops of occludin.*

366 To determine *T. gondii* tachyzoite interactions with occludin, an *in vitro* infection assay was
367 developed to assess changes in cellular attachment. As the extracellular loops (ECLs) of occludin
368 bind to each other on adjacent cells [29, 30] we speculated that this part of the molecule is most
369 likely to be accessible to interact with *T. gondii* in the paracellular space. Prior to infection of
370 IEC-6, *T. gondii* tachyzoites were pre-incubated with occludin peptides (ECL2, amino acid
371 residues 191 to 241; ECL1+ECL2, residues 85 to 241 and, as a control, C-terminus residues 261
372 to 521, (Fig.6A-B). Extracellular, attached parasites were identified by the absence of a
373 intracellular parasitophorous vacuoles. Pre-incubation of *T. gondii* pre-incubation with the
374 ECL1+ECL2 and to a lesser extent the C-terminus peptide, significantly reduced attachment to

375 the epithelial cells (Fig. 7A), suggesting *T. gondii* tachyzoites physically interact with the
376 ECL1+2 and C-terminus peptides, which blocks parasite attachment to IEC-6.

377

378 To determine if occludin and *T. gondii* tachyzoites can physically interact, a solid phase *in vitro*
379 binding assay was developed. YFP-parasites were incubated in individual wells of a modified
380 microscope chamber slide to which occludin peptides (ECL1, amino acid residues 85 to 138;
381 ECL2, amino acid residues 191 to 241; ECL1+ECL2, residues 85 to 241 and, as a control, C-
382 terminus residues 261 to 521, (Fig.6A-B) were immobilized. The images in Fig.7B show the
383 aggregation and clustering of large numbers of parasites in wells containing the ECL1+ECL2
384 occludin peptide. This contrasted with the low density of parasites randomly scattered across
385 wells containing the C-terminus peptide, or in control wells containing an irrelevant protein
386 (mCherry) or, peptide-binding media alone. Image quantification of bound parasites showed that
387 the highest levels of bound parasites were in wells coated with the ECL1+ECL2 and ECL1
388 peptides, suggesting that *T. gondii* tachyzoites can bind the extracellular loops of occludin and in
389 particular, to ECL1 (Fig.7C).

390

391

392 **4. Discussion**

393 Here, we provide evidence of the ability of *T. gondii* tachyzoites to access the paracellular
394 pathway as a means of invading and transmigrating polarized intestinal epithelial cell monolayers.
395 We have also presented evidence indicating a physical interaction can occur, at least *in vitro*,
396 between *T. gondii* and intestinal epithelial TJ complexes via occludin. Ingested parasites
397 (sporozoites in oocysts and bradyzoites in tissue cysts) invade the intestine and differentiate into
398 tachyzoites, followed by the spread of the organisms hematogenously and via lymphatics [8]. Our
399 studies on the mechanism of epithelial cell transmigration by *T. gondii* tachyzoites are, we believe,

400 relevant to the role this stage plays in host infection and dissemination across boundary epithelial
401 cells. Occludin may therefore be a modulator of parasite transmigration via the paracellular
402 pathway.

403

404 Many enteric pathogens have evolved mechanisms for targeting TJ-associated proteins for
405 invasion. Alterations in the distribution or integrity of occludin are associated with infection of
406 IECs by pathogens that cause gastroenteritis including *Salmonella* typhimurium [31] and
407 enteropathogenic *E. coli* [32]. Whether or not other infectious life stages of *T. gondii* and the slow
408 cyst-forming bradyzoite stage that is mostly associated with natural infections [33], also target the
409 paracellular pathway, remains to be determined. Of relevance, we have shown that bradyzoites
410 derived from the YFP-expressing RH tachyzoites used in this study also induce alterations in
411 occludin distribution in m-IC_{cl2} epithelial cells [17]. However, in contrast to tachyzoite invasion,
412 bradyzoites caused an increase in epithelial permeability. As bradyzoites contain different surface
413 antigens to tachyzoites it is probable that there are multiple antigens and proteins the parasites use
414 to infect different cells [34].

415

416 The redistribution of occludin in IECs exposed to *T. gondii* was seen across the epithelial cell
417 monolayer despite only a proportion of infected cells. This dichotomy could result from direct and
418 transient contact with parasites [35]. Alternatively, infected cells secrete cytokines and
419 chemokines in response to pathogen exposure that may act upon neighboring cells and TJ
420 complexes in a paracrine fashion [36-38].

421

422 The reduction of cellular occludin following siRNA treatment decreased transmigration by ~65%,
423 but only decreased invasion by ~20%. Occludin may therefore be of more importance for the
424 transmigration of *T. gondii* rather than invasion of IECs. Alternatively, changes in paracellular
425 macromolecular flux, which is in part regulated by occludin, could also affect transmigration rates

426 [12, 29]. Without inhibitory occludin antibodies recognizing the extracellular domains, it was not
427 possible to perform competition or neutralizing assays as a complimentary approach to quantify
428 parasite transmigration between or infection into IECs. The decrease in attachment and infection
429 following the partial reduction of occludin expression indicates that occludin may also be required
430 for *T. gondii* to enter epithelial cells.

431

432 The identity of parasite-derived occludin binding partners was not established here. Preliminary
433 data from immunoprecipitation and mass spectrometry analyses reveals parasite microneme and
434 dense granule proteins to be associated with occludin (data not shown). Given that *T. gondii* is
435 capable of invading most cell types, it is perhaps surprising that only a few cell surface receptors
436 and *T. gondii* ligands have so far been identified. Amongst these, *T. gondii* can attach via GPI-
437 anchored membrane proteins (e.g. SAG1) to host glucosamine receptors [39], and to galectin-like
438 molecules on the cell surface [40], which assist in the formation of the microneme MIC1-MIC6
439 protein complex that is secreted during infection [41]. MIC2 binds to ICAM-1 on the surface of
440 IECs and this interaction is considered important for parasite transmigration [9]. Sulfated
441 glycosaminoglycans (GAGs), heparin sulfated proteoglycans and sialic acid residues on host cells
442 have also been shown to mediate binding and invasion of *T. gondii* [42-44]. These molecules
443 represent possible adherence receptors on IECs that the parasite can manipulate before migrating
444 to the lateral junctions.

