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Identification of senescence and death in *Emiliania huxleyi* and *Thalassiosira pseudonana*: Cell staining, chlorophyll alterations, and dimethylsulphoniopropionate (DMSP) metabolism

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Abstract

We measured membrane permeability, hydrolytic enzyme, and caspase-like activities using fluorescent cell stains to document changes caused by nutrient exhaustion in the coccolithophore *Emiliania huxleyi* and the diatom *Thalassiosira pseudonana*, during batch-culture nutrient limitation. We related these changes to cell death, pigment alteration, and concentrations of dimethylsulphide (DMS) and dimethylsulfoniopropionate (DMSP) to assess the transformation of these compounds as cell physiological condition changes. *E. huxleyi* persisted for 1 month in stationary phase; in contrast, *T. pseudonana* cells rapidly declined within 10 days of nutrient depletion. *T. pseudonana* progressively lost membrane integrity and the ability to metabolise 5-chloromethylfluorescein diacetate (CMFDA; hydrolytic activity) whereas *E. huxleyi* developed two distinct CMFDA populations and retained membrane integrity (SYTOX green). Caspase-like activity appeared higher in *E. huxleyi* than *T. pseudonana* during the post-growth phase, despite a lack of apparent mortality and cell lysis. Photosynthetic pigment degradation and transformation occurred in both species after growth; chlorophyll *a* (Chl *a*) degradation was characterised by an increase in the ratio of methoxy Chl *a*:Chl *a* in *T. pseudonana* but not in *E. huxleyi*, and the increase in this ratio preceded loss of membrane integrity. Total DMSP declined in *T. pseudonana* during cell death and DMS increased. In contrast, and in the absence of cell death, total DMSP and DMS increased in *E. huxleyi*. Our data show a novel chlorophyll alteration product associated with *T. pseudonana* death, suggesting a promising approach to discriminate non-viable cells in nature.

Introduction

Phytoplankton cell physiology is fundamental to global biogeochemical cycles because the mediation of biogeochemical processes by phytoplankton, such as the production of the trace gas dimethylsulphide and carbon fixation, strongly depends on cell physiological state. Non-dividing alternative physiological states include senescence, quiescence (dormancy) and death (Franklin et al. 2006). Such alternative states are poorly understood, especially in eukaryotic marine phytoplankton, but are likely to be significant in natural assemblages. Some progress has been made in recognising cell state in the laboratory: the morphological changes associated with nutrient limitation in batch cultures have been studied, and similarities with metazoan programmed cell death (PCD; Bidle and Falkowski 2004; Franklin et al. 2006) have been described in certain phytoplankton (e.g., *Dunaliella tertiolecta*; Segovia and Berges 2009). An improved ability to recognise senescent, quiescent, moribund and dead cells within microbial populations is important because a substantial fraction of natural phytoplankton biomass may be non-viable (Veldhuis et al. 2001; Agusti 2004) and yet viability will be a major driver of primary production and biogeochemistry. Accurate estimation of phytoplankton primary production through remote sensing could be improved by practical recognition of different physiological states. Although efforts to understand physiological change in terms of variable pigment content within photosynthesizing cells via remote sensing (Behrenfeld and Boss 2006) offers a useful way to assess natural physiological variability, the ability to discriminate 'viability' cannot currently be achieved by remote sensing. In order to achieve this, we need to find robust indicators of cell death that have value in the field. As part of this effort we undertook a laboratory study which aimed to provide tools for field assessments of phytoplankton viability.

Chlorophyll *a* (Chl *a*) alteration during senescence is of great interest in organic geochemistry (Louda et al. 2002; Szymczak-Zyla et al. 2008) and may be useful as a field signal of phytoplankton cell death. One potential difficulty is that observations of Chl *a* alteration have not been explicitly linked with microalgal growth phase or physiological state (Louda et al. 2002) limiting its usefulness as an indicator of cell death. In general, increased concentrations of chlorophyll oxidation products have been observed in nutrient-depleted cells, but it is likely that specific chlorophyll transformation pathways vary between species (Bale 2010). Initial investigations into pigment alteration and cell viability in natural phytoplankton assemblages (using SYTOX green staining) have used pigment fluorescence to assess chlorophyll loss (Veldhuis et al. 2001). Such approaches have been useful, but miss vital information on the early alteration of chlorophyll. Early alteration mostly gives structures with indistinguishable absorption and fluorescence properties from the parent compound and which are, therefore, invisible to fluorescence-based methods. Molecular structures resulting from early stage alterations can be produced by the reaction of chlorophyll *a* with, for example, the reactive oxygen species H_2O_2 (Walker et al. 2002), and likely occur in conjunction with cell death because reactive oxygen species are associated with cell death. High-performance liquid chromatography (HPLC) methods vary in their ability to separate and detect chlorophyll allomers (Airs et al. 2001) and a suitable method has not yet been applied to the model species of this study in combination with independent measures of cell viability. In our study we link an assessment of viability (using flow cytometry) with a high resolution HPLC method (Airs et al. 2001), in combination with liquid chromatography-mass spectrometry (LC-MS) characterisation, in order to assess the pigment changes associated with changing physiological state.

Dimethyl sulphide (DMS) is the main natural source of reduced sulphur to the troposphere (Simó 2001). DMS is a volatile trace gas which promotes aerosol formation and thereby affects global climate (Charlson et al. 1987). The molecular precursor of DMS, the compatible solute dimethylsulphoniopropionate (DMSP), occurs at high intracellular concentrations (100–400 mmol L^{-1}) in coccolithophores such as *E. huxleyi* (Keller et al. 1989), and at lower concentrations in diatoms (Keller and Korjeff-Bellows 1996). DMSP can be released to the seawater dissolved organic carbon pool through grazing, viral lysis, cell senescence or active exudation, but information on the latter two processes is very limited (Stefels et al. 2007). Intracellular DMSP concentration increases in some phytoplankton species when growth is limited due to CO_2 or Fe limitation, Ultraviolet light exposure, toxic levels of cupric ions or addition of hydrogen peroxide (Sunda et al. 2002). On this basis Sunda et al. (2002) suggested that DMSP and its lysis products DMS and acrylate may form an antioxidant cascade. This would presumably increase the survival of phytoplankton cells during conditions associated with oxidative stress and elevated levels of reactive oxygen species. An alternative hypothesis is that under conditions of unbalanced growth an overflow mechanism operates whereby excess energy and reduced compounds are used for DMSP production to ensure the continuation of other metabolic pathways (Stefels 2000). Several studies have shown that nitrogen limitation leads to increased DMSP concentration (Stefels et al. 2007). For example, Harada et al. (2009) recently found that intracellular DMSP concentration increased from 2.1 to 15 mmol L-1 in 60 h when the diatom *Thalassiosira oceanica* was grown in low nitrate medium, and this was especially notable when the cells reached the stationary phase. In addition, Archer et al. (2010) showed that under conditions of acute photo-oxidative stress *Emiliania huxleyi* rapidly accumulated DMSP to a level that was 21% above that of control cells. Such processes must require an intact and functioning

metabolism, and a logical next step is to assess DMSP and DMS production in parallel with assessments of pigments and cell viability.

Emiliania huxleyi and *Thalassiosira pseudonana* are good model species for the major calcifying and silicifying phytoplankton groups and are therefore highly relevant for an investigation into cell physiology and its relationship with biogeochemical processes. We grew cells through the batch cycle and used flow cytometry to examine changes in physiological state using fluorescent cell stains for membrane permeability and enzyme activity. In conjunction with these cell viability assays we investigated the time course of pigment alteration using a high resolution HPLC-LC-MS method that allows the separation and detection of chlorophyll allomers (Airs et al. 2001). In addition, we analysed for DMSP and DMS to address the knowledge gap on the production of these compounds relative to cell viability.

Methods

Cell culture and growth measurements Unialgal duplicate cultures of *Emiliania huxleyi* (CCMP 1516; calcifying) and *Thalassiosira pseudonana* (CCMP 1335) were grown in 500 mL of ESAW/5 media (Enriched Seawater, Artificial Water; Harrison et al. 1980) in 1000 mL borosilicate conical flasks. Silica was omitted in *E. huxleyi* media. Photosynthetically active radiation was supplied at 100 μmol photons m^{-2} s^{-1} (Biospherical Instruments QSL 2101) from cool white fluorescent tubes, on a 14 h:10 h light:dark cycle (08:00 h – 22:00 h) at a constant temperature of 17°C. Each day at the same time (10:00 h) biomass was quantified as cell number, cell (or coccosphere in the case of *Emiliania huxleyi*) volume (Beckman Coulter MS3), and fluorescence (Heinz-Walz GmbH; PHYTO-PAM equipped with a PHYTO-ED measuring head). The efficiency of Photosystem II (F_V:F_M; 30 minute dark-acclimation) was measured at the same time.

