1	Hemispheric and large-scale land surface air temperature
2	variations: An extensive revision and an update to 2010
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36	January 2012 (revised version – each revision highlighted)
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40 Abstract

41	This study is an extensive revision of the Climatic Research Unit (CRU) land station
42	temperature database that has been used to produce a grid-box dataset of 5° latitude by
43	5° longitude temperature anomalies. The new database (CRUTEM4) comprises 5583
44	station records of which 4842 have enough data for the 1961-90 period to calculate or
45	estimate the average temperatures for this period. Many station records have had their
46	data replaced by newly homogenised series that have been produced by a number of
47	studies particularly from National Meteorological Services (NMSs).
48	
49	Hemispheric temperature averages for land areas developed with the new CRUTEM4
50	dataset differ slightly from their CRUTEM3 equivalent. The inclusion of much
51	additional data from the Arctic (particularly the Russian Arctic) has led to estimates
52	for the Northern Hemisphere (NH) being warmer by about 0.1°C for years since 2001.
53	The NH/SH warms by $1.12/0.84^{\circ}$ C over the period 1901-2010. The robustness of the
54	hemispheric averages is assessed by producing five different analyses each including
55	a different subset of 20% of the station time series and by omitting some large
56	countries.
57	
58	CRUTEM4 is also compared with hemispheric averages produced by reanalyses

⁵⁹ undertaken by the European Centre for Medium-Range Weather Forecasts (ECMWF)

60 - ERA-40 (1958-2001) and ERA-Interim (1979-2010) datasets. For the NH,

agreement is good back to 1958 and excellent from 1979 at monthly, annual and

62 decadal timescales. For the SH agreement is poorer, but if the area is restricted to the

63 SH north of 60° S the agreement is dramatically improved from the mid-1970s.

64 **1. Introduction**

65

The purpose of this paper is to revise, improve and update the gridded land-based 66 67 Climatic Research Unit (CRU) temperature database (CRUTEM4), last documented by Brohan et al. (2006, CRUTEM3). There are two principal reasons for such an 68 analysis at the present time. First, some years have passed since it was last undertaken 69 70 and significant changes and improvements have been made to the availability of 71 monthly average temperature data in real time. The second reason is that several 72 national and other initiatives (co-ordinated by National Meteorological Services, NMSs) have also dramatically improved the quantity and quality of monthly-mean 73 74 temperature data available. Some countries have extensively homogenised significant 75 parts of their entire national holdings, releasing the results for all to use. Both these 76 developments should improve the coverage of available data.

77

78 Despite these improvements to the quantity and quality of data available, it is not 79 expected that major changes will occur in the hemispheric-average series, as at these 80 scales the existing averages are highly robust. The principal reason for expecting only 81 small changes is that time series of the many thousands of station records are not 82 statistically independent of each other. The number of statistically-independent 83 locations (at timescales above annual) over the Earth's surface has been estimated by several authors to be about 100 or less (see discussion in Jones et al., 1997). The 84 improvements to data quality and quantity in the present study, though, should impact 85 86 individual grid-box series and analyses of spatial patterns.

The paper is organised in the following way. Section 2 extensively discusses the sources of additional data used in CRUTEM4 and the challenges of merging, replacing and updating the existing station-based records. Section 3 discusses the gridding technique used to develop the improved grid-box datasets. Section 4 presents extensive comparisons of the new analyses with those already available, illustrating the improvements in coverage. Section 5 concludes.

94

95 2. Data

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The station data sources incorporated into previous versions of the CRUTEM 97 database have been extensively discussed in Jones et al. (1985, 1986), Jones (1994), 98 99 Jones and Moberg (2003) and Brohan et al. (2006). The station data used in the CRUTEM3 dataset had assigned codes to each station giving the principal source for 100 101 each series (see above references). These have been augmented here and a full list of 102 source codes is given in Table 1. Although the ultimate sources of all the station data are the NMSs, much of these data have made their way to users via a number of 103 104 World Meteorological Organization (WMO) and Global Climatological Observation System (GCOS) initiatives, as well as NMS websites and scientific publications. We 105 106 have replaced station data in CRUTEM3 with improved data from NMSs for stations 107 with the same locations as these were deemed to be of better quality. In some cases, the improvement could simply have been a more complete series with fewer missing 108 monthly values. 109

110

111 The next sections introduce much of this additional material, but only the major

source codes in Table 1 are discussed. Apart from NMS source material there are

113	three additional sources that incorporate station data across the world's land areas:
114	CLIMAT (WMO co-ordinated transmission of many meteorological parameters
115	including monthly average temperatures), Monthly Climatic Data for the World
116	(MCDW), and the decadal World Weather Records (WWR) volumes (from the 1950s
117	onwards up to the 1990s). CLIMAT and MCDW are sources that are available in real
118	time and near-real time respectively, and contain data for approximately 2000-2500
119	stations, though the number of stations available varies from month to month,
120	particularly so for some developing countries. MCDW is available slightly later (3-4
121	months) than CLIMAT and tends to contain the same stations (though with fewer
122	missing values), but considerably more for the contiguous United States (US). We do
123	not use all the station data that report in CLIMAT and MCDW, but restrict ourselves
124	to stations that have enough data to calculate 1961-90 averages (see section 3.1).
125	
126	The WWR volumes are released every ten years after the completion of each decade.
127	WWR is an important source of data for South America, Africa, Asia and many island
128	groups. The availability of WWR data only every decade is part of the reason why the
129	coverage of data in near-real time appears to reduce since the last decade of WWR
130	was released for the 1990s. Part of this reduction is due to incomplete availability
131	rather than the non-existence of data and should not be interpreted as evidence that the
132	network of stations across the world's land area is reducing. WWR sources can
133	additionally be important in other parts of the world for infilling missing monthly
134	values that occasionally occur in CLIMAT and MCDW sources.
134 135	values that occasionally occur in CLIMAT and MCDW sources.

137 station is allocated a source code, most station series do not come from a single source

138 (see also Jones and Moberg, 2003 and Brohan et al. 2006). Real-time monthly updating has to be based on CLIMAT and MCDW data, and most NMSs do not fully 139 assess the quality of these data in real time. The CLIMAT and MCDW data are 140 141 quality controlled by Meteorological Office staff. Within a few years we would expect to replace the recent data for some series with data from direct NMS sources or from 142 the 2001-2010 WWR volume when it becomes available. Further details about 143 144 updating are given in Section 2. A station series is, therefore, often based on a combination of multiple sources: the source code given in Table 1 for each station 145 146 indicates only the dominant source code. The ordering of the updating affects (to some extent) the exact number of sites added from each source. 147

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149 Another potential, extensive source of additional data is daily and hourly Synoptic 150 Reports (SYNOP). SYNOP data also include many other weather variables and are one of the principal sources of input data for operational weather forecasts. We have 151 152 never used data from the SYNOP source in our earlier versions of CRUTEM, and continue to exclude it from the new database. There are a number of reasons for this. 153 First, SYNOP data are operational in nature, so are not always extensively quality 154 controlled by NMSs. Second, their coverage tends to be denser in regions where we 155 156 already have many series. Finally, monthly averages derived from SYNOP data are 157 often found to be biased compared to CLIMAT and MCDW data for several reasons (see discussion in van den Besselaar et al., 2011). These reasons include: incomplete 158 numbers of days in each month and the daily maximum and minimum temperatures 159 160 not necessarily being the true values in mid and high latitude regions of the world. Additionally, many countries do not calculate monthly averages from monthly mean 161

maximum and minimum temperatures averages, so potential biases will be introduced
 into series updated with SYNOP data.

164

165 United States

166

Previous versions of CRUTEM incorporated more stations for this region than any 167 168 other land area. Our earlier work used almost all the station series available from CLIMAT and MCDW. The only series we excluded were those that we had deemed 169 170 to have non-correctable inhomogeneities which we documented in Jones et al. (1985, 1986). For CRUTEM2 (Jones and Moberg, 2003) this was supplemented by an 171 additional 1023 series for the contiguous US, but these all ended in 1996. We never 172 173 sought to update these data for CRUTEM3, as the number reporting from CLIMAT 174 and WWR for this region was already denser than any other region of the world (see discussion in Jones and Moberg, 2003). With CRUTEM4 we have replaced the 1023 175 176 series with 892 series from the current US Historical Climatic Network (USHCN, which contains 1218 stations for the contiguous United States, see code 44 in Table 1) 177 described by Menne et al. (2009). The version we have used includes adjustments for 178 time of observation bias and site relocations (see details in Menne et al., 2009). As 179 180 many of the additional USHCN series (i.e. the 1220 minus the 892) report through 181 CLIMAT or MCDW, we have replaced our original series for these locations with USHCN data. With both additions we had to ensure that no data series appeared 182 twice. Additionally, the earliest year in all the USHCN series is 1895, so in order not 183 184 to lose any useful 19th century data from the series we replaced, we compared USHCN series with those from the replaced set during the 1895-1900 period and kept 185 any pre-1895 data where there was no step jump in 1895. Of the 892 USHCN stations 186

incorporated into CRUTEM4, 525 stations had additional years added before 1895.
The USHCN data we use will be periodically updated from the above source. Later
we will show that the contiguous US has only a negligible impact on average NH
temperatures, by removing all station data from this region.

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192 Russian Federation

193

Monthly temperature time series for 475 stations were obtained from the All Russian 194 195 Research Institute of Hydrometeorological Information - World Data Center (RIHMI-WDC, see Code 43 in Table 1). We compared these data series with those we already 196 197 held and identified three groups of stations: those in common to both datasets (131), 198 those only in the CRUTEM database and those only in the RIHMI-WDC dataset 199 (344). The latter group were incorporated into CRUTEM4, and those stations unique to CRU were retained. For the 131 stations in common, comparison revealed 200 201 differences for some of the series. The differences were of two kinds: (1) systematic offsets between the data series (consistently differing for different months of the year) 202 very suggestive of homogeneity adjustments having been applied to RIHMI-WDC 203 data and (2) apparently random differences. We are confident that the systematic 204 205 offsets were applied to the data obtained from RIHMI-WDC rather than to our 206 CRUTEM data, since the latter come from earlier World Weather Record (WWR) sources and we applied few adjustments to former Soviet Union (fUSSR) data in the 207 1980s (see details in Jones et al., 1985, 1986). 208 209

The apparently random differences were also assessed and while the Russian source
mostly seemed to be a more reliable value (compared with neighbouring stations) this

212	was not always the case. We contacted the Russian NMS and sought to find any
213	documentation about the systematic and random differences. We were not successful
214	in finding any information for the systematic differences, but received considerable
215	help with the random ones. At the end of the exercise, the number of sites in
216	CRUTEM4 was increased by 344 (i.e. the number in the third category above). For
217	some other sites, the majority of the series came from this source, so these are also
218	classified as source 43 (see Table 1).
219	
220	Former Soviet Union
221	
222	For countries entirely within the former Soviet Union (fUSSR) we updated data from
223	daily data from 223 locations in the fUSSR, also downloaded from RIHMI-WDC
224	(Code 51 in Table 1). We downloaded series from 1990 onwards (for series already in
225	the CRUTEM database) which offered useful updates, recalculating monthly averages
226	from the daily data in the archive. Most of these series are within Russia, but there
227	were series for other fUSSR countries.
228	
229	Additionally for central Asian countries within the fUSSR, we added in additional
230	data from the National Snow and Ice Center (NSIDC) in Boulder, CO, choosing only
231	stations for which we already had some temperature data (Williams and Konoyalov,
232	2008; see Code 50 in Table 1). The records for 61 series within Kazakhstan,
233	Kyrgyzstan, Tajikistan, Turkmenistan, and Uzbekistan were extended and/or
234	improved (fewer missing values).
235	
236	Canada, Australia and New Zealand

238	In both our previous two versions, CRUTEM2 (Jones and Moberg, 2003) and
239	CRUTEM3 (Brohan et al., 2006) we have incorporated Canadian station temperature
240	series which have been tested for homogeneity and adjusted for discontinuities due to
241	site relocations and changes in observing procedures (Vincent and Gullett, 1999 and
242	Vincent et al., 2002). The convention followed by CRU in the 1980s (e.g. Jones et al.,
243	1985) was that all necessary homogeneity adjustments were applied to the earlier part
244	of a station timeseries, so that ongoing updates could be appended to the modern end
245	of the series without the need for them to be adjusted. The adjustments applied by
246	Vincent and Gullett (1999) and Vincent et al. (2002) have not followed this
247	convention. Some minor further adjustments have been applied to the data since its
248	last update in 2008 (see Code 42 in Table 1) to address the change in observing time
249	at airport stations in the eastern regions of the country (discussed briefly in Brohan et
250	al., 2006). We apply these adjustments, therefore, to real-time CLIMAT updates for
251	sites in this region prior to appending them to the modern end of a series, so that they
252	are homogeneous with the past data.