445

446 After 24 h of infection, IECs contained multiple parasites that remain co-localized with occludin.
447 Peptides of ECL1 and ECL2 can increase the rate of occludin turnover and as *T. gondii* binds the
448 extracellular loops of occludin, it is possible that endocytosis of occludin may occur following
449 interactions with the parasite [45, 46]. This could explain why after 24 h of infection the
450 concentration of cellular occludin was increased compared to non-infected cells. Increased rates of
451 recycling are also thought to be a common mechanism in pathogen invasion [47]. Alternatively,

452 there may be increased synthesis of occludin, which was not addressed in this study. The results of
453 our occludin binding assay suggest that *T. gondii* may associate with ECL1. This loop contains a
454 high percentage of tyrosine and glycine residues that are thought to provide flexibility to the
455 molecule, which also possesses self-associating properties [30].

456

457 In summary, we have provided evidence of *Toxoplasma gondii* tachyzoites targeting the
458 paracellular pathway as a means of transmigrating epithelial cell monolayers in a process that
459 appears to involve interactions with occludin. These findings have implications for understanding
460 how *T. gondii* invades its host and further highlights the susceptibility of the intestinal epithelial
461 barrier to pathogens that target the most apical junctional complexes.

462 **Acknowledgements**

463 The work was supported by an Institute Strategic Programme Grant IFR/08/1 and PhD
464 studentships from the BBSRC (CMW; BB/D526488/1) and UEA (EJJ). The authors are grateful
465 to Dr. Kathryn Cross and Dr. Mary Parker for assistance with EM at the Analytical Sciences Unit,
466 Dr. Duncan Gaskin for assistance in the development the occludin-parasite binding assay, at the
467 Institute of Food Research, UK, and Dr. Britta Engelhardt, University of Bern, Switzerland for
468 providing the pBABE-FLAG+Occ plasmid.

469

470

471 **Conflict of Interest**

472 The authors declare no conflicts of interest.

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591

592

593 **Figure Legends**

594 **Figure 1: *T. gondii* localizes to epithelial cellular junctions before paracellular**
595 **transmigration and/or infection.** (A) Polarized m-IC_{c12} cultured on cell inserts were exposed to
596 YFP-*T. gondii* for 2 h and stained for β -catenin (red). Arrows represent cells with parasites
597 clustered around the lateral cell edge. Images are representative of those obtained from more
598 than ten experiments with replicates. Scale bar = 10 μ m. Further evidence for lateral localization
599 of parasites was provided by scanning electron microscopy; visualized parasites clustered around
600 cell edges as highlighted in blue (B). Scale bar = 2 μ m. Parasites were seen penetrating the
601 epithelial cells via the paracellular pathway (white arrow) as indicated by staining with occludin
602 (red, C), β -catenin and surface carbohydrates (red and blue respectively, D), and, by transmission
603 electron microscopy (E). TJ, tight junction; Tg, *T. gondii*; A, apical surface. Scale bar = 20 μ m
604 for (C and D) and 500 nm for (E). Experiments were carried out once with biological replicates
605 for SEM and TEM. (F) Parasite transmigration across polarized monolayers was quantified by
606 sampling the basal compartment for YFP-parasites after their addition to the apical compartment,
607 using flow cytometry. (G) Intracellular parasites are contained within a parasitophorous vacuole
608 appearing as a white halo surrounding the parasite (arrow) following H&E staining. Scale bar =
609 20 μ m. (H) Supernatant from IECs, cultured in six well dishes and exposed to 1.5×10^6 parasites
610 for 24 h, were assayed for the presence of cytokines using a bead array. Data represents three
611 independent experiments with biological replicates. *** P < 0.0001. (I-K) 2-Photon-microscope
612 live imaging of IEC-6 monolayers (red) exposed to *T.gondii* (green) (See Video S1). Sequential
613 frames from Video S1 show a transmigrating parasite targeting the epithelial cellular junction
614 (white arrows). Following initial localization to the cellular junction (I), the parasite re-orientates
615 (J) and enters the paracellular junction (K). A static intracellular parasite is clearly visible (White
616 arrowheads). Corresponding YZ images show the parasite (marked *) localizes above the
617 epithelial cellular junction (I'), re-orientates and moves between cells in the paracellular junction
618 (J') and transmigrates through the epithelium (K'). The paracellular junction region is visible as a

619 non-stained space between cells (red). Images are representative of those obtained from two
620 experiments with replicates. Scale bar = 5 μ m.

621

622 **Figure 2: *T. gondii* alters the distribution of occludin.** (A-E) m-IC_{c12} cells grown on inserts
623 were exposed to either media alone (A) or with parasites for 0.5 h (B), 2 h (C), 6 h (D) or 24 h
624 (E), prior to staining with anti-occludin antibodies (red). A'-E' represents XZ images of
625 corresponding XY optical slide images. Scale bar = 20 μ m. Images are representative of those
626 collected from over ten experiments with biological replicates. (F) Image quantification was used
627 to assess occludin distribution across membrane and cytoplasmic cellular compartments as well
628 as total cellular levels of occludin (G) prior and post exposure to parasites. The graphs represent
629 image quantification of between 30 and 90 cells across 3 to 10 independent experiments using
630 Image J. ** = $P < 0.002$ and $P < 0.0001$ comparing with no exposure to parasites.

631

632 **Figure 3: *T. gondii* does not globally affect junctional proteins or epithelial barrier function.**
633 (A) m-IC_{c12} cells were stained for claudin-2, ZO-1 or β -catenin, pre- and post-infection (2 h or 6
634 h) with live parasites. Scale bar = 20 μ m. Results are representative of 3 or more independent
635 experiments with replicates. (B) Changes in barrier function were assessed by measuring TEER
636 in response to parasites after 2 h exposure. The data shown represents results from seven separate
637 determinations with biological replicates. $P = 0.2$. (C) Epithelial permeability was assessed by
638 measuring transmigration of FITC-dextran across epithelial cells cultured in transwells prior and
639 after 2 h exposure to parasites. The data shown represents results from three separate
640 determinations with biological replicates. $P = 0.4$.