143 *Flow cytometry and cell staining* Fluorescent staining analyses were conducted with
144 three molecular probes. Two of these have been described as 'live/dead' stains; SYTOX green
145 can be used to measure changes in membrane permeability (Veldhuis et al. 1997; 'dead' cells)
146 and CMFDA is cleaved by a variety of enzymes indicating hydrolytic enzymatic activity (D.J.
147 Franklin and J.A. Berges unpubl. data; Garvey et al. 2007; 'live' cells). SYTOX green
148 (Invitrogen S7020) was applied at a final concentration of 0.5 μmol L^{-1} during a 10 minute,
149 culture temperature, dark incubation. Uptake of the stain was compared with unstained
150 controls via flow cytometry (BD FACScalibur). SYTOX green was diluted from the supplied
151 5 mmol L^{-1} in dimethyl sulphoxide stock solution to 0.1 mmol L^{-1} in Milli-Q water and stored
152 frozen (-20°C) prior to use. CMFDA (5-chloromethylfluorescein diacetate; Invitrogen C2925)
153 was added to a final concentration of 10 μmol L^{-1} and incubated for 60 min at culture
154 temperature and light conditions. CMFDA was diluted to a concentration of 1 mmol L^{-1} in
155 acetone prior to use (Peperzak and Brussaard 2011) before aliquoting and storage at -20°C.
156 SYTOX-green and CMFDA final concentration and incubation time were optimised prior to
157 use using heat-killed cells (80°C, 5 min) and the 'maximum fluorescence ratio' approach
158 (Brussaard et al. 2001). We used an adaptation of the protocol of Bidle and Bender (2008) to
159 detect caspase-like activity: cells were stained in vivo with a fluorescein isothiocyanate
160 (FITC) conjugate of carbobenzoxy-valyl-alanyl-aspartyl-[O-methyl]-fluoromethylketone to
161 label cells containing activated caspases (CaspACE; Promega G7462). Caspases are proteases
162 thought to be specific to programmed cell death (*see* Discussion). CaspACE was added to
163 cells at a final concentration of 0.5 μmol L^{-1} and incubated for 30 min at culture temperature
164 in the dark, before flow cytometric analysis. For all stains working stocks were kept at -20°C
165 before use. We used Milli-Q water as a sheath fluid, analyses were triggered on red
166 fluorescence, using 'lo' flow (approximately 20 μL min^{-1}), and 10,000 events were collected.
167 We used an event rate between 100 and 400 cells s^{-1} to avoid coincidence and when needed,

samples were diluted in 0.1 μm-filtered artificial seawater prior to analysis. Flowset beads (Beckman-Coulter) were analysed at the beginning of each set of measurements and bead fluorescence was used to normalize stain fluorescence (Marie et al. 2005).

Photosynthetic pigments Culture samples (20-25 mL) were centrifuged (5300 x *g*, 20 min, 8°C), the supernatant discarded and cells were flash frozen in liquid N_2 and stored at -80^{o}C until analysis. Samples were extracted in 0.5 mL acetone under dim light by sonication (Amplitude 35%; Vibra Cell Probe; Sonics) for 45 s. The extract was clarified by centrifugation (10,956 x *g*, Microcentrifuge 5415; Eppendorf). Reversed-phase high performance liquid chromatography (HPLC) was conducted using an Agilent 1200 system with photodiode array detector. Instrument control, data processing and analysis were performed using Chemstation software. Separations were performed in the reversed-phase mode using two Waters (Milford, MA, USA) Spherisorb ODS2 C18 3 μm columns (150 x 4.6 mm i.d.) in-line with a pre-column containing the same phase (10 x 5 mm i.d.). A Phenomenex pre-column filter (Security Guard, ODS C18, 4 x 3 mm i.d.) was used to prevent rapid deterioration of the pre-column. Elution was carried out using a mobile phase gradient comprising acetonitrile, methanol, 0.01 mol L^{-1} ammonium acetate and ethyl acetate at a flow rate of 0.7 mL min^{-1} (Method C in Airs et al. 2001). All solvents were HPLC grade. Liquid chromatography-mass spectrometry (LCMS) analysis was performed using an Agilent 1200 HPLC with photodiode array detection coupled via an atmospheric pressure chemical ionisation (APCI) source to an Agilent 6330 ion trap mass spectrometer. The HPLC conditions used were as described above. The MS was operated in the positive ion mode. LCMS settings were as follows: drying temperature 350°C, APCI vaporiser temperature 450°C, nebulizer 413700 Pa, drying gas 5 L min^{-1}, capillary voltage -4500 V. Methanoic acid was added to the HPLC eluent post column at a flow rate of 5 μL min^{-1} to aid ionisation (Airs

and Keely 2000). Using a combination of high resolution HPLC and LCMS (Airs et al. 2001) enabled separation and structural assignment of chlorophyll alteration products present in the samples, as well as routinely detected chlorophylls and carotenoids.

DMSP and DMS Five mL of culture was sampled using gas-tight syringes and gently filtered (25 mm Whatman GF/F) using a Swinnex unit. The filter was then placed into a 4 mL vial containing 3 mL of 0.5 mol NaOH and immediately closed with a screw cap containing a PTFE/silicone septum (Alltech). The vials were kept in the dark and placed in a constant temperature heating block at 30°C overnight to equilibrate. The headspace of the vial was then analysed for DMS by piercing the septum with a gas-tight syringe and injecting 50 μL into a gas chromatograph (Shimadzu GC-2010 with flame photometric detection). The amount of DMSP particulate on the filter was then calculated with reference to standard curves and expressed as a concentration in the cells (Steinke et al. 2000). The filtrate was purged immediately to analyse culture DMS concentration. The filtrate was purged for 15 min (N_2, 60 mL min^{-1}) in a cryogenic purge-and-trap system; DMS was trapped in a Teflon loop (−150°C), flash evaporated by immersing the loop in boiling water and then injected into the GC (Turner et al. 1990). After purging the DMS from the filtrate, the concentration of $DMSP_{dissolved}$ was determined by transferring 4 mL of the purged filtrate into a 20 mL crimp vial, to which 1 mL of 10 mol NaOH was added and topped up with 10 mL distilled water to maintain a constant analytical volume of 15 mL. The vial was immediately closed with a Teflon coated septum and later analysed by the headspace technique. $DMSP_{total}$ was measured in an unfiltered volume of culture hydrolysed with 0.5 mL of 10 mol NaOH in a vial sealed gas-tight with a PTFE-silicone septum.

Results

Cell culture and growth measurements

To minimise the presence of dead cells and debris in the cultures at the beginning of the experiment, cultures were closely monitored and grown in semi-continuous mode before measurements commenced. From preliminary work it was clear that both *Emiliania huxleyi* and *Thalassiosira pseudonana* biomass would consistently achieve a final yield of approximately 2.5 x 10^6 cells mL^{-1} with a specific growth rate (μ d^{-1}) of 0.6 under our culture conditions. By calculation, nitrogen should have been limiting in both species at this point assuming cells were using nutrients in the Redfield ratio. We performed 'add-back' experiments to test what controlled limitation (data not shown). These experiments indicated that for *T. pseudonana* nitrogen clearly caused growth limitation; when nitrate was added back cell number increased. The pattern for *E. huxleyi* was less clear as no obvious increase in *E. huxleyi* biomass was stimulated by adding back either nitrate or phosphate. After the onset of stationary phase *E. huxleyi* cell number remained constant for 20 days whereas *T. pseudonana* cell number began to decline after 5 days, and over the next 20 days declined by 65% (Fig. 1A). *E. huxleyi* coccosphere volume increased after the growth phase from a mean of about 35 μm^3 to almost 80 μm^3 at the end of the stationary phase. *T. pseudonana* also increased in cell volume, but by less than *E. huxleyi* coccosphere volume; the increase in cell volume stabilised after the growth phase at about 50 μm^3 (Fig. 1A). *T. pseudonana* dark-acclimated F_V:F_M (Maximum photosystem II efficiency; PS II efficiency; Kromkamp and Forster 2003) declined from a maximum of 0.6 in early log-phase to zero after 5 days in stationary phase. *E. huxleyi* dark-acclimated F_V:F_M remained constant at approximately 0.5 (Fig. 1B). Culture fluorescence declined after the onset of stationary phase in both species (Fig. 1C). During this decline it was possible to discriminate two subpopulations by flow cytometry (*see* below).