The station data we are using for Australia and New Zealand were discussed briefly in Brohan et al. (2006). Source details (web sites or literature references) for these and

other groups in this section are given in Table 1 (codes 40 and 41). For CRUTEM4,

we downloaded these homogenized data again and checked against what we had,

incorporating all the changes made in Australia and New Zealand.

Arctic

Bekryaev et al. (2010) analyzed recent trends in Arctic temperatures. In order to 262 improve coverage across the Eurasian and North America parts of the Arctic, they 263 have gained access to more series (with respect to the series already in CRUTEM3) 264 265 from the region. This dataset was compared with the CRUTEM database (after the inclusion of the additional Russian and Canadian data discussed earlier). From this 266 source 125 stations were new to CRUTEM (coming mainly from Alaska, Canada and 267 268 Russia, but Greenland is considered separately later). Additionally many of the other records extended some series and/or made some series more complete, so were added 269 270 where there was good overlap agreement. It may seem somewhat surprising that there are more data than analysed or available from the Russian and Canadian NMS. This 271 just illustrates that personal contact has the potential to elicit additional sources 272 273 beyond those that an NMS makes available over WMO systems such as CLIMAT or 274 via its web site. Also, many NMSs often have sites classified as being first- or secondorder stations or being climatological or agri-climatological stations, so some series 275 276 may not be available in near-real time to the NMS. Such sites may be considered not available to be transmitted over CLIMAT, so are not made available for other sorts of 277 international exchange. 278

279

280 Greater Alpine Region

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This is a network of 141 stations for the Greater Alpine Region (GAR) developed during a number of projects led by the Austrian Meteorological Service. Many of the data series extend back to the 18th century and cover Austria, Switzerland, Slovenia, Croatia and parts of France, Italy, Germany, the Czech Republic, Slovakia and Hungary. These data have been extensively assessed for long-term homogeneity (see

287	Auer et al., 2001) and have additionally been adjusted for biases in the period before
288	the introduction of 'screened' thermometer housing (Böhm et al., 2010). The issue of
289	the introduction of thermometer screens will be discussed further in section 4.
290	Temperature data for 107 stations were added. The additional 34 are either
291	precipitation-only measuring stations or their data were of insufficient length for
292	inclusion. The HISTALP source (Code 49 in Table 1) does not include the Swiss
293	stations. These were added from a different source (Code 52 in Table 1).
294	
295	Greenland, Faroes and Denmark
296	
297	Long mean temperature station series for Denmark (5), Faroes (1) and Greenland (7)
298	were updated or added using data from recently completed Danish Meteorological
299	Institute (DMI) reports (Cappeln et al., 2010, 2011) and (Vinther et al. 2006). The two
300	DMI reports are given separate source codes (47 and 48 in Table 1).
301	
302	WWR Decadal Volumes
303	
304	When the 1991-2000 WWR decade was received (~2006) we were able to infill
305	significant numbers of missing values in our CRUTEM3 monthly series. The 1961-
306	1990 volumes had been assessed for additional series in the course of the development
307	of CRUTEM2 and CRUTEM3 (Jones and Moberg, 2003 and Brohan et al., 2006), but
308	the additional data from the 1991-2000 volumes were not always included. During the
309	development of the CRUTEM4 database, it was realized that some of the series we
310	had which came from Global Historical Climatology Network version 2 (GHCNv2,

see Jones and Moberg, 2003) did not always include data from earlier WWR decades (for 1961-70, 1971-80 and 1981-90).

314	GHCNv2 kept all sources of data separately for each station by the use of version
315	numbers. The problem of deciding which might be the best source for a given year
316	has been partly resolved within GHCNv3 (http://www.ncdc.noaa.gov/ghcnm/v3.php)
317	as a single series has been developed for each location. We say partly, as there has to
318	be some automation in any decision and without manually checking each it is unlikely
319	to be the best source in every case. As we noticed that some of our station series did
320	not include the WWR data (which we almost always deemed to be of better quality
321	than that received over CLIMAT and/or MCDW) we checked the data series we had
322	for the three decades (1961-90) against the WWR data. For a few stations we added in
323	the WWR source (Code 37 in Table 1) mainly for series from South America, Africa,
324	southern and eastern Asia, parts of Europe and for many island groups around the
325	world.
326	
327	How many CRU homogenized series remain in the CRUTEM4 database?
328	
329	Inhomogeneities may be introduced into a station series by a variety of effects, such
330	as changes in instrument location, local environment, exposure or recording practices
331	(issues discussed in Trewin, 2010). An early major effort by CRU in the 1980s
332	identified, and attempted to correct where possible, significant inhomogeneities by
333	inspection of data series and, particularly, by comparison with multiple neighbours.
334	The results were fully documented in Jones et al. (1985, 1986). One conclusion from

this exercise was that the large-scale (hemispheric and global series) were little

336 affected by the application of the adjustments to remove inhomogeneities, partly because positive and negative adjustments tend to cancel each other out (Figure 4 of 337 Brohan et al., 2006). The adjustments did make improvements to the temperature 338 series for individual grid boxes, but no further inhomogeneity adjustments were 339 applied by CRU following those reported in the 1980s. Instead, we recommended 340 (e.g. Jones and Moberg, 2003) that homogeneity assessments, and the development of 341 342 adjusted series, should instead be undertaken by NMSs because they would in most cases have access to additional meta-data and additional measurement series that 343 344 would allow more accurate results to be achieved. WMO has a number of documents detailing the need for homogeneity adjustments (Aguilar et al., 2003 and WMO, 345 2011). 346

347

348 Following on from our recommendation, we have replaced some of our data series (including some that we had adjusted in the mid-1980s) with the results of a number 349 of international or national NMS-led homogeneity projects (Table 1). The number of 350 CRU-adjusted series in the mid-1980s was 312. With all the additions for this analysis 351 there are now 219 in CRUTEM4. This reduction has come about for the following 352 reasons: 68 series have been replaced with newer series, 15 did not have 1961-90 353 354 normals so are not used, and 10 have been removed. This does not mean that there are 355 fewer adjusted series within the database, just that the adjustments have been undertaken by NMSs. The incorporation of the USHCN dataset and the replacement 356 of the co-located series means we already had reduced the number of contiguous US 357 358 station data in CRUTEM4 that were adjusted by CRU in the 1980s.

359

360	For the 219 series that were identified by CRU as in need of adjustment (and which
361	have not subsequently been replaced by alternative data series), we re-visited the
362	neighbour comparisons reported in the mid-1980s (Jones et al., 1985, 1986). These
363	comparisons showed that the adjustments for stations in the Southern Hemisphere
364	outside of Africa reported in (Jones et al., 1986) had not actually been applied to the
365	station data used in CRUTEM3. These adjustments have now been applied to the
366	station data used in CRUTEM4. For Southern Hemisphere stations in Africa, the
367	comparisons showed that the adjustments had been correctly applied, though the
368	period of adjustment had been reported incorrectly in Jones et al. (1986). The
369	adjustment was correctly applied to the data prior to the inhomogeneity, though it was
370	reported that the data after the inhomogeneity were adjusted. The availability of the
371	station series is discussed in a later section, and will allow further inspection of these
372	neighbour comparisons and a comparison between the CRUTEM3 and CRUTEM4
373	station data.
374	
375	
376	Updating the series
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378	In the course of all the above work, it became apparent that a number of the WMO
379	Station Identifiers had been changed by the NMSs. Using the latest list of these
380	identifiers (http://www.wmo.int/pages/prog/www/ois/volume-a/vola-home.htm),
381	some of the CRUTEM3 identifiers were changed to the updated numbers. Changes to
382	identifiers seem to be made by some NMSs to indicate that the station is no longer a
383	manned location but has been replaced by an automatic weather station (AWS), but
384	this is not always the case. The WMO list of station identifiers (referred to as Volume

A) is updated at the beginning of every year. It is possible to monitor this and to also flag up any 'new' WMO identifiers that appear in the monthly CLIMAT updates at the end of each year. Updating is much easier using current WMO station identifiers.

CRUTEM4 will continue to be updated in near-real time from CLIMAT and MCDW 389 sources. These sources provide data for far fewer than the total number of series now 390 391 in CRUTEM4, which is over 5500 (including the additional 892 from USHCN). Updating will, therefore, lead to a significant drop in stations beyond 2010 (between 392 393 them the two sources have a maximum of about 3000 stations) if only these sources are used. All the series discussed above should be updated on web sites, but with 394 different schedules. We intend to periodically check the web sites and update the 395 396 series every two years for those that are not updated in a more routine fashion. For 397 Australia and Greenland it is likely these can be incorporated at the same stage as MCDW (i.e. 3-4 months behind the real-time update using CLIMAT). USHCN data 398 399 will be updated at the end of each year. For the other regions/countries discussed updating should be possible annually or every 2-3 years. There are GCOS initiatives 400 401 to request more countries to release many more of their national series over the CLIMAT system. Several European countries (e.g. Germany and Spain) have already 402 403 begun to do this. In terms of the global average it would make most difference if 404 Russia and Canada also did this as their areas are large.

405

406 Availability of the station series

407

408 Given the importance of the CRUTEM land temperature analysis for monitoring

409 climate change (e.g. Trenberth *et al.* 2007), our preference is that the underlying

station data, and software to produce the gridded data, be made openly available. This
will enhance transparency, and also allow more rapid identification of possible errors
or improvements that might be necessary (see e.g. the earlier discussion of
homogeneity adjustments in the SH).

414

Nevertheless, we are reliant on obtaining some data from NMSs and must be careful 415 416 not to jeopardise our continued access to these data. Apart from data obtained from public sources, some data in our database was obtained without a clear indication of 417 418 our freedom to make it openly available or perhaps with informal agreements not to do so. In November 2009, the UK Met Office wrote on our behalf to all NMSs to 419 determine if we could release the versions of their monthly temperature series that we 420 421 held. Of the about 180 letters, we received 62 positive replies, 5 negative replies and 422 the remainder did not reply. For some of the positive replies conditions were imposed, basically of two kinds: (1) please point users to the NMS web site where they might 423 424 gain access to more or improved station series, or (2) permission to release some but not all the series. 425

426

Not content to withhold data for those countries for which we had either no reply or a 427 428 negative reply from their NMS, we have compared station locations and data with 429 those available in GHCNv3. Where the locations and most of the data agreed, we deemed that we could release these data because they were already available through 430 GHCNv3. WMO Resolution 40 431 432 (http://www.wmo.int/pages/about/Resolution40_en.html) requires that all monthlymean temperature data "necessary to provide a good representation of climate" should 433 be freely available, though the extent to which this is enforced in cases where NMSs 434

435 do not make this data available is unclear. Furthermore, this is an agreement signed by the NMSs and WMO and not with other third parties. Data from the WMO's 436 RBCN (Regional Baseline Climatological Network) should be freely available 437 however they have been obtained. Additionally, data from CLIMAT, MCDW and 438 WWR are freely available, just in different formats. 439 440 441 As a result of these efforts, we are able to make the station data for all the series in the CRUTEM4 network freely available, together with software to produce the gridded 442 443 data (http://www.cru.uea.ac.uk/cru/data/temperature/ and http://www.metoffice.gov.uk/hadobs/). Note that in many cases these station data 444 have been adjusted for homogeneity by NMSs; in order to gain access to the original 445 446 raw (i.e. as measured data or daily and sub-daily measurements) it will be necessary 447 to contact each NMS.