641

642 **Figure 4: *T. gondii* co-localizes with occludin during infection and transmigration.** (A) m-
643 IC_{c12} cells were exposed to *T. gondii* (green) for 2 h and stained for occludin (red) with co-
644 localization (arrows) appearing yellow. Magnified images of individual cells show a

645 transmigrating parasite (B) and an internalized parasite (C). (D) and (E) highlight occludin-
646 parasite co-localization in the XZ plane. Anti-occludin antibodies do not stain the parasites in
647 isolation (F). By 24 h post-infection occludin is redistributed intracellularly (G) with multiple
648 parasites residing within infected cells (H). (I) shows the merged (G) and (H) images. Scale bar =
649 20 μm . Images are representative of those from three to ten independent experiments with
650 biological replicates.

651

652 **Figure 5: Reduction of occludin expression impacts on parasite infection and**
653 **transmigration.** m-IC_{c12} were cultured on plastic to 80% confluency before adding occludin
654 small interfering (siRNA) or non-silencing siRNA (scRNA). (A) Reduction of occludin was
655 determined by immunoblotting 48 h post-transfection. Immunoblots were analyzed by
656 densitometry with the values graphically shown, representing the levels of occludin in siRNA
657 cell lysates relative to non-silenced siRNA-treated cells. Data is a representative from one of
658 three independent experiments. Barrier function of siRNA-treated cells was assessed by
659 measuring TEER (B, $P = 0.5673$) and permeability to FITC-dextran at 2 h post-parasite infection
660 (C, $P = 0.83$). A value of 100% represents no change in TEER. The data shown represents results
661 from three or more independent experiments with replicates. (D and E) m-IC_{c12} cells on
662 coverslips were H&E stained to visualize and count parasites. Parasites that did not appear to
663 have a white halo, indicative of intracellular parasitophorous vacuoles containing parasites, were
664 assumed to be attached but not intracellular (D). Data represents results from four independent
665 experiments with biological replicates. * $P = 0.0129$. (E) Infectivity of siRNA-treated cells was
666 determined by counting the number of H&E-stained cells infected with parasites. Between 48
667 and 73 fields of view were recorded for each treatment with the data shown representing the
668 percentage of cells infected compared to non-treated cells from four independent experiments
669 with replicates. * $P = 0.0191$. (F) The ability of parasites to transmigrate occludin-reduced cells
670 was determined in transwell cultures using flow cytometry to visualize and quantify parasites

671 appearing in the basal compartment 2 h post-infection. The data shown represents results from
672 three independent experiments with biological replicates. * $P = 0.0157$. (G) To establish that
673 there were no discrepancies in the initial number of parasites incubated with the cells, parasites
674 were collected and counted from the apical chamber of cells grown on transwell inserts. Data
675 represents results from three independent experiments with biological replicates. $P = 0.9705$. (H-
676 K) Cells grown on inserts for 11 days were treated with either occludin-specific siRNA or non-
677 specific siRNA. (H) Cells were visualized for the presence of occludin 48 h post-transfection.
678 Cells were also visualized for changes in occludin distribution following exposure to *T. gondii*
679 for 2 h. Images are representative of 4 independent experiments. (I-K) Other junctional proteins
680 were not affected by the reduction of occludin. Images represent data from three or more
681 independent experiments. Scale bar = 20 μm .

682

683 **Figure 6: Recombinant murine occludin peptides.** (A) Occludin peptides corresponding to
684 amino acids 191-241 (full length ECL2), 85-241 (full length ECL1-ECL2) and 261-521 (full
685 length C-terminus) were generated as described in the Materials and Methods section. Amino
686 acid number and distribution across the N terminus, transmembrane domains (TM), extracellular
687 loops (ECL), intracellular loop (IL) and C-terminus were adapted from
688 www.zonapose.net/occludin. (B) Peptide purity was assessed by immunoblotting using
689 commercial anti-occludin antibodies.

690

691 **Figure 7: *T. gondii* binds the extracellular loops of occludin.** (A) The apical surface of IEC-6
692 was exposed for 2 h with either *T. gondii* (control) or *T. gondii* pre-incubated with 2 μM
693 recombinant occludin peptides and were subsequently stained with H&E to visualize and count
694 parasites. Parasites that did not have a white halo, indicative of intracellular parasitophorous
695 vacuoles containing parasites, were assumed to be attached but not intracellular. Between 6 and

696 12 fields of view were recorded for each treatment with the data shown representing the
697 normalized change in parasite attachment when parasites were pre-incubated with recombinant
698 occludin peptides compared to non-treated parasites (control). Data shown is from three
699 independent experiments with replicates. *** = P<0.001 **** = P<0.0001. (B and C) In a solid-
700 phase parasite-occludin binding assay YFP-parasites were incubated with HIS-tagged
701 ECL1+ECL2, ECL1, ECL2, or C-terminus fragments of murine occludin immobilized to
702 individual wells of a chamber slide with bound parasites visualized by UV microscopy. Wells
703 containing a HIS-tagged mCherry recombinant protein and/or binding buffer alone (Control)
704 were used as controls. (B) Binding of parasites to occludin peptides was quantified by
705 fluorescent pixel counts using 6-12 fields of view per well (* = P<0.05 ** = P<0.01). Data
706 represents three independent experiments with replicates. (C) The fluorescent images shown are
707 representative of those obtained from three experiments with replicates. Scale bar = 20 μ m.