Flow cytometry and cell staining

Light scattering. Over the transition from growth to stationary phase *Emiliania huxleyi* forward scatter increased and side scatter became more variable. An increase in *T. pseudonana* forward scatter was also evident over the transition but no obvious change in side scatter developed (data not shown).

Pigment fluorescence. During growth all *Emiliania huxleyi* cells had the same, slightly increasing, pigment fluorescence (data not shown). During the stationary phase all cells declined in pigment fluorescence and a 'low-red' subpopulation developed (Fig. 2). This subpopulation doubled in size during the stationary phase, from approximately 6 to 12% of all cells. Low-red *E. huxleyi* cells were not obviously different in terms of forward and side scatter compared to 'normal' cells. *T. pseudonana* cells also declined in average pigment fluorescence after the onset of stationary phase and low-red cells accounted for almost 50% of cells towards the end of the sampling period. As in *E. huxleyi*, *T. pseudonana* low-red cells did not obviously differ from normal cells in their forward and side scatter characteristics (data not shown).

SYTOX green staining. *E. huxleyi* showed <5% labeled cells throughout the experiment; neither the low-red nor normal cells labeled with SYTOX green, indicating that almost all cells, of both cell types, had intact plasma membranes over the duration of the monitoring period. In contrast, *T. pseudonana* had low numbers of labeled cells (<2%) until the stationary phase whereupon the percentage of labeled cells rose rapidly to a maximum of 25% on the last sampling day (Fig. 3).

CMFDA staining. Within the growth phase *E. huxleyi* cells showed clear differences in CMFDA metabolism. Most cells metabolised the probe and become highly fluorescent; however about 20% of cells showed no increased fluorescence and were similar to unstained controls (Fig. 4A). This difference remained roughly constant throughout the stationary phase (Fig. 4B). Further, in *E. huxleyi* the 'high CMF' population increased their CMFDA

metabolism in the stationary phase (Fig. 4C). The low red *E. huxleyi* cells that increased slightly in abundance throughout the experiment did not metabolise the probe; low red cell green fluorescence was comparable to unstained cells. *T. pseudonana* did not show this intra-population variability; all cells within the population exhibited a significant decline (linear regression; p=0.001) in CMFDA fluorescence over the transition from active growth to stationary phase (Fig. 4C). However, even in the death phase, *T. pseudonana* cells showed CMFDA fluorescence that was elevated relative to unstained controls (data not shown).

CaspACE staining. *E. huxleyi* CaspACE fluorescence increased during the experiment with both types of cells (normal and low red) showing a similar level of fluorescence due to CaspACE binding. Amongst normal *E. huxleyi* cells there was a significant increase (linear regression; p=0.001) in CaspACE binding over time (Fig. 5). There was no significant trend (linear regression; p=0.05; Fig. 5) in *T. pseudonana* CaspACE fluorescence with time, and as with *E. huxleyi* cells, there was no obvious difference between normal and low-red *T. pseudonana* cells (data not shown).

Photosynthetic pigments

Chemical assignment. During reversed-phase HPLC, chlorophyll allomers typically elute in the region of the chromatogram immediately prior to chlorophyll *a* (Walker et al. 2002) and most exhibit UV-vis spectra indistinguishable from chlorophyll *a*. In extracts from this study, five components (I-V, Fig. 6) eluted in the region expected for chlorophyll allomers. Components I and III were assigned as 13^2-hydroxy-chlorophyll *a* (*see* structure inset, Fig. 6) and 13^2-hydroxy-chlorophyll *a*', and components IV and V were assigned as (*S*)-13^2-methoxy-chlorophyll *a* and (*R*)-13^2-methoxy-chlorophyll *a*, respectively, by comparison to published MS/MS data (Table 1; Walker et al. 2002). Component II exhibited similar analytical data to Chl *a* (Table 1), showing a 2 Da difference in protonated molecule and common major ions in MS^2 (Table 1). The phytyl chain of chlorophyll *a* is lost as phytadiene,

resulting in a loss of 278 Da during APCI-LCMS^n (Airs et al. 2001; Table 1). The loss of 276 Da from the protonated molecule of component II indicates that the structural difference from Chl *a* originates on the phytyl chain and is likely to be due to an additional double bond. This component has been assigned previously in a culture of *Pavlova gyrans* (Bale 2010). One of the final stages in the biosynthesis of chlorophyll *a* is the conversion of geranylgeraniol to phytol by saturation of three of its double bonds (Rudiger 2006). Component II, referred to from here on as Chl a_{P276}, may therefore be a biosynthetic precursor to chlorophyll *a*.

Pigment changes during growth limitation

Of the alteration products observed, methoxychlorophyll *a* was present at highest concentrations relative to chlorophyll *a* in both *Emiliania huxleyi* and *Thalassiosira pseudonana* (Fig. 7A). In both cultures Chl a_{P276} was highest in the active growth phase, consistent with its assignment as a biosynthetic precursor to chlorophyll *a*. In *T. pseudonana,* methoxychlorophyll *a* increased relative to chlorophyll *a* during the transition from cell division to the stationary phase (Fig. 7A). The concentration of methoxychlorophyll *a* stayed high relative to chlorophyll *a* into the diatom death phase, before declining to undetectable levels (Fig. 7A). The ratio of hydroxychlorophyll *a*:Chl *a* showed a slight increase in *T. pseudonana* during the transition, mirroring the profile of methoxychlorophyll *a*. No increase in the ratio of methoxychlorophyll or hydroxychlorophyl *a* to chlorophyll *a* was observed in *E. huxleyi* cultures (Fig. 7A). The carotenoid:chlorophyll *a* ratio remained constant in *E. huxleyi* (Fig. 7B) but steadily increased in *T. pseudonana.* In *E. huxleyi,* the reduction in carotenoids closely tracked the reduction in chlorophyll, consistent with a controlled reduction of cellular pigment concentration. In *T. pseudonana,* the increase in the carotenoid:chlorophyll ratio occurred because of a more rapid decrease in chlorophyll relative to carotenoids.

DMSP and DMS

317 Over the course of the experiment, *E. huxleyi* cultures significantly (linear regression;
318 p=0.001) accumulated DMSP ($DMSP_{total}$) whereas *T. pseudonana* $DMSP_{total}$ showed no
319 significant relationship with time (p=0.05). Within the *T. pseudonana* dataset however, a
320 decline in $DMSP_{total}$ is suggested within the stationary/death phase (Fig. 8A). The intracellular
321 concentration of DMSP ($DMSP_{cell}$; Fig. 8B) showed no significant trend with time (p=0.05) in
322 both species over the whole course of the experiment, and was consistent within the
323 stationary/death phase at approximately 120 mmol L^{-1} (*E. huxleyi*) and 35 mmol L^{-1} (*T.*
324 *pseudonana*). However, between days 0 and 10 there was a notable increase in *T. pseudonana*
325 $DMSP_{cell}$ from 0.7 to 34 mmol L^{-1}.The divergence between $DMSP_{total}$ and $DMSP_{cell}$ in *E.*
326 *huxleyi* can be explained by the increased coccosphere volume in stationary phase; *E. huxleyi*
327 coccosphere volume increased with time (Fig. 1A). The concentration of DMS in both
328 cultures increased significantly over the course of the experiment (p=0.05). In *T. pseudonana*
329 DMS increased from 5 nmol L^{-1} to 90 nmol L^{-1} and from 10 nmol L^{-1} to 42 nmol L^{-1} in *E.*
330 *huxleyi* (Fig. 8C). $DMSP_{dissolved}$ increased in both species after the growth phase, to around 2
331 µmol L^{-1} in *E. huxleyi* and around 1.25 µmol L^{-1} in *T. pseudonana* (data not shown).