448

449 **3. Transformation of the station data to a regular grid**

450

All analyses of large-scale temperatures require strategies to reduce the biases in (e.g.) 451 hemispheric averages and principal component patterns that would arise from uneven 452 453 station density (i.e. biased to regions where station density is high) or from temporal 454 variations in data coverage (e.g. a reduction in data from regions with cooler average temperature). These strategies typically include the representation of temperature 455 anomalies on a regular grid (Peterson et al., 1998): the most widely used method is 456 457 termed the climate anomaly method (CAM, e.g. Jones, 1994), with the other two being the reference station method (RSM, Hansen et al., 2010) and the first difference 458 459 method (FDM, Peterson et al., 1998).

460

461	Direct comparisons of the three approaches with the same basic data were discussed
462	by Peterson et al. (1998) and Vose et al. (2005). Possible differences between the
463	techniques and advantages/disadvantages of each are also discussed by Jones et al.
464	(1999). In this study we use the CAM approach, which requires reducing all the
465	station temperature data to anomalies, from a common period such as 1961-90 on a
466	monthly basis. Grid-box anomaly values were then produced by simple unweighted
467	averaging of the individual station anomaly values within each grid box.
468	
469	The main disadvantage of CAM is that stations must have enough years with data
470	within the 1961-90 period in order to be used. For some stations with incomplete data
471	for 1961-90 it will be possible to use published 1961-90 normals (WMO, 1996),
472	although care is required when doing this.
473	
473 474	3.1 Development of 1961-90 normals and outlier checks
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474 475	
474 475 476	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from
474 475 476 477	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from the enhanced station dataset, accepting an average if at least 14 years of data are
474 475 476 477 478	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from the enhanced station dataset, accepting an average if at least 14 years of data are available. For stations where this was not possible, WMO (1996) normals were used,
474 475 476 477 478 479	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from the enhanced station dataset, accepting an average if at least 14 years of data are available. For stations where this was not possible, WMO (1996) normals were used, if available, for all months. For a further set of stations, 1961-90 normals were
474 475 476 477 478 479 480	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from the enhanced station dataset, accepting an average if at least 14 years of data are available. For stations where this was not possible, WMO (1996) normals were used, if available, for all months. For a further set of stations, 1961-90 normals were estimated using the 1951-70 period and adjusted by the difference between the grid-
474 475 476 477 478 479 480 481	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from the enhanced station dataset, accepting an average if at least 14 years of data are available. For stations where this was not possible, WMO (1996) normals were used, if available, for all months. For a further set of stations, 1961-90 normals were estimated using the 1951-70 period and adjusted by the difference between the grid- box averages for 1961–90 and 1951–70 from the earlier gridded data (see discussion
474 475 476 477 478 479 480 481 482	Monthly averages for 1961-90 (the latest WMO normal period) were calculated from the enhanced station dataset, accepting an average if at least 14 years of data are available. For stations where this was not possible, WMO (1996) normals were used, if available, for all months. For a further set of stations, 1961-90 normals were estimated using the 1951-70 period and adjusted by the difference between the grid- box averages for 1961–90 and 1951–70 from the earlier gridded data (see discussion in Jones and Moberg, 2003). Altogether 1961-90 normals were developed for 4842

1961-90 normals were not used in the subsequent gridding. In terms of station years
(where a year with at least nine valid months counts as a year) over the 1850-2010
period, the amount of data not used totals only 4% of the overall station-year total.

The choice of 1961-90 rather than a later 30-year period (e.g. 1971-2000 or 1981-2010) ensures that as much data as possible are used. There would be a much greater amount of unused data if a more recent 30-year period were used. The period 1961-90 also ensures consistency with earlier analyses. Differences in base periods can also confuse users, especially the media (see Arguez and Vose, 2011).

494

Section 2 has extensively discussed the sources of the additional temperature data. 495 496 Although many of the sources have undergone detailed homogeneity testing there is 497 still the possibility of outliers, which might induce a longer-lived influence if they occur during the 1961-90 period. To assess outliers we have also calculated monthly 498 499 standard deviations for all stations with at least 15 years of data during the 1941-90 period. If a station does not have standard deviation values then the station is not used 500 501 in the subsequent gridding. This removes an additional 59 series, but all of these are relatively short in duration. All outliers in excess of five standard deviations from the 502 503 1961-90 mean were compared with neighbours and corrected or set to the missing 504 code. After this step the 1961-90 normals and the 1941-90 standard deviations were recalculated. In the subsequent gridding (next section) outliers in excess of 5 standard 505 deviations are omitted. As there are no outliers for 1961-90 values this step only 506 507 applies to years before 1961 and after 1990.

508

509 **3.2** Gridding and number of stations used through time

511	Each of the 4842 stations with normals were first associated with their 5° by 5°
512	latitude/longitude grid box and grid-box anomaly values calculated by simple
513	averaging of all available station anomaly values within each grid box for all months
514	1850-2010. All station outliers in excess of five standard deviations were omitted
515	from the analysis. Apart from retaining the grid-box temperature values, we also
516	retain the number of stations per grid box. This latter value will be necessary to
517	calculate a 'variance-adjusted' version of the gridded dataset following the approach
518	outlined in Jones et al. (2001), Jones and Moberg (2003) and Brohan et al. (2006).
519	The approach used adjusts the variance of each grid-box time series to be compatible
520	with the infinitely-sampled grid box (see Jones and Moberg, 2003 and Brohan et al.
521	2006). This version of the dataset is referred to as CRUTEM4v with the unadjusted
522	version as CRUTEM4. CRUTEM4v reduces the impact on each grid-box time series
523	of changing station availability through time. CRUTEM4v is recommended for use
524	for small regions and individual grid-box time series, especially if users wish to
525	consider changes in variance and/or extremes (at the monthly timescale - see for
526	example Figure 5 of Jones et al. 1999). At the hemispheric scales, that will be
527	discussed in the next section, there is very little difference between averages
528	calculated with CRUTEM4 and CRUTEM4v. Brohan et al. (2006) additionally
529	discusses reasons for appropriate usage of these two versions of the dataset. In the
530	subsequent analyses in the next section we will use CRUTEM4 to calculate all the
531	hemispheric and any regional series used.
532	

Before moving to the next section, we first explain changes in the number of stationsavailable through time. Figure 1 illustrates both the number of stations used each year

535 and the % area coverage this produces for each hemisphere. The results are compared with the earlier analysis using CRUTEM3 in Brohan et al. (2006). The improvement 536 in the station numbers is more dramatic for the NH compared to the SH. The big 537 538 increase in 1895 represents the starting date for many of the stations in the contiguous United States, while there is a similar increase in station numbers in 1951 when the 539 540 first of the 10-year WWR volumes became available. Numbers of stations reduce from a peak in the 1960s, occurring in a series of steps at the end of each decade 541 indicative of the cause being changes in station availability in the WWR volumes. For 542 543 the SH, there are few improvements in coverage, the main ones being due to improved use of the 1971-80 WWR volumes and the inclusion of more data after 544 545 2000.

546

547	In terms of percentage area coverage, the improvements have had a smaller effect
548	than in terms of station numbers, with the increase being greater in the NH compared
549	to the SH. The small step changes at the end of each decade (1980, 1990 and 2000)
550	are due to the WWR volumes. There are generally enough contiguous US data for
551	2010 from the CLIMAT and MCDW sources, so the unavailability of USHCN data in
552	2010 does not affect the area coverage for the NH and the drop in the final year for
553	the NH is principally due to missing Russian data. There is little change in area
554	coverage for the SH in CRUTEM4 compared to CRUTEM3.
555	
556	4. Analysis of the enhanced gridded land data
557	
558	4.1 Hemispheric-scale averages and comparisons with CRUTEM3

560	Hemispheric average time series were produced using cosine weighting of grid-box
561	values in each hemisphere (Jones, 1994). Averages were calculated for each month
562	from January 1850 and then seasonal and annual averages calculated using the
563	hemispheric-average monthly values. Standard three-month climatological seasons
564	were used, with December of the previous counting towards the winter value for the
565	current year. As December 1849 is not available all the seasonal and annual series for
566	the Northern Hemisphere (NH) begin in 1851. For the Southern Hemisphere (SH), the
567	first year is taken as 1856. Before this date there are less than 5 stations with data.
568	Beginning with 1856 the number of available stations in the SH increases to 5 series,
569	reaching 10 by 1860 (see Figure 1). In later figures (Figures 5b and 9b) we will
570	highlight that uncertainty ranges of SH averages, calculated from so few stations, are
571	substantial.

Figure 2 shows the seasonal and annual values for the NH and SH and an annual 573 574 series for the global land together with 10-year Gaussian smoothed series. For comparison the smoothed CRUTEM3 series are also shown to see the impact of the 575 additional or replaced series in the station database. The global land series is 576 computed by weighting the two hemispheres approximately in proportion to the areas 577 of their land masses (i.e. Global = [(2/3)NH + (1/3)SH]). This weighting is different to 578 the equal hemispheric weighting applied by Brohan et al. (2006) for CRUTEM3. The 579 new weighting has also been applied here to CRUTEM3. 580

581

582 The differences between the two sets of smoothed lines indicate excellent agreement

from 1880 up to 2000 for the NH. At this decadal timescale, all the additions have

made no discernible differences between the analyses, an initial indicator that for

585 hemispheric-scale averages the analysis is very robust. CRUTEM4 is very slightly 586 warmer since 2000 for the NH for the year and all seasons except summer. The likely reason for this is the additional data in the Arctic (particularly Russia) and this will be 587 further investigated in the next section. Prior to 1880, CRUTEM4 is slightly cooler 588 than CRUTEM3, more so in winter (DJF) and spring (MAM) than the other seasons. 589 Again later analyses will be suggestive that this results from the additional Russian 590 591 series. For the SH, differences between CRUTEM4 and CRUTEM3 are slightly greater earlier in the series and extend up to the early 20th century, particularly in the 592 593 austral winter (JJA). CRUTEM4 is cooler than CRUTEM3 during 1861-1910, the exact period depending on the season. CRUTEM4 is very slightly warmer than 594 CRUTEM3 since about 2005. Possible reasons for the differences in the 19th century 595 596 in the SH will be investigated in the next section. Uncertainty ranges, calculated using 597 the same approach as Brohan et al. (2006) will be shown in later figures (on the decadal scale in Figure 5 and on the interannual timescale in Figure 9). 598 599

For the NH, year-to-year variability is greatest during winter and least in summer. 600 601 The slightly greater variability prior to 1880 in all seasons (except summer) is more likely to be due to sparser coverage then a real feature. This greater variability is 602 603 marginally reduced by adjusting the individual grid-box time series for changing 604 station data contribution (introduced in Jones et al., 2001 and the dataset produced here called CRUTEM4v) but the variance of regional averages has not been similarly 605 adjusted for reduced grid-box availability. For the SH, year-to-year variability is more 606 607 similar between the seasons.