Figure 1
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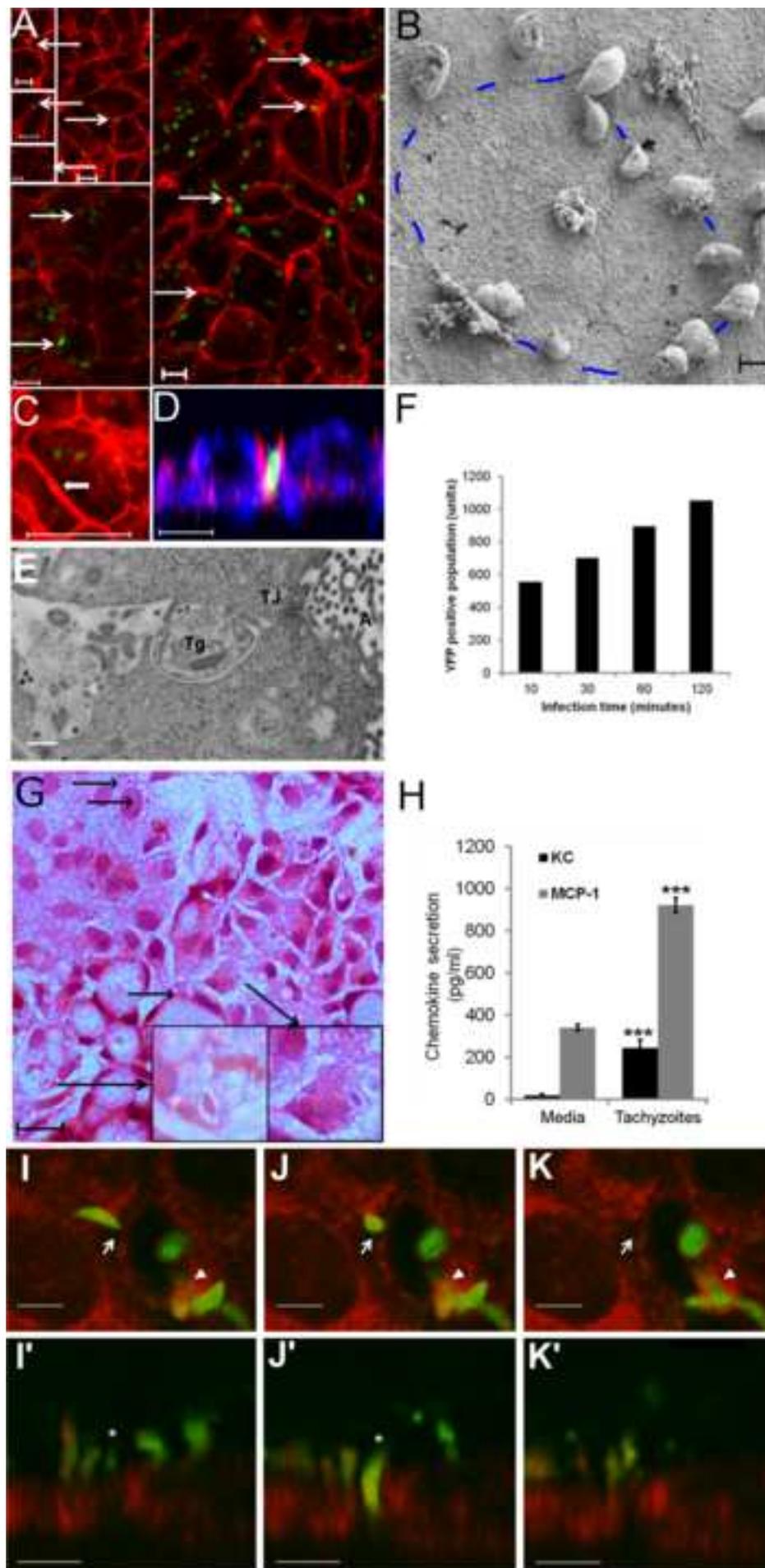


Figure 2
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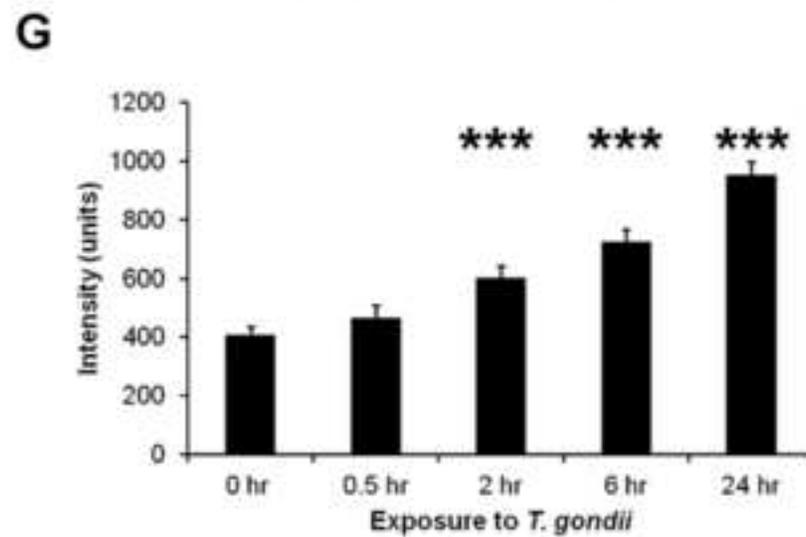
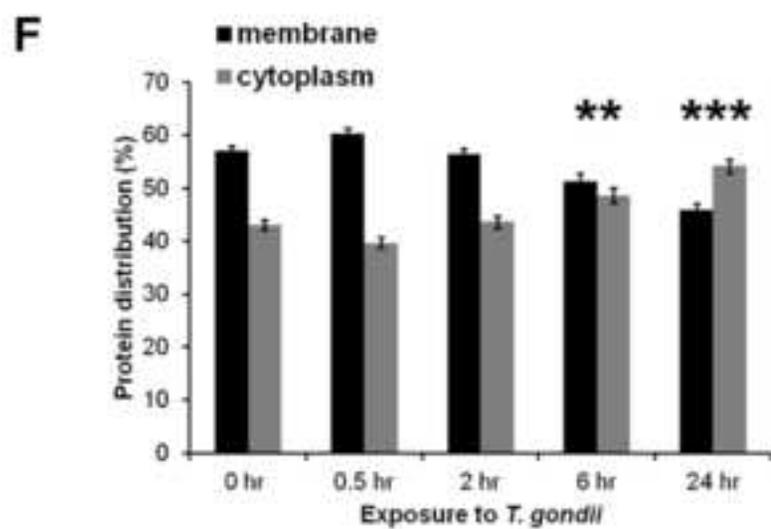
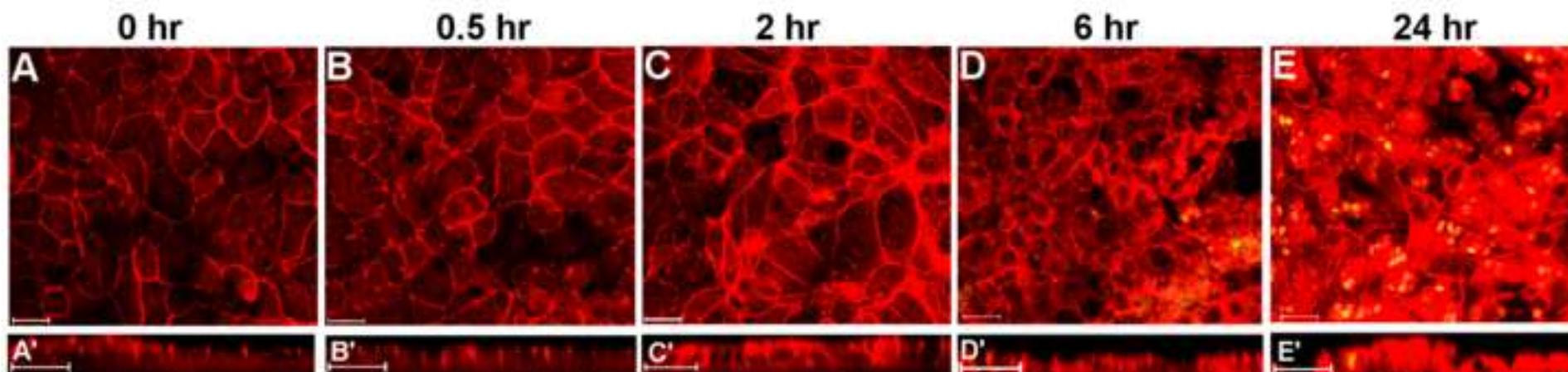