332 **Discussion**

333 The main finding of our work was that the response of the two model species to
334 nutrient limitation was quite different. Establishing the specific nutrient that is limiting is
335 important to place the work into an environmental context. Add-back experiments are a useful
336 way of verifying the limiting nutrient (La Roche et al. 1993) and clearly indicated N-
337 limitation as the cause of growth limitation in our *Thalassiosira pseudonana* cultures. The
338 add-back data were ambiguous for *Emiliania huxleyi*. We suggest that the timing of the add-
339 back is important and we may have been too late in adding the nutrients (which we did just
340 before the plateau). We hypothesize that *E. huxleyi* cells may have already committed to

transforming into a ‘persister’ form by the time the extra nutrients were delivered and thus the add-back of limiting nutrient had no effect. We find the fact that Loebl et al. (2010) find similar patterns in *E. huxleyi* PS II efficiency, and biomass, under N-deprivation quite compelling as it provides support for N being the cause of growth limitation in our experiments. However, Loebl et al. (2010) used a different type of experimental manipulation (centrifugation of cells and resuspension in N-free media) which would have resulted in somewhat different environmental conditions for the cells. Regardless of the method of inducing N-limitation however, the tolerance of *E. huxleyi* to endure growth-limiting conditions were clearly superior to that of *T. pseudonana*.

Knowing whether cells are viable is important in order to scale metabolic parameters such as exudation rates or primary production (Garvey et al. 2007). In this study, we have linked an assessment of viability with the alteration of two classes of compounds important in biogeochemical cycles. Viability is ‘the quality or state of being viable; the capacity for living; the ability to live under certain conditions’ (Oxford English Dictionary), and in cell biology, the concept of viability is generally extended to a notion of having the capacity to divide in the future. Whether or not a cell divides in the future will be determined by the environment and the environment may change. Therefore it is difficult to assess viability with existing live/dead staining techniques, as these do not reveal the capacity for cell division after being stained. Indeed, some staining procedures can themselves be toxic (e.g., some DNA stains; Nebe von Caron, 2000) precluding a sort of cells on the basis of their staining characteristics and subsequent monitoring for cell division. Instead, live/dead staining methods test some physiological correlate of being alive, such as membrane permeability or enzyme activity. Such physiological correlates are ‘validated’ by abolishing them via cell killing with heat, chemical fixation or some other method. Since it is possible to generate a

365 complicated spectrum of states with such methods, making simple categorisation difficult,
366 and the performance of the stains is variable between species (Brussaard et al. 2001), the use
367 of live/dead stains has been limited in eukaryotic microbial ecology (Garvey et al. 2007).
368 Nevertheless, these methods are at present the 'state of the art' and they have given valuable
369 insight into the role of mortality in the microbial foodweb (Veldhuis et al. 2001). We show
370 here that the coccolithophore *Emiliania huxleyi* has a very different response to growth
371 limitation than the diatom *Thalassiosira pseudonana*. Benthic 'resting stages' are known in a
372 number of *Thalassiosira* species (Lewis et al. 1999) but during the decline in our cultures we
373 saw no obvious change in cell morphology. The ability to form resting stages has not been
374 recorded in this strain/clonal isolate, and even if this ability did exist, it may have been lost in
375 culture. *T. pseudonana* biomass remained constant for approximately 8 days before cell loss
376 due to lysis became apparent (Fig. 1A) and throughout this period the efficiency of PS II
377 declined in a pattern similar to that seen in *T. weissflogii* (Berges and Falkowski 1998) likely
378 indicating a process of intracellular protein degradation brought about by nitrogen
379 deprivation. Such internal degradation leads to a dismantling of the photosynthetic apparatus
380 and the loss of photosynthetic pigment fluorescence. Both of these processes were very clear
381 in our dataset; the loss of pigment fluorescence ('chlorosis'; Geider et al. 1993) correlated
382 with decreased enzyme activity and increased membrane permeability. This process was
383 especially clear in the diatom but a more subtle process occurred in the coccolithophore.
384 Fluorescence due to CaspACE binding did not increase during the decline in diatom biomass.
385 Using the same strain of *T. pseudonana* (CCMP 1335) Bidle and Bender (2008) noted
386 increased CaspACE binding (expressed as % of cells stained) during the cell lysis of *T.*
387 *pseudonana* after stationary phase. Even higher binding was observed in Fe-limited biomass
388 declines, and CaspACE binding was most prominent in cells with low fluorescence.
389 Upregulation of caspases may therefore be more likely under Fe-limited conditions. An

ongoing difficulty in the use of caspase-activity stains in the interpretation of cell death processes is the lack of good positive controls. Cell differentiation to a resting stage is not a recognised pathway in coccolithophores, which may instead switch to a motile, haploid form during stressful conditions and thereby exploit a different ecological niche (Frada et al. 2008). However, the persistence of *E. huxleyi* during stationary phase in our study did not seem to be accompanied by meiosis, as assessed by periodic microscopy on our cultures. Increases in intracellular enzyme activity were clear from both CMFDA and CaspACE results, highlighting perhaps the requirement for hydrolytic enzymatic activity to be present in the cell for the successful detection of caspase-like activity. In the absence of other measurements (*see* below), there are three interpretations of increased CaspACE binding in *E. huxleyi*; 1) an increase in proteolytic activity within the cell related to a shift to a low metabolic state (which nevertheless retains photosynthetic pigmentation), or 2) intracellular reorganisation related to the induction of meiosis, or 3) programmed cell death (PCD) in moribund cells, potentially leading to an apoptotic morphology but with intact plasma membranes (the timing of membrane permeability failure may therefore be late in *E. huxleyi* PCD). Of these two possibilities we suggest that 1) or 2) is the safest interpretation because we do not have accompanying measurements of the other processes thought to be part of PCD and which would result in apoptosis (e.g., DNA fragmentation, phosphatidylserine inversion). Additional complications in the interpretation of the CaspACE data are that caspases may have alternative functions to PCD (Lamkanfi et al. 2007); in general, the clan to which caspases belong (clan CD, family C14) is poorly understood in protists (Vercammen et al. 2007).

Although our two species showed different responses to growth limitation in many respects, one common element was the formation of low-red or chlorotic cells. As a proportion of the total cell population chlorotic cells became more abundant in the diatom

414 cultures. The formation of chlorotic cells has been well noted before, in diatoms
415 (*Phaeodactylum tricornutum*; Geider et al. 1993) and also in cyanobacteria (*Synechococcus*
416 PCC 7942; Sauer et al. 2001). After the onset of nitrogen deprivation *Synechococcus* PCC
417 7942 shows an immediate and substantial reduction in protein content leading to the
418 formation of an ultra-low metabolism resting stage (Sauer et al. 2001). In *P. tricornutum* cell
419 pigmentation changes rapidly as part of an adaptive and reversible response to self-shading
420 thereby tuning photosynthetic activity to the available resources. Given our dataset it appears
421 the response of *E. huxleyi* to nutrient limitation resembles that of *Synechococcus* in that whilst
422 there was an immediate change in pigment content per cell, photosynthetic efficiency was
423 unchanged and there was also no change in the pigment profile. Such a conclusion is
424 reinforced by the recent finding that the high PSII repair capability of *E. huxleyi* means that it
425 is well-adapted to endure nutrient deplete conditions (Loebl et al. 2010). In contrast, *T.*
426 *pseudonana* showed a rapid decline in photosynthetic efficiency as pigment content declined
427 and the pigment profile also changed. It is possible that the formation of chlorotic cells had
428 different causes: in *E. huxleyi*, where the proportion of chlorotic cells did not increase as
429 much as in the diatom population, the ultimate cause of cell chlorosis may have been cell
430 cycle stage at a critical point in the onset of nutrient deprivation whereas in *T. pseudonana,*
431 the higher proportion of chlorotic cells after nutrient deprivation suggests that all cells were
432 destined to share the same fate. These two ideas are not mutually exclusive however since we
433 did not assess the degree of cell-cycle synchrony; it may have been that the *T. pseudonana*
434 cells were in synchronised division at the onset of nutrient deprivation. This seems unlikely;
435 diatom cultures often require an experimental treatment (such as silica starvation) to induce
436 synchrony (Hildebrand et al. 2007) and were therefore unlikely to be undergoing synchronous
437 division. Resolving population cell cycle stage in parallel with assessments of physiological
438 staining would be beneficial in further investigations of these responses. In conclusion,

439 CMFDA and SYTOX-green worked well as indicators of changing cell condition and yielded
440 robust information. Our dataset highlights the necessity of making observations over a
441 relatively long period in order to gather context and to avoid simple categorisations
442 (live/dead) without such context. The increase in *E. huxleyi* CMFDA fluorescence during the
443 stationary phase for example, clearly represents a process of cellular reorganisation, but cells
444 did not become 'more alive'. Simplifications about cell states (e.g., 'active' and 'inactive')
445 remain difficult using existing methods. Bacterioplankton, for example, display an enormous
446 range of metabolic states in natural populations (Smith and del Giorgio 2003; Pirker et al.
447 2005). Development of simultaneous and multi-staining approaches in eukaryotic
448 microbiology should help in revealing all, or most, of the physiological heterogeneity within
449 these populations.