608

All seasons and the annual series for both hemispheres show comparable century-609 scale warming from the beginning of the 20th century but there are differences in 610 611 timing between them. Warming is significant in all seasons and annually for 1861-612 2010, 1901-2010 and 1979-2010 (except for May and December for the SH for 1979-2010). Table 2 provides the warming explained by a least squares linear fit to the 613 monthly series for these three periods. Warming in all three periods tends to be greater 614 615 in the NH compared to the SH, and the NH warming has a much more marked seasonal character than that for the SH. Table 2 also includes calendar year average 616 617 values for CRUTEM3. CRUTEM4 warms more than CRUTEM3 for all three periods 618 due to the cooler values before about 1880 (particularly in the SH) and slightly warmer values in the NH since about 2000. 619

620

621 The marked seasonality of the warming for 1861 to ~1900 (estimated by comparing the NH trend differences in Table 2 for 1861-2010 and 1901-2010) may be artificial 622 623 due to the possible impacts of direct sunlight on the instruments, prior to the development of Stevenson-type screens, in higher northern latitudes during summer 624 (see earlier discussion in relation to the HISTALP dataset, Böhm et al., 2010). The 625 addition of the newly adjusted series in the GAR may be the reason for the slight 626 627 difference between CRUTEM3 and CRUTEM4 before 1860 when coverage is sparse 628 outside Europe. Böhm et al. (2010) and Brunet et al. (2011) are suggestive of this issue being much wider in scale across the mid and high latitudes of the NH. 629 Alternatively, if this seasonal contrast is real, then it implies a marked change in 630 631 continentality (greater winter/summer temperature differences) over part of the NH prior to 1880. Further work is required, but the studies reported above are clearly 632 suggestive of screen exposures being the more likely cause. 633

635 4.1.1 Spatial comparisons between CRUTEM3 and CRUTEM4

637	In this section we compare spatial patterns between CRUTEM4 and the earlier
638	CRUTEM3 dataset. In Figure 3, we plot the annual temperature anomaly for the
639	decade 2001-2010, with respect to our base period of 1961-90, for both analyses and
640	their difference. This difference clearly illustrates the improvement (i.e. outlined in
641	black in panel (c)) in coverage in CRUTEM4 compared to CRUTEM3, particularly
642	across the higher latitudes of Eurasia and North America. As this expansion of spatial
643	coverage in the Northern Hemisphere has contributed to warmer temperatures in
644	CRUTEM4, the 2001-2010 decade is warmer than CRUTEM3 for the NH (0.80°C
645	compared to 0.73°C). There is much less coverage change across the Southern
646	Hemisphere and the two corresponding averages are 0.43°C for CRUTEM4 and
647	0.40°C for CRUTEM3. Panel c of Figure 3 is mostly green, but differences do occur,
648	particularly over the contiguous United States and Australia, where we have made
649	many changes to the station data used (see discussion in Section 2).
650	
651	In Figure 4, we show linear trend maps for annual temperature averages for 1951-
652	2010 for both analyses and the difference. The panel (b) for CRUTEM4 shows the
653	improvements in coverage, which can also be seen in panel (c) by the grid boxes
654	outlined in black. Of the grid boxes in common between the two analyses, 499 boxes
655	differ within $\pm 0.2^{\circ}$ C in their total trends over the 60-year period, with 86 boxes
656	indicating that the CRUTEM4 trend was $> 0.2^{\circ}$ C more than CRUTEM3 and 41 with
657	CRUTEM3 having $> 0.2^{\circ}$ C more warming than CRUTEM4.
658	

4.2 Assessment of the robustness of hemispheric averages omitting large numbers of stations

661

In the previous section, we illustrated the robustness of the large-scale averages by 662 comparing this new version of the dataset (CRUTEM4) with the previous 663 (CRUTEM3). Differences are relatively minor and well within the error ranges 664 665 estimated by the earlier Brohan et al. (2006) study and re-calculated here. In this section we expand on this, by using considerably less station data while still 666 667 producing essentially the same hemispheric series at the decadal time scale. We do this by using mutually exclusive subsets of the overall station data and secondly 668 omitting all the station data from some large countries. 669 670 4.2.1 Using only a subset of the station data 671 672 For this exercise we took the 5583 stations and separated them into five subsets each 673 containing a unique 20% of the data. The ordering of the stations in the station file 674 uses the World Meteorological Organization (WMO) numbering system, with the 675

exception of the 892 USHCN stations, which have been placed at the end. The first

subset contained stations ordered 1, 6, 11, 16... etc in the list. The second contained

stations ordered 2, 7, 12, 17...etc, with the fifth set containing the stations ordered 5,

10, 15, 20... In this separation into five subsets, no account was taken of whether the
station had sufficient data for the 1961-90 reference period. Therefore, after removal

of those station records with insufficient data during the 1961-90 reference period, the

size of each subset may differ slightly. It will also differ back in time, since record

length is also not considered when forming the subsets. For each subset the 20% of

the data were gridded using the same method as described in section 3.2 and 684 hemispheric seasonal and annual averages calculated as stated in section 4.1. Figure 5 685 shows the hemispheric averages from the five networks, by season and year, together 686 with that of the complete CRUTEM4 network (i.e. 100%). Differences between the 687 five networks are barely noticeable after the 19th century for the NH. For the SH there 688 are larger differences, but for both hemispheres they are well within the error ranges 689 690 calculated by Brohan et al. (2006) approach. For the 19th century, differences are only marked in the Southern Hemisphere, where coverage is poorer than in other parts 691 692 of the world.

693

The results shown in Figure 5 are not unexpected. A similar assessment of this kind 694 695 was undertaken by Parker et al. (2009) using two networks of offset and non-adjacent 5° by 5° latitude/longitude grid boxes. The differences in the 19th century in the SH 696 for Parker et al. (2009) were larger, but that was due to an even smaller set of stations 697 698 (and hence grid boxes) being used. The simple reason that a small network of welllocated sites can closely reproduce the series derived from a much greater station 699 700 network is due to there being a limited number of independent spatial degrees of 701 freedom (see Jones et al., 1997, where this concept was explored in considerable 702 detail). That paper concluded that hemispheric and global average temperatures (at 703 annual timescales and above) could be reliably estimated (i.e. within the error ranges shown in Figure 5) by as few as 50-100 sites. Reliably here means within the error 704 range estimated by Brohan et al. (2006). The greater differences during the 19th 705 706 century, especially for the SH, arise because the station network is so limited then, that separating it into five subsets results in each subset having insufficient stations to 707 708 obtain a reliable SH temperature estimate. This point is discussed more in section 4.3.

710 There are a number of obvious asides that can be made once the concept is realised. For example, if resources became available for digitization of early temperature data 711 712 then these would be best targetted at the data sparse regions, particularly in the Southern Hemisphere and the tropics. These issues are discussed further in Jones and 713 Wigley (2010). 714 715 **4.2.2 Omitting large countries** 716 717 Another possible concern is that the CRUTEM4 station database might be unduly 718 719 dominated by data from particular countries or regions. Gridding the data overcomes 720 this to a large extent but the robustness of the CRUTEM4 data to this issue can 721 additionally be assessed by considering the effect of removing series from different countries of the world. In the first part of this exercise we took the 5583 stations and 722 723 separately removed all stations in the contiguous United States and Australia. Figure 6 shows the NH seasonal and annual averages based on all stations compared to 724 averages omitting sites from the contiguous United States. The effect here is only 725 noticeable in the 19th century and then mostly only in winter (DJF) and spring 726 727 (MAM). In these seasons, and to some extent in the annual mean, omitting the 728 contiguous US data lowers the earliest temperature estimates, implying that the mean US temperature anomalies are slightly warmer than the mean for the rest of the NH. 729 Figure 7 shows similar plots omitting all Australian stations. This is a much more 730 731 severe test than in Figure 6, as Australia is a much larger component of the SH landmass than the contiguous USA is of the NH. Removing Australian stations has a 732 larger effect, particularly prior to 1900, but as with Figure 5, if error ranges were 733

plotted these would easily encompass the differences seen. The sign of the difference arising from the removal of Australian temperatures varies between seasons and with time, indicating no systematic difference with the mean of the rest of the SH. In the annual mean, removing Australian data warms the SH mean around 1860 and in the 1940s, but cools it during the 1880s.

739

740 Although both Australia and the contiguous United States are very large areas, we now go a stage further and omit two larger regions: first Russia and second the former 741 742 Soviet Union (fUSSR). The results are shown in Figures 7 and 8. As expected the effects of removing fUSSR are slightly more apparent than when removing just 743 744 Russia, though the periods of the differences tend to be similar (as Russia was a large 745 component in terms of area of the fUSSR). Removal of either tends to make the NH 746 slightly warmer in the 19th century, particularly in the winter (DJF) and spring (MAM) seasons. As we have added large numbers of extra stations in both Russia and 747 748 the Arctic (particularly the Russian Arctic) this is probably the principal reason for the slightly cooler NH temperatures during the 19th century and to a lesser extent the 749 slightly warmer temperatures in the last ten years in CRUTEM4 compared to 750 751 CRUTEM3. The similarity of the seasonal differences between Figure 1 and Figures 7 752 and 8 is very suggestive of this being the most likely cause. Additional data in other 753 parts of the world (principally Europe in the 19th century) are also probably factors. The negligible effects of omitting large regions (and consequently large numbers of 754 stations) are a direct result of the remaining stations still being adequate for 755 756 monitoring hemispheric averages by sampling the most important spatial degrees of freedom, across the world's land areas. 757

758

759 There are also issues with the exposure of early instrumental data prior to about 1910 760 over parts of Australia (Nicholls et al., 1996). It is important that resources be found to objectively estimate the necessary adjustments, so that pre-1910 data can be used 761 762 with more confidence. Biases due to different exposures of early thermometers are also important in Europe, particularly for the period before 1870 (Böhm et al., 2010). 763 Issues with the different exposure properties (from pre-louvred-screen locations) are 764 765 only beginning to be incorporated into global temperature databases. Traditional approaches to station homogenization are unable to detect the problem as all sites 766 767 within a region are likely similarly affected by the same problem (see discussion in Jones and Wigley, 2010). In this study we have included 107 series from the GAR 768 that have been adjusted to attempt to compensate for changes in exposure, but it is 769 770 apparent that stations in other mid and high latitude regions probably need adjustment 771 during the summer months (typically to cool the earliest temperature estimates relative to the modern data). For the NH, the effect principally occurs for the period 772 773 before about 1880, so the regions of the world where additional assessment is needed is Europe, Russia and Iceland/Greenland. Canada and Alaska are also likely to be 774 affected, but there are few stations beginning before 1880. Assessment will be 775 difficult as all series are likely to be similarly affected. Approaches such as the 776 777 rebuilding of the screens from the 19th century (e.g. Brunet *et al.*, 2010) and taking 778 parallel measurements is a possible avenue to follow.