Figure 3
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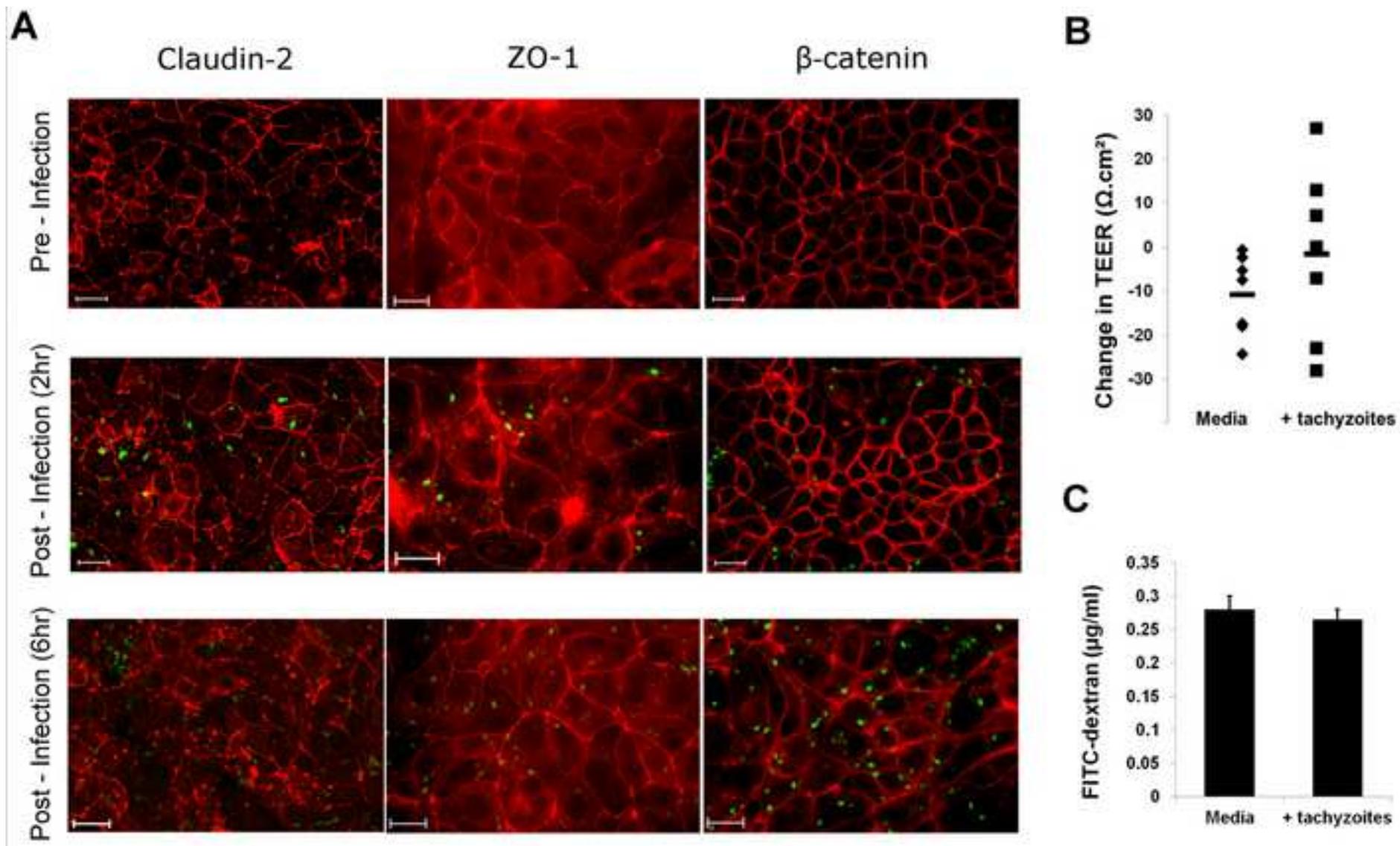