450 This is the first study to investigate the formation of chlorophyll oxidation (allomer)
451 products in conjunction with measurements of photosynthetic efficiency and loss of cell
452 viability in phytoplankton cultures. The chlorophyll oxidation products detected,
453 methoxychlorophyll and hydroxychlorophyll, are common products in laboratory studies of
454 chlorophyll allomerisation reactions (Hynninen and Hyvärinen 2002; Jie et al. 2002).
455 Methoxychlorophyll *a* however, has not been reported previously in eukaryotic
456 phytoplankton. Methoxychlorophyll *a* and hydroxychlorophyll *a* increased relative to
457 chlorophyll *a* from day 30 onwards in *T. pseudonana* cultures, by which point the dark-
458 acclimated F_V:F_M had declined from 0.6 to 0.1. In contrast to *T. pseudonana*, the relative
459 concentration of hydroxychlorophyll *a* and methoxychlorophyll *a* remained constant in *E.*
460 *huxleyi*, as did the dark-acclimated F_V:F_M and percentage of SYTOX-green stained cells.
461 Similarly, Bale (2010) found that the relative proportion of hydroxychlorophyll *a* remained
462 constant in *E. huxleyi* over a 40 day period in batch culture. In *T. pseudonana*, the reduction in
463 maximum PS II efficiency and increase in the relative abundance of chlorophyll oxidation

products preceded the increase in the percentage of cells labeled with SYTOX-green. The relative increase in chlorophyll alteration products may therefore serve as an early indicator of loss of cell viability. Although methoxychlorophylls have not been reported previously in pigment studies of senescent phytoplankton, detritus or sediments they have been detected in cyanobacteria (R.A. Airs unpubl. data) and further high resolution LCMS studies may reveal methoxychlorophyll to be a common early transformation product in phytoplankton. Hydroxychlorophyll *a* has been detected in field samples from phytoplankton blooms in the Celtic Sea and North Atlantic (Walker and Keely 2004; Bale 2010), and chlorophyll allomer-type components are commonly detected in field samples, even when routine rather than high resolution HPLC methods are applied (R.A. Airs unpubl. data). From the higher relative abundance of methoxychlorophyll *a* than hydroxychlorophyll *a* in our cultures, the detection of hydroxychlorophyll *a* in field samples indicates that the likelihood of detecting methoxychlorophyll *a* in field samples is good. The effect of these early chlorophyll alterations on the overall light absorption of the cell, and hence the potential of these alterations to be detected by remote methods is, however, unknown. A trace of pheophytin *a* (magnesium-free chlorophyll *a*) was detected in both cultures throughout the experiment (data not shown), contributing at levels <10% of the other chlorophyll alteration products detected. Pheophytin *a* has been shown to be present in healthy cells, due to its role as a primary electron acceptor of Photosystem II (Klimov 2003). Both chlorophyllide *a*, and its magnesium-free counterpart pheophorbide *a*, have been associated with senescence in earlier studies (Jeffrey and Hallegraeff 1987; Louda et al. 2002). These compounds were not detected, however, during this study. How senescence is defined within an experiment, the method of senescence induction, the timescale of experiments as well as the presence or absence of cellular enzymes (e.g., chlorophyllase) are likely to influence the specific alterations of chlorophyll *a*.

There are a number of sources and sinks of DMS and its precursor DMSP within the microbial foodweb. The intracellular concentration of DMSP in phytoplankton cells is the primary driver of ecosystem DMS emission, and certain microalgae synthesize DMSP in response to environmental factors such as light (Archer et al. 2010) and nitrogen depletion (Bucciarelli and Sunda 2003). DMSP can be released from algal cells by grazing and viral lysis and these pathways may also elevate DMS levels by bringing algal enzymes that release DMS from DMSP into more intimate contact with the substrate (Stefels et al. 2007). In addition bacteria demethylate DMSP, release DMS from DMSP and oxidise DMS to DMSO (Schaefer et al. 2010). Depending upon the bacterial genera present and pathways involved the DMS concentration can increase or decrease. However, it is interesting to note that the direct release by phytoplankton cells is suggested by modelling work to be the dominant factor in explaining natural DMS seasonality (Gabric et al. 2008).

In order to be useful as an antioxidant or an overflow compound the intracellular concentration of DMSP would need to vary actively in response to environmental stress. To estimate intracellular DMSP concentration it is necessary to have an accurate estimate of cell volume. In coccolithophores, this is complicated by the presence of the coccolith layer, the coccosphere, around the cell and in diatoms the intracellular vacuolar space provides a similar complication. During the stationary phase, coccolithophore calcification can continue after cell division stops (Lakeman et al. 2009) potentially leading to multi-layered coccospheres. Acidification can remove coccoliths prior to cell volume measurement but unfortunately we did not do this in the present study so our conclusion of a constant $DMSP_{cell}$ concentration in stationary phase *Emiliania huxleyi* is based on an assumption of increasing cell volume. Stefels et al. (2007) point out that cells generally decrease in volume with nitrogen starvation. It is possible that in the present study the cell volume decreased whilst overall coccosphere volume increased, in which case intracellular DMSP concentration would also have increased.

514 We recommend measuring acidified and non-acidified samples for volume estimates in future
515 studies. The realisation of how important this can be is currently spreading with some studies
516 (Archer et al. 2010) acidifying to make accurate estimates of cell volume whereas older
517 studies tended not to do this. We are not aware of any studies quantifying vacuolar changes in
518 *Thalassiosira pseudonana* during nutrient limitation and taking our data at face value the 50-
519 fold increase in intracellular DMSP concentration with nitrogen starvation confirms our
520 original hypothesis. In nutrient-replete culture diatoms generally have lower concentrations of
521 DMSP than representatives of other major phytoplankton groups (Stefels et al. 2007), so it has
522 often been assumed that diatoms cannot be a major source of DMS in the marine
523 environment. However, considering the data presented here and elsewhere (Sunda et al. 2002;
524 Bucciarelli and Sunda 2003; Harada 2009), alongside estimates that diatom primary
525 production accounts for ~40% of the global total (Falkowski et al. 1998), it is clear that the
526 overall diatom contribution may be greater than previously assumed.

527 Our study indicates that two important phytoplankton species have fundamentally
528 different responses to nutrient deprivation. These different responses reflect the ecology of
529 their groups in nature, and our assessment of physiological state reveals that *E. huxleyi* is
530 much better able to cope with nutrient deprivation than *T. pseudonana*, through a cellular
531 reorganisation which may involve caspase-like activity and DMSP production. *T. pseudonana*
532 shows a substantial increase in DMSP concentration in response to nitrogen limitation and
533 dies and lyses rapidly. We show for the first time that methoxychlorophyll *a* appears in *T.*
534 *pseudonana* before membrane permeability is lost and lysis begins. Methoxychlorophyll *a*
535 could therefore be a useful indicator of diatom senescence.

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Table 1. Assignment of chlorophyll and related alteration products in cultures of *Emiliania huxleyi* and *Thalassiosira pseudonana*.