- 779
- 4.3 Comparison of annual hemispheric series with the results of analyses by
 other groups
- 782

783	In this section the two hemispheric land-only averages are compared with two other
784	analyses: series developed by the National Climatic Data Center (NCDC, Smith and
785	Reynolds, 2008) and the Goddard Institute for Space Studies (GISS, Hansen et al.,
786	2010). Our present study uses a base period of 1961-90 while NCDC currently uses
787	1901-2000 and GISS 1951-80 for their published series. For direct comparison we
788	have adjusted both series to our 1961-90 base period on a monthly basis. Figure 9
789	shows hemispheric seasonal and annual series from CRUTEM4, additionally plotting
790	decadally-smoothed series for the two US analyses. For both the NH and SH,
791	CRUTEM4 tends to more closely follow NCDC than GISS, even though all three
792	show similar amounts of long-term warming since 1880. The reason why CRUTEM4
793	more closely follows NCDC has been discussed before (Vose et al. 2005) and relates
794	to these two analyses using the same 5° by 5° latitude/longitude grid boxes compared
795	to the 40 equal area boxes used per hemisphere by GISS. Correlations between
796	CRUTEM4 and NCDC/GISS are 0.984/0.980 for the NH and 0.950/0.927 for the SH
797	(for the 1880 to 2010 period) and support the findings of Vose et al. (2005).
798	Differences between the three analyses are greater in the SH compared to the NH,
799	particularly before about 1920. Differences are not sustained right back to the start of
800	records, however, as the lines move closer together again in the 1880s. The
801	uncertainty ranges for the SH are larger than the NH due to more missing boxes
802	(particularly over the Antarctic) and fewer stations per grid box over Africa and South
803	America than the northern continents.
804	
90 5	4.4 Comparisons with FDA Interim and FDA 40 Doonalyses

- **4.4 Comparisons with ERA-Interim and ERA-40 Reanalyses**

807	In this section we compare CRUTEM4 at the hemispheric resolution with similar land
808	averages calculated from two versions of the European Centre for Medium-Range
809	Weather Forecasting Reanalyses (ECMWF) Reanalyses (ERA-40 and ERA-Interim).
810	ERA-40 covers the period 1958-2001 and ERA-Interim (which uses 4D variational
811	assimilation compared to the 3D schemes in ERA-40) the period from 1979 to 2010.
812	For a discussion of the ECMWF Reanalyses see Simmons et al. (2004, 2010) and
813	Uppala et al. (2005). A common period for both Reanalyses is 1981-2000 so we
814	reduce their absolute land temperature values to anomalies from this base period.
815	Figure 10a shows seasonal and annual comparisons between the two Reanalyses and
816	CRUTEM4. As with the earlier plots we show seasonal and annual values of
817	CRUTEM4 (from the 1961-90 base period) with the two ECMWF Reanalyses as
818	smoothed series using a 10-year Gaussian smoother. For the NH, both ERA-40 and
819	ERA-Interim track one another very well over their period of overlap (1979-2001)
820	and are offset from CRUTEM4 by an amount that relates to the difference between
821	the 1961-90 and 1981-2000 periods. In Figure 11 we compare ERA-Interim with
822	CRUTEM4 for the Northern Hemisphere on the monthly timescale from 1979. For
823	this plot, the base period of 1979-2010 is used for both series. The agreement between
824	the two series is excellent. ERA-Interim warms slightly more than CRUTEM4 over
825	this period, which is probably due to greater warming in the Arctic land grid boxes in
826	ERA-Interim that are missing in CRUTEM4.
877	

For the SH in Figure 10b, there are marked differences between both Reanalyses

829 during their overlap period. ERA-Interim is closer to CRUTEM4 but the similarity of

the smooth curves is markedly less good particularly in the austral autumn (MAM)

and winter (JJA). ERA-40 is further offset from CRUTEM4 before about 1980 in all

832	season except austral summer, and this is due to a cold bias in the climate model used
833	by both Reanalayses over the Antarctic (Uppala et al., 2005). To illustrate this further,
834	we have calculated averages for both the SH 0-60°S and for the Antarctic (60-90°S)
835	for all three series (Figure 12). For ERA-Interim, the time series agreement (for the
836	SH 0-60°S) is almost as good as the NH land but for ERA-40, there is a significant
837	divergence before the early 1970s with warmer ERA-40 temperatures in all seasons.
838	This difference was commented upon by Simmons et al. (2004) and was shown to be
839	due to ERA-40 being given little input data for Australia prior to the early 1970s.
840	With little input data to correct model biases, the Reanalyses tends to the model
841	simulation which for Australia is a model that is biased warm (see further discussion
842	in Simmons et al., 2004 and Uppala et al., 2005). For the Antarctic, the cold bias in
843	the climate model used by ERA-40 is clearly evident, particularly so in all seasons,
844	although it is smaller in the austral summer (DJF). Figure 13 repeats Figure 11 but for
845	the SH 0-60°S showing good agreement between CRUTEM4 and ERA-Interim, but
846	this is less good than the NH for the 12-month Gaussian smoothed lines.

848 **5. Conclusions**

849

In this paper we have detailed the developments to the CRUTEM4 dataset available from the Climatic Research Unit. The improvements to the quality of the grid-box dataset have been made possible by better availability of the basic station data. The homogeneity of the station data has been improved by investments of effort by a number of research groups and particularly by a number of NMSs around the world. We undertook much homogeneity work in the 1980s, but recommended at that time that this work be best undertaken by NMSs. This is beginning to come to fruition and

857 we hope that more can find the resources to complete this task. In the 1980s, we adjusted 312 station series (then about 10% of the overall total of stations). 858 Replacement of many of these series by improvements from NMSs means that there 859 are only 219 stations (4.6% of the new total of stations with normals) that we adjusted 860 almost thirty years ago. The major bias issue that still affects the dataset relates to 861 exposure of the thermometers before louvred screens were introduced between 1870 862 863 and 1880. Three studies (Böhm et al., 2010, Brunet et al., 2011 and Nicholls et al., 1996) have considered the problem (summer temperatures are probably biased warm 864 865 by up to 0.5°C) and provided adjusted data in the case of the Greater Alpine Region, which we have used. We urge more studies of these kinds to be undertaken using the 866 parallel measurement approach developed by Brunet et al. (2011). 867

868

869 Differences in the hemispheric averages produced by the new version (CRUTEM4) compared to the earlier (CRUTEM3) are relatively small and well within the error 870 871 ranges developed using the techniques described in Brohan et al. (2006). This result is not unexpected and confirms a number of other studies by the groups producing these 872 datasets. To illustrate this robustness further we carried out two sets of analyses, 873 focussing on the hemispheric-scale averages that result. Firstly, we separated the 874 875 station data into five independent samples each comprising 20% of the basic station 876 series. Secondly, we separately omitted all the station series from large countries (contiguous United States, Australia, Russia and the former Soviet Union). For both 877 sets there were differences between the analyses, but they were barely visible on time-878 879 series plots after 1900 for the Northern Hemisphere (NH) and after about 1920 for the Southern Hemisphere (SH), so effects are only for periods where coverage becomes 880

881	markedly sparse.	Even then,	differences	were well	within	the range	of the erro	r
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estimates we have developed in an earlier study (Brohan *et al.*, 2006).

883

- Finally, we compared the hemispheric averages with estimates derived from
- Reanalysis products (ERA-40 and ERA-Interim) developed by the European Centre
- for Medium-Range Weather Forecasts. ERA-40 covers the period 1958-2001 and
- 887 ERA-Interim 1979-2010. For the NH, the agreement between the two Reanalyses and
- 888 CRUTEM4 was excellent. For the SH, agreement was considerably poorer, but if the
- 889 SH was restricted to 0-60°S then it was markedly improved. Problems with
- 890 Reanalyses over the Antarctic are well known, though ERA-Interim is a considerable
- improvement over ERA-40 for the Antarctic region.

892

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Table 1: Source codes and number of stations from each

Code	Station Count	Regions	Sources (paper, project acronym or website)		
10	2444	Global	Jones (1994), Jones and Moberg (2003) and Brohan <i>et al.</i> (2006); homogenetics assessed in Jones <i>et al.</i> (1985, 1986).		
30	440	Europe, East Asia, Africa, USA, S. America and Australia	GHCNv2 (adjusted series) http://www.ncdc.noaa.gov/ghcnm/v2.php		
31	113	Middle East, E. Asia and N. Africa	GHCNv2 (adjusted series) – added at a different time than Code 30 http://www.ncdc.noaa.gov/ghcnm/v2.php		
33	11	North Atlantic/Fennoscandia	NACD project: Frich <i>et al.</i> (1996)		
34	18	Fennoscandia	NORDKLKIM project: Tuomenvirta et al. (2001)		
35	1	Long European series	IMPROVE project: Camuffo and Jones (2002)		
36	1	Canadian climate series	CHTD: Vincent and Gullett (1999). Replaced by code 42 stations		
37	63	Asia, Central and S. America	WWR, data added in 2006, mostly for the 1981-1990 decade		
38	60	Mali, DR. Congo plus a few others	Series given to CRU by various academic visitors		
40	98	Australia	Homogenized series, Bureau of Meteorology, Australia <u>ftp://ftp.bom.gov.au/anon/home/ncc/www/change/HQdailyT/HQdailyT_ir</u>		
41	13	New Zealand	Homogenized series , NIWA, New Zealand http://www.niwa.co.nz/our-science/climate/news/all/nz-temp-record		
42	207	Canadian (updated version of #36)	AHCCD (Vincent et al., 2002) <u>http://www.ec.gc.ca/dccha-ahccd/</u>		
43	372	Russia	RIHMI-WDC: Razuvaev and Bulgina (2009) <u>http://meteo.ru/climate/sp_clim.php</u>		
44	1064	Contiguous United States	USHCN : Menne <i>et al.</i> (2009) http://www.ncdc.noaa.gov/oa/climate/research/ushcn/ushcn.html		
45	13	United Kingdom	UK Met Office and SNIFFER (Jones and Lister, 2004) http://www/metoffice.gov.uk/climate/uk/stationdata		
47	1	Greenland (Qaqortoq)	Vinther <i>et al.</i> (2006) and Cappeln (2010, 2011) <u>http://www.dmi.dk/dmi/tr10-05</u> and <u>http://www.dmi.dk/dmi/tr11-05</u>		
48	6	Denmark and Faroe Islands	Cappeln (2010, 2011) - <u>http://www.dmi.dk/dmi/tr10-05</u> and <u>http://www.dmi.dk/dmi/tr11-05</u>		

49	107	Greater Alpine Region (GAR)	HISTALP: Böhm <i>et al.</i> (2010) http://www.zamg.ac.at/histalp
50	61	Central Asian stations mostly at high elevation	NSIDC: Williams and Konovalov (2008) http://nsidc.org/data/docs/noaa/g02174 central asia data/index.html
51	213	Russia (includes some fUSSR) – daily series	RIHMI -WDC http://meteo.ru/english/climate/d_temp.php
52	12	Swiss climate series combined by HISTALP	http://www.meteosuisse.admin.ch/web/en/research/events/archive/ foko_2007_2.Par.0008.DownloadFile.tmp/beggertfoko20072.pdf
53	30	Various NMSs	Data from various NMSs received in 2010
54	235	Arctic Series	IARC: Bekryaev <i>et al.</i> (2010) and see data series at: <u>http://people.iarc.uaf.edu/~igor</u>
	5583	Total	

Table 2: Total temperature change (°C) for CRUTEM4 described by linear

least squares regression lines fitted over three periods: 1861-2010, 1901-2010

and 1979-2010. Comparative annual values for CRUTEM3 are shown at the

1044 bottom.

	1861-2010		1901-2010		1979-2010	
	NH	SH	NH	SH	NH	SH
Jan.	1.39	0.94	1.12	0.84	1.02	0.39
Feb.	1.48	0.96	1.55	0.77	1.21	0.37
Mar.	1.69	0.92	1.62	0.83	1.40	0.38
Apr.	1.25	0.91	1.33	0.78	1.24	0.35
May	1.06	1.11	1.15	0.90	1.00	0.15
Jun.	0.69	0.92	1.00	0.78	0.99	0.53
Jul.	0.52	1.10	0.84	0.86	1.00	0.58
Aug.	0.67	1.07	0.82	0.95	1.04	0.40
Sep.	0.71	0.89	0.75	0.88	0.98	0.64
Oct.	1.20	0.92	0.89	0.95	1.16	0.65
Nov.	1.54	0.86	1.10	0.81	1.43	0.43
Dec.	1.47	0.76	1.27	0.74	0.81	0.18
Year	1.14	0.94	1.12	0.84	1.11	0.42
CRUTEM3	1.05	0.77	1.06	0.82	1.02	0.39

Figure Captions

Figure 1: Comparison of station counts and percent area coverage (of the entire
hemisphere including oceans) for CRUTEM4 (thick) and CRUTEM3 (thin) for the
NH and SH.