Figure 4
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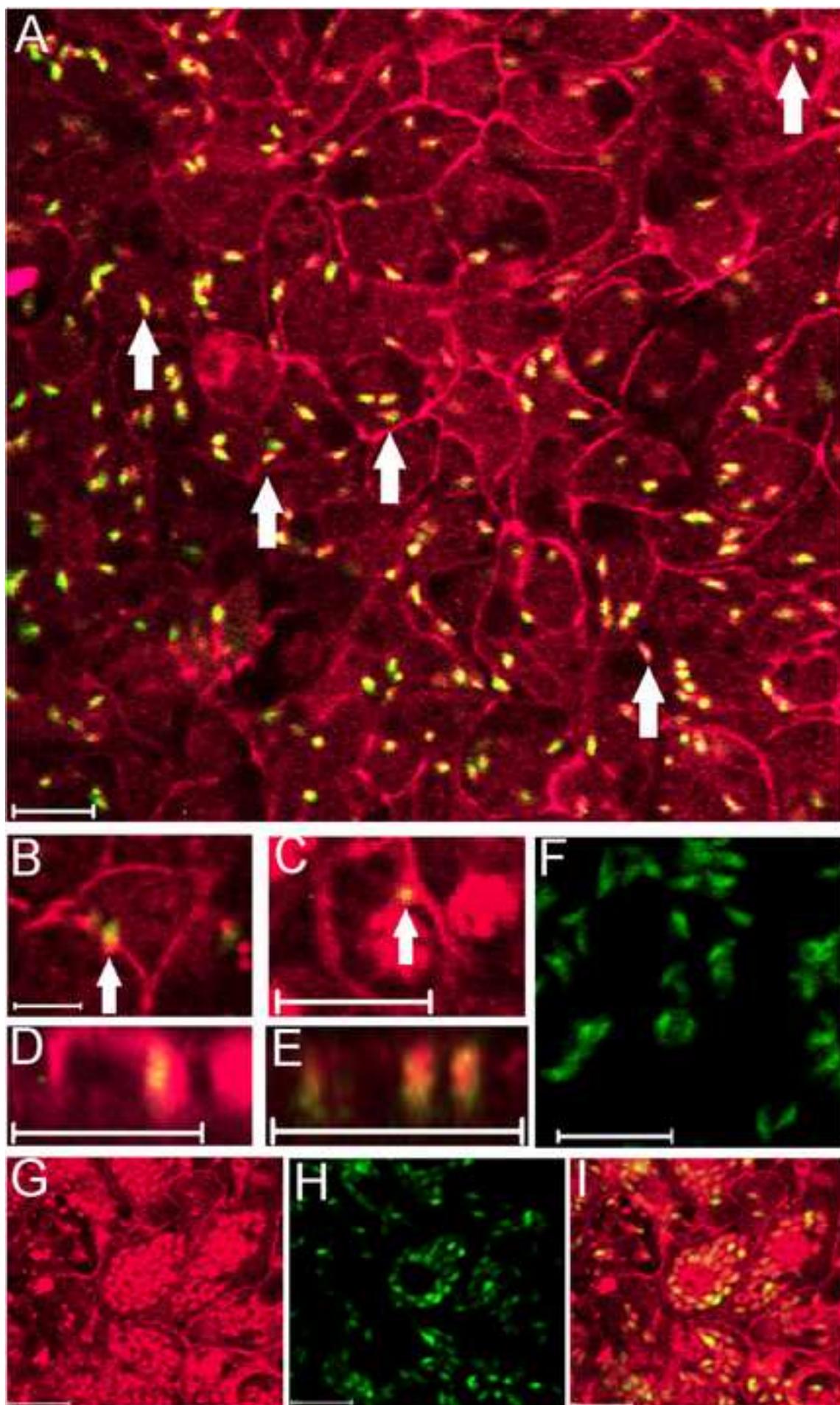