Peak no.	Main UV-vis absorption bands (nm)	Full MS and MS^2 ions[a,b]	Assignment
I	430, 664	Full MS: $[M+H]^+$ 887 (100); MS^2 (887): 869 ($[M+H]^+$-18; 2), 609 ($[M+H]^+$-278; 100), 591 ($[M+H]^+$-278-18; 50), 549 ($[M+H]^+$-278-60; 15)	Hydroxychlorophyll *a*
II	432, 664	Full MS: $[M+H]^+$ 869 (100); MS^2 (869): 837 ($[M+H]^+$-32; 5), 593 ($[M+H]^+$-276; 100), 533 ($[M+H]^+$-276-60; 80)	Chlorophyll a_{p276}
III	432, 664	Full MS: $[M+H]^+$ 887 (100); MS^2 (887): 869 ($[M+H]^+$-18; 5), 609 ($[M+H]^+$-278; 100), 591 ($[M+H]^+$-278-18; 50), 549 ($[M+H]^+$-278-60; 10)	Hydroxychlorophyll *a*´
IV	422, 664	Full MS: $[M+H]^+$ 901 (60), 869 (100); MS^2 (901): 869 ($[M+H]^+$-32; 25), 623 ($[M+H]^+$-278; 10), 591 ($[M+H]^+$-278-32; 100), 559 ($[M+H]^+$-278-32-32; 15), 531 ($[M+H]^+$-278-32-60; 40); MS^2 (869): 591 ($[M+H]^+$-278; 100), 559 ($[M+H]^+$-278-32; 15), 531 ($[M+H]^+$-278-60; 30)	Methoxychlorophyll *a*
V	420, 662	Full MS: $[M+H]^+$ 901 (90), 869 (100); MS^2 (901): 869 ($[M+H]^+$-32; 2), 623 ($[M+H]^+$-278; 60), 591 ($[M+H]^+$-278-32; 60), 559 ($[M+H]^+$-278-32-32; 5), 531 ($[M+H]^+$-278-32-60; 50); MS^2 (869): 591 ($[M+H]^+$-278; 100), 559 ($[M+H]^+$-278-32; 5), 531 ($[M+H]^+$-278-60; 30)	Methoxychlorophyll *a*´
VI	432, 664	Full MS: $[M+H]^+$ 871 (100); MS^2 (871): 839 ($[M+H]^+$-32; 5), 593 ($[M+H]^+$-278; 100), 533 ($[M+H]^+$-278-60; 75)	Chlorophyll *a*

[a]All chlorophyll derivatives appear as demetallated ions due to post column demetallation prior to sequential mass scanning (Airs and Keely 2000; *see* Methods).

[b]Full MS: relative abundance shown in parentheses. MS^2: Precursor ion indicated in parentheses. MS^2 ions: relationship to $[M+H]^+$ and relative abundance indicated in parentheses.

Figure legends

Figure 1. (A) Cell number and cell volume, (B) Efficiency of Photosystem II (dark-adapted F_V:F_M), and (C) In vivo fluorescence in duplicate *Emiliania huxleyi* and *Thalassiosira pseudonana* batch cultures (mean and standard error) during cell division, the transition from cell division to stationary phase, and the death phase (*T. pseudonana* only).

Figure 2. Representative biparametric plots of red and green fluorescence in *Emiliania huxleyi* and *Thalassiosira pseudonana* batch cultures. The plots indicate the process of chlorosis (the reduction in cellular pigment fluorescence over time) in batch cultures. At day 0 both species show single populations with consistently high red (pigment) fluorescence; by day 23 two populations are apparent and are highlighted by the regions overlaid on the plot. Cells transitional between the two states are visible, indicating that the low red population arises via chlorosis of the high red population.

Figure 3. Membrane permeability (SYTOX-green staining) during nutrient depletion in *Emiliania huxleyi* and *Thalassiosira pseudonana*. (A) shows representative biparametric polts for both species at day 23. (B) shows the % of SYTOX-stained cells over time (mean and standard error). Note that 'stained cells'= Q1+Q2. Q1=stained debris and stained 'low-red' cells, Q2=stained 'normal' cells, Q3=unstained normal cells and Q4=unstained debris and unstained low-red cells.

Figure 4. Hydrolytic enzyme activity (CMFDA staining) during nutrient depletion in *Emiliania huxleyi* and *Thalassiosira pseudonana*. A) shows representative biparametric plots for both species at day 23: note the clear separation of the *E. huxleyi* population into a 'high' and 'low' CMF population as indicated by the superimposed regions on the plot. B) shows relative % of high and low CMF cells over the course of growth and stationary phase in *E. huxleyi*. Finally, C) shows normalised CMF fluorescence within the high *E. huxleyi*

population and all *T. pseudonana* cells (mean and standard error). n.b. CMF fluorescence was normalised to a fluorescence standard, flowset beads (*see* text), which were analysed simultaneously.

Figure 5. Changes in CaspACE binding in normal cells (*see* text) during nutrient depletion in *Emiliania huxleyi* and *Thalassiosira pseudonana* batch cultures (mean and standard error). n.b. CaspACE fluorescence was normalised to a fluorescence standard, flowset beads (*see* text), which were analysed simultaneously.

Figure 6. Partial HPLC chromatogram (660 nm) showing elution position (relative to chlorophyll *a*) of chlorophyll alteration products detected. For peak assignments *see* Table 1.

Figure 7. (A) Ratio of total methoxychlorophyll *a* to Chl *a* and total hydroxychlorophyll *a* + chl *a* p_{276} to Chl *a* in *T. pseudonana* and *E. huxleyi* and (B) ratio of total carotenoid to Chl *a* in *T. pseudonana* and *E. huxleyi* (mean and standard error) in nutrient-limited batch cultures.

Figure 8. (A) $DMSP_{total}$ (μmol L^{-1}), (B) $DMSP_{cell}$ (mmol L^{-1}), and (C) DMS (nmol L^{-1}) in duplicate *Emiliania huxleyi* (circles) and *Thalassiosira pseudonana* (triangles) batch cultures (mean and standard error) over the batch growth cycle.

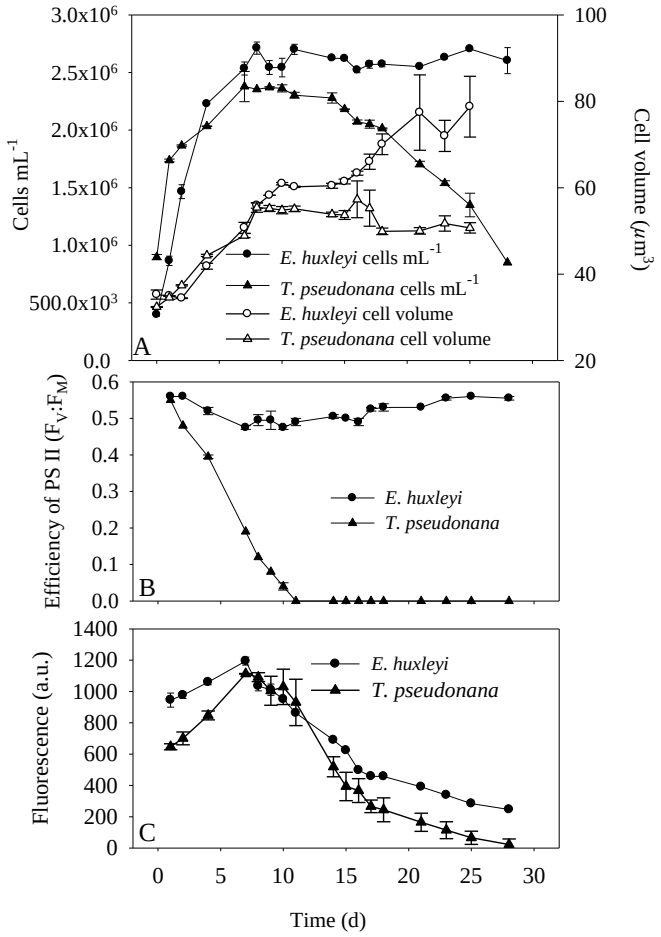
Cells mL-1
Cell volume (μm3)
E. huxleyi cells mL-1
T. pseudonana cells mL-1
E. huxleyi cell volume
T. pseudonana cell volume
A
Efficiency of PS II (FV:FM)
E. huxleyi
T. pseudonana
B
Fluorescence (a.u.)
E. huxleyi
T. pseudonana
C
Time (d)