1051

Figure 2: Seasonal and annual averages by hemisphere for CRUTEM4, with the

smoothed lines showing decadal-filtered series for CRUTEM4 (thick) and CRUTEM3

1054 (thin). Hemispheric temperature averages for the land areas are expressed as

anomalies (in degrees Celsius from the base period of 1961-90). The decadal

smoothing uses a 13-term Gaussian filter, padded at the ends with the mean of the

adjacent 6 values. (a) NH, (b) SH and (c) global for the annual average. The global

1058 average is calculated as [(2/3)NH + (1/3)SH].

1059

Figure 3: Comparison of annual mean temperature anomalies from (a) CRUTEM3

and (b) CRUTEM4 for the period 2001-2010 (degC anomalies from 1961-90). Grid

1062 boxes with less than 50% data coverage (5 years) are left white. (c) Difference (b)-(a)

to compare CRUTEM3 and CRUTEM4 means over this period. Grid boxes with

insufficient data (<5 years during 2001-2010) in CRUTEM3 but sufficient data in

1065 CRUTEM4 are outlined in black; black crosses indicate the reverse situation.

1066

Figure 4: Comparison of linear trends fitted to (a) CRUTEM3 and (b) CRUTEM4
annual temperatures for the period 1951-2010. Trends are expressed as the degC
linear trend change over the 60 year period. Grid boxes with less than 80% data
coverage (48 years) are left white. Boxes or regions outlined in black are those where
the trend slopes are significantly different from zero, with 95% confidence taking into

1072 account first-order autocorrelation. (c) Difference (b)-(a) to compare CRUTEM3 and

1073 CRUTEM4 trends over this period. Grid boxes with insufficient data (<48 years

1074 during 1951-2010) in CRUTEM3 but sufficient data in CRUTEM4 are outlined in

1075 black; black crosses indicate the reverse situation.

1076

Figure 5: Seasonal and annual averages by hemisphere for CRUTEM4 compared to 5
sets of independent station data (each representing roughly 20% of the total station
dataset). The five different subsets are referred to as A to E, indicated by different
coloured lines. The data are plotted smoothed using a 21 point binomial filter as used
in Brohan *et al.* (2006). (a) NH and (b) SH. The green swathe is the uncertainty range
from 2.5 to 97.5% calculated using Brohan et al. (2006) at this smoothing timescale.

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Figure 6: Seasonal and annual averages by hemisphere for CRUTEM4 compared to
(a) excluding all stations from the contiguous United States from the NH and (b)
excluding all stations from Australia from the SH. Smoothing and linestyles as in

1088

1087

Figure 2.

Figure 7: Seasonal and annual averages for the NH for CRUTEM4 compared to
excluding all stations from Russia. Smoothing and linestyles as in Figure 2.

1091

Figure 8: Seasonal and annual averages for the NH for CRUTEM4 compared to
excluding all stations from the former Soviet Union. Smoothing and linestyles as in
Figure 2.

1095

1097	developed by NCDC (Smith and Reynolds, 2008) and GISS (Hansen et al., 2010).
1098	Only the smoothed series from NCDC and GISS are shown. The smoothing here is
1099	the same as Figure 2, but the green swathe encompasses the 2.5 and 97.5%
1100	uncertainty range calculated at the interannual timescale using the approach of Brohan
1101	<i>et al.</i> (2006). (a) NH and (b) SH.
1102	
1103	Figure 10: Seasonal and annual averages for CRUTEM4 compared to two versions of
1104	the ECMWF Reanalyses (red ERA-40 from 1958-2001 and blue ERA-Interim from
1105	1979-2010). The two reanalyses have been set to a base period of 1981-2000, so are
1106	offset slightly cooler than CRUTEM4, which uses a base period of 1961-1990.
1107	Smoothing as in Figure 2. (a) NH and (b) SH.
1108	
1109	Figure 11: Monthly time series for ERA-Interim and CRUTEM4 (both set as
1110	anomalies by month based on the period 1979-2010) for the NH. The smoothed line is
1111	a 12-term Gaussian filter. The least-squares linear trend during the 1979-2010 overlap
1112	period (using annual averages) is shown for both series, together with its slope.
1113	
1114	Figure 12: As Figure 8 but for (a) SH 0-60°S and (b) Antarctica (60-90°S).
1115	
1116	Figure 13: As Figure 9, but for the SH 0-60°S.
1117	

Figure 9: Seasonal and annual averages for CRUTEM4 compared to similar series

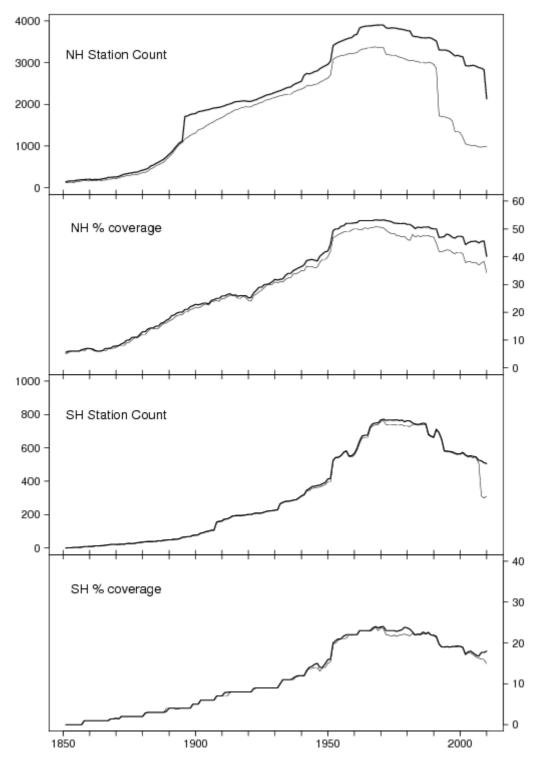


Figure 1: Comparison of station counts and percent area coverage (of the entire
hemisphere including oceans) for CRUTEM4 (thick) and CRUTEM3 (thin) for the
NH and SH.

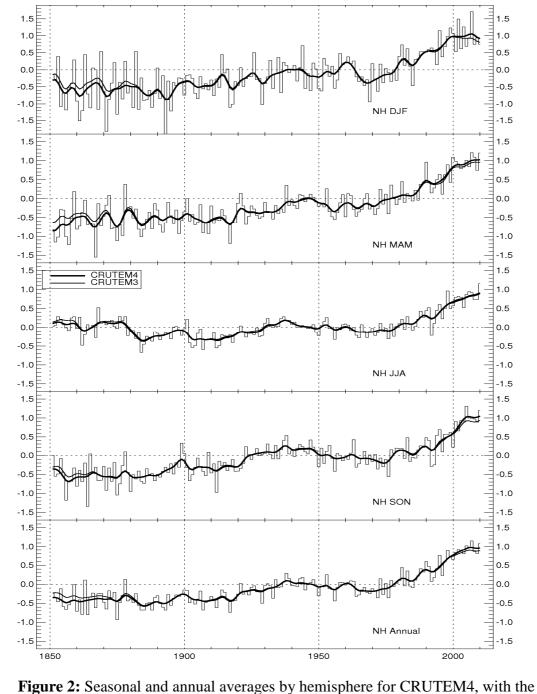


Figure 2: Seasonal and annual averages by hemisphere for CRUTEM4, with the
smoothed lines showing decadal-filtered series for CRUTEM4 (thick) and CRUTEM3

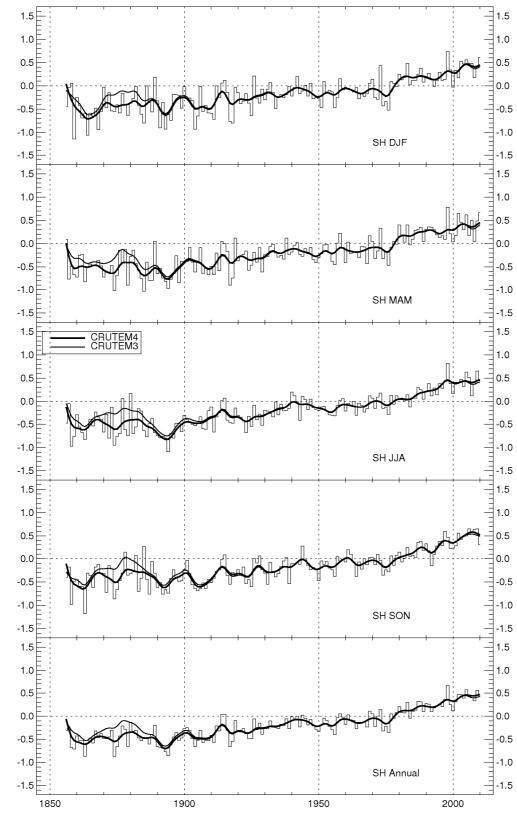
1127 (thin). Hemispheric temperature averages for the land areas are expressed as

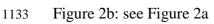
anomalies (in degrees Celsius from the base period of 1961-90). The decadal

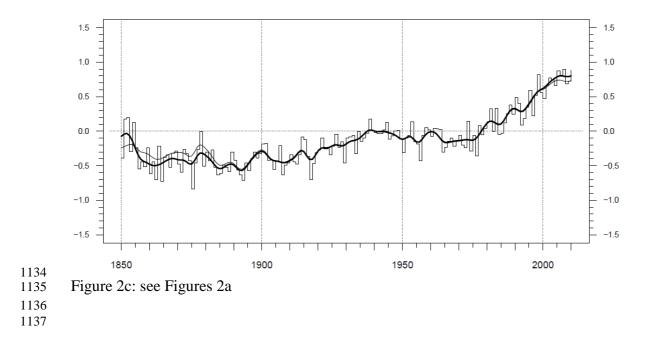
smoothing uses a 13-term Gaussian filter, padded at the ends with the mean of the

adjacent 6 values. (a) NH, (b) SH and (c) global for the annual average. The global

1131 average is calculated as [(2/3)NH + (1/3)SH].