Figure 5
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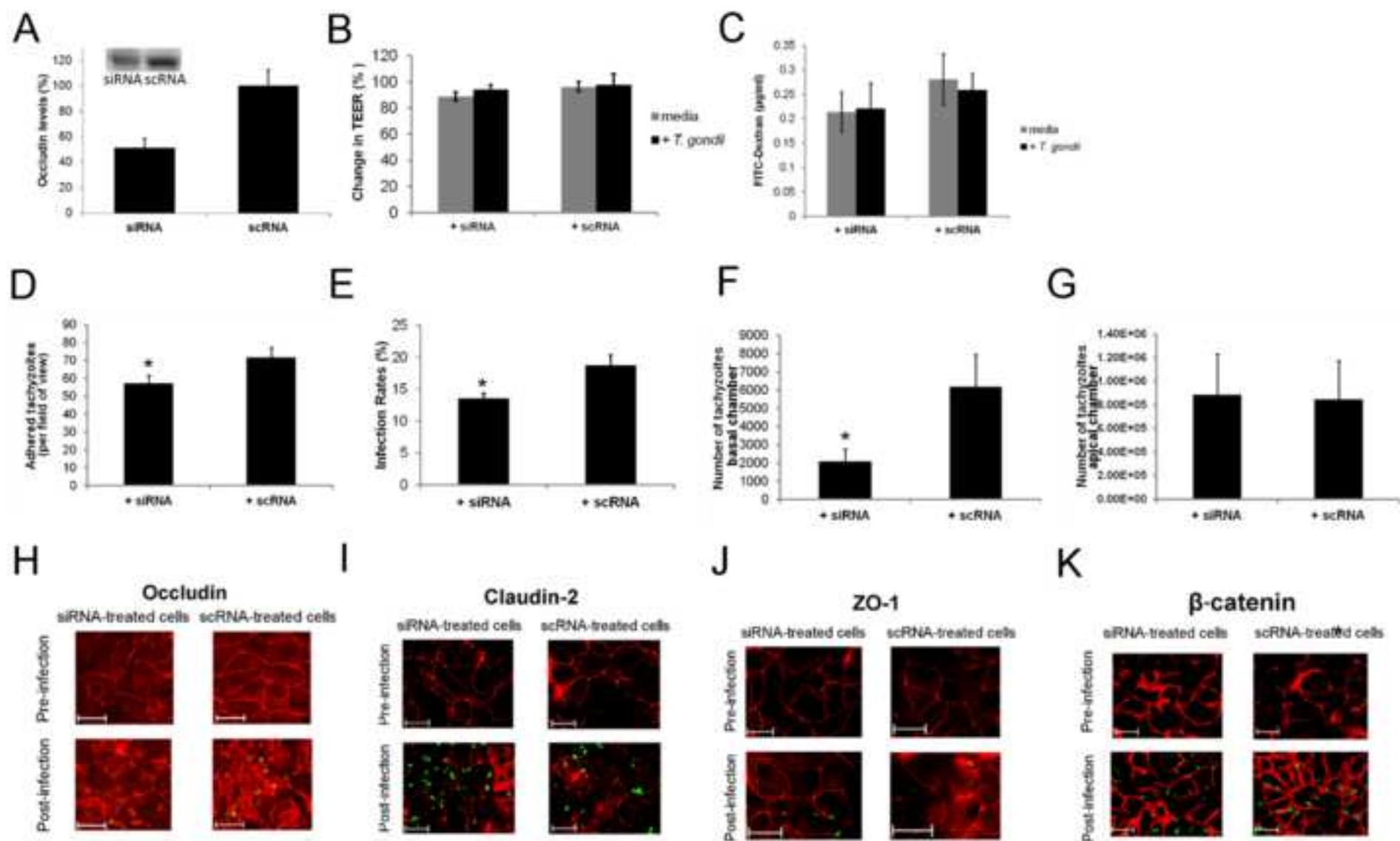
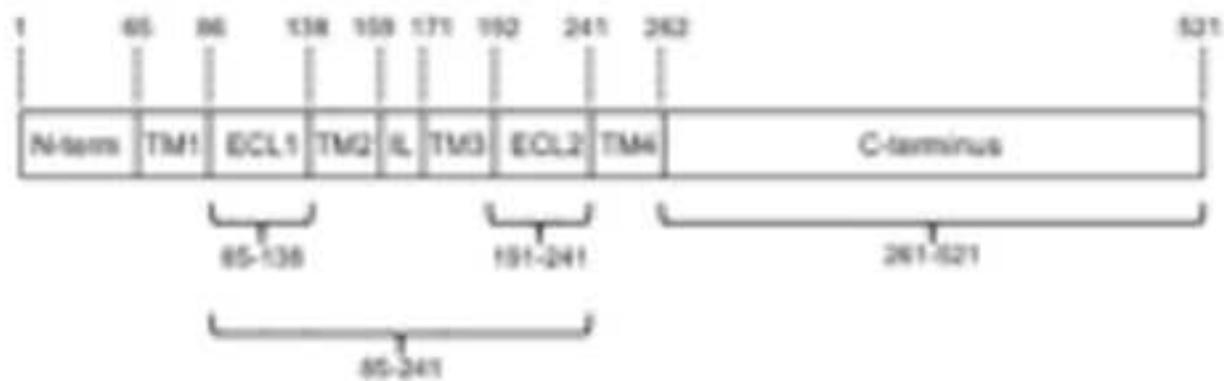


Figure 6
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A



B

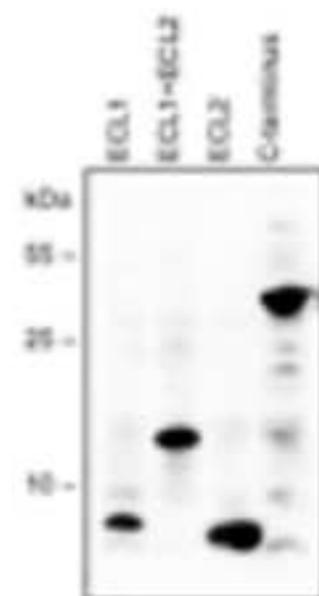
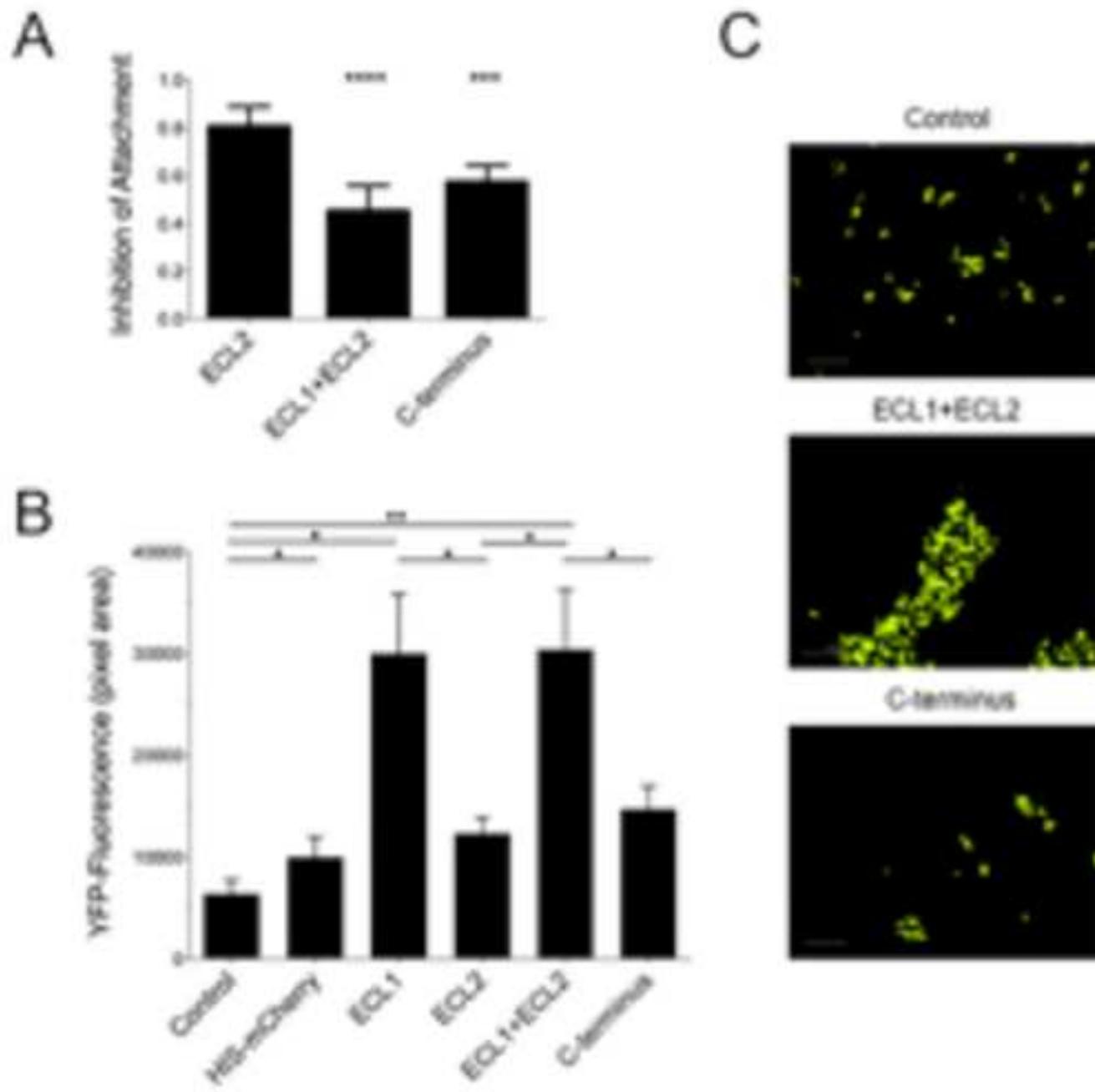