Figure 1

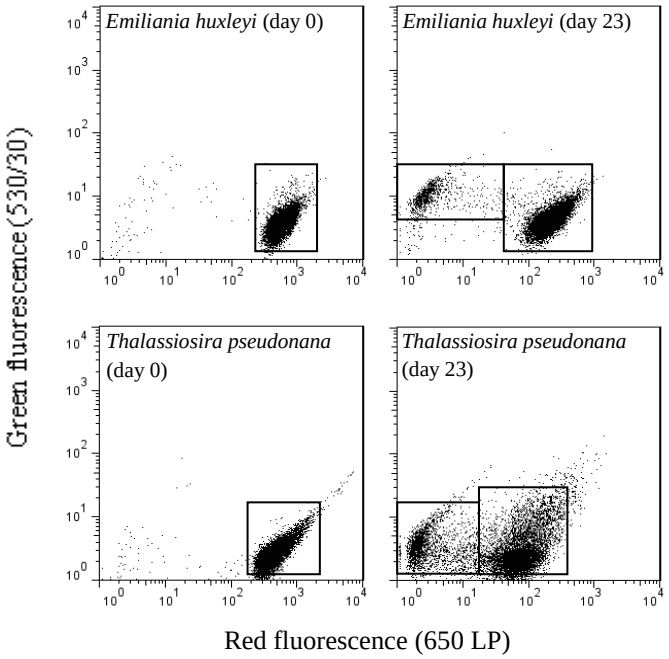
Green fluorescence (530/30)
Emiliania huxleyi (day 0)
Emiliania huxleyi (day 23)
Thalassiosira pseudonana (day 0)
Thalassiosira pseudonana (day 23)
Red fluorescence (650 LP)

Figure 2

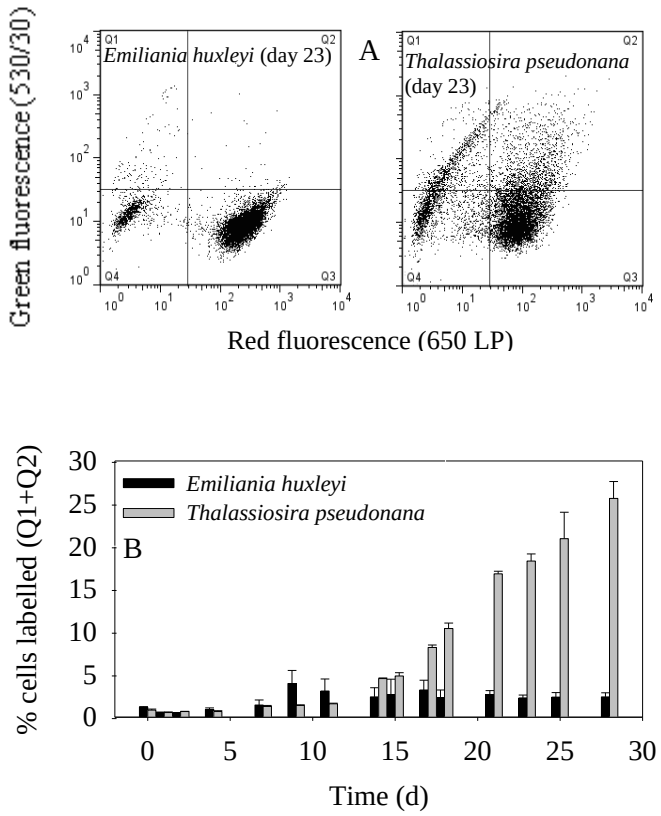
Green fluorescence (530/30)
Emiliania huxleyi (day 23)
A
Thalassiosira pseudonana
(day 23)
Q1
Q2
Q3
Q4
Red fluorescence (650 LP)
% cells labelled (Q1+Q2)
Emiliania huxleyi
Thalassiosira pseudonana
B
Time (d)

Figure 3

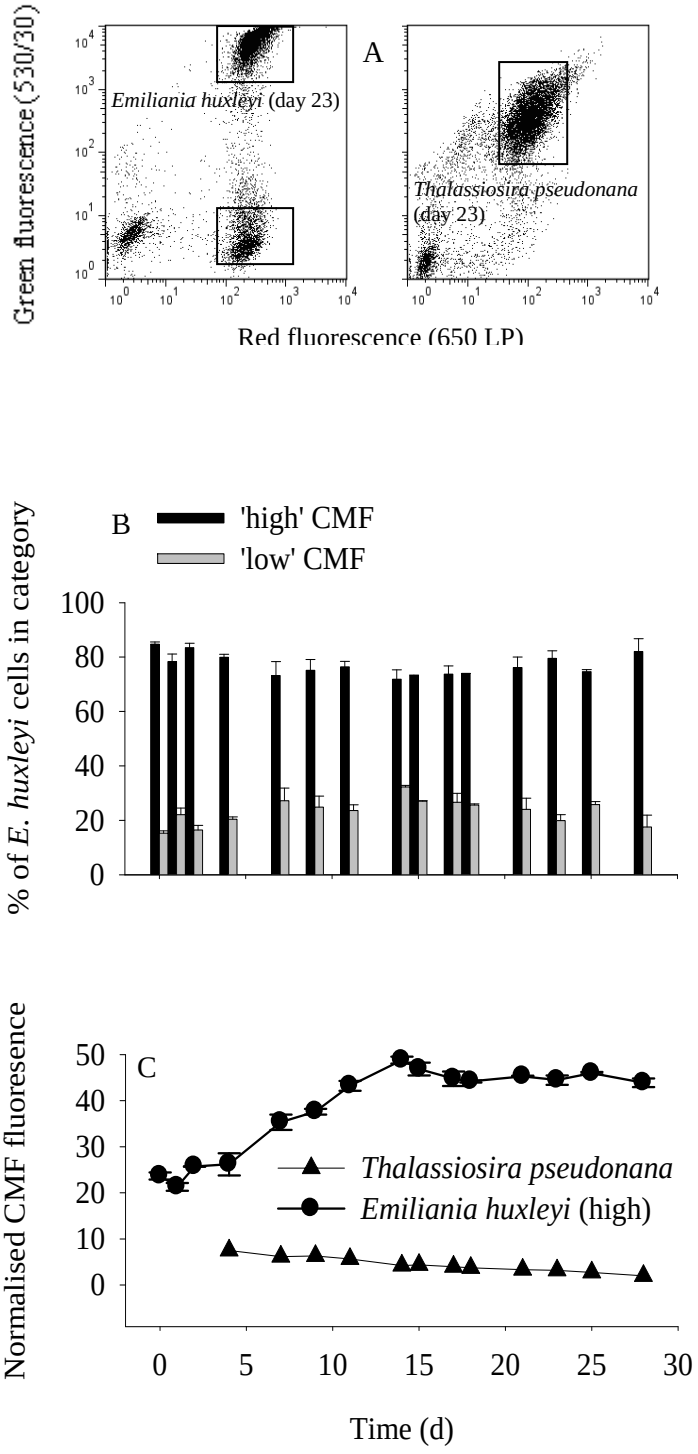
A
Green fluorescence (530/30)
Red fluorescence (650 LP)
Emiliania huxleyi (day 23)
Thalassiosira pseudonana (day 23)
B
'high' CMF
'low' CMF
% of E. huxleyi cells in category
C
Normalised CMF fluoresence
Thalassiosira pseudonana
Emiliania huxleyi (high)
Time (d)

Figure 4

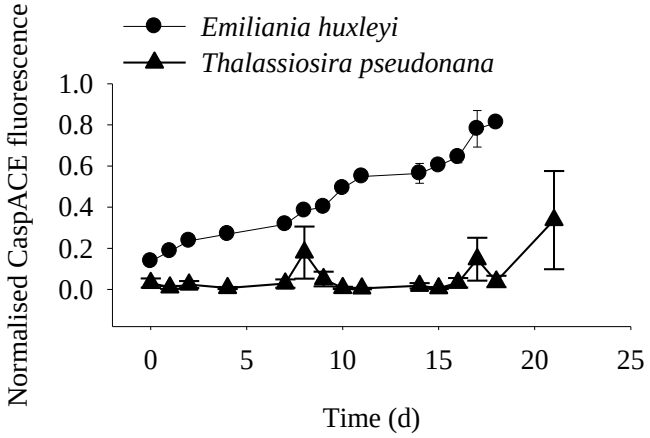
Emiliania huxleyi
Thalassiosira pseudonana
Normalised CaspACE fluorescence
1.0
0.8
0.6
0.4
0.2
0.0
0
5
10
15
20
25
Time (d)