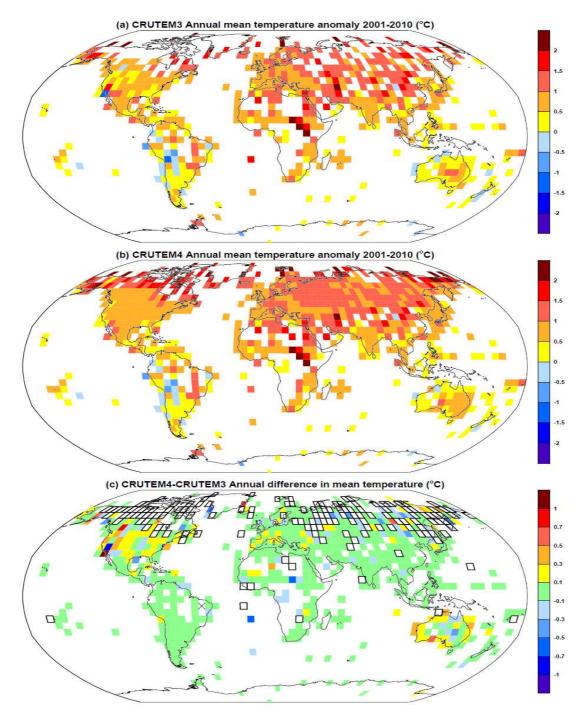
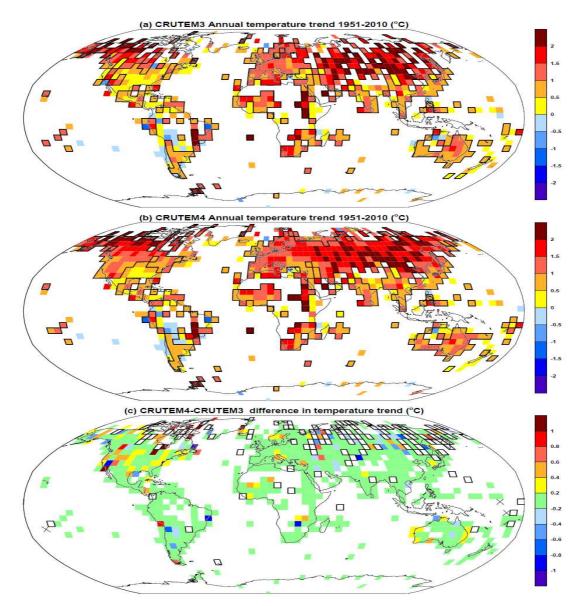
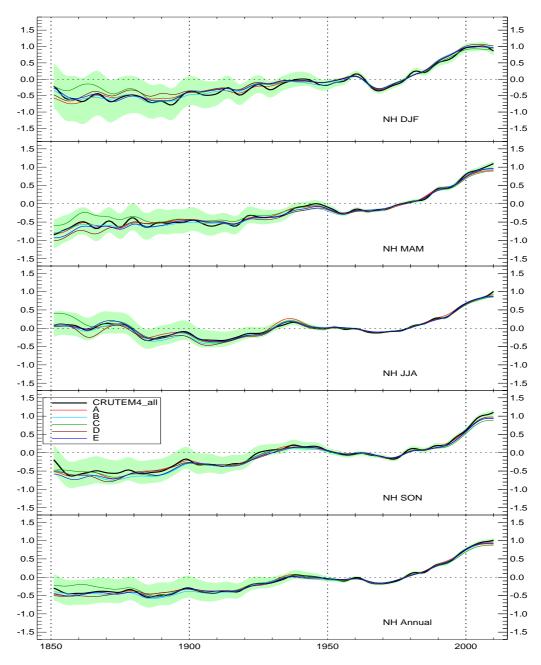


Figure 3: Comparison of annual mean temperature anomalies from (a) CRUTEM3 and (b) CRUTEM4 for the period 2001-2010 (degC anomalies from 1961-90). Grid boxes with less than 50% data coverage (5 years) are left white. (c) Difference (b)-(a) to compare CRUTEM3 and CRUTEM4 means over this period. Grid boxes with insufficient data (<5 years during 2001-2010) in CRUTEM3 but sufficient data in CRUTEM4 are outlined in black; black crosses indicate the reverse situation.

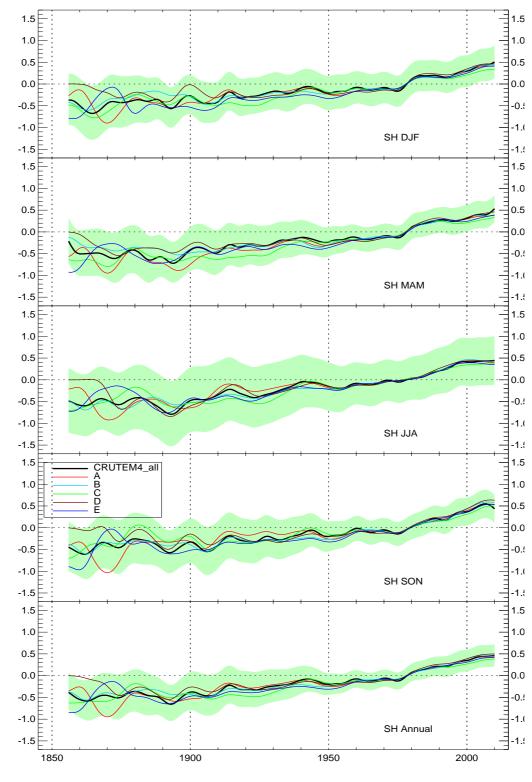


1146 Figure 4: Comparison of linear trends fitted to (a) CRUTEM3 and (b) CRUTEM4 1147 annual temperatures for the period 1951-2010. Trends are expressed as the degC 1148 linear trend change over the 60 year period. Grid boxes with less than 80% data 1149 coverage (48 years) are left white. Boxes or regions outlined in black are those where 1150 the trend slopes are significantly different from zero, with 95% confidence taking into 1151 1152 account first-order autocorrelation. (c) Difference (b)-(a) to compare CRUTEM3 and 1153 CRUTEM4 trends over this period. Grid boxes with insufficient data (<48 years during 1951-2010) in CRUTEM3 but sufficient data in CRUTEM4 are outlined in 1154 black; black crosses indicate the reverse situation. 1155



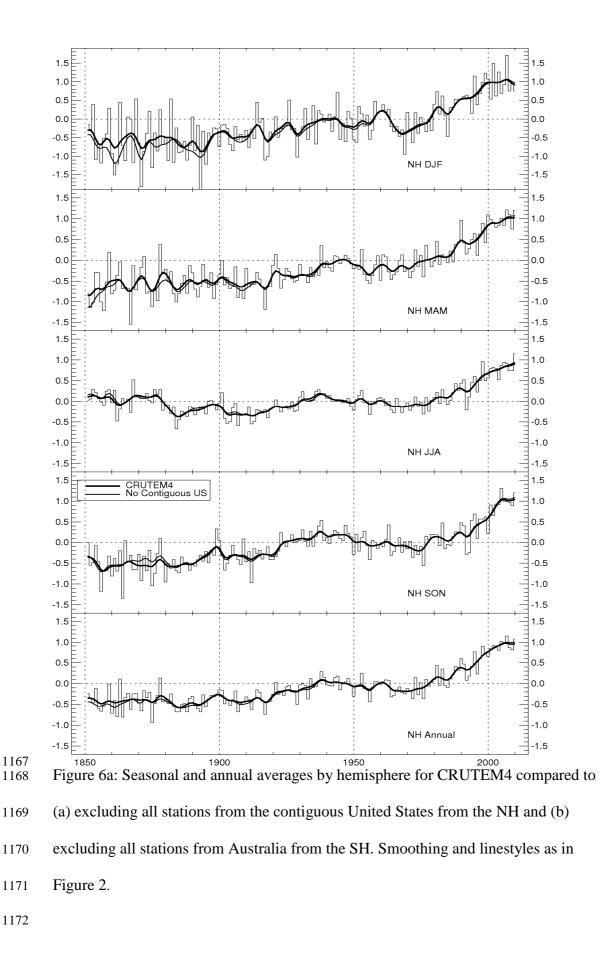
1157

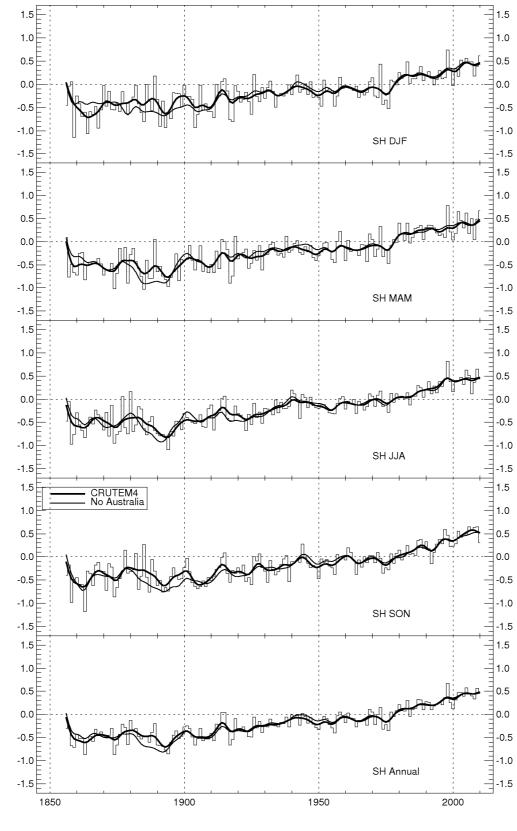
Figure 5a: Seasonal and annual averages by hemisphere for CRUTEM4 compared to 5 sets of independent station data (each representing roughly 20% of the total station dataset). The five different subsets are referred to as A to E, indicated by different coloured lines. The data are plotted smoothed using a 21 point binomial filter as used in Brohan *et al.* (2006). (a) NH and (b) SH. The green swathe is the uncertainty range from 2.5 to 97.5% calculated using Brohan et al. (2006) at this smoothing timescale.





1166 Figure 5b: See Figure 5a





1176 Figure 6b: Without Australia – see Figure 6a.

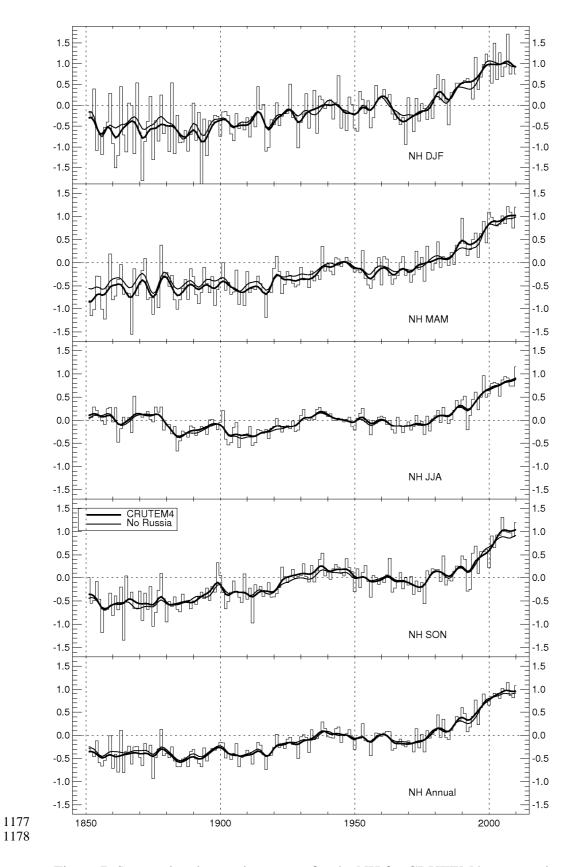


Figure 7: Seasonal and annual averages for the NH for CRUTEM4 compared toexcluding all stations from Russia. Smoothing and linestyles as in Figure 2.