Figure 7
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19th June 2015

Manuscript Reference No: MICINF-D-15-00103

Dear Dr. Denkers,

Thank you very much for reviewing our manuscript. We have read through the comments and recognize the points raised are important to address. Below we have detailed our response to each of the reviewer's comments:

Underlining highlights corrections made to the text.

Reviewer #1:

1. The authors show that parasites cluster around cellular junctions and use a paracellular route. Taken together, this is convincing, however the information contained in the TEM images in fig.1B and 1E needs to be better explained to the reader. Cell edges (1B) and intracellular structures (1E) are not very clear.

Response: We appreciate that a better explanation was required and have adjusted the text accordingly.

2. Redistribution of occludin (fig 2). It is difficult to evaluate the redistribution of occludin as no information is provided in M&M or figure legends on what focal planes and cut-offs were utilized to quantify fluorescence in "membrane" or "cytoplasm". As a putative direct interaction of the parasite with occludin is central to the manuscript, this should be clarified. The redistribution of occludin should also be related to other markers studied in fig 3 (claudin-2, zonula occludens-1, beta-catenin). The authors state that "distribution of other junctional proteins was not obviously altered" but that "slight differences may be attributed to indirect effects". This needs to be addressed and clarified.

Response: We agree that clarification of methods would improve the understanding of how our data was collected and have made changes to address this comment. We have also improved our description of the text relating to indirect effects of other tight junction proteins.

3. The evidence that tachyzoites co-localize or are in close vicinity of occludin when transmigration is convincing (fig 4). However, the evidence of tachyzoite interaction with occludin (fig 6) is indirect. Have the authors tried to block transmigration with the generated occludin peptides? In theory, the peptides should compete with binding of tachyzoites to native occludin and thus could add evidence to the proposed interaction and also add functionality to this manuscript.

Response: We have included data from an epithelial cell attachment and invasion assay using occludin peptides. Whilst it was not possible due to technical reasons (difficulty of producing sufficient amounts of ECL1) to include all of the peptides used in the parasite-binding assay as in this invasion assay, the data does show that the ECL1+ECL2 peptide significantly reduce the ability of parasites to attach and invade epithelial cells whereas the ECL2 peptide has no discernable effect (Figure 7A). This is consistent with the occludin peptide-parasite binding assay data (Figure 7B) and that parasite binding to occludin principally involves the ECL1 region of the tight junction protein.

4. As the tachyzoite stage is not the natural stage for oral infection, stating (page 11) that tachyzoites are infective via the oral route without further explanation of the experimental setups or a statement that bradyzoites/oocysts are the "natural" infection stages could be misleading to some readers.

Response: We have expanded our text and included the reviewer's suggestion to state the natural infection routes.

Reviewer #2:

The manuscript by Weight et al describes the involvement of the tight junction protein occludin in the transmigration of Toxoplasma gondii through the epithelial barrier. Were also other proteins except ZO-1, claudin-2 and beta-catenin used as controls? Why were these selected? Immortalized cell lines are known to lose their characteristics therefore primary cells should be applied as controls.

Response: We also used a second control tight junction protein but as the results were the same as with the first control protein we decided that this information was not necessary to show. However, we acknowledge that the reasons we chose Claudin-2, beta catenin and ZO-1 are not fully stated. This has now been incorporated in the text. While the authors agree that for any study primary cells could be used as controls, this is technically very challenging due the difficulty of maintaining fully differentiated primary cells in vitro for prolonged periods of time. Our study was conducted on cell lines that have been fully characterized previously to show exceptional and important characteristics found in vivo. It is also important to note that in contrast to the large number of colonic epithelial cell lines available, the two epithelial cell lines we have used in our study are the only ones available, irrespective of the species of origin, that originate from and are representative of those cells of the small intestine.

I hope that the revisions we have made are acceptable to the reviewers and that the manuscript can now be viewed as meeting the criteria for publication in *Microbes and Infection*.

Sincerely,

A handwritten signature in black ink, appearing to read 'S.R. Carding', with a large, sweeping flourish at the end.

Simon R. Carding
(on behalf of the authors)

Video

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