Figure 5

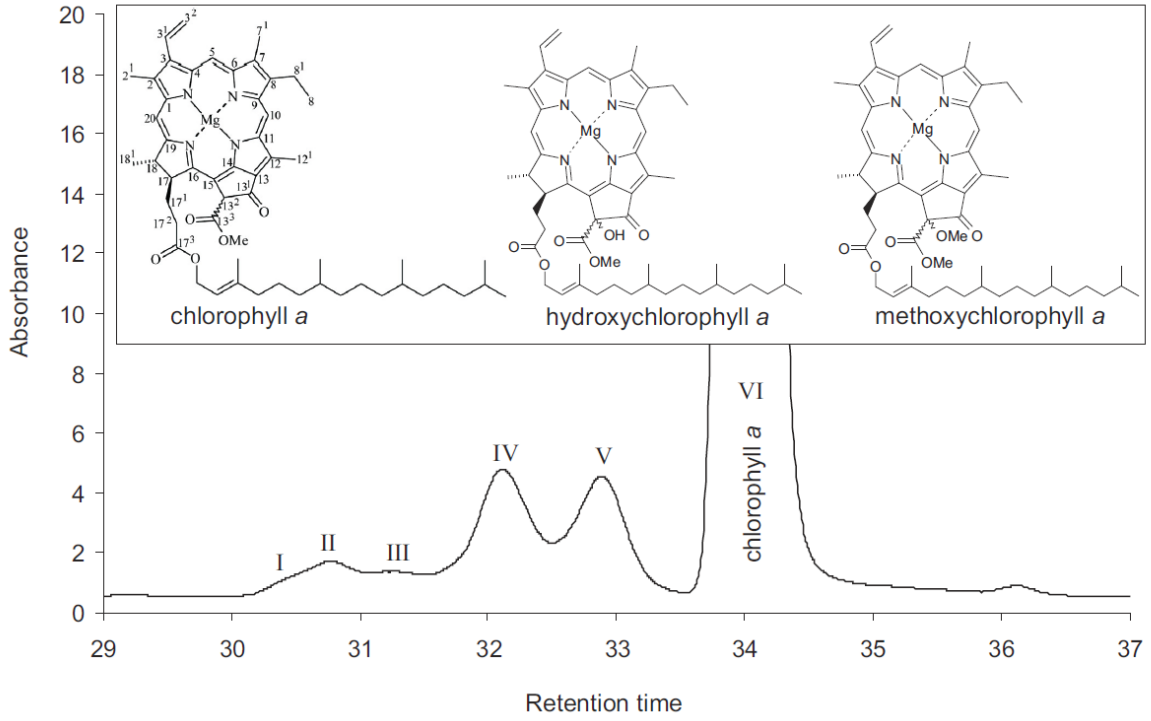
Absorbance
Retention time
chlorophyll a
hydroxychlorophyll a
methoxychlorophyll a
I
II
III
IV
V
VI
chlorophyll a

Figure 6

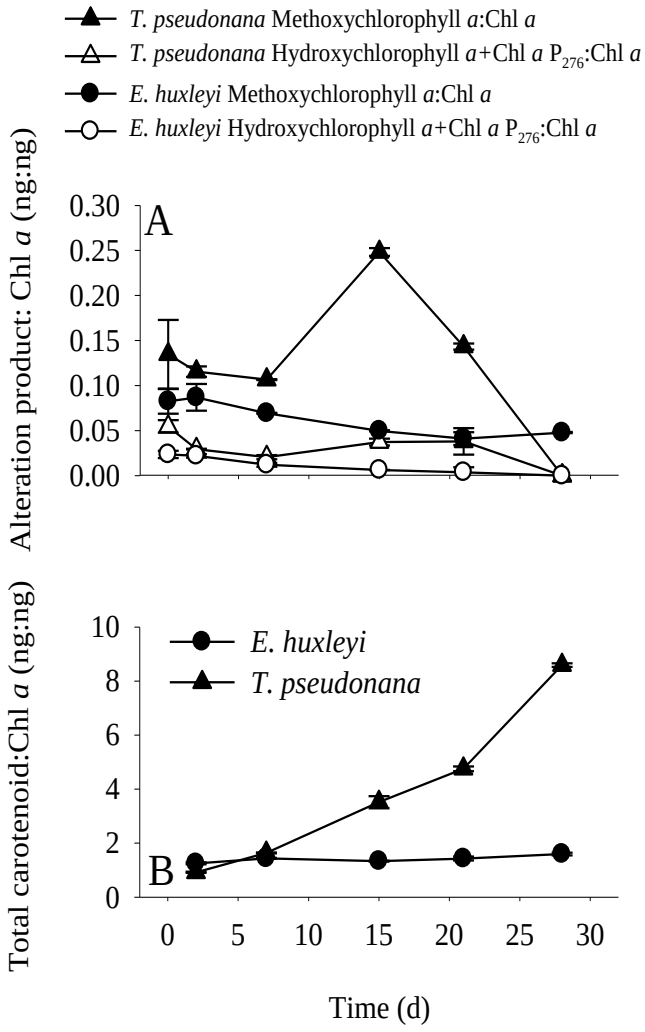
T. pseudonana Methoxychlorophyll a:Chl a
T. pseudonana Hydroxychlorophyll a+Chl a P276:Chl a
E. huxleyi Methoxychlorophyll a:Chl a
E. huxleyi Hydroxychlorophyll a+Chl a P276:Chl a
Alteration product: Chl a (ng:ng)
A
0.30
0.25
0.20
0.15
0.10
0.05
0.00
Total carotenoid:Chl a (ng:ng)
E. huxleyi
T. pseudonana
B
10
8
6
4
2
0
0
5
10
15
20
25
30
Time (d)

Figure 7

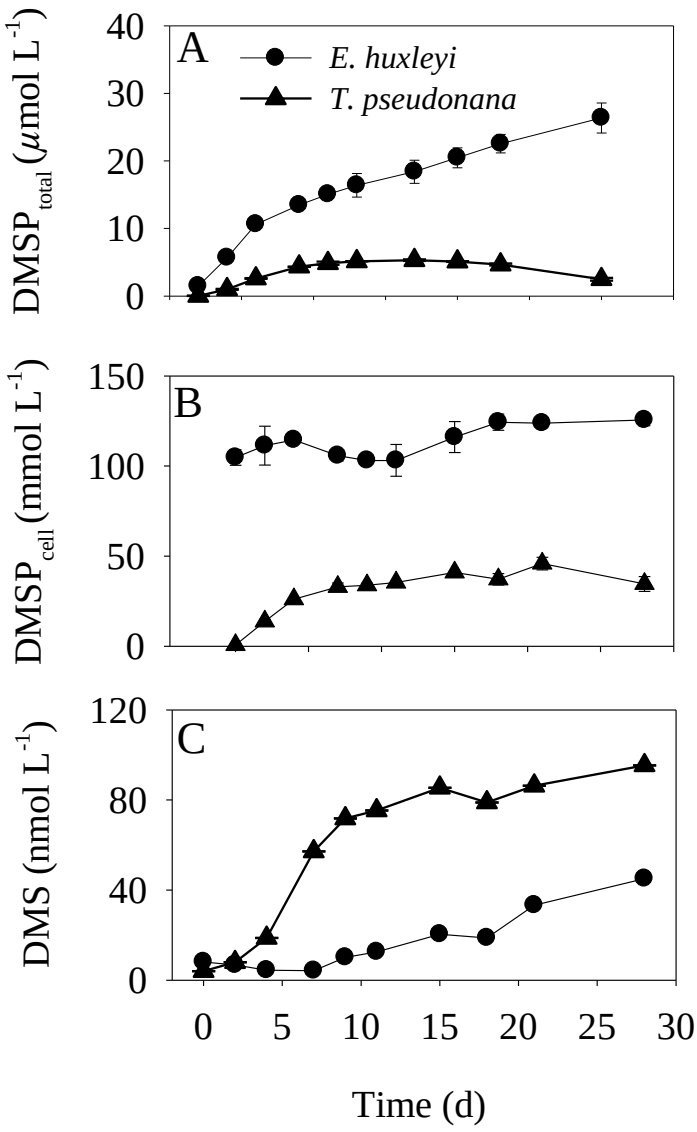
A
E. huxleyi
T. pseudonana
DMSP total (µmol L-1)
40
30
20
10
0
B
DMSP cell (mmol L-1)
150
100
50
0
C
DMS (nmol L-1)
120
80
40
0
0
5
10
15
20
25
30
Time (d)

Figure 8