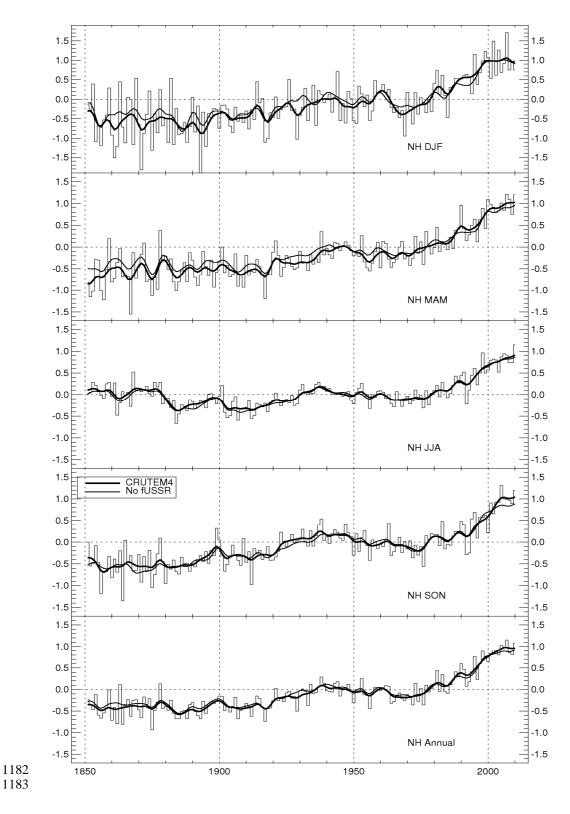
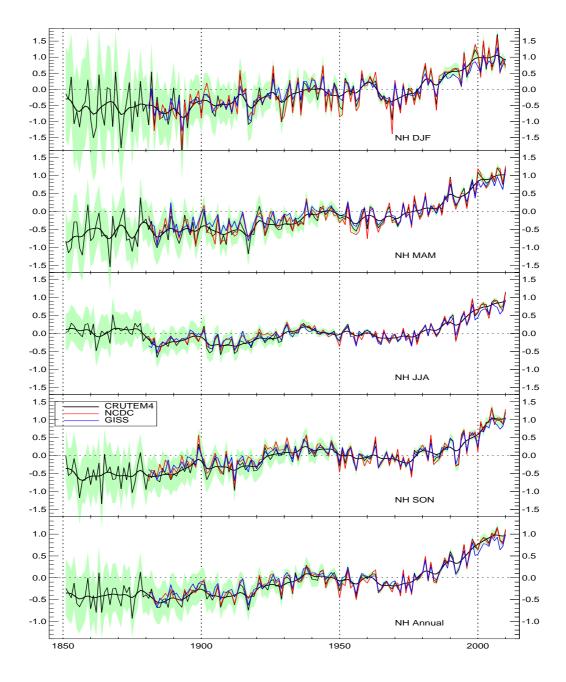


Figure 8: Seasonal and annual averages for the NH for CRUTEM4 compared to
excluding all stations from the former Soviet Union. Smoothing and linestyles as in
Figure 2.



1189 Figure 9a: Seasonal and annual averages for CRUTEM4 compared to similar series

developed by NCDC (Smith and Reynolds, 2008) and GISS (Hansen *et al.*, 2010).

1191 Only the smoothed series from NCDC and GISS are shown. The smoothing here is

the same as Figure 2, but the green swathe encompasses the 2.5 and 97.5%

1193 uncertainty range calculated at the interannual timescale using the approach of Brohan

1194 *et al.* (2006). (a) NH and (b) SH.

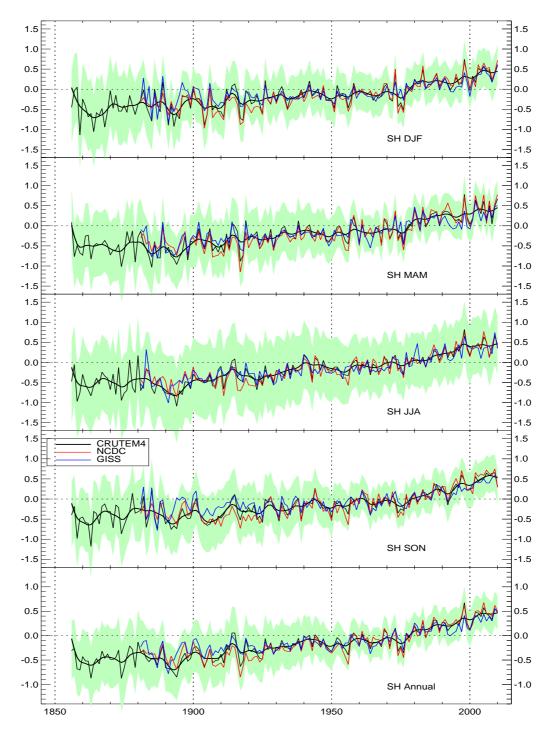
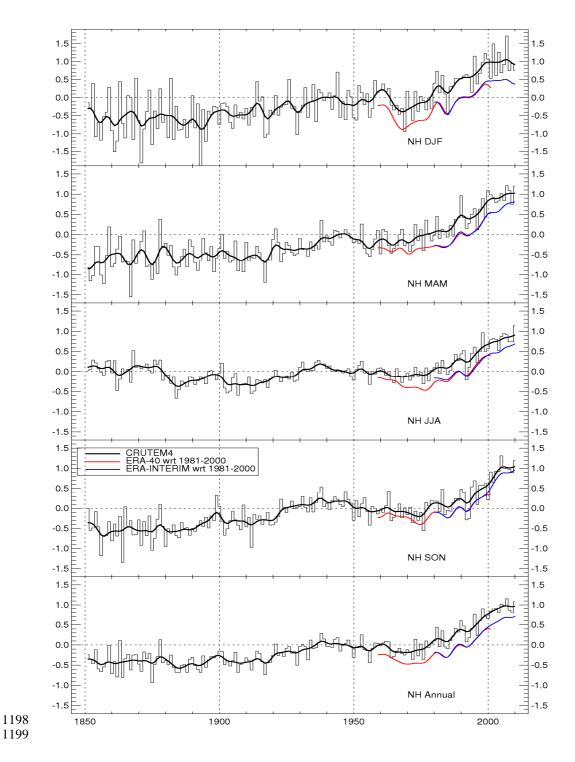


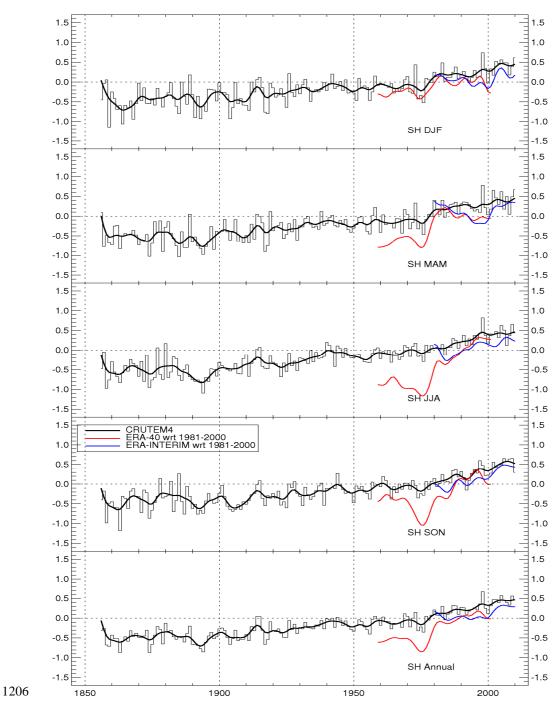
Figure 9b: see Figure 9, but for the SH



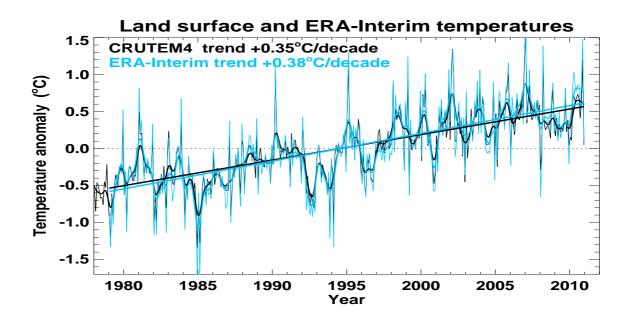
1200 Figure 10a: Seasonal and annual averages for CRUTEM4 compared to two versions

1201 of the ECMWF Reanalyses (red ERA-40 from 1958-2001 and blue ERA-Interim from

- 1202 1979-2010). The two reanalyses have been set to a base period of 1981-2000, so are
- 1203 offset slightly cooler than CRUTEM4, which uses a base period of 1961-1990.
- 1204 Smoothing as in Figure 2. (a) NH and (b) SH.



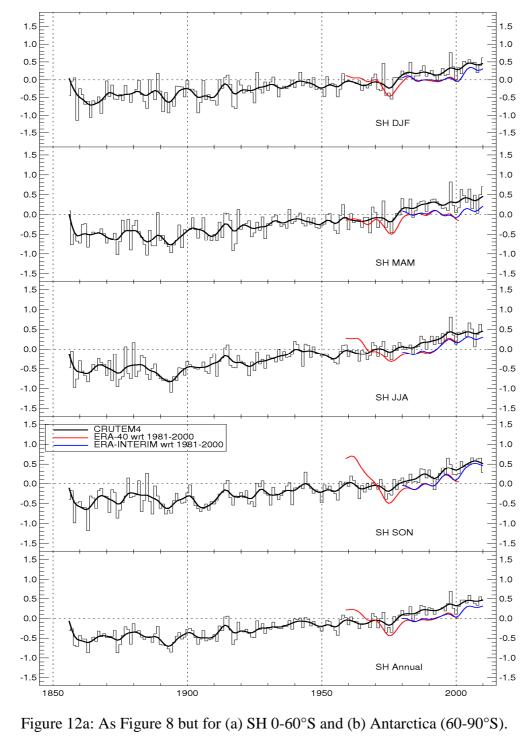
1207 Figure 10b: see Figure 10a

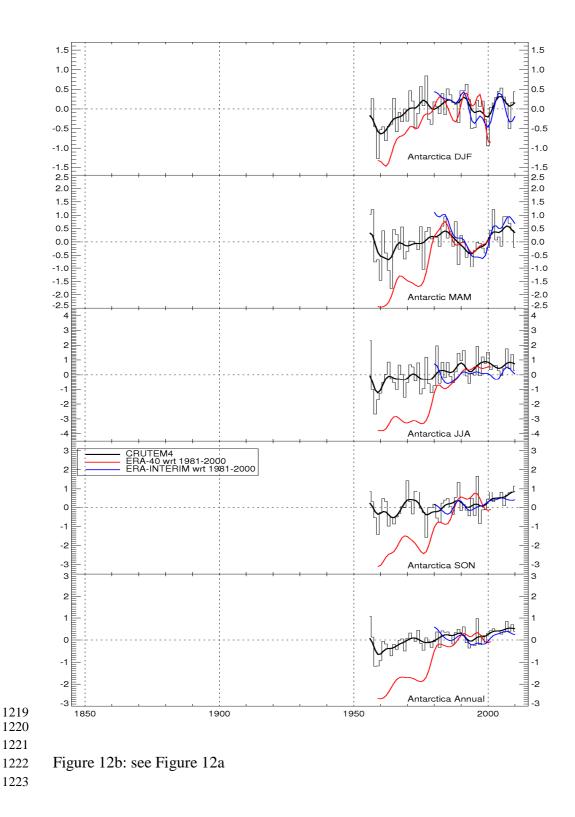


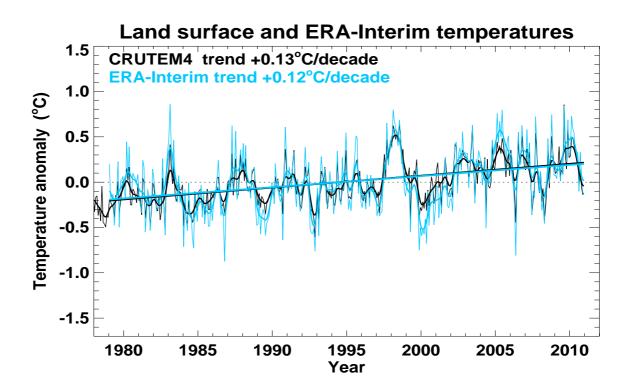
 $\begin{array}{c} 1208 \\ 1209 \end{array}$



- anomalies by month based on the period 1979-2010) for the NH. The smoothed line is
- 1212 a 12-term Gaussian filter. The least-squares linear trend during the 1979-2010 overlap
- 1213 period (using annual averages) is shown for both series, together with its slope.







1224 1225	Figure 13: As Figure 9, but for the SH 0-60°S.
1226	
1